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## Commentary

### **Fish silage hydrolysates: Not only a feed ingredient, but also a useful feed additive <sup>Δ</sup>**

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36 ABSTRACT

37 *Background:* Processing of fish and shellfish may result in substantial amounts of by-products  
38 and unless they can be used as food, the most realistic option in most cases is the production  
39 of preserved feed ingredients. If large volumes are available, reduction to fishmeal and fish oil  
40 is the preferred technology. However, fresh by-products are most often available in insufficient  
41 quantities to justify production of fishmeal. Preservation by acid silage is, however, a simple  
42 and inexpensive alternative.

43 *Scope and Approach:* The purpose of this paper is to highlight that silage preservation of by-  
44 products using formic acid produces a protein hydrolysate that may function as a useful feed  
45 additive and not only an important feed ingredient. The fast growing global aquaculture  
46 industry is particularly in need of high quality feed ingredients and the focus in this paper is  
47 therefore on including acid protein hydrolysate in diets for fish and shellfish.

48 *Key findings and Conclusions:* The proteins in acid silage are largely hydrolysed to free amino  
49 acids and short-chain peptides. Studies have shown that moderate amounts of protein  
50 hydrolysate may successfully be included in fish feed and in some cases this leads to improved  
51 performance. In addition, the formic acid in the hydrolysate may contribute to the growth and  
52 well-being of fish, in particular under unfavourable microbiological conditions. This may  
53 encourage fish processors to preserve by-products using acid silage and feed producers to  
54 incorporate the products in the feed.

55

56 **Keywords:** Fish by-products, formic acid silage, peptides, growth promotor

57 **1. Introduction**

58 In 2012, 76.2 % of the 91.3 million tonnes (Mt) wild caught fish and all of the 66.6 Mt fish  
59 produced in aquaculture were estimated to have been used for human consumption (FAO  
60 2014). These figures also includes crustaceans and other invertebrates and the word fish in this  
61 paper is used in accordance with this. The term “human consumption” is, however, not precise  
62 since fish are often processed to different degrees before being sold to wholesalers or retailers.  
63 Such processing, which mainly occurs on-board fishing vessels in industrial scale fisheries and  
64 in land-based processing facilities, may consist of deshelling, gutting, beheading, filleting,  
65 skinning and trimming. The fillet yield is species-dependent and is most often in the range of  
66 30 – 50 % (Rustad, Storro, & Slizyte, 2011). Some of the by-products such as heads and off-  
67 cuts, may in certain cases be used for human consumption while the majority has traditionally  
68 been regarded to be of low value or as a problem and used as feed for farmed animals, as  
69 fertilizers or discarded (Olsen, Toppe, & Karunasagar, 2014). Although it is quite often  
70 suggested that by-products may be turned into high-value products we believe that these in  
71 most cases are not commercially viable and the most realistic utilization of by-products is to  
72 convert them into preserved feed ingredients if they cannot be used directly as food (Olsen *et*  
73 *al.*, 2014). The rapidly growing global aquaculture industries are in particular in need of high  
74 quality feed ingredients to reduce the amount fishmeal and fish oil produced from pelagic  
75 species in formulated feed (Tacon, Hasan, & Metian, 2011).

76 By-products from processing of fish especially when containing viscera, deteriorate  
77 very rapidly and will create unacceptable local pollution if not preserved properly at land-based  
78 processing sites. In addition, rapid preservation is also necessary if the raw materials is going  
79 to be used as high quality feed ingredients. Discarding of by-products from processing at sea  
80 does not usually create any problems unless it occurs close to land. This should however be  
81 avoided since it is a waste of resources. Unfortunately, older fishing vessels processing the  
82 catch on-board do not, in most cases, have facilities or space to preserve the by-products.  
83 Perhaps on-board processing vessels built in future should include equipment for preserving  
84 all the products, not only those intended for human consumption.

