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Title Conditions for assessing zooplankton abundance with LOPC in coastal waters.

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#### **Abstract**

Recent technical advances in laser-based systems to measure zooplankton distribution have opened new perspectives in ecological and behavioral studies by improving significantly the horizontal and vertical sampling resolution, providing information on zooplankton patchiness and on the influence of small scale physical processes. The application of laser-based systems also led to new challenges on the identification of organisms vs. particulate matter. In areas with high detritus abundances zooplankton abundances might be overestimated by counting plankton and detritus together. We investigated the contribution of detritus in Laser Optical Plankton Counter (LOPC) data collected during two cruises on the continental shelf of the Gulf of Lion (NW Mediterranean Sea). The study area is characterized by several types of ecoregions owing to the influence of winds, freshwater run off and intrusion of oligotrophic waters from offshore. We identified the main mechanisms leading to the formation of detritus as a function of environmental conditions and developed a method to assess the contribution of detritus in LOPC counts based on the proportion of large particles (multi-element plankton, MEPs). Highest percentages of detritus were found in stratified conditions associated with high chl-a concentration (up to 90 % of the counts). Discontinuities in density profiles alone also resulted in peaks of particles concentrations. We suggest a threshold of 2 % of MEPs in LOPC counts above which the LOPC is most likely counting more detritus than organisms. This easy check of the detritus contribution to total LOPC counts was applied to datasets from different marine ecological situations (glacial input, clear water, productive shelf) and proved valid in different biogeographical regions (e.g. high latitude and tropical habitats).

**Keywords** laser-based sensors; ZooScan; stratification; thin layers; aggregates

Manuscript category Biological Oceanography

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February 15, 2017

Dear Guest Editor.

On the behalf of all coauthors, I am submitting this manuscript entitled "Conditions for assessing zooplankton abundance with LOPC in coastal waters" for your consideration to be published in *Progress in Oceanography* (in the Mermex special issue) as an original research article.

This study deals with the use of laser-based sensors in coastal waters and our need to understand better the information obtained from these systems. We highlighted the role of different types of environmental conditions in the formation of detritus and we developed thresholds based on indicators derived from Laser Optical Plankton Counter data that can be used to estimate the contribution of detritus in total counts. The method was applied to other datasets from around the globe and proved valid.

A previous version of the manuscript was submitted in L&O: Methods (May 2016) and was rejected with possibility of a resubmission. Significant efforts were done to improve the manuscript since. Major changes were done on the text, tables and figures, and new datasets were included to test the method in other habitats. We think that the research theme and results should be well fitted for *Progress in Oceanography*.

All the co-authors have been working with the LOPC in the last ten years and published several papers using LOPC data. They all shared their experience to make this study relevant and approved the submitted version of the ms. They contributed to improve the manuscript to its final version by correcting the language, providing comments and polishing the paper. In addition to that and more specifically:

François Carlotti was the chief scientist during the research cruises and was involved in the two ANRs funding the cruises and the purchase of the LOPC and other optical sensors. He participated actively in the structuring of the paper.

Meng Zhou joined the cruises, helping actively to collect and analyze the data. He was included in the ANR permitting the purchase of the instruments and gave valuable comments on an earlier version of the manuscript.

Sabine Schultes helped to structure the paper and has provided data allowing to validate the method in other areas.

Sünnje Basedow helped to develop the statistical aspect of the method (GAM) and gave comments on an earlier draft of the manuscript. She also provided data to validate the method in other areas.

Léo Berline helped to make the new version of the paper clearer and shared his experience concerning the use of other optical sensors.

Sincerely yours,

Dr. Boris Espinasse

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## Abstract

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Recent technical advances in laser-based systems to measure zooplankton distribution have opened new perspectives in ecological and behavioral studies by improving significantly the horizontal and vertical sampling resolution, providing information on zooplankton patchiness and on the influence of small scale physical processes. The application of laser-based systems also led to new challenges on the identification of organisms vs. particulate matter. In areas with high detritus abundances zooplankton abundances might be overestimated by counting plankton and detritus together. We investigated the contribution of detritus in Laser Optical Plankton Counter (LOPC) data collected during two cruises on the continental shelf of the Gulf of Lion (NW Mediterranean Sea). The study area is characterized by several types of ecoregions owing to the influence of winds, freshwater run off and intrusion of oligotrophic waters from offshore. We identified the main mechanisms leading to the formation of detritus as a function of environmental conditions and developed a method to assess the contribution of detritus in LOPC counts based on the proportion of large particles (multi-element plankton, MEPs). Highest percentages of detritus were found in stratified conditions associated with high chl-a concentration (up to 90 % of the counts). Discontinuities in density profiles alone also resulted in peaks of particles concentrations. We suggest a threshold of 2 % of MEPs in LOPC counts above which the LOPC is most likely counting more detritus than organisms. This easy check of the detritus contribution to total LOPC counts was applied to datasets from different marine ecological situations (glacial input, clear water, productive shelf) and proved valid in different biogeographical regions (e.g. high latitude and tropical habitats).

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**Key words**: laser-based sensors, ZooScan, stratification, thin layers, aggregates

## 1. Introduction

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Owing to the high variability of physical processes at small scales and their impacts on biological processes, it is necessary to sample plankton at high resolutions for resolving community structure and dynamics. Based on optical technologies, several optical sensors have been developed in the recent years for high resolution sampling (Benfield et al. 2007). The in-situ sensors are generally based on imaging technologies with relatively low image resolution (e.g. Video Plankton Recorder, Underwater Video Profiler) or based on the transmission or scattering of a laser beam (e.g. Laser Optical Plankton Counter, Laser In-Situ Scattering and Transmissometry). These optical systems not only provide fine resolution vertical profiles but also can sense fragile particles that are generally destroyed when sampling with a net (González-Quirós and Checkley, 2006). Laboratory sensors are mainly based on the high resolution imaging of samples collected with a net or bottles (e.g. FlowCam, ZooScan). Image-based systems allow for the taxonomic identification of organisms up to a certain degree, while the laser-based systems mainly provide sizes and abundances of the organisms studied. The newly developed holographic technology is an exception, but is more similar to in-situ microscopes facing challenges of sampling volume and data processing (Davies et al. 2011, Talapatra et al. 2013). Laser-based systems allow to measure particles in a wide range of sizes and at high frequency but there is a lack of information to distinguish between organisms and particulate matter. The contribution of detritus to presumed zooplankton counts can be problematic in highly productive regions such as fronts, estuarine systems or upwelling areas, where the proportion of detritus in the total particle pool is high so that the size structure of the plankton community cannot be estimated by abundances derived from insitu laser-based sensors (Zhang et al. 2000, Ohman et al. 2012, Schultes et al. 2013, Basedow et al. 2014, Trudnowska et al. 2014).

