Relative generalized Hamming weights and extended weight polynomials of almost affine codes

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Abstract. This paper is devoted to giving a generalization from linear codes to the larger class of almost affine codes of two different results. One such result is how one can express the relative generalized Hamming weights of a pair of codes in terms of intersection properties between the smallest of these codes and subcodes of the largest code. The other result tells how one can find the extended weight polynomials, expressing the number of codewords of each possible weight, for each code in an infinite hierarchy of extensions of a code over a given alphabet. Our tools will be demi-matroids and matroids.

Keywords: Pairs of almost affine codes, relative generalized Hamming weights, extended weight polynomials

1 Introduction

We will focus on almost affine codes as defined in [14], that is: $C \subset F^n$ for some finite alphabet F, and the projection C_X has cardinality $|F|^s$ for a non-negative integer s for each $X \subset \{1, \dots, n\}$. It is well known that this is a class of codes, which contain linear codes over fields F as a proper subclass. The intermediate class of affine codes are translates of linear codes. Another intermediate class is that of multilinear codes. It is also well known ([14]) that C defines a matroid M_C through the rank function

$$r(X) = \log_{|F|} |C_X|.$$

Such codes were studied in connection with access structures over $E = \{1, 2, \dots, n\}$ and are strongly related to ideal perfect secret sharing schemes for such access structures. See e.g. [14].

In this note we will demonstrate how two different results for linear codes can be generalized to find analogous results for almost affine codes in general.

First, we will recall some known results and terminology for almost affine codes in Section 2. Then, in Section 3, we will study generalized Hamming weight (RLDP, in the sense of [2]) of pairs $C_2 \subset C_1$ of almost affine codes, and we will investigate to which extent it is possible to generalize the results in [12] and [15],

where one only treats linear codes. There one expresses these relative generalized weights as the minimum weights of subcodes of C_1 of various dimensions, intersecting C_2 only in the zero element. In one of our two main results, Theorem 2, we show an analogue of this result for almost affine codes. In Remark 3 we show, however, that the situation is not completely like in the case of linear codes.

There are many applications of relative generalized Hamming weights, as referred to in [12] and [15], for pairs of linear codes. In addition, relating to secret sharing schemes, which is a particularly natural topic, when working with almost affine codes, we would like to mention the significance of relative generalized Hamming weights described in [11] and [3].

In the last chapter, Section 4, we study another aspect of the relationship between almost affine codes and matroids. In [6], and in [5, p. 323], one points out that for linear block codes of length n over a finite field \mathbb{F}_q , one can produce an infinite series of codes by extending the alphabet to \mathbb{F}_{q^s} , for $s=1,2,\cdots$, and nevertheless find polynomials A_0,\cdots,A_n , such that $A_j(q^s)$ computes the number of codewords of weight j, for all s simultaneously, for each of $j=0,\cdots,n$. We will show that a corresponding result holds for almost affine codes, and we use the arguments in [7, Section 3] as a stepping stone to find weight polynomials for a similar infinite series of almost affine codes C^s , all of the same block length, but over growing alphabets F^s as s grows. A main point in the linear case is that the polynomials A_j are only dependent on the associated matroid of C, and that we have matroids that play a completely analogous role, and that are equally simple to handle in the general case of almost affine codes.

2 Matroids, demi-matroids and almost affine codes

In this section, we essentially recall relevant material that will be needed in the sequel, and we do not claim to have any new results here. We refer to [13] for the theory of matroids, to [1] for an introduction on demi-matroids and to [14] for an introduction on almost affine codes, and we will use their notation.

2.1 Matroids and demi-matroids

A matroid is a combinatorial structure that extend the notion of linear (in)dependency. There are many equivalent definitions, but we will give just one here.

Definition 1. A matroid is a pair M = (E, r) where E is a finite set, and r a function on the power set of E into \mathbb{N} satisfying the following axioms:

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(R1) r(\emptyset) = 0,

(R2) for every subset X \subset E and x \in E, r(X) \le r(X \cup \{x\}) \le r(X) + 1,

(R3) for every X \subset E and x, y \in E, if r(X) = r(X \cup \{x\}) = r(X \cup \{y\}), then r(X \cup \{x,y\}) = r(X).
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Demi-matroids were introduced in [1]. They are a generalization of matroids in the following way:

Definition 2. A demi-matroid is a pair M = (E, r) where E is a finite set, and r a function on the power set of E into \mathbb{N} satisfying axioms (R1) and (R2) above. The rank of M is r(E).

