

1 Lumbar total disc replacement: Predictors 2 for long-term outcome

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5

6 Abstract

7 Purpose

8 We aimed to identify patient characteristics associated with favourable long-term outcomes
9 after lumbar total disc replacement (TDR).

10 Methods

11 We analysed a cohort of 82 patients with degenerative disc and chronic low back pain (LBP)
12 who were treated with TDR and originally participated in a randomised trial comparing TDR
13 and multidisciplinary rehabilitation. Potential predictors were measured at baseline, and the
14 outcomes assessed eight years after they received allocated treatment. Outcome measures
15 were dichotomised according to whether the participants achieved a clinically important
16 functional improvement (15 points or more on the Oswestry Disability Index, ODI) (primary
17 outcome) and whether they were employed at eight-year follow-up (secondary outcome).

18 Associations between potential predictors and outcomes were modelled using logistic
19 regression. For the secondary outcome, the results were also organised in a prediction matrix
20 and expressed as probabilities.

21 Results

1 For 71 patients treated with TDR according to protocol, the follow-up time was eight years.
2 For a subgroup of 11 patients randomised to rehabilitation who crossed over and received
3 TDR, the median postoperative follow-up time was 72 (range 41-88) months. Of all assessed
4 baseline variables, only presence of Modic changes (type 1 and/or 2) was statistically
5 significantly associated with an improvement of ≥ 15 ODI points. The probability of
6 employment at eight-year follow-up was 1 % for patients with ≥ 1 year of sick leave,
7 comorbidity, ODI ≥ 50 and \leq nine years of education prior to treatment, and 87 % for patients
8 with < 1 year of sick leave, no comorbidity, ODI < 50 and higher education.

9 Conclusions

10 Patients with Modic changes prior to the TDR surgery were more likely to report a clinically
11 important functional improvement at long-term follow-up. Comorbidity, low level of
12 education, long-term sick leave and high ODI score at baseline were associated with
13 unemployment at long-term follow-up.

14

15 Keywords: Low back pain, degenerative disc, lumbar total disc replacement, patient selection

16

17 Introduction

18 Total disc replacement (TDR) is a surgical option for selected patients with low back pain
19 (LBP) and degenerative intervertebral disc when non-operative treatment fails. Despite
20 promising short-term results, the authors of a Cochrane report [1] encourage spine surgeons to
21 be cautious about implementing the surgical procedure on a large scale because complications
22 may arise after several years. This view is supported by a recent systematic review [2]
23 comparing TDR and spine fusion. Over the last years, a few studies with long-term follow-up

1 after TDR surgery have been published [3-8]. A clinically important improvement according
2 to FDA criteria [5] (15 points improvement or more on the Oswestry Disability Index (ODI))
3 is reported by 68-87 % of patients 5-8 years after TDR [5, 6, 8], and 67-88 % of patients are
4 employed at follow-up 5-13 years after TDR [3, 4, 6, 7].

5 Park et al. [9] showed inferior long-term results of TDR in patients that were presumed to be
6 bad candidates for the procedure compared to patients that were presumed to be good
7 candidates. The categorisation was based on the presence or absence of suggested
8 contraindications for TDR (surgery at the adjacent level of a fused segment, spondylolisthesis,
9 facet joint arthritis and lateral recess stenosis). In the randomised trial from which our data are
10 extracted, 24 % of the patients had no symptoms of back pain eight years after TDR, and yet 8
11 % described themselves as “worse than ever” [10]. This illustrates the obvious need for
12 improved patient selection criteria for disc replacement.

13 At two-year follow-up, Hellum et al. [11] found that the best predictors for a clinically
14 important improvement (≥ 15 ODI points) after TDR were short preoperative duration of
15 LBP, low Fear-Avoidance Beliefs about work (FABQ-work) and the presence of Modic
16 changes at baseline. In the only study examining the association between baseline
17 characteristics and mid- to long-term outcome, Gornet et al. [12] found that better clinical
18 outcome at five-year follow-up was related to higher grades of degeneration of the index level
19 before surgery. Still, these reports provide limited information about patient characteristics
20 associated with the long-term outcome after TDR.