The Laser Optical Plankton Counter (LOPC, Rolls-Royce, England) measures particles and mesozooplankton organisms of sizes between 100 µm and about 3 cm equivalent spherical diameter (ESD) (Herman et al. 2004). It can continuously profile along transects when it is mounted on a Moving Vessel Profiler (MVP, Rolls-Royce, England) (Ohman et al. 2012) or on a glider (Checkley et al. 2008), or can sample vertical profiles when fixed on a net frame or a rosette cage. When particles pass through the tunnel and cross the laser beam, the attenuation of the light intensity is measured by one or several of the 35 photodiode elements, each with 1 mm width. The digital size of a particle is inferred from the intensity changes in shadowed elements, which is converted to ESD. If a particle is recorded by at least 3 diode elements, it will be considered as a multi-element plankton (MEP), in contrast to single element plankton (SEP). In addition to the ESD, more information about the MEPs is provided by the LOPC, allowing to compute an attenuance index (AI). This index has been successfully used to separate detritus and living organisms when targeting large-sized copepods (> 1.5 mm ESD) based on their opacity (Checkley et al. 2008, Gaardsted et al. 2010). For the SEPs, which constitute the dominant part of LOPC counts in the smaller size ranges, no additional information on the transparency of particles is provided, making a direct separation of organisms and detritus impossible. Lately, methods to separate organisms and detritus were proposed, either based on the lognormal distribution expected for size spectra of non-living particles (Petrik et al. 2013, Marcolin et al. 2015) or based on an independent estimation of the size distribution of living organisms from synchronous zooplankton net tows samples (Vandromme et al. 2014). The proportion of detritus to total LOPC counts varies regionally and seasonally (Schultes and Lopes 2009, Gaardsted et al. 2010, Ohman et al. 2012, Petrik et al. 2013, Trudnowska et al. 2014), but the environmental factors influencing this have not been studied in different regions making a general application of thresholds difficult. Here, we use data from winter and spring and from

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different ecoregions in the Gulf of Lion that are characterized by specific environmental conditions depending on bathymetry, hydrodynamics, atmospheric conditions and freshwater discharge volumes (Espinasse et al. 2014, hereafter E2014; Mermex Group, 2011), to study how environmental conditions influence the LOPC derived indicators AI and %MEPs, and how these reflect the proportion of detritus in LOPC derived abundance. We then apply the thresholds obtained from the Gulf of Lion to a broad range of ecological regions (e.g. polar areas, fjords, open ocean, continental shelf). Our objective is (1) to define the contribution of detritus to particles counted by in-situ laser-based sensors based on environmental parameters and on LOPC derived indicators and (2) to develop thresholds for these indicators to assess the viability of LOPC as zooplankton counter.

## 2. Materials and Methods

The study site is the Gulf of Lion, in the northwestern Mediterranean Sea, which has a large continental shelf up to 80 km wide and a mean depth about 100 m. The hydroclimatic conditions in the gulf are characterized by strong northerly winds, high freshwater input mainly from the Rhône River with an annual mean of 1721 m<sup>3</sup> s<sup>-1</sup> (Ludwig et al. 2009) and the Northern Current (also called Liguro-Provencal Current) running along the continental slope. This results in several types of ecoregions characterized by specific environmental conditions (E2014). Two research cruises were conducted on board the RV Téthys II, one in spring from 25 April to 2 May 2010 (COSTEAU 4) and one in winter from 23 to 27 January 2011 (COSTEAU 6). Each cruise consisted of the same six transects from coast to offshore on the shelf with a total of 135 stations sampled with a CTD Rosette system equipped with a LOPC. At 78 out of these 135 stations, vertical net tows were conducted within 10 to 30 mn of the CTD-LOPC casts using a 60-cm diameter Bongo frame equipped with two 120 µm mesh nets. Net samples were used as the 

reference for zooplankton abundances allowing to estimate the proportion of detritus in LOPC derived abundance. The LOPC has a flow-through tunnel with an opening of  $7 \times 7$  cm and was integrated with a data logger and a micro-CTD (Applied Microsystems Ltd, Canada). The sampling rate of LOPC was 2 Hz resulting in a vertical resolution of 0.5 m at 1 m s<sup>-1</sup> lowering speed.

# 2.1. Environmental conditions

Based on the same cruises, three habitats were defined, characterized by physical parameters such as sea surface salinity, sea surface temperature, bottom potential density, mixed layer depth and stratification index, and biological conditions such as chl-a concentration, particle abundances for 3 size classes and the slope of the normalized biomass size spectrum (NBSS) (E2014). Habitat #1 was in the near shore area with shallow waters, steep NBSS slope and high chl-a concentration; habitat #2 was representative of the zone of dilution of the Rhône plume with stratified waters and flat NBSS slope; and habitat #3 was on the continental shelf with deep mixed layer depth, lowest particle concentrations and intermediate NBSS slope.

# 2.2. LOPC data processing

Counts and sizes of particles sampled were extracted from the LOPC downcast profiles between 2 m depth below the sea surface and 5 m above the sea bottom. Abundance estimates by the LOPC are dependent on the correct estimation of sampled volume (hereinafter SV). SV can either be estimated from flow speed calculated using the manufacturers equation or estimated based on the depth increment acquired together with LOPC counts. Using the manufacturers equation requires that enough particles flow through the sampling tunnel. We used the manufactures equation when the number of particles between 150 and 300  $\mu$ m was > 30, otherwise SV was estimated as the product of the LOPC opening area by the depth increment. To avoid duplicate counts of particles

that can happen in strong wave conditions, LOPC data for which the depth increment was less than 10 cm were removed (5.1 % of the data). All data were processed using an in-house program developed using matlab software (Mathworks, USA). At very high particle densities (>10<sup>6</sup> # m<sup>-3</sup>), the data acquisition frequency of the LOPC might not be sufficient. This results first in incoherent M sequences (data stream containing MEP characteristics), and second in the creation of false MEPs due to the coincidence effect of counting at the same time several neighboring particles as one large particle (Schultes and Lopes 2009, Ohman et al. 2012, Basedow et al. 2014). Incoherent M sequences were observed at 9 out of 135 stations, all of which showed a strong density gradient. If the ratio of MEPs to total LOPC counts (TC) is above 5 % this might indicate coincidence counts (Schultes and Lopes 2009). We observed ratios above 5 % at 5 out of 135 stations, all located near shore.

# 2.3. Net sample processing using ZooScan

An aliquot from each net tow sample was processed using the ZooScan (www.zooscan.com) to calculate the vertically integrated abundances and size structure of the zooplankton communities. Each scanned image had a resolution of 2400 dots per inch and was analyzed using ZooProcess (Gorsky et al. 2010), which is embedded in ImageJ, an image analysis software (Rasband, 2005). A total of 46 variables, including geometrical and optical characteristics, are measured by Zooprocess for each individual larger than 300 µm ESD, and are used by the Plankton Identifier software (Gasparini 2007) to automatically classify the organisms following the supervised learning algorithms implemented in the TANAGRA free statistical pack (Rakotomalala 2005). The Random forest algorithm was used for the classification analysis (Breiman 2001). Two predefined groups were created for the purpose of this study: organisms and detritus. The 'organisms' group was mainly constituted of copepods (Carlotti, Unpublished data); and the 'detritus' group was a

composite category composed of detrital particles, phytoplankton aggregates and undetermined fragments of organisms, such as gelatinous parts, molts etc. Most of these detrital particles are created during the net tow by the pressure of the water against the mesh net and by the aggregation of the material inside the cod-end. Therefore, this detritus cannot be related to those counted in situ by the LOPC and was discarded from the ZooScan counts. After the automatic sorting, all images were validated manually.

# 2.4. Calculation of normalized biomass size spectra

- Normalized biomass size spectra (NBSS) were computed from LOPC and ZooScan data. For the
- ZooScan, the ESD was calculated from the image area of a particle provided by ZooProcess.
- For both data, the biovolume was derived from the ESD using the formula:

$$BioV = ESD^3 \times \frac{\pi}{6 \times \sqrt{R}} \tag{1}$$

*R*, taken equal to 3, is the ratio of the major axis to minor axis of a prolate spheroid and we used an organism density of 1 mg WW mm<sup>-3</sup> to convert the biovolume into biomass. The NBSS were calculated for each station using the method described in Herman and Harvey (2006). The linear regressions were fitted to the part of a spectrum in the size range starting from the mode of the spectrum in the small size and ending at the first empty size class.