Matroids and demi-matroids have duals defined in the following way:

Proposition 1. Let M = (E, r) be a matroid (respectively a demi-matroid). Then $M^* = (E, r^*)$ with r^* defined as

$$r^*(X) = |X| + r(E \backslash X) - r(E)$$

is a matroid (respectively a demi-matroid). Moreover, $(M^*)^* = M$.

The matroid (respectively demi-matroid) M^* is called the dual (respectively the dual or first dual) of M. It has rank |E|-r(M). Demi-matroids have another dual, called the supplement dual or second dual. See [1, Theorem 4]:

Proposition 2. Let M=(E,r) be a demi-matroid. Then $\overline{M}=(E,\overline{r})$ with \overline{r} defined as

$$\overline{r}(X) = r(E) - r(E \backslash X)$$

is a demi-matroid. Moreover, we have $\overline{\overline{M}} = M$ and $\overline{M^*} = \overline{M}^*$.

2.2 Almost affine codes

Almost affine codes were first introduced in [14], and are a combinatorial generalization of affine codes.

Definition 3. An almost affine code over a finite alphabet F, of length n and dimension k, is a subset $C \subset F^n$ such that $|C| = |F|^k$ and such that for every subset $X \subset E = \{1, \dots, n\}$,

$$\log_{|F|}|C_X| \in \mathbb{N},$$

where C_X is the puncturing of C with respect to $E \setminus X$.

An almost affine subcode of C is a subset $D \subset C$ which is itself an almost affine code over the same alphabet.

Remark 1. Any linear or affine code is obviously an almost affine code.

To any almost affine code C of length n and dimension k on the alphabet F, we can associate a matroid M_C on the ground set $E = \{1, \dots, n\}$ and with rank function

$$r(X) = \log_{|F|} |C_X|,$$

for $X \subset E$.

Definition 4. Let C be a block code of length n, and let $c \in C$ be fixed. The c-support of any codeword w is

$$Supp(\boldsymbol{w}, \boldsymbol{c}) = \{i, \boldsymbol{c}_i \neq \boldsymbol{w}_i\}.$$

The c-support of C is

$$Supp(C, \boldsymbol{c}) = \bigcup_{\boldsymbol{w} \in C} Supp(\boldsymbol{w}, \boldsymbol{c}).$$

Note that the c-support of an almost affine code is independent of the choice of $c \in C$ (see [8, Lemma 1]), and it will therefore be denoted by Supp(C) without reference to any codeword. This observation gives rise to:

Definition 5. The weight of an almost code C is w(C) = |Supp(C)|.

Definition 6. Let C be an almost affine code of length n, and let $c \in F^n$ be fixed. Then

$$C(X, \boldsymbol{c}) = \{ \boldsymbol{w} \in C, \boldsymbol{w}_X = \boldsymbol{c}_X \},$$

where c_X is the projection of w to X. Such a subcode of C is called a standard subcode.

This might be empty, or not be an almost affine code, but when we take $c \in C$, we get the following ([14, Corollary 1]):

Proposition 3. Let C be an almost affine code of length n and dimension k over the alphabet F. Let $c \in C$. Let $X \subset \{1, \dots, n\}$. Then C(X, c) is an almost affine subcode of C. Its associated matroid $M_{C(X,c)}$ is the contracted matroid M_{C}/X with rank function ρ given by

$$\rho(Y) = r(X \cup Y) - r(X)$$

where r is the rank function of the matroid M_C . In particular,

$$|C(X, \boldsymbol{c})| = |F|^{k - r(X)}.$$

Remark 2. Not all subcodes of C are of the form $C(X, \mathbf{c})$, i.e. not all subcodes are standard subcodes.

Corollary 1. Every almost affine code C of dimension k has almost affine subcodes of dimension $0 \le i \le k$.

2.3 Generalized Hamming weights

For a demi-matroid D = (E, r) of rank n - k we define:

Definition 7. The generalized Hamming weights for a demi-matroid of dimension k are

$$m_i(D) = \min\{|X|, \ n(X) = |X| - r(X) = i\}$$

for $1 \leq i \leq k$.

Definition 8. The generalized Hamming weights for an almost affine code C of dimension k are

$$d_i(C) = m_i(M_C^*) = \min\{|X|, |X| - r^*(X) = \overline{r}(X) = i\}$$

for $1 \le i \le k$, where r^* is the rank function of M_C^* , and \overline{r} is the rank function of $\overline{M_C}$.