21 The aim of this study was to identify baseline characteristics associated with a clinically
22 important improvement (≥ 15 ODI points) (primary outcome) and with employment
23 (secondary outcome) at eight-year follow-up after inclusion in this prospective study.

1 Methods

2 Study design

3 This is a prospective cohort study of patients treated with TDR for chronic LBP and
4 degenerative intervertebral lumbar disc. The patients were included in a multicentre randomised
5 trial comparing TDR with multidisciplinary rehabilitation [13], and data are extracted from the
6 eight-year follow-up.

7 Ethical concerns

8 The eight-year follow-up of the randomised trial was approved by the Norwegian Regional
9 Ethical Committee–South-East C (2011/2177). The project was registered at
10 www.clinicaltrial.gov under the identifier NCT01704677 before it commenced in accordance
11 with the Helsinki Declaration and the ICH-GCP guidelines.

12 Results are reported according to the STROBE standard for reporting cohort studies.

13 Participants

14 Inclusion criteria for the original randomised trial were age 25-55 years, LBP as the main
15 symptom for at least one year, ODI score ≥ 30 , conservative treatment for \geq six months
16 without sufficient effect and degenerative changes in the intervertebral disc L4/L5 and/or
17 L5/S1. For further details see Hellum et al. [13]. The patients included in the present cohort
18 study were either treated with TDR according to the randomisation, or they crossed over from
19 the rehabilitation group and were treated with TDR. We did not exclude patients who had
20 been reoperated or had received additional non-operative treatment.

21 Study intervention

22 The patients were treated with a surgical procedure in which the degenerative intervertebral
23 lumbar disc was removed and replaced with an artificial disc (ProDisc II, Synthes Spine). The

1 treatment took place at one of the five Norwegian University Hospitals where the study was
2 conducted. A more detailed description of the TDR procedure has been reported previously
3 [13].

4 Outcome measures (dependent variables)

5 The primary outcome measure was change in self-reported physical function from baseline to
6 eight-year follow-up, measured by the ODI [14]. Change in ODI was dichotomised, and an
7 improvement of ≥ 15 points was categorised as a minimal clinically important improvement,
8 according to FDA criteria [5]. The secondary outcome measure was self-reported work status
9 at eight-year follow-up. Patients who reported full- or part-time employment, or were
10 students, were categorised as employed.

11 Potential predictors of outcome (independent variables)

12 Variables tested for predictive value were collected at baseline and categorised as socio-
13 demographic, clinical, psychological variables and pain, and radiological variables (Table 1).

14 Socio-demographic variables

15 All socio-demographic variables were patient reported. Patients were categorised as manual
16 or non-manual workers according to the Norwegian Standard Classification of Socioeconomic
17 Status [15]. The classification consists of six groups, but since there were few patients in each
18 group, they were dichotomised as manual or non-manual workers. Educational level was
19 categorised according to the International Standard of Classification of Education (IECED)
20 [16]. Work status was categorised as employed (part time or full time) or unemployed. In
21 addition, information on duration of sick leave, smoking, gender and age was collected.

22 Clinical variables

23 Clinical variables included prior discectomy, level(s) operated on with TDR, presence of
24 comorbidity, ODI and body mass index (BMI). The predicting value of a threshold level in

1 baseline ODI of 55 points has been tested previously [11]. Since there were too few patients
2 with an ODI ≥ 55 points at baseline in the present sample, we chose to test a threshold level of
3 50 points. The variables were patient reported, except level(s) operated on, which was
4 reported by the surgeon.

5 Psychological variables and pain

6 Psychological variables were Hopkins Symptom Check List (HSCL-25) [17], Fear-Avoidance
7 Belief Questionnaire (FABQ) [18] and the Mental Component Scale (MCS) part of SF-36
8 [19]. Pain variables were LBP intensity (Visual Analogue Scale, VAS), pain drawing
9 categorised as pain below the waist or pain above the waist (with or without pain below the
10 waist) [20], duration of LBP and daily consumption of narcotics (yes / no).