## 2.5. LOPC derived indicators

We investigated two potential indicators that might reflect the proportion of detritus in LOPC counts: (1) the proportion of MEPs in the total number of counts (%MEPs) and (2) the AI indicating the transparency of particles. The theoretical size threshold between SEP and MEP is about 1.5 mm (Herman et al. 2004) but MEPs are generally much smaller meaning that they are bigger in

size than 1 mm but do not attenuate much light. We hypothesize that, in region where most of the organisms are below 1.5 mm of ESD (about 2.5 mm length for a copepod), the MEPs are mainly composed of detritus so that the %MEPs mainly varies as a function of detritus concentration.

The attenuance index (AI) was calculated based on Checkley et al. (2008) and updated by Basedow et al. (2013), 205

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$$AI = \sum_{i=2}^{n-1} DS_{i\overline{((n-1)-1)} \times maxDS}$$
 (2)

where DS is the digital size of the MEP for each photodiode element, n the number of elements and maxDS is the maximum digital size of a MEP (corresponding to a complete occlusion of a diode element). Based on the definition, AI varies from 0 for very transparent particles to 1 for very opaque particles. The DS values of the elements at the edges of the MEP sequence were not included to compute the AI, because these elements may only partly cover the area of a diode, resulting in a lower AI than real (Basedow et al. 2013). The AI should not be understood as an opacity index only, because both opacity and shape of a particle contribute to it. For example, a filamentous diatom (opaque but with lots of empty space) and an appendicularian (a very transparent organism) could have a similar ESD and AI because they would attenuate the same quantity of light, but they could have very different biovolume and opacity characteristics.

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#### 2.6. *Estimation of the detritus part in LOPC counts*

In the ocean, particulate matter consists of various types of particles including detrital aggregates, decaying fragments of organisms, fecal pellets and sediments (Alldredge and Silver 1988), which will be called detritus hereafter. A total of 78 quasi-synchronous LOPC casts and net tows was analyzed. Because the reliability and accuracy of abundance assessment with the ZooScan is very high, the estimated abundance in the group 'organisms' was used as reference for zooplankton abundance in this study. Nevertheless, it is important to keep in mind that nets are biased estimators of the in-situ abundance of organisms that undersample fragile organisms and are limited to a certain size range. Also, net avoidance by mobile organism and net clogging can bias abundance estimates, but were unlikely to be an issue in our study. The size of copepods in the Mediterranean Sea is generally small and the largest individuals of the dominant taxa *Paracalanus* and *Clausocalanus* are about 1 mm length at the adult stage (Gaudy et al. 2003) limiting their escaping capability. Moderate chl-a concentrations (maximum of 2.75 mg m<sup>-3</sup>) measured during the cruises prevented from net clogging (mesh size 120 μm).

The size range of zooplankton captured quantitatively is limited by the mesh size for the net samples and the volume filtered for the LOPC (Vandromme et al 2012). Based on the NBSS, we estimated that the valid overlap in size range with correct estimation of abundance from both the ZooScan and LOPC was from 350  $\mu$ m to 2000  $\mu$ m ESD.

We hypothesize as Vandromme et al (2014) that within this size range the difference between the ZooScan and LOPC is due to particulate matter counted in addition to zooplankton by the LOPC.

For size fraction i=350 to 2000  $\mu$ m, the percentage of detritus in LOPC abundances was calculated following the equation:

$$\% detritus_i = (LOPC_ab_i - ZooScan_ab_i) / LOPC_ab_i (3)$$

ZooScan abundances were higher than LOPC abundances at 12 stations out of 78, albeit only slightly for 9 of them (< 25%), the stations being distributed randomly over the gulf. These stations were not included in the analysis. The factors potentially leading to this situation and the implications for this study are discussed later.

2.7. Statistical analyses 248 249 The Kruskal-Wallis test (one way ANOVA on ranks) was performed to identify potential links between the percentage of detritus and LOPC particle characteristics (AI and %MEPs) on one hand, 250 and between percentage of detritus and the zooplankton habitats representative of different 251 252 environmental conditions on the other hand. This test was chosen because of the non-normal distribution of the variables. Post-hoc tests were performed to assess the differences between 253 habitats. All statistical tests were performed using the R statistical software (R Development Core 254 255 Team, 2016), Kruskal-Wallis using kruskal.test and and post-hoc tests, posthoc.kruskal.nemenyi.test (package PCMCR). 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270

# **3. Results**

# 3.1. Spatiotemporal distribution of particle characteristics and detritus

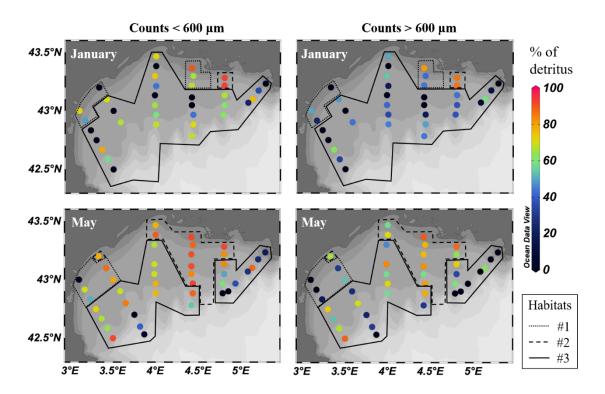


Fig. 1. Percentage of detritus in LOPC counts in January 2011 (top) and May 2010 (bottom) in the Gulf of Lion for two particle size fractions: below (left) and above (right) 600 µm size. The three habitats defined in Espinasse et al. 2014 are delineated, habitat #1: near shore area; habitat #2: area affected by the Rhône waters; habitat #3: continental shelf.

The variability of the detritus in terms of spatial and temporal distribution was analyzed for two size fractions, above and below 600 µm ESD (corresponding roughly to a total length of 1 mm for a copepod) (Fig. 1). For both seasons, the percentage of detritus in LOPC counts was lower for the larger size fraction than for the smaller one while their spatial patterns were similar. In winter, the percentage of detritus of both small and large size was relatively low (mainly under 50%), except for the three stations closest to the Rhône mouth. In spring, detritus represented a large part of the

LOPC counts (mainly over 50%) in the entire continental shelf. Only at the easternmost transect, influenced by offshore water, a lower percentage of detritus was observed.

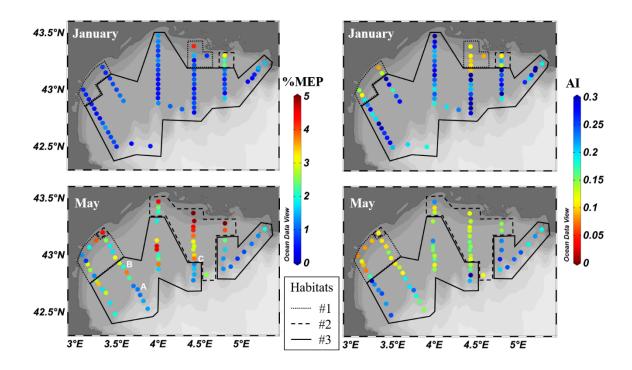


Fig. 2. Indicators of particles counted by the LOPC in January 2011 (top) and May 2010 (bottom) in the Gulf of Lion: % of MEPs in total LOPC counts (left side) and the MEPs' mean attenuance index (AI, right side). The three habitats defined in Espinasse et al. 2014 are delineated, habitat #1: near shore area; habitat #2: area affected by the Rhône waters; habitat #3: continental shelf. The three representative stations (A, B and C) shown in Fig. 4 are marked in the lower left panel.