In fact the following was proved in [9]:

Theorem 1. Let C be an almost affine code of length n and dimension k on an alphabet F of cardinality q, and let $c \in C$. Then the generalized Hamming weights for C are

$$d_i(C) = \min\{|Supp(D)|, D \text{ is an almost affine subcode of dim. i of } C\} = \min\{|Supp(D)|, D \text{ is a standard subcode of dim. i of } C\} = n - \max\{|X|, |C(X, \mathbf{c})| = q^j\},$$

for $1 \leqslant i \leqslant k$.

3 Equivalent formulations of some Hamming weights of pairs of codes

From [10] we have:

Proposition 4. Let $C_2 \subset C_1$ be two almost affine codes with rank functions r_2 and r_1 . Then the pair (E, ρ) is a demi-matroid, for $\rho = r_1 - r_2$.

Definition 9. For $0 \le i \le \dim C_1 - \dim C_2$, we define the RLDP (Relative Length/Dimension Profile), or relative generalized Hamming weight, of the pair (C_1, C_2) as follows:

$$m_i = \min\{|X|, \ \overline{\rho}(X) = i\}.$$

We observe that if $C_2 = 0$, this is the d_i associated to C_1 .

For linear codes the most usual way in the literature (see e.g. [12]) to express the m_i is perhaps as

$$\min\{|X||\dim(C_1(E\backslash X,\underline{0})-\dim(C_2(E\backslash X,\underline{0})\geqslant i\}.$$

It is easy to see that our Definition 9 above of the m_i gives the same values for linear codes. In [12, Lemma 1], and [15, Prop. 2], however, one gives an alternative, and less trivial reformulation of the m_i for linear codes. This is as:

$$\min\{w(D), |D \cap C_2| = 1, D \subset C_1 \text{ is a linear subcode with } \dim D = i\}.$$

Another, similar variant is given in [15, Prop.4]. We will now investigate the possibility of a reformulation of the m_i in analogous ways, not only for linear codes, but for almost affine codes in full generality. Our result is given in the theorem below:

Theorem 2. Let $C_2 \subset C_1$ be a pair of almost affine codes with associated demimatroid (E, ρ) . Then for $0 \le i \le \dim C_1 - \dim C_2$,

 $m_i = \min\{w(D), |D \cap C_2| = 1, D \subset C_1 \text{ is a standard subcode with } \dim D = i\}.$

Proof. Let b_i be the right hand side of the above equality. We fix $\mathbf{v} \in C_2$. All the standard subcodes, and all supports, considered in this proof, are with respect to \mathbf{v} , and we omit its reference in the rest of the proof. For simplicity, denote $k_j = \dim C_j$ and $r_j = r_{C_j}$ for j = 1, 2.

Let $X \subset E$ be such that $|X| = m_i$ and $\overline{\rho}(X) = i$, that is

$$k_1 - r_1(E \backslash X) - k_2 + r_2(E \backslash X) = i$$

or equivalently

$$\dim C_1(E\backslash X) - \dim C_2(E\backslash X) = i.$$

We have the obvious inclusions

$$Supp(C_2(E\backslash X))\subset Supp(C_1(E\backslash X))\subset X.$$

We claim that the second inclusion is actually an equality. Indeed, if not, let $y \in X \setminus Supp(C_1(E \setminus X))$ and consider $Y = X \setminus \{y\}$. Then, for j = 1, 2, if $\mathbf{w} \in C_j(E \setminus X)$, $\mathbf{w}_y = \mathbf{v}_y$ since y is not in the support, and in turn, the natural inclusions

$$C_j(E\backslash Y)\subset C_j(E\backslash X)$$

are equalities. This contradicts the minimality of X since Y also satisfies $\overline{\rho}(Y) = \overline{\rho}(X) = i$.

Let $Z \subset E \backslash X$ be a maximal independent subset of $E \backslash X$ for the matroid M_{C_2} , that is

$$|Z| = r_2(Z) = r_2(E \backslash X).$$

Let $Z' \subset X$ be such that $Z \cup Z'$ is a basis of M_{C_2} . Obviously, we have

$$r_2(Z') = |Z'| = k_2 - |Z| = k_2 - r_2(E \setminus X).$$

Let W = X - Z. Note that $Z \cup Z' \subset E \backslash W$. Then

$$C_2 \cap C_1(E \backslash W) = \{ \boldsymbol{v} \}.$$

Namely,

$$v \in C_2 \cap C_1(E \backslash W) = C_2(E \backslash W)$$

and

$$\dim C_2(E\backslash W) = k_2 - r_2(E\backslash W) = 0.$$

Moreover, we have

$$r_1(E\backslash W) \geqslant r_1(E\backslash X) + |Z'|$$

$$\leqslant k_1 - k_2 + r_2(E\backslash X) - i + k_2 - r_2(E\backslash X)$$

$$\leqslant k_1 - i$$

that is,

$$\dim C_1(E\backslash W) \geqslant i$$
.