11 Radiological variables

12 Pelvic incidence [21] was measured on radiographs obtained at the last follow-up by an
13 experienced radiologist blinded to the clinical data, and was analysed as a baseline variable
14 since it describes the fixed relationship between the femoral heads and the endplate of the
15 sacrum – which should remain unaltered after TDR. Pelvic incidence was dichotomised as $< /$
16 ≥ 55 , as recommended by Prof. Le Huec (personal communication). All other radiological
17 variables (Modic changes [22], disc height reduction [23], nucleus pulposus grade [24], facet
18 arthropathy [25] and posterior high intensity zone [26]) were evaluated independently on pre-
19 treatment images by three experienced radiologists blinded to the clinical data. The outcome
20 was decided by simple majority, by mean value or by a fourth radiologist when majority or
21 mean was unsuitable (Modic type) [27].

22 Statistical analysis

23 Continuous variables were described as medians and ranges, categorical variables as
24 proportions and percentages. Outcome variables (clinical improvement (yes / no) and

1 employment (yes / no)) were modelled as the dependent variables and selected baseline
2 covariates as the independent variables. Possible associations between selected variables and
3 outcomes were modelled using binary logistic regression. Potential predictors that were
4 highly associated with each other were excluded to avoid multicollinearity. Due to a limited
5 sample size and few patients who improved / were employed, we fit models with a maximum
6 of four covariates to avoid overfitting. Therefore, only baseline characteristics that were
7 statistically significantly ($p < 0.05$) associated with the outcome in univariate analyses were
8 entered into the final multiple model. Further, the results from the multiple model were used
9 to compute probabilities for the outcome given any selected value of the covariates, and the
10 probabilities were expressed in a prediction matrix. The results were expressed as odds ratios
11 (OR) with 95 % confidence intervals (CI). Since the sample size was limited, we were not
12 able to set aside a test set for validation, and instead performed a leave-one-out cross-
13 validation [28]. A sensitivity analysis was performed, excluding patients who were originally
14 randomised to rehabilitation and patients who had received additional spinal surgery after the
15 TDR. All tests were two-sided and p -values < 0.05 were considered statistically significant.
16 Since our study was exploratory, no correction for multiple testing was performed. The
17 statistical analyses were performed with SPSS version 24.0.

18 Results

19 Of the 86 patients randomised to surgery, nine did not receive the surgical treatment and nine
20 were lost to follow-up (five lost contact, four withdrew consent). Hence, 71 patients were
21 analysed eight years postoperatively. In addition, we included 14 patients randomised to
22 rehabilitation who crossed over and were treated with TDR. Of these, 11 were available for
23 follow-up (median time since surgery was 72 (range 41-88) months). Consequently, 82
24 patients (82 %) were included in the final cohort analyses (Figure 1). Nine of these 82 patients
25 (11 %) had been reoperated (one because of implant dislocation, one with neurostimulator

1 implantation, two with spinal fusion and five with decompression of spinal stenosis). Median
2 time since reoperation was 37 (range 1-103) months.

3 Overall, 52 patients (63 %) achieved a clinically important improvement of ≥ 15 ODI points,
4 and 42 patients (51 %) were employed eight years after they were included in the study.

5 Baseline variables significantly associated with the clinically important improvement were the
6 presence of Modic changes (type 1 and/or 2) (OR 5.0, 95 % CI 1.4-18.2, $p=0.01$) and the
7 extent of Modic changes (> 50 % of vertebral body height) (OR 3.8, 95 % CI 1.3-11.5,
8 $p=0.02$) (Table 2). However, the presence of Modic changes and the extent of Modic changes
9 were significantly associated with each other ($p=0.01$) and could not be included in the same
10 model. Therefore, we did not proceed with the fitting of a prediction model.