Throughout the study area, spatiotemporal differences in LOPC particle counts and characteristics were observed (Fig. 2). In spring, higher values (> 2%) of the percentage of MEPs in total LOPC counts were generally observed compared to winter (< 1%). However, high values were observed in front of the Rhône mouth in winter and low values beyond the continental slope in spring. The AI of the MEPs showed a pattern rather similar to the %MEPs (Fig.2, right panels). Some differences existed, such as low values for the near shore area in the western part of the gulf in winter and high values for some stations in the most western transect in spring. A highly significant

correlation was found between the percentage of detritus and the %MEPs ( $r^2$ =0.54, p <10-9) strongly supporting our hypothesis that the %MEPs can be used as an indicator of detritus (Fig. 3).

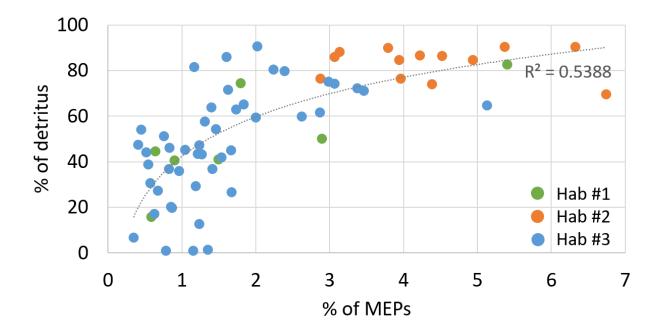


Fig. 3. Percentage of detritus in LOPC counts relative to the percentage of MEPs in total LOPC counts. The data were fitted with a logarithmic function. Habitats as defined in Fig. 1 and 2.

Statistical relationships between environmental conditions and LOPC indicators

*3.2.* 

# To get a better understanding of the mechanisms underlying the relationship between the %MEPs and the detritus abundances, we tracked how they changed with different environmental conditions as described by the three habitats defined in E2014. The percentage of detritus, percentage of MEPs and AI changed significantly between the habitats defined in E2014 (Table 1). The area affected by the Rhône River freshwater (defined as habitat #2) had a significantly higher percentage of detritus and a higher %MEPs than the other two habitats. The average %MEPs in habitat #2 was

2.48 (2.18-3.07, n = 3) in January and 3.51 (2.07-5.88, n = 17) in May compared to an overall

average of 1.65 (0.67-4.59, n=48) and 0.67 (0.32-4.18, n=67) for habitats #1 and #3. The continental shelf (habitat #3) was characterized by particles with a significantly higher AI, overall average of 0.23 (0.09-0.43, n=97), than for habitats #1 and #2, overall average of 0.11 (0.07-0.19, n=18) and 0.14 (0.10 – 0.22, n=20), respectively. The changes in distribution of detritus, %MEPs and AI within the habitats showed that the conditions where stratified waters were coupled with high chl-a concentrations in the surface layer resulted in a higher percentage of detritus and a higher %MEPs. This was observed in habitat #2 influenced by Rhône waters. The lower AI and higher percentage of detritus in habitat #2 demonstrated the general transparency of the detritus, compared to the higher AI associated with lower detritus observed on the continental shelf (habitat #3).

Table 1. Kruskal-Wallis test applied on the percentage of detritus, % of MEPs and AI considering as factors the 3 habitats defined in Espinasse et al. 2014. Post-hoc results are also shown.

Parameter	$X^2$	p-value	Post-hoc			
%detritus	25.88	2.39 10-6		Habitat #1	Habitat #2	H2 > H1;
			Habitat #2	< 0.001	-	H2 > H3
			Habitat #3	n.s.	< 0.001	
%MEPs	39.09	3.23 10-9		Habitat #1	Habitat #2	H2 > H1;
			Habitat #2	< 0.001	-	H2 > H3
			Habitat #3	n.s.	< 0.001	
AI	61.85	3.7 10-14		Habitat #1	Habitat #2	H3 > H1;
			Habitat #2	n.s.	-	H3 > H2
			Habitat #3	< 0.001	< 0.001	

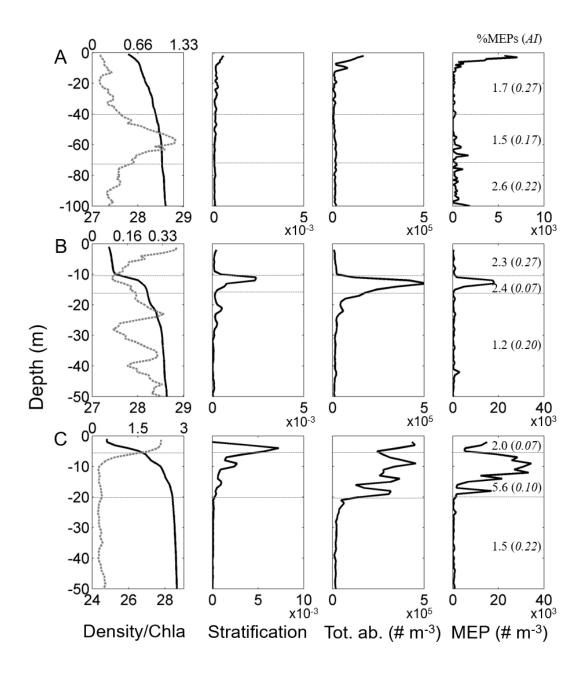


Fig. 4. Vertical profiles of water density  $\sigma_{\theta}$  (kg m<sup>-3</sup>; full line, left panels) and chl-a concentration (mg m<sup>-3</sup>; dashed grey line, left panels), the stratification (Brunt-Väisälä frequency squared N<sup>2</sup>, s<sup>-2</sup>; center left panels), total LOPC abundance (Tot. ab., centre right panels) and MEP abundance (right panels) at stations A, B and C typical of different environmental conditions. The integrated % of MEPs and the average of AI are specified in brackets for two (station A) or three (stations B and C) depth layers (horizontal dotted grey lines). The location of the stations is shown in Fig. 2. Note the change in x-axis range among stations.

# *3.3*. Detailed analyses of particle characteristics at three typical stations 342 343 Based on the results provided by the spatial distributions, three stations representing typical environmental conditions in terms of water stratification and chl-a concentration were chosen to 344 investigate the vertical variations of TC. MEPs. %MEPs and AI (Fig. 4). 345 346 Vertical profiles at station A showed a homogeneous water density and Brunt-Väisälä frequency, and a deep peak of chl-a concentration reaching 1.2 mg chl-a m<sup>-3</sup> at 60 m depth. TC and MEP 347 348 counts had a peak in the surface layer, reached minima between 20 and 40 m, and slightly increased in the layer between 40 and 70 m and the layer below, while AI was lower in the layer of maximum 349 of chl-a. At this station, %MEPs and average AI integrated over the entire water column were 1.15 350 351 and 0.24, respectively, and the percentage of detritus was estimated to be of 0% (i.e. LOPC abundance = ZooScan abundance). 352 Profiles at station B showed a stratified water column with a pycnocline located at 12 m depth and 353 relatively low chl-a concentration (0.09-0.36 mg chl-a m<sup>-3</sup>). TC and MEP counts peaked in the 354 pycnocline layer. The AI was high in the surface layer (0.27) and dropped strongly in the 355 pycnocline layer to 0.07. %MEPs was relatively high in the surface layer and increased below the 356 pycnocline. At this station, %MEPs and average AI integrated over the entire water column were 357 2.00 and 0.14, respectively, and the percentage of detritus was estimated to be of 59% in LOPC 358 359 counts. Station C was located in the Rhône plume, approximately at 45 km from the Rhône mouth, showing 360 a thin layer of very low salinity water in surface resulting in strong stratification. Highest chl-a 361 362 concentrations were found in the surface layer (maximum of 2.3 mg chl-a m<sup>-3</sup>). The halocline layer between surface low salinity water and deep saltier water was spread between 5 and 20 m depth. 363