85 Use of fishmeal and oil technology is the traditional way of producing feed ingredients  
86 from pelagic fish and today the products are mainly used in feed for farmed fish. It has been  
87 estimated that 35 % of the available fishmeal in 2012 was based on fish processing residues  
88 (FAO, 2014). This technology is, however, a multistep, energy-demanding process which  
89 requires large amounts of fresh raw materials daily over a long period to justify the costs of  
90 establishing and running such a factory (Naylor *et al.*, 2009; Raa & Gildberg, 1982; Tatterson,

91 1982). It has been known for a long time that fresh by-products available in smaller amounts  
92 may instead be preserved by silage technology using short-chain organic acids. The proteins  
93 present in the silage will, to a large extent, be hydrolysed by endogenous acid proteases to small  
94 peptides and free amino acids (Espe *et al.*, 2015). The silage or the separated oil and protein  
95 hydrolysate may later be included in feed for farmed animals and fish (Gallardo *et al.*, 2012;  
96 Jackson, Kerr, & Bullock, 1984; Petersen, 1953; Raa & Gildberg, 1982; Tatterson, 1982;  
97 Whittemore & Taylor, 1976). Published works suggest that short chain organic acids like  
98 formic acid and peptides/amino acids when included in the feed may contribute to improved  
99 performance and growth of farmed animals, and possibly also of fish and crustaceans (Dibner  
100 & Buttin, 2002; Gilbert, Wong, & Webb, 2008; Martinez-Alvarez, Chamorro, & Brenes, 2015;  
101 Partanen & Mroz, 1999).

102 The objective of this Commentary is to draw attention to the fact that protein  
103 hydrolysate formed during the formic acid silage process is not only a simple way of providing  
104 important feed ingredients, but also that the short-chain organic acid, peptides and free amino  
105 acids in the hydrolysate may function as useful feed additives.

106

## 107 **2. A brief overview of silage technology**

108 Acid preservation is a simple and inexpensive way to preserve processing by-products and can  
109 be carried out virtually at any scale (De Arruda, Borghesi, & Oetterer, 2007; Raa & Gildberg,  
110 1982; Tatterson, 1982). The raw materials are minced and acidified most commonly today with  
111 2 – 3 % formic acid to reduce the pH to 4 or below preventing microbial growth. To stop lipid  
112 oxidation, an antioxidant, so far most often ethoxyquin, is mixed in the silage which can then  
113 be stored for an extended time (Arason, 1994; Raa & Gildberg, 1982). Combinations of organic  
114 acids like propionic acid and formic acid or an organic acid and a mineral acid may also be  
115 used (Arason, 1994; Hardy, Shearer, & Spinelli, 1984). However, if only mineral acids are  
116 used, the pH has to be around 2 in the silage to stop microbial growth and this requires  
117 increasing the pH by adding a base before including it in feed (Arason, 1994; Tatterson, 1982).  
118 After acidifying the by-products, a temperature dependent autolytic liquefaction will occur due  
119 to the action of endogenous proteolytic enzymes, mainly pepsins, present in the viscera.  
120 Without the presence of stomach containing viscera in the by-products, the autolysis will go  
121 on at a much slower rate, unless acid proteases are added (Raa & Gildberg, 1982). In 2014,  
122 258,150 tonnes of by-products from processing of farmed and wild fish were preserved by  
123 silage technology in Norway (Richardsen, Nystøyl, Strandheim, & Viken, 2015). This silage  
124 production using formic acid with added antioxidant is carried out at many local fish processing

125 plants along the coast and subsequently the silages are collected by trucks or boats and  
126 transported to a few centralized plants. Here, the volumes are large enough to economically  
127 separate the silage into an oil product and an aqueous phase containing hydrolysed proteins.  
128 The protein hydrolysate has a high water content and it is therefore evaporated to a dry matter  
129 content of 45 – 50 % before it is included in a formulated dry feed. According to one of the  
130 producers, about 4 - 5 % formic acid is found in the concentrated protein hydrolysate obtained  
131 from salmon by-products using silage technology (B. Dulavik, Hordafør, Norway, per. comm.).  
132 The oil and the concentrated protein hydrolysate from Atlantic salmon are used in feed for pigs,  
133 poultry and fish other than salmon while the products from wild whitefish by-products is used  
134 in feed for salmon (Olsen *et al.*, 2014).

135         One drawback with fish silage is the high water content which makes it difficult to use  
136 it directly in dry or moist feed (Madage, Medis, & Sultanbawa, 2015). The silage may however  
137 be used locally after drum-drying or co-drying with other feed ingredients like soybean-,  
138 feather- or poultry by-products meals or cereal brans (Dong, Fairgrieve, Skonberg, & Rasco,  
139 1993; Goddard & Perret, 2005; Hardy *et al.*, 1984; Madage *et al.*, 2015; Nwanna, Balogun,  
140 Ajenifuja, & Enujiugha, 2004).