11 Baseline variables significantly associated with the status of being employed at eight-year
12 follow-up were < 12 months of sick leave before treatment (OR 4.1, 95 % CI 1.6-10.6,
13 $p=0.003$), absence of comorbidity (OR 4.4, 95 % CI 1.4-13.8, $p=0.01$), ODI < 50 points (OR
14 3.6, 95 % CI 1.0-12.5) and high level of education ($> nine$ years) (OR 3.6, 95 % CI 1.1-11.2,
15 $p=0.03$) (Table 3). In addition, FABQ-work was statistically significantly associated with
16 employment at eight-year follow-up (OR 0.9, 95 % CI 0.9-1.0, $p=0.01$). However, in the
17 multivariate analysis with comorbidity, education level, ODI ≥ 50 and ≥ 12 months' sick
18 leave, including FABQ-work weakened the predictive power of the model, and we therefore
19 did not include FABQ-work in the final multiple model (Table 3). We found significant
20 differences in the probabilities of being employed corresponding to the different combinations
21 of the baseline variables. The probability of employment at the last follow-up was 1 % (95 %
22 CI 0-4 %) for patients with ≥ 12 months' sick leave, comorbidity, ODI ≥ 50 and $\leq nine$ years
23 of education prior to treatment, and 87 % (95 % CI 80-94 %) for patients with < 12 months'
24 sick leave, no comorbidity, ODI < 50 and higher education (Figure 2).

1 Sensitivity analyses confirmed our results. When we excluded patients who were reoperated
2 or who had crossed over from the rehabilitation group, the presence of Modic changes at
3 baseline was still the only baseline variable that was significantly associated with a clinically
4 important improvement (≥ 15 ODI points) (OR 6.5, 95 % CI 1.4-30.0, $p=0.02$). Baseline
5 characteristics significantly associated with employment after eight years were still <12
6 months of sick leave before treatment (OR 3.6, 95 % CI 1.3-10.0, $p=0.01$), absence of
7 comorbidity (OR 4.7, 95 % CI 1.3-16.6, $p=0.02$), ODI < 50 (OR 4.9, 95 % CI 1.2-19.9,
8 $p=0.02$), higher education (OR 4.1, 95 % CI 1.2-14.6, $p=0.01$) and FABQ-work (OR 1.1, 95
9 % CI 1.0-1.1, $p=0.01$).

10 Discussion

11 In this prospective cohort study, the presence of Modic changes (type 1 and/or 2) was
12 statistically significantly associated with a clinically important improvement (≥ 15 ODI
13 points). Patients with a shorter duration of sick leave, absence of comorbidity, lower ODI
14 score and higher education were more likely to be employed at eight-year follow-up.

15 The extent of Modic changes (> 50 % of the vertebral body height) was significantly
16 associated with both the presence of Modic changes and the outcome (≥ 15 points
17 improvement in ODI score). Therefore, the extent of Modic changes may be as important as
18 the presence of Modic changes in regards to the association with the outcome.

19 The positive association between Modic changes and ≥ 15 points improvement in ODI score
20 after TDR in our study should be interpreted in light of the findings in a recent systematic
21 review on the impact of Modic changes on outcome after lumbar spine surgery [29]. This
22 review identified four TDR studies (including the two-year results from the present study
23 [13]). One study found no association between Modic changes and ODI or LBP after TDR,
24 and the remaining three had conflicting findings about which types of Modic changes (type 1,

1 type 2, or both types combined) were related to ODI or pain after TDR. Although Modic
2 changes seem to be associated with improved outcome after TDR, the association is not
3 consistent between different studies or outcomes, and it should be examined in larger high-
4 quality studies.

5 Gornet et al. [12] found significantly less improvement in ODI score at two- and five-year
6 follow-up after TDR in patients with workers' compensation. They also found a statistically
7 significant association between a favourable outcome measured with ODI at five-year follow-
8 up and higher grades of disc degeneration preoperatively, presence of Modic type 2 changes
9 and a smaller proportion of the overall lumbar lordosis (L1-S1) at the treatment level.

10 Shorter duration of sick leave, absence of comorbidity, lower ODI score and higher education
11 at baseline increased the probability of employment at eight-year follow-up in our prediction
12 matrix. These findings are plausible, but in the literature there is no consensus on baseline
13 characteristics that predict return to work after surgery in patients with chronic LBP. In
14 populations including mostly non-operated patients with LBP or sciatica, Cougot et al. [30]
15 found that the patient's profession was the only predictor for return to work in health care
16 workers with LBP. In patients with sciatica, Grøvlø et al. [31] found that lower age, better
17 general health, lower baseline sciatica bothersomeness, lower score on the FABQ-work and a
18 negative straight leg raising test result were significantly associated with a higher probability
19 of returning to work. McGirth et al. [32] found that preoperative depression, arthritis and
20 prolonged preoperative opioid use reduced the likelihood of returning to work in patients
21 labeled as having degenerative chronic LBP without workers' compensation. In a longitudinal
22 study of women, Nordeman et al. [33] found that the six-minute walk test, depression and
23 earlier ability to work predicted the ability to work at two-year follow-up. Hence, the
24 biopsychosocial factors at baseline associated with employment at follow-up in our study find
25 broad support in the literature.