High LOPC abundance and very high MEP abundance were found in the surface and gradient

layers. Very low AI values were observed in the surface layer, and low AI values and very high

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values of %MEPs were found in the halocline. Below the stratified layer these parameters were similar to those at stations A and B. At station C, %MEPs and average AI integrated over the entire water column were 3.79 and 0.12, respectively, and the percentage of detritus was estimated to be up to 90% in LOPC abundance (i.e. LOPC abundance was 10 times the zooplankton abundance estimated with the ZooScan). The NBSSs of particles estimated for the whole water column by both devices showed good agreement in their size range overlap (1.1 to 3.4 log(µg)) for the stations A and B (Fig. 5), but relatively high difference for the station C with higher biomasses from LOPC. NBSS inside the different water layers provides information on the homogeneity of the biomass distribution as a function of depth. The NBSSs at station A were vertically homogeneous, although the biomass in the surface layer was slightly higher. The NBSSs at station B and C showed much higher values in the stratified layers. At station C, the NBSS in the surface layer was characterized by high biomass values in the lower size classes and a steep NBSS slope towards higher size classes, which is a signature of productive layer. In the halocline and below, the NBSS slopes were flatter and similar in shape, potentially resulting from a uniform distribution of the detritus along the size spectrum.

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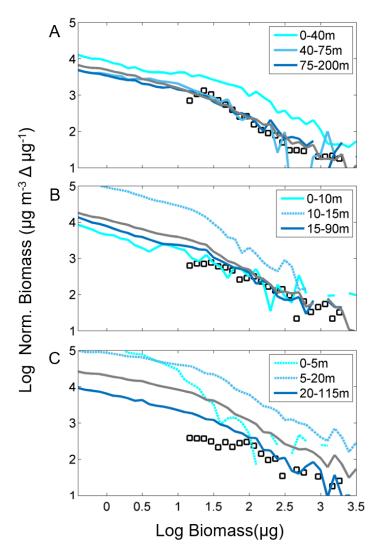


Fig. 5. Normalized biomass size spectra (NBSS) from LOPC data integrated over the water column (grey line) and in different layers as defined in Fig. 4 (blue lines, NBSSs in stratified layers are displayed with dashed line), and NBSS from ZooScan data over the whole water column (black squares) for 3 stations typical of different environmental conditions (see Fig. 2 and 4).

#### *3.4.* Typical distribution of particles and LOPC indicators under specific environmental

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- Four typical associations between particle distribution and environment could be identified from the detailed analyses of the stations:
- (1) Vertical density stratification coincided with a peak in LOPC counts. To test this statement, we 400 investigated the occurrences of a peak of LOPC abundance in relation to the occurrences of a 401 402 strongly stratified layer at all stations. A peak of LOPC counts was defined for concentrations > 50 % of the average concentration over the whole profile. Stratified layers were defined using a 403 threshold value of  $N^2 = 0.001$  s<sup>-2</sup> (Brunt-Väisälä frequency). A co-occurrence between a 404 405 stratification layer and a peak of LOPC counts was found for 93 % of the stations (81 out of 87 stations,  $\chi^2$  test, p< 10<sup>-9</sup>).
  - (2) The percentage of MEPs in total LOPC counts increased when stratification was associated with high chl-a concentrations (chl-a > 1 mg m<sup>-3</sup>) in the surface layer. Density gradients in the water column typically lead to aggregate formation, and the number of aggregates increase with high production in the surface layer resulting in more MEPs, which is illustrated in the MEP profile and NBSS comparison at station C (Fig. 4 and 5). It was also indirectly confirmed by the changes in AI values as a function of size: larger MEPs (> 1.5 mm) were very transparent (mean 0.21, std 0.10) in the stratified layer compared to the other layers (mean 0.50, std 0.18; Fig. 6b).
  - (3) Situations without stratification and with high chl-a concentrations were associated with a low AI and a relatively low %MEPs (Figs 2 and 4). This situation is exemplified in the surface layer at station C, and to a lesser extent in the middle layer (40 to 75 m depth) at station A. It also corresponds roughly to all the stations within habitat #1, characterized by mixed waters and high chl-a concentrations (Fig. 2). In such situations, the peak in MEP size spectra appears to be shifted towards smaller size classes (Fig. 6a). Accordingly, MEP size in habitat #1 was generally much

smaller than in habitat #2 (high chl-a concentration and stratification), with an average of 505  $\mu$ m ESD (406-705  $\mu$ m) and 823  $\mu$ m ESD (619-1387  $\mu$ m), respectively.

(4) The AI stayed relatively constant over all the stations without stratification or high chl-a concentration with an average value of 0.25 (std 0.05).

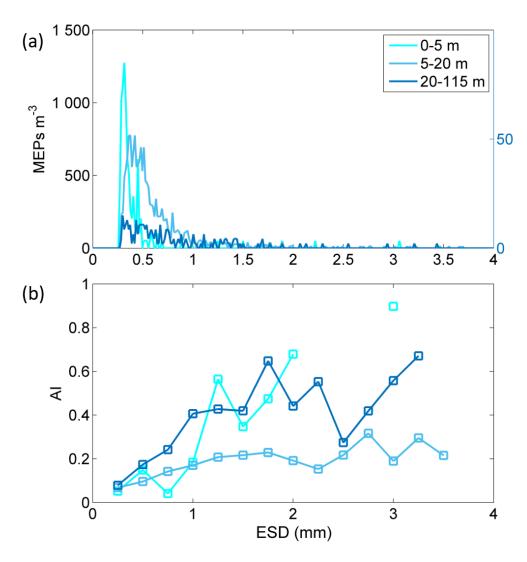


Fig. 6. (a) Size spectra of MEPs and (b) mean attenuance index (AI) as a function of the MEP size (0.1 mm interval) at station C (see Fig. 2, 4 & 5) in 3 different water layers. Because of lower values, MEP abundances for the deepest layer (20-115 m) is displayed on a separate axis (right).

# 4. Discussion

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4.1. Optimal conditions to use the LOPC as a zooplankton counter

Based on our dataset from the coastal waters of the Northwestern Mediterranean Sea, we identified three main ecological situations where the LOPC counted various amounts of detritus. In unstratified water columns with low chl-a concentrations (< 1 mg m<sup>-3</sup>), LOPC abundances were comparable to net abundances, meaning that the LOPC counted mostly zooplankton and only few detritus. This was reflected by LOPC particles having a low %MEPs in total counts (< 2 %), and a high mean AI (> 0.2). In stratified waters with high chl-a concentrations, LOPC abundances were up to ten times higher than net abundances most likely due to the LOPC counting detritus. In this situation, LOPC counts were characterized by high %MEPs and low AIs. In stratified waters with low chl-a concentrations, LOPC abundances were also higher than net abundances but in a lesser extent, and particles here were again characterized by a high %MEPs and a low AI. These results suggest that information on the large particles counted by the LOPC (MEPs) can be used to infer the percentage of detritus counted by the LOPC. Our results also suggest that the LOPC counted mainly living organisms when the %MEPs was < 2 %, a more conservative limit than the 5 % limit found by Schultes and Lopes (2009) off the Brazilian coast. In most water columns without stratification and/or high chl-a concentration the mean AI remained constant, around 0.25, which allowed us to define a threshold below which aggregation or phytoplankton chains likely occur. The usage of %MEPs and AI as indicators of different physical and biological situations is summarized in Table 2. By applying our thresholds to the data from our study area and to data from high latitudes, we could identify in total four different situations in which detritus represent between 0 and 90 % of the total LOPC counts.