141         Fish silage may also be produced by fermentation using lactic acid bacteria like  
142 *Lactobacillus plantarum*, as a starter culture. However, since the fish by-products do not  
143 contain carbohydrates, a fermentable sugar such as molasses or fruit processing waste must  
144 also be added (Bower & Hietala, 2008; Dong *et al.*, 1993; Fagbenro & Jauncey, 1995). The  
145 lactic acid produced during the fermentation will reduce the pH in the silage and prevent growth  
146 of spoilage bacteria (Faid, Zouiten, Elmarrakchi, & Achkari-Begdouri, 1997). This is a more  
147 complicated silage production process than direct acidification since a starter culture must  
148 available, but it might be suitable in countries where fermentable sugars are readily available  
149 (Hernandez, Olvera-Novoa, Smith, Hardy, & Gonzalez-Rodriguez, 2011; Plascencia-Jatomea,  
150 Olvera-Novoa, Arredondo-Figueroa, Hall, & Shirai, 2002). The level of free fatty acids has  
151 been reported to be much higher in oil obtained from fermented silage than in oil from acid  
152 silage and this may limit the use in feed (Vidotti, Pacheco, & Goncalves, 2011).

153

### 154 **3. Use of protein hydrolysate in fish feed**

155 The successful use of fish protein hydrolysates from acid silage in aquaculture feed has been  
156 reported in several studies. Espe *et al.* showed that when less than 15 % of the fishmeal in  
157 fishmeal-based diets for Atlantic salmon (*Salmo salar*) was replaced by silage protein  
158 hydrolysate improved growth was obtained while higher inclusion levels lead to reduced

159 growth (Espe, Sveier, Høggøy, & Lied, 1999). Studies on Japanese sea bass (*Lateolabrax*  
160 *japonicus*) suggested better growth when a similar amount of fishmeal was substituted with  
161 acid silage hydrolysate. Improved nonspecific immunity was also indicated in the same work  
162 (Liang, Wang, Chang, & Mai, 2006). More recently, Goosen *et al.* reported that low amounts  
163 of protein hydrolysate from acid silage in feed for Mozambique tilapia (*Oreochromis*  
164 *mossambicus*) resulted in excellent growth and possibly also increased phagocytic activity  
165 (Goosen, de Wet, & Gorgens, 2016). In the work of Ridwanudin & Sheen, it was observed that  
166 50 % of fishmeal in the feed for orange-spotted grouper (*Epinephelus coioides*) could be  
167 substituted with 10 or 20 % acid silage protein hydrolysate combined with poultry by-product  
168 meal without affecting the growth (Ridwanudin & Sheen, 2014).

169 Several feeding trials have been carried out substituting fishmeal with different levels  
170 of protein hydrolysates produced from fish or fish by-products using commercial enzymes  
171 active at approximately neutral pH. In general, these studies showed that a low or moderate  
172 amount of hydrolysates may successfully be used in feed and in some cases result in improved  
173 feed intake, growth and other performances (Aksnes, Hope, Høstmark, & Albrektsen, 2006;  
174 Goosen, de Wet, & Gorgens, 2014; Hevrøy *et al.*, 2005; Khosravi *et al.*, 2015; Nguyen, Perez-  
175 Galvez, & Berge, 2012; Refstie, Olli, & Standal, 2004; Zheng, Xu, Qian, Liang, & Wang,  
176 2014). The use of commercial enzymes in hydrolysing fresh by-products is, however, in most  
177 cases not an option locally at processing plants due to relatively small amounts of raw materials,  
178 the cost of enzymes and the cost of preserving the hydrolysates for example by drying. The  
179 cost of producing such hydrolysates will probably also limit the application in feed except  
180 perhaps in larval feed.

181 The mechanisms behind the positive effects of fish protein hydrolysate are not fully  
182 understood, but at least in diets containing a high content of plant proteins, a concentrated  
183 hydrolysate based on fish will supply free amino acids and non-amino acid nitrogen compounds  
184 with feed attractant properties. It is an excellent source of essential amino acids and taurine that  
185 is not found in plant based materials (Espe, Ruohonen, & El-Mowafi, 2012). It has also been  
186 suggested that the presence of a limited amount of free amino acids and short peptides may  
187 result in a more gradual absorption of the total amino acids in the feed (Refstie *et al.*, 2004). A  
188 recent *in vitro* study showed that free amino acids and short-chain peptides obtained from acid  
189 silage made from salmon by-products might have potential to improve health and welfare of  
190 farmed fish during stressful periods (Espe *et al.*, 2015).