1 The strengths of this study are the prospective design, substantial follow-up rate (82 %), long
2 follow-up time, biopsychosocial approach and public financing.

3 The study also had limitations. First, a minimal clinically important change (MCIC) could be
4 defined in several ways. We define a clinically important improvement as 15 points
5 improvement in ODI score from baseline, in agreement with FDA studies [5, 8] and a
6 previous report from the present study [11]. A clinically important improvement is also
7 commonly defined as a 30 % improvement on ODI [1], and in the two-year follow-up in the
8 randomised study from which our data are extracted, the clinically important improvement
9 was calculated as 12.88 ODI points based on Receiver Operator Curve (ROC) analysis [34].
10 An ODI score ≤ 22 after surgery for degenerative disorders of the lumbar spine is suggested
11 as a threshold for a “satisfactory symptom state”, regardless of the baseline score [35].

12 Different outcome measures may be associated with different baseline variables.

13 Secondly, the sample size is limited. A larger simple size would have allowed us to fit a larger
14 prediction model, perform a validation and possibly identify further variables associated with
15 the outcome.

16 The cut-off values of the independent variables represent a third limitation. In order to create
17 a prediction matrix that could help clinicians and patients choose the right treatment for
18 chronic LBP, the independent variables had to be dichotomised. Due to the limited sample
19 size, the cut-off values were not only based on clinical recommendations, but also on
20 statistical properties that gave the best separation among subgroups of patients. The
21 associations might have been weakened if we had used other cut-off values for the
22 independent variables.

23 A fourth limitation is the relatively strict selection of patients. Our findings may not apply to
24 the general population with chronic LBP. On the other hand, TDR is only indicated in

1 selected patients, and we believe that the participants of this study are representative as
2 candidates for TDR.

3 Fifthly, we have limited knowledge of the natural course of chronic LBP over eight years.
4 However, Peng et al. [36] observed a small and clinically unimportant improvement from
5 46.4 to 44.0 points on ODI over four years in an observational study of patients with chronic
6 LBP. Therefore, we may assume that the change in physical function in our cohort is mainly
7 caused by the intervention, and only minimally influenced by the natural course of LBP.

8 Further, the substantial number of patients who had received treatments other than TDR might
9 have influenced the long-term results. Nine patients were reoperated. Patients who undergo
10 reoperations generally have inferior results [10, 37], which may weaken the association
11 between baseline characteristics and a clinically important improvement. Moreover, the 11
12 patients who crossed over from the rehabilitation group to TDR had a shorter observation
13 time. However, the sensitivity analysis that excluded those who were reoperated and those
14 who crossed over from rehabilitation showed results similar to those of the main analysis.

15 In conclusion, the presence of Modic changes was statistically significantly associated with
16 long-term improvement after TDR. Moreover, our visual prediction matrix, combining readily
17 available patient characteristics, revealed substantial differences between patient groups
18 regarding the probability of employment at long-term follow-up. The prediction matrix might
19 help to improve the patient selection for TDR, and act as a guide for physicians and patients
20 choosing a treatment for chronic LBP.