Table 2. Summary describing how to interpret the LOPC abundance with the help of the two indicators, %MEPs and AI, and typical situations leading to these indicator values.

Low AI (< 0.2) High AI (> 0.2)

High % of MEPs (> 2) (> 5 overestimation)

Aggregate formation if stratified waters, can be promoted by high primary production

High concentration of big copepods (> 1.5 mm), mainly in high latitude areas, or terrestrial input (sand)

Low % of MEPs (< 2)

Low detritus, if high chl-a concentration, phytoplankton chains or colonies characterized by small MEP size ( $< 400 \mu m$  ESD)

Clear water, LOPC mainly counting zooplankton

# 4.2. Potential biases linked to the sampling protocol

The LOPC was placed on the CTD rosette to obtain simultaneous profiles of physical and biogeochemical parameters and net tows were conducted afterwards. The time lag between a LOPC cast and corresponding net tow could have affected the comparison between ZooScan and LOPC results, even though it was reduced to its minimum. The general patchiness of particles and zooplankton in the water column can create some variability in abundance data collected at the same location over a short amount of time. At 3 out of 78 stations, abundances determined from net samples were >25 % higher than those determined by the LOPC, possibly due to a patchy distribution. In general however, the vertical distributions of particles measured by the LOPC along the coastal-offshore transects (stations separated by 5 km) showed consistent abundances between the stations with gradual changes, suggesting a limited patchiness. Furthermore, for the majority

of the offshore stations with no stratification and low chl-a concentration, the percentage of detritus was intermediate and rather constant (mean 39, standard deviation 17). Therefore, we argue that even if patchiness potentially created some variability blurring our results, at most of our stations it was valid to use a comparison of abundances, determined from net samples and based on LOPC, to determine the detritus contribution.

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4.3. Impact of stratification and/or high production on LOPC counts and the formation of MEPs

The relationship between the detritus distribution and the habitats defined in E2014 (Table 1) provided a good base to analyze the link between detritus formation and environmental conditions. Consistent results were found analyzing the spatial distributions and the vertical profiles in the changes of percentage of detritus, LOPC counts and MEP characteristics. The stratification of the water column seems to be the main factor influencing the vertical distribution of LOPC counts. The interface between water layers of different densities acts as a barrier, locally accumulating particles. The high concentrations of particles within pycnoclines can be explained by the change in buoyancy of aggregates, reducing their downward settling velocities (Macintyre et al., 1995, Prairie et al., 2015). Our case study from the Mediterranean Sea shows that this process induces particle aggregations resulting in the formation of transparent MEPs with a low AI (< 0.2), and in an increase of the %MEPs in total counts (see again Fig. 1, situation described in the upper left part of the Table 2). The mechanisms underlying the aggregate formation can be mechanical, due to transparent exopolymer particles, mucus or dead phytoplankton cells (Alldredge and Silver, 1988), or chemical, when strong salinity changes promotes flocculation processes. When such a stratification is combined with high production in the surface layer, the higher concentration of particles will promote the formation of more aggregates, resulting in very high %MEPs.

When high chl-a concentrations were not associated with stratification, the size of the MEPs was smaller and the AI decreased below 0.2 while the %MEPs remained constant. One explanation is that without stratification, settling particles could freely fall through the water column, and the probability of colliding between particles is reduced. But also, phytoplankton colonies typically produce small MEPs with lower AI due to a high degree of empty space at the activated photodiodes.

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# 4.4. Limits of the methods

Our method is based on the information from the MEPs, which represent only a small part of the LOPC counts, but we successfully extrapolated this result to assess the contribution of detritus in the total LOPC counts. We suggest that there is a relationship between the % of SEPs being detritus and the %MEPs in LOPC counts. Indeed, the aggregation processes described earlier in the text (see 4.3) attest that if detritus represents a substantial part of the SEPs, some will aggregate and end up as MEPs. This is due to the detritus constitution and has been described by several studies focusing, for instance, on phytoplankton blooms (Alldredge and Jackson, 1995) or appendicularian houses (Lombard and Kiørboe, 2010). In some specific cases the %MEPs can be affected by others causes than the ones described in this study. In places with very clear water and high concentrations of big organisms, e.g. Calanus finmarchicus overwintering in North Atlantic waters, the %MEPs can drastically rise even though the percentage of detritus is low (Table 2, upper right). In that case, we suggest to use the AI alone as an indicator to separate between living and non-living particles (Checkley et al. 2008, Gaardsted et al. 2010), and estimate the part of the MEPs being detrital particles. In this study, where the dominating species were small copepods, we assume that MEPs that have a low AI were detritus. However, transparent gelatinous organisms can also a have similar MEP signal. Given the opening

of the LOPC tunnel (7 x 7 cm), appendicularians are among potential organisms that can be counted by the LOPC in amounts high enough to affect the MEP signal. In our case, although substantial abundance of appendicularians were recorded during the winter cruise (ca 30 000 # m<sup>-2</sup>), this did not seem to affect the MEP signal as the AI was higher in winter than during the spring cruise. Nevertheless, we suggest that when using the LOPC, occasional net samples are needed to describe the plankton community and to attest of peaks of specific groups such as gelatinous zooplankton.

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# 4.5. Validity of our results in other regions

The indicators developed in this study to interpret the detritus part of LOPC abundances are based on a large dataset collected in a coastal area of the NW Mediterranean Sea. However, the processes leading to the formation of detritus are not specific to this area. They take place in the epipelagic zone of most of the marine ecosystems, and it is likely that these indicators will be valid in other areas. To test this, we applied the thresholds for %MEPs and AI that were developed in this study to other datasets from around the globe. A dataset collected in a tropical system (Schultes and Lopes 2009), sampled from unstratified stations over the continental shelf and slope, had generally a low %MEPs (mean 0.87, standard deviation 0.33) and rather high AIs (mean 0.22, standard deviation 0.04) over 37 stations (Table 3). The biomass estimated with the LOPC for particles  $> 500 \mu m$  ESD was significantly correlated to zooplankton displacement volume of net samples, indicating a limited influence of detritus (Table 2, lower right). Two datasets from polar areas (Antarctic Peninsula and Svalbard) were characterized by clear water, and LOPC counts had a very low %MEPs (< 0.5 %) and generally high AIs (> 0.2). Here, the indicators show that the LOPC counted mainly zooplankton (Table 2, lower right).

In an Arctic fjord characterized by glacial melt water input, freshwater run-off resulted in a dramatic increase in LOPC counts (> 500 x 10<sup>3</sup> # m<sup>-3</sup>) in the inner part of the fjord and very low AI values in the entire fjord (Trudnowska *et al.*, 2014). The %MEPs, on the other hand, was gradually decreasing from 3.90 in the inner part to 1.16 in the outer part. Based on the thresholds developed for the indicators %MEPs and AI, the fjord can be divided into two areas, i.e. the inner part characterized by high %MEPs, low AIs and high (glacial) detritus concentrations (Table 2, upper right); and the outer part characterized by low %MEPs, low AIs, high chl-a concentration and realistic zooplankton abundances estimated by the LOPC (Table 2, lower left).