Take now any standard subcode of $C_1(E\backslash W)$ of dimension i. Then of course we have

$$v \in D \cap C_2 \subset C_1(E \backslash W) \cap C_2 = \{v\}$$

and

$$Supp(D) \subset Supp(C_1(E \backslash W)) \subset Supp(C_1(E \backslash X)) = X,$$

which implies that

$$b_i \leqslant Supp(D) \leqslant |X| = m_i$$
.

For the converse, let $Y \subset E$ be such that $|C_1(Y) \cap C_2| = 1$. Then $C_1(Y) \cap C_2 = \{v\}$. Assume that $w(C_1(Y)) = b_i$ and dim $C_1(Y) = i$. Let $Y' = E \setminus Supp(C_1(Y))$. Obviously, $Y \subset Y'$. Let $w \in C_1(Y)$. For any $y \in Y'$, $y \notin Supp(C_1(Y))$ so that $w_y = v_y$, and in turn $w \in C_1(Y')$. Hence, the natural inclusion $C_1(Y') \subset C_1(Y)$ is actually an equality.

Let X = E - Y'. Then we have

$$|X| = |E \setminus Y'| = |E \setminus (E \setminus Supp(C_1(Y)))| = |Supp(C_1(Y))| = b_i$$

and

$$C_2(E \setminus X) = C_2(Y') = C_2 \cap C_1(Y') = C_2 \cap C_1(Y) = \{v\},\$$

which implies that

$$\dim C_1(E\backslash X) - \dim C_2(E\backslash X) = \dim C_1(Y') - 0 = \dim C_1(Y) = i$$

and finally

$$m_i \leqslant |X| = b_i$$
.

3.1 An open question concerning subcodes

Remark 3. Let

$$b'_i = \min\{w(D), |D \cap C_2| = 1, D \subset C_1 \text{ is a subcode with } \dim D = i\},$$

that is we allow D to be any subcode, not only a standard subcode. Obviously, for $1 \le i \le k_1 - k_2$, we have

$$m_i = b_i \geqslant b_i'$$
.

It is an open question whether the last inequality is an equality, and will be the topic of further research. For linear codes, [15, Proposition 2] gives an analogous statement with equality. On the other hand, while b_i is defined just for $0 \le i \le k_1 - k_2$ (for $i > k_1 - k_2$ it is not difficult to show that any standard subcode of C_1 will have a non-trivial intersection with C_2), b_i' might be defined for $i > k_1 - k_2$. Consider namely the following codes: let $F = \{0, 1, 2, 3\}$ and $C_1 = F^3$. Let C_2 and D be the subcodes

 $\{000, 012, 023, 031, 103, 110, 121, 132, 201, 213, 222, 230, 302, 311, 320, 333\}$

 $\{000, 011, 022, 033, 102, 113, 120, 131, 203, 210, 221, 232, 301, 312, 323, 330\}$

respectively. Both subcodes have dimension 2, while C_1 has dimension 3. But we have $C_2 \cap D = \{000\}$ and dim $D > \dim C_1 - \dim C_2 = 1$. Hence b_2' is defined (and is at most 3), while m_2 and b_2 could be said to be ∞ if one insists on defining them.

4 Extended weight polynomials of almost affine codes

In [6], and in [5, p. 323], one points out that for linear block codes of length n over a finite field \mathbb{F}_q , one can produce an infinite series of codes by extending the alphabet to \mathbb{F}_{q^s} , for $s=1,2,\cdots$, and nevertheless find polynomials A_0,\cdots,A_n , such that $A_j(q^s)$ computes the number of codewords of weight j, for all s simultaneously, for each of $j=0,\cdots,n$. Hence knowledge of a finite number of coefficients of the A_j compute an infinite number of weights. We will show that a corresponding result holds for almost affine codes, and we will mimick the arguments in [7, Section 3] to find weight polynomials for an infinite series of almost affine codes C_s , which we will now define.

Let q = |F|, where F is the alphabet over which an almost affine code C of block length n is defined. Then C^s is a code of block length n over the alphabet F^s , if an element $((c_{1,1}, \dots, c_{1,n}), \dots, (c_{s,1}, \dots, c_{s,n}))$ instead is interpreted as:

$$((c_{1,1},\cdots,c_{s,1}),\cdots,(c_{1,n},\cdots,c_{s,n})).$$
 (1)

It is then automatic that $|(C^s)_X| = (q^s)^r$ if $|C_X| = q^r$, for some $X \subset E = \{1, 2, \dots, n\}$, and natural number r. Hence C^s is an almost affine code over F^s , since C is an almost affine code over F. Moreover the matroid $M_{C^s} = M_C$ since the rank functions are the same. Call the rank function r. Put k = r(E).