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#### 192 **4. Effects of short-chain organic acids in feed**

193 Use of formic acid is not only a simple way to preserve by-products from processing of  
194 fish, but the presence of this acid in the protein hydrolysate used in feed may also contribute to  
195 the improved well-being and growth of the farmed animals and fish. Short-chain organic acids  
196 like formic acid, are among the candidates that may be used as growth promoters in feed for  
197 poultry and pigs instead of banned non-therapeutic antibiotics (Defoirdt, Boon, Sorgeloos,  
198 Verstraete, & Bossier, 2009; Dibner & Buttin, 2002; Khan & Iqbal, 2016). Short-chain organic  
199 acids have apparently been applied in diets for pigs for many years (Dibner & Buttin, 2002)  
200 and recent published feeding trials confirm this (Eisemann & van Heugten, 2007; Opheim,  
201 Strube, Sterten, Øverland, & Kjos, 2016). A main mechanism behind the growth promoting  
202 properties is the antimicrobial effects in the upper part of the gastrointestinal track of animals.  
203 The short-chain organic acids are weak acids with a pKa between 3 and 5 and the undissociated  
204 form may diffuse through the cell membranes of microorganisms. Once inside the cells, the  
205 organic acids will dissociate resulting in a lower intracellular pH which affects enzyme  
206 catalysed reactions and transport systems. The protons produced have to be exported out of the  
207 microorganisms and this use of energy has often been regarded as the major antimicrobial  
208 mechanism. However, the accumulation of anions inside the bacteria may also be involved  
209 (Defoirdt *et al.*, 2009; Dibner & Buttin, 2002; Ricke, 2003; Ringø *et al.*, 2016). It has been  
210 suggested that pathogenic Gram-negative bacteria with more accessible cell membranes, are  
211 affected more by short-chain organic acids than Gram-positive microorganisms like lactic acid  
212 bacteria. The latter group is also favoured by the slightly more acid conditions in the feed.  
213 (Dibner & Buttin, 2002; Ringø *et al.*, 2016). In addition, organic acids in feed for pigs may  
214 also improve absorption of certain minerals like calcium and phosphorus (Partanen & Mroz,  
215 1999).

216 The number of studies published on the effects of including short-chain organic acids  
217 in aquaculture feed have been increasing in recent years. The results obtained have, however,  
218 not been consistent and this may be because in some cases different acids are used or that in  
219 other studies salts of the acids are applied thus providing no extra protons in the feed (Ringø *et*  
220 *al.*, 2016). Other factors that may influence the results are, for example, the buffering capacity  
221 of the feed and environmental microbial conditions (Dibner & Buttin, 2002).

222 Chuchird *et al.* reported no effect on growth of Pacific white shrimp (*Litopenaeus*  
223 *vannamei*) fed a diet 0.3 or 0.6 % formic acid added. However, improved survival was  
224 observed in the formic acid groups when challenged with *Vibrio parahaemolyticus* (Chuchird,  
225 Rorkwiree, & Rairat, 2015). Tiger shrimps (*Penaeus monodon*) given a feed containing 2 % of  
226 a commercial organic acid mixture had lower cumulative mortality than the control after

227 exposure to *Vibrio harveyi* (Ng, Koh, Teoh, & Romano, 2015). In a separate experiment it was  
228 reported that shrimps fed a diet with or without the organic acids had similar growth, but the  
229 organic acid group had apparently improved feed utilization. In another study by Koh *et al.*, it  
230 was demonstrated that when a dietary organic acid blend was fed to red hybrid tilapia  
231 (*Oreochromis* sp.) higher resistance against *Streptococcus agalactiae* was obtained (Koh,  
232 Romano, Zahrah, & Ng, 2016). Prior to the challenging test, the diets with the organic acid  
233 blend resulted in significantly higher phosphorus digestibility, but no significantly better  
234 growth was observed. Other studies have also shown that short-chain organic acids in the feed  
235 of organisms in aquaculture may protect against pathogenic bacteria. A study by Defoirdt *et al.*  
236 showed that 20 mM of different short-chain organic acids protected the brine shrimp *Artemia*  
237 *franciscana*, that are used as live feed for fish larvae, against *Vibrio campbelli* (Defoirdt, Halet,  
238 Sorgeloos, Bossier, & Verstraete, 2006). Recently, researchers reported improved growth and  
239 disease resistance against *Aeromonas sobria* when Nile tilapia (*Oreochromis niloticus*) was  
240 given a diet containing a commercial mix of formic acid, propionic acid and calcium propionate  
241 (Reda, Mahmoud, Selim, & El-Araby, 2016). In a feeding trial with Mozambique tilapia  
242 conventional fish oil in the diet was replaced with fish silage oil made from rainbow trout by-  
243 products (Goosen, de Wet, Gorgens, Jacobs, & de Bruyn, 2014). Inclusion of the silage oil had  
244 antimicrobial effects in the feed and gastrointestinal tract and it was suggested that this was  
245 due to the presence formic acid in the crude silage oil.