Table 3. Comparison of particle characteristics in different regions and different environmental conditions. Only stations deeper than 50 m were included. High chl-a: max chl-a > 1 mg m<sup>-3</sup>.

Environmental	Region / remarks	# part m <sup>-3</sup>	AI	%MEPs	References
conditions		min-max	mean	mean	
		nbr. of stn.	(min-max)	(min-max)	
Mixed waters	Antarctic Peninsula –	3600 –	0.24	0.34	Espinasse et
	Continental bay	36200	(0.09 - 0.54)	(0.16 - 1.61)	al. 2012
	Clear water and few	n=16			
	large-sized organisms				
	Svalbard –	2000 –	0.48	0.33	Basedow
	Cross shelf section	26000	(0.36 - 0.56)	(0.14 - 2.17)	Unpublished
		n=10			data
	North Atlantic –	4000 –	0.46	0.76	Basedow et
	Open ocean	6000	(0.31 - 0.62)	(0.70 - 0.85)	al. 2016
	Very clear water	n=3			
	Brazil coast –	6900 –	0.22	0.87	Schultes and
	Continental slope	146000	(0.13 - 0.3)	(0.54 - 2.04)	Lopes 2009
		n=37			
	NW Mediterranean Sea –	18000 -	0.25	0.90	This study
	Continental slope	30000	(0.11 - 0.44)	(0.40 - 1.92)	
		n=43			
	Polar fjord – Outer part	130000 -	0.10	1.16	Trudnowska
	high chl-a	240000	(0.08 - 0.11)	(0.71 - 1.61)	et al. 2014
		n=2			
Stratified waters	Polar fjord – Glacier area	475000 –	0.08	3.90	Trudnowska
	Input of particles from	865000	(0.07 - 0.08)	(2.27 - 6.25)	et al. 2014
	melt-water discharge	n=4			
	NW Mediterranean Sea -	48000 -	0.15	2.08	This study
	Continental shelf	70000	(0.11 - 0.22)	(1.13–4.01)	
		n=8			
Stratified waters	NW Mediterranean Sea -	100000 -	0.12	3.21	This study
+ high chl-a	Freshwater run-off	215000	(0.07 - 0.14)	(1.70 - 5.36)	
		n=13			

## 5. Conclusion

We defined thresholds for two indicators based on LOPC data, which allowed to quickly check the contribution of detritus to total LOPC counts. These indicators were developed based on an extensive dataset from the Gulf of Lion and proved valid in different marine biogeographical regions. Applying the indicators %MEPs and AI provides a good basis to assess the detrital part in LOPC counts. When the thresholds for %MEPs and AI indicate that the LOPC is not mainly counting zooplankton, data should be interpreted carefully with respect to environmental data and the zooplankton community. This is especially important in shallow coastal waters, and more generally in strongly stratified waters. Here, LOPC data and other laser-based sensors should always be interpreted in parallel with a complementary dataset providing an independent estimate of the zooplankton part in particle counts.

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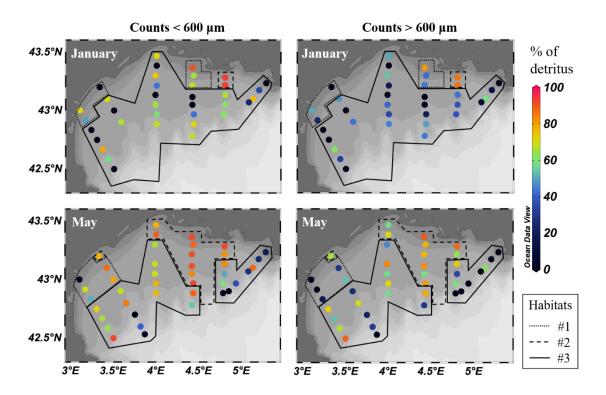


Fig. 1. Percentage of detritus in LOPC counts in January 2011 (top) and May 2010 (bottom) in the Gulf of Lion for two particle size fractions: below (left) and above (right) 600 µm size. The three habitats defined in Espinasse et al. 2014 are delineated, habitat #1: near shore area; habitat #2: area affected by the Rhône waters; habitat #3: continental shelf.

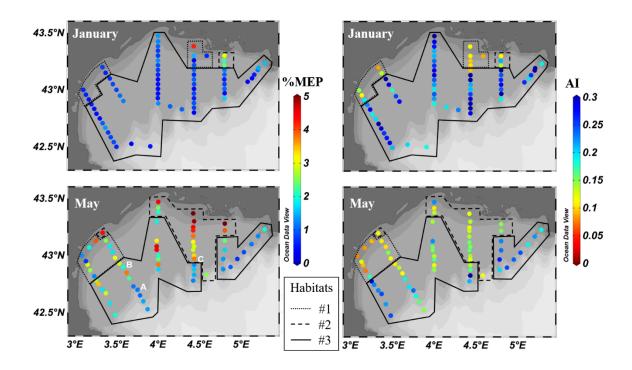


Fig. 2. Indicators of particles counted by the LOPC in January 2011 (top) and May 2010 (bottom) in the Gulf of Lion: % of MEPs in total LOPC counts (left side) and the MEPs' mean attenuance index (AI, right side). The three habitats defined in Espinasse et al. 2014 are delineated, habitat #1: near shore area; habitat #2: area affected by the Rhône waters; habitat #3: continental shelf. The three representative stations (A, B and C) shown in Fig. 4 are marked in the lower left panel.

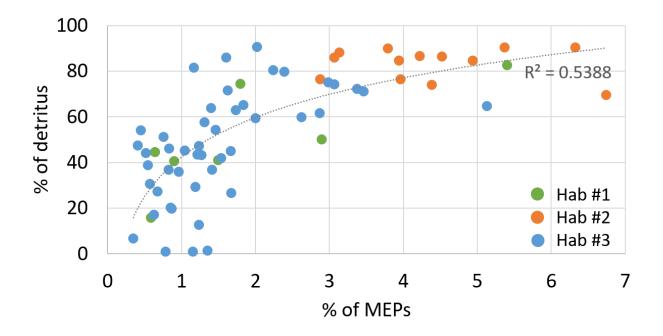


Fig. 3. Percentage of detritus in LOPC counts relative to the percentage of MEPs in total LOPC counts. The data were fitted with a logarithmic function. Habitats as defined in Fig. 1 and 2.