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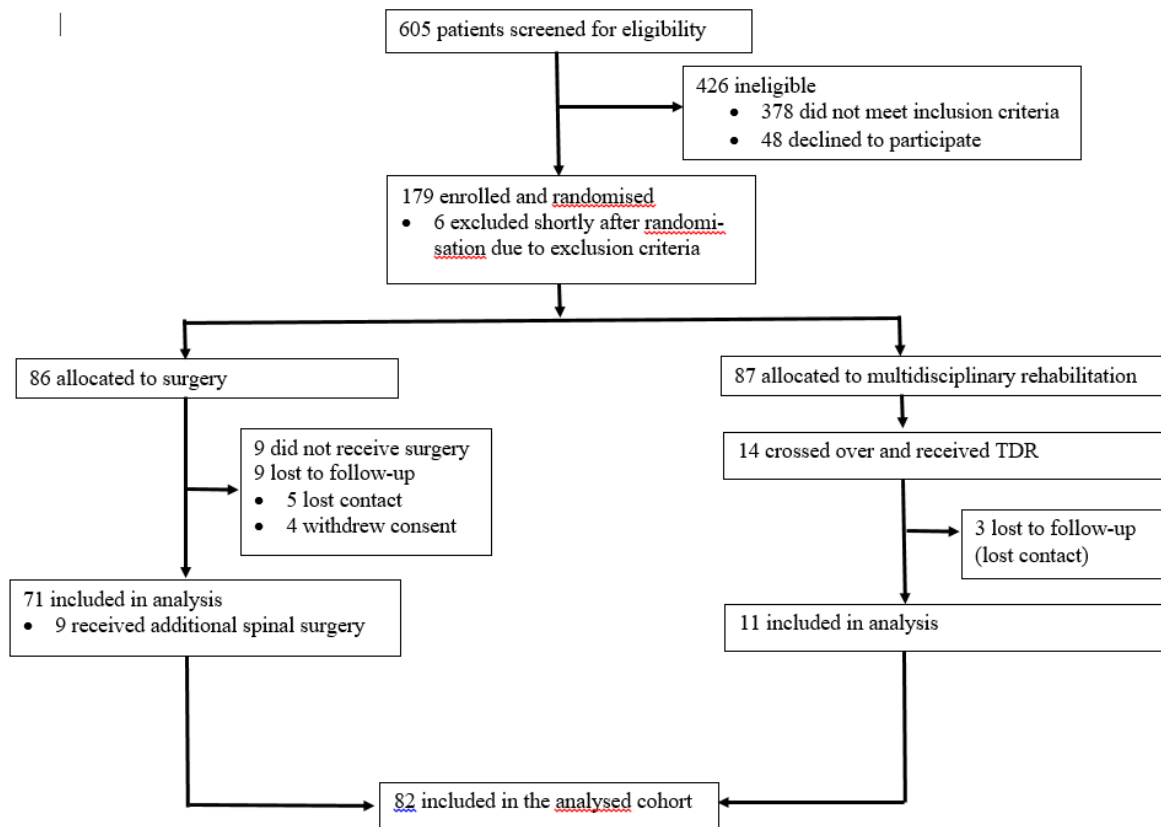
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Figure 1. Trial profile



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1 **Figure 2. Prediction matrix**

		Low education		High education	
		Comorbidity	No comorbidity	Comorbidity	No comorbidity
≥ 12 months sick leave	ODI ≥ 50	1 % (0-4)	9 % (3-15)	4 % (0-8)	24 % (15-33)
	ODI < 50	4 % (0-8)	25 % (16-35)	12 % (5-19)	52 % (41-63)
< 12 months sick leave	ODI ≥ 50	7 % (2-13)	38 % (28-49)	20 % (12-29)	67 % (56-77)
	ODI < 50	22 % (13-31)	68 % (58-78)	47 % (36-58)	87 % (80-94)

2 Probability of working (95 % CI) at long-term follow-up after total disc replacement using a
3 probability matrix model. Educational level (≤ 9 years or > 9 years, presence of comorbidity,
4 duration of sick leave before treatment (< 12 months or ≥ 12 months) and Oswestry Disability
5 Index (ODI, < 50 points or ≥ 50 points).

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Table 1. Baseline characteristics of analysed patient cohort