246 In a paper on farming of South African abalone, it was reported that a combination of  
247 1 % formic acid and 1 % acetic acid in the feed significantly increased growth performances  
248 during a 4 month feeding trial (Goosen, Gorgens, De Wet, & Chenia, 2011). Gao *et al.* included  
249 a mix of sodium formate and sodium butyrate in the feed for rainbow trout in a 50 days feeding  
250 trial, but could not find any improvement in growth rate or feed utilization (Gao, Storebakken,  
251 Shearer, Penn, & Overland, 2011). Similarly, when sodium salts of acetic, propionic and  
252 butyric acids were included in feed for Atlantic salmon no significant effects were observed on  
253 specific growth rate, mortality or digestibility of macronutrients (Bjerkeng, Storebakken, &  
254 Wathne, 1999).

255 Potassium diformate (KDF) is a complex of formic acid and potassium formate and was  
256 the first compound approved by the European Union in 2001 as possible a non-antibiotic  
257 growth promotor in feed (Zhou *et al.*, 2009). The results from studies on use of KDF in feed  
258 for farmed fish have however also been divergent. Castillo *et al.* investigated the effects of  
259 KDF, calcium lactate and citric acid in feed for juvenile red drum (*Sciaenops ocellatus*)  
260 (Castillo, Rosales, Pohlenz, & Gatlin, 2014). They concluded that all 3 additives seemed to



261 improve growth performance and suggested that at it least in part could be due to increased  
262 activity of digestive enzymes. Zhou and co-workers included KDF in diets for hybrid tilapia  
263 (*Oreochromis niloticus* x *O. aureus*), but did not find any effects on growth or feed conversion  
264 (Zhou *et al.*, 2009). It did, however, affected the gut microbiota in a different way than a control  
265 diet containing antibiotics. Recently, researchers included KDF in feed for Nile tilapia and  
266 found that 0.2 and 0.3 % significantly improved growth performance during a 60 day feeding  
267 trial. At the end of the trial, all groups of fish were challenged orally with *Aeromonas*  
268 *hydrophila* and the recorded mortality was lower in the groups with dietary KDF than the  
269 control (Elala & Ragaa, 2015).

270 There are limitations on how much formic acid that can be given to fish without  
271 resulting in negative effects on growth and health status. Mach *et al.* fed formic acid silage,  
272 based on whole fish or whole crabs, as the major protein source to juvenile cobia (*Rachycentron*  
273 *canadum*). The crab silage had to be preserved by as much as 8.5 % formic acid because of the  
274 high buffering capacity of the shells while only 2.5 % was needed for the fish. The cobia given  
275 a fish silage diet resulted in almost similar growth as cobia fed raw fish and crabs during a 6  
276 week feeding trial. However, the cobia fed with a crab silage diet or a mixture of crab and fish  
277 silages hardly grew at all and also experienced liver damage and substantial mortality (Mach,  
278 Nguyen, & Nortvedt, 2010).

279

## 280 **5. Conclusions**

281 In the era of Blue Growth there is increasing awareness that discarding of by-products  
282 from processing of fish is a waste of resources and therefore unacceptable. This also applies  
283 to unavoidable by-catch not fit for humans that is caught during harvest of targeted fish species.  
284 Preservation of such fresh raw materials by the use of formic acid is a simple and inexpensive  
285 technology that can be applied on virtually any scale. The acid silage or the oil and protein  
286 hydrolysate obtained from the silage, are useful ingredients when included in moderate  
287 amounts in feed for farmed animals and fish. The presence of free amino acids and short-chain  
288 peptides in the protein hydrolysate may also function as a feed additive promoting growth  
289 performance, not only as a source of amino acids. Similarly, the formic acid in the hydrolysate  
290 could contribute to the growth and well-being of fish and animals, in particular under  
291 unfavourable microbiological conditions.

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