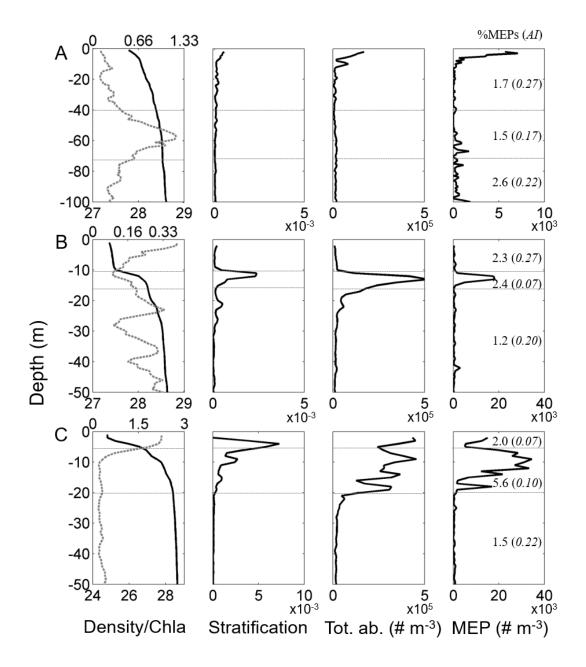


Fig. 4. Vertical profiles of water density  $\sigma_{\theta}$  (kg m<sup>-3</sup>; full line, left panels) and chl-a concentration (mg m<sup>-3</sup>; dashed grey line, left panels), the stratification (Brunt-Väisälä frequency squared N<sup>2</sup>, s<sup>-2</sup>; center left panels), total LOPC abundance (Tot. ab., centre right panels) and MEP abundance (right panels) at stations A, B and C typical of different environmental conditions. The integrated % of MEPs and the average of AI are specified in brackets for two (station A) or three (stations B and C) depth layers (horizontal dotted grey lines). The location of the stations is shown in Fig. 2. Note the change in x-axis range among stations.

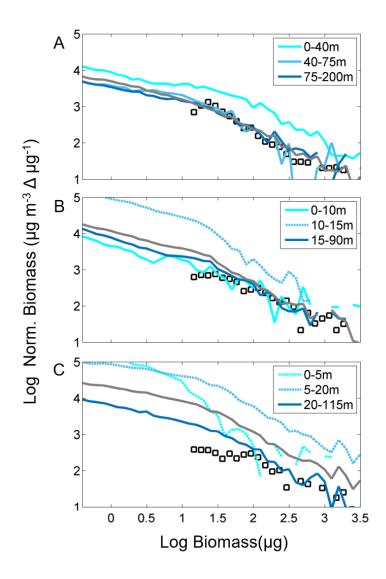


Fig. 5. Normalized biomass size spectra (NBSS) from LOPC data integrated over the water column (grey line) and in different layers as defined in Fig. 4 (blue lines, NBSSs in stratified layers are displayed with dashed line), and NBSS from ZooScan data over the whole water column (black squares) for 3 stations typical of different environmental conditions (see Fig. 2 and 4).

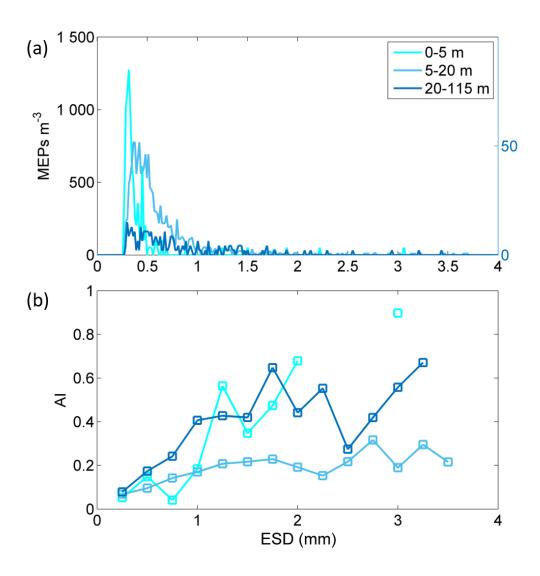


Fig. 6. (a) Size spectra of MEPs and (b) mean attenuance index (AI) as a function of the MEP size (0.1 mm interval) at station C (see Fig. 2, 4 & 5) in 3 different water layers. Because of lower values, MEP abundances for the deepest layer (20-115 m) is displayed on a separate axis (right).

Table 1. Kruskal-Wallis test applied on the percentage of detritus, % of MEPs and AI considering as factors the 3 habitats defined in Espinasse et al. 2014. Post-hoc results are also shown.

Parameter	$X^2$	p-value	Post-hoc			
%detritus	25.88	2.39 10-6	Habitat #2 Habitat #3	Habitat #1 <0.001 n.s.	Habitat #2 - <0.001	H2 > H1; H2 > H3
%MEPs	39.09	3.23 10-9	Habitat #2 Habitat #3	Habitat #1 <0.001 n.s.	Habitat #2 - <0.001	H2 > H1; H2 > H3
AI	61.85	3.7 10-14	Habitat #2 Habitat #3	Habitat #1 n.s. <0.001	Habitat #2 - <0.001	H3 > H1; H3 > H2

Table 2. Summary describing how to interpret the LOPC abundance with the help of the two indicators, %MEPs and AI, and typical situations leading to these indicator values.

Low AI (< 0.2) High AI (> 0.2) High concentration of big copepods High % of MEPs (> 2) Aggregate formation if stratified waters, can be promoted by high (> 1.5 mm), mainly in high latitude (> 5 overestimation) primary production areas, or terrestrial input (sand) Clear water, LOPC mainly counting Low % of MEPs (< 2) Low detritus, if high chl-a phytoplankton concentration, zooplankton chains or colonies characterized by small MEP size (<  $400 \mu m$ ESD)

Table 3. Comparison of particle characteristics in different regions and different environmental conditions. Only stations deeper than 50 m were included. High chl-a: max chl-a > 1 mg m<sup>-3</sup>.

Environmental	Region / remarks	# part m <sup>-3</sup>	AI	%MEPs	References
conditions		min-max	mean	mean	
		nbr. of stn.	(min-max)	(min-max)	
Mixed waters	Antarctic Peninsula –	3600 –	0.24	0.34	Espinasse et
	Continental bay	36200	(0.09 - 0.54)	(0.16 - 1.61)	al. 2012
	Clear water and few	n=16			
	large-sized organisms				
	Svalbard –	2000 –	0.48	0.33	Basedow
	Cross shelf section	26000	(0.36 - 0.56)	(0.14 - 2.17)	Unpublished
		n=10			data
	North Atlantic –	4000 –	0.46	0.76	Basedow et
	Open ocean	6000	(0.31 - 0.62)	(0.70 - 0.85)	al. 2016
	Very clear water	n=3			
	Brazil coast –	6900 –	0.22	0.87	Schultes and
	Continental slope	146000	(0.13 - 0.3)	(0.54 - 2.04)	Lopes 2009
		n=37			
	NW Mediterranean Sea –	18000 –	0.25	0.90	This study
	Continental slope	30000	(0.11 - 0.44)	(0.40 - 1.92)	
		n=43			
	Polar fjord – Outer part	130000 -	0.10	1.16	Trudnowska
	high chl-a	240000	(0.08 - 0.11)	(0.71 - 1.61)	et al. 2014
		n=2			
Stratified waters	Polar fjord – Glacier area	475000 –	0.08	3.90	Trudnowska
	Input of particles from	865000	(0.07 - 0.08)	(2.27 - 6.25)	et al. 2014
	melt-water discharge	n=4			
	NW Mediterranean Sea -	48000 –	0.15	2.08	This study
	Continental shelf	70000	(0.11 - 0.22)	(1.13–4.01)	
		n=8			
Stratified waters	NW Mediterranean Sea -	100000 -	0.12	3.21	This study
+ high chl-a	Freshwater run-off	215000	(0.07 - 0.14)	(1.70 - 5.36)	
		n=13			

# Highlights

- A new method to interpret LOPC counts was developed.
- The environmental conditions and the mechanisms resulting in detritus formation were identified.
- LOPC derived indicators were used successfully to determine the contribution of detritus in total counts.
- Thresholds for these LOPC indicators are used to define different situations with varying contribution of detritus.
- The method was applied to worldwide dataset and showed consistent results.