	n	%
Socioeconomic variables		
Manual worker (yes/no) (n=76)	31/45	41
Educational level (n=82)		
Primary and secondary school (9 years)	18	22
High school (12 years)	44	54
University/college	20	24
Working (yes/no) (n=82)	26/56	32
Duration of sick leave (months) (median, range) (n=79)	12 (0-70)	
Current smoker (yes/no) (n=81)	38/43	47
Gender (female/male) (n=82)	40/42	49
Age (median, range) (n=82)	41 (25-54)	
Clinical variables		
Prior surgery (yes/no) (n=82)	26/56	32
Affected level (n=82)		
L4/L5	17	21
L5/S1	39	48
L4/L5 and L5/S1	26	32
Comorbidity (yes/no) (n=82)	20/62	24
Oswestry Disability Index (median, range) (n=82)	40.0 (28.0-70.0)	
Body Mass Index (median, range) (n=80)	25.1 (18.5-35.4)	
Psychological variables and pain		
Hopkins Symptoms Checklist - 25 (median, range) (n=77)	1.68 (1.00-3.12)	
Fear Avoidance Beliefs Questionnaire - work (median, range) (n=74)	29.0 (2.0-42.0)	
Fear Avoidance Beliefs Questionnaire - physical (median, range) (n=75)	14.0 (2.0-24.0)	
Short Form - 36 Mental Component Summary (median, range) (n=77)	49.6 (13.0-71.4)	
Back pain (Visual Analogue Scale) (median, range) (n=80)	67.5 (19.0-97.0)	
Pain drawing (below waist/above waist) (n=77)	61/16	79
Duration of back pain (years) (median, range) (n=71)	4.0 (0.2-25.0)	
Daily consumption of narcotics (yes/no) (n=61)	25/36	41
Radiological variables		
Pelvic incidence (median, range) (n=74)	50.0 (25.0-79.0)	
Modic changes (n=81)		
Not present	13	16
Type 1	23	28
Type 2	30	37
Types 1 and 2	15	19
> 50 of vertebral body height (yes/no) (n=81)	27/54	33
Disc height reduction > 40 % (yes/no) (n=81)	55/26	68
Nucleus pulposus grade 3 or 4 (yes/no) (n=81)*	72/9	89
Facet arthropathy grade 2 or 3 (yes/no) (n=81)**	9/72	11
Posterior high intensity zone (yes/no) (n=81)	43/38	53

* Luoma et al. (ref)

** Fujiwara et al. (ref)

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1 **Table 2. Association between baseline characteristics and a clinically important**
 2 **improvement of 15 ODI points at long-term follow-up of patients undergoing TDR**
 3 **(achieved by 52 of 82 patients (63 %)).**

	Univariate logistic regression		
	OR	95 % CI	P
Socioeconomic variables			
Manual worker (no/yes)	1.65	0.64-4.22	0.30
Educational level			
Higher education (> 9 years vs ≤ 9 years)	1.13	0.39-3.33	0.82
Working (yes/no)	1.13	0.43-3.00	0.80
Duration of sick leave			
< 12 months (vs ≥ 12 months)	1.58	0.62-4.02	0.34
Current smoker (yes/no)	1.26	0.51-3.12	0.62
Gender (female)	1.41	0.57-3.49	0.45
Age ≥ 40 (yes/no)	1.48	0.60-3.65	0.40
Clinical variables			
Prior surgery (no/yes)	1.81	0.70-4.70	0.22
Affected level			
L4/L5 (vs L4/L5 and L5/S1)	1.07	0.28-4.05	0.93
L5/S1 (vs L4/L5 and L5/S1)	0.58	0.20-1.64	0.30
L4/L5 and L5/S1			
Comorbidity (yes/no)	1.10	0.38-3.14	0.87
Oswestry Disability Index ≥ 50 (yes/no)	2.70	0.70-10.48	0.15
Body Mass Index < 25 (yes/no)	2.03	0.80-5.13	0.14
Psychological variables and pain			
Hopkins Symptoms Checklist - 25	0.89	0.35-2.24	0.80
Fear Avoidance Beliefs Questionnaire - work	0.99	0.95-1.04	0.80
Fear Avoidance Beliefs Questionnaire - physical	0.95	0.87-1.05	0.32
Short Form - 36	0.99	0.96-1.03	0.60
Back pain (Visual Analogue Scale)	1.01	0.98-1.04	0.76
Pain drawing (above waist/below waist)	2.81	0.72-10.91	0.14
Duration of back pain (≥ 5 years/< 5 years)	1.98	0.69-5.65	0.20
Daily consumption of narcotics (yes/no)	1.00	0.34-2.88	0.99
Radiological variables			
Pelvic incidence > 55 (yes/no)	1.23	0.44-3.41	0.70
Modic changes			
Present (vs not present)	5.04	1.39-18.21	0.01
Type 1 (vs not type 1)	1.56	0.63-3.89	0.34
Type 2 (vs not type 2)	1.77	0.71-4.41	0.22
Types 1 and 2 (vs not types 1 and 2)	1.22	0.37-3.98	0.74
> 50 of craniocaudal diameter (yes/no)	3.79	1.25-11.49	0.02
Disc height reduction > 40 % (yes/no)	1.39	0.53-3.62	0.50
Nucleus pulposus grade 3 or 4 (no/yes) *	1.20	0.28-5.20	0.81
Facet arthropathy grade 2 or 3 (yes/no) **	1.20	0.28-5.20	0.81
Posterior high intensity zone (no/yes)	1.26	0.51-3.12	0.62