Let $U \subset E$, and let $c_{\mathbf{Q}}$ be a fixed codeword in C^s . Similarly as in [7] we define: $S_U(s)$ is the subset of C^s , viewed over F^s , with the same coordinates as $c_{\mathbf{Q}}$ in the positions corresponding to U, in other words $S_U(s) = C^s(U, c_{\mathbf{Q}})$. But, since C^s is an almost affine code we see that $|S_U(s)| = (q^s)^{k-r(U)}$. In the next definition, there is no explicit reference to the codeword $c_{\mathbf{Q}}$, since this is independent of the word chosen.

Definition 10. For each $j = 1, \dots, n$ let $A_{C,j}(s)$ be the number of codewords of weight j in C^s .

Using the exclusion/inclusion principle we we obtain the same formula as in [7, Formula (9) p. 638]:

$$A_{C,n}(s) = (-1)^n \sum_{U \subset E} (-1)^{|U|} (q^s)^{n^*(U)}.$$

Here $n^*(Y) = |Y| - r^*(Y)$ is the nullity function associated to the dual matroid (E, r^*) of M_C .

For each $X \subset E$ let $a_{X,C}(s)$ be the number of codewords with support exactly X. We then obtain in a similar way:

Lemma 1.

$$a_{X,C}(s) = (-1)^{|X|} \sum_{U \subset X} (-1)^{|U|} (q^s)^{n_X^*(U)},$$

where n_X^* is the nullity function of the dual of the rank function associated to the code $C(E \setminus X, c_Q)$.

A refined study, using Proposition 3, also gives

Lemma 2. For any $U \subset X$ we have: $n_X^*(U) = n^*(U)$.

Combining Lemmas 1 and 2 we then obtain an analogous formula as in [7, p. 638], and obtain:

This gives:

Proposition 5. For each $j = 0, 1, \dots, n$ there are polynomials

$$A_{C,j}(s) = (-1)^j \sum_{|X|=j} \sum_{Y \subset X} (-1)^{|Y|} (q^s)^{n^*(Y)}.$$

counting the number over codewords of weight j in C^s .

In [7, Sections 4 and 5], one shows how this matroid expression can be expressed by \mathbb{N}_0 -graded Betti numbers of the Stanley-Reisner rings of the matroid M_C^* and its elongations, viewed as simplicial complexes via their independence sets ([7, Theorem 5.1]). From the arguments above we now see that its concequence, [7, Corollary 5.1], formulated for linear codes in that corollary, carries over to almost affine codes, except that the matroid M(H) appearing in [7, Corollary 5.1], must be replaced by the matroid dual M_C^* . See also [7, Proposition 4.1], which can be applied to determine the generalized Hamming weights for almost affine codes from the degrees of the polynomials $A_i(s)$.

We also observe:

Example 1. As one sees from Proposition 5 the formula for $A_{C,j}(s)$ is only dependent on the polynomial (in the variable Q)

$$P_j(Q) = (-1)^j \sum_{|X|=j} \sum_{Y \subset X} (-1)^{|Y|} (Q)^{n^*(Y)},$$

which is defined for any (demi-)matroid, since it only uses its dual nullity function

Let C be the almost affine code in [14, Example 2]. This is a code of rank 3 over the alphabet \mathbb{F}_3^2 of cardinality 9. Its length is also 9, and its well known ([14, Example 2]) that its associated matroid M_C is the non-Pappus matroid. In [4, p. 102] one calculated the polynomials $P_j(Q)$, for $j=0,\cdots,9$ without relating them to any code, since one knew that this matroid is not linearly representable. The results, however, automatically carry over to determining the $A_{C,j}(s)$ for the non-linear almost affine code C, and we obtain from [4, p. 102], or from usual inclusion/exclusion methods:

$$A_{C,0}(s) = 1,$$

$$A_{C,1}(s) = A_{C,2}(s) = A_{C,3}(s) = A_{C,4}(s) = A_{C,5}(s) = 0,$$

$$A_{C,6}(s) = 8q^s - 8,$$

$$A_{C,7}(s) = 12q^s - 12,$$

$$A_{C,8}(s) = 3q^{2s} - 18q^s + 15,$$

$$A_{C,9}(s) = q^{3s} - 9q^{2s} + 28q^s - 20.$$

 $q^{3s} = |C^s|.$

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