* Luoma et al. (ref)

** Fujiwara et al. (ref)

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1 **Table 3. Association between baseline characteristics and employment at long-term**
 2 **follow-up of patients undergoing TDR (42 of 82 patients (51 %) were employed at**
 3 **follow-up).**

	Univariate logistic regression			Multiple logistic regression		
	OR	95 % CI	P	OR	95 % CI	P
Socioeconomic variables						
Manual worker (no/yes)	1.3	0.5-3.3	0.54			
Educational level						
Higher education (> 9 years vs ≤ 9 years)	3.6	1.1-11.2	0.03	3.2	0.8-12.1	0.84
Working (yes/no)	2.3	0.9-6.2	0.08			
Duration of sick leave						
< 12 months (vs ≥ 12 months)	4.1	1.6-10.6	0.003	6.3	2.0-19.6	0.002
Gender (male)	1.3	0.6-3.2	0.51			
Current smoker (no/yes)	1.6	0.6-3.8	0.32			
Age < 40 (yes/no)	2.0	0.8-5.0	0.12			
Clinical variables						
Prior surgery (no/yes)	2.1	0.8-5.5	0.12			
Affected level						
L4/L5 (vs L4/L5 and L5/S1)	1.8	0.6-4.8	0.27			
L5/S1 (vs L4/L5 and L5/S1)	1.5	0.4-5.2	0.50			
L4/L5 and L5/S1						
Comorbidity (no/yes)	4.4	1.4-13.8	0.01	7.7	2.0-30.5	0.003
Oswestry Disability Index ≥ 50 (no/yes)	3.6	1.0-12.5	0.04	3.4	0.8-15.2	0.11
Body Mass Index ≥ 25 (no/yes)	1.2	0.5-2.9	0.65			
Psychological variables and pain						
Hopkins Symptoms Checklist - 25	1.0	0.4-2.4	0.95			
Fear Avoidance Beliefs Questionnaire - work	0.9	0.9-1.0	0.01			
Fear Avoidance Beliefs Questionnaire - physical	0.9	0.9-1.0	0.16			
Short Form - 36	1.0	1.0-1.0	0.80			
Back pain (Visual Analogue Scale)	1.0	1.0-1.0	0.86			
Pain drawing (above (and below) waist/below waist)	1.2	0.4-3.8	0.70			
Duration of back pain (≥ 5 years/< 5 years)	1.4	0.5-3.6	0.52			
Daily consumption of narcotics (no/yes)	1.4	0.5-4.0	0.50			
Radiological variables						
Pelvic incidence ≥ 55 (yes/no)	2.2	0.8-6.0	0.14			
Modic changes						
Present (vs not present)	1.3	0.4-4.3	0.65			
Type 1 (vs not type 1)	1.3	0.5-3.1	0.56			
Type 2 (vs not type 2)	0.8	0.3-1.8	0.55			
Types 1 and 2 (vs not types 1 and 2)	0.8	0.3-2.4	0.66			
> 50 of vertebral body height (yes/no)	2.0	0.8-5.1	0.16			
Disc height reduction < 40 % (yes/no)	1.1	0.4-2.9	0.81			
Nucleus pulposus grade 3 or 4 (yes/no) *	1.4	0.4-5.6	0.64			
Facet arthropathy grade 2 or 3 (yes/no) **	1.2	0.3-4.8	0.81			
Posterior high intensity zone (no/yes)	1.3	0.5-3.1	0.56			

* Luoma et al. (ref)

** Fujiwara et al. (ref)

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