- 1 EVOLUTION IN H₂O CONTENTS DURING DEFORMATION OF POLYCRYSTALLINE
- 2 QUARTZ: AN EXPERIMENTAL STUDY

3 ABSTRACT

- 4 Shear experiments were performed in a Griggs-type apparatus at 800°C and 1.5GPa, at a
- strain rate of 2.1 x 10^{-5} s⁻¹ using different starting materials: (i) Powder (grain size 6-10µm) of
- 6 dry Brazil quartz with 0.15wt% added H₂O, (ii) "dry" Brazil quartz porphyroclasts (grain size
- $\sim 100-200 \mu m$), devoid of fluid inclusions embedded in the same fine grained powder, and (iii)
- "wet" porphyroclasts (grain size $\sim 100-200 \mu m$), containing initially a high density of μm -scale
- 9 fluid inclusions embedded in the same powder. After hot pressing, samples were deformed to
- large shear strains (γ ~3 to 4.5), in order for the microstructures and H₂O distribution to
- approach some state of "equilibrium". The H₂O content and speciation in quartz were
- analyzed by Fourier Transform Infra-Red (FTIR) spectroscopy before and after the
- experiments. Mechanical peak strength is generally lower in experiments with 100% hydrated
- matrix, intermediate in experiments incorporating wet porphyroclasts (with a proportion of 30
- or 70%) and highest in those with dry porphyroclasts. All experiments with porphyroclasts
- show pronounced strain weakening, and the strengths of most samples converge to similar
- values at large strain. Wet porphyroclasts are pervasively recrystallized during deformation,
- while dry porphyroclasts recrystallize only at their rims and remain weakly deformed.
- 19 Recrystallization of the initially fluid-inclusion-rich porphyroclasts results in a decrease in
- inclusion abundance and total H₂O content, while H₂O content of initially dry clasts increases
- during deformation. H₂O contents of all high strain samples converge to similar values for
- 22 matrix and recrystallized grains. In samples with wet porphyroclasts, shear bands with high
- 23 porosity and fluid contents develop and they host the precipitation of euhedral quartz crystals
- surrounded by a free-fluid phase. These high porosity sites are sinks for collecting H₂O in
- excess of the storage capacity of the grain boundary network of the recrystallized aggregate.
- 26 The H₂O storage capacity of the grain boundary network is determined as a H₂O-boundary-
- 27 film of ~ 0.7 nm thickness.

1. INTRODUCTION

- 29 Quartz weakening by H₂O is well known since the seminal papers by Griggs and Blacic
- 30 (1965) and Griggs (1967). In natural rocks, it is tacitly assumed that quartz is sufficiently
- "wet", because quartz usually is one of the weakest components in plastically deformed rocks.
- However, some recent observations have described potentially drier natural rocks, which are

- deformed (Fitzgerald et al., 2006, Menegon et al., 2011, Kilian et al., 2016). On the other
- hand, there is clear evidence for shear zones involving material initially rich in H₂O
- 35 (principally in the form of fluid inclusions), such as metasediments along the subduction plate
- interface (Palazzin et al., 2016; Raimbourg et al., 2018; Raimbourg et al., 2015, Saffer and
- Tobin, 2011), and the final deformed rocks do not necessarily indicate high H₂O contents.
- Thus, the introduction or reduction of H₂O in quartz in natural rock deformation remains one
- of the great unknown parameters.
- As pointed out by Griggs (1967), the H₂O weakening effect in quartz may combine different
- elementary processes, which all contribute to enhance plastic deformation. One process is
- related to the enhanced intra-crystalline plasticity of quartz. It has been extensively studied in
- single crystals, most of them synthetic (Griggs, 1967, 1974; Griggs and Blacic, 1965;
- Kekulawala et al., 1978, 1981; McLaren et al., 1983; McLaren et al., 1989). The microscopic
- weakening phenomenon may eventually depend on the hydrolysis of Si-O bonds in the cores
- of dislocations (Griggs, 1974), on enhanced climb of dislocations (Cordier and Doukhan,
- 47 1989; Mainprice and Jaoul, 2009; Tullis and Yund, 1989), or involve the increased dislocation
- nucleation at nano-scale H₂O clusters acting as dislocation sources (Fitz Gerald et al., 1991;
- McLaren et al., 1989). H₂O, in the form of molecular H₂O is most efficient to weaken quartz
- single crystals (e.g., Paterson, 1989, Kekulawala et al., 1978, 1981). However, the growth of
- nano-scale clusters of H₂O to form larger fluid inclusions, resulting from heat treatment,
- increases quartz strength (Kekulawala et al., 1978): milky quartz containing a large
- concentration of H₂O confined in µm-scale fluid inclusions is stronger than synthetic crystal
- with a much lower H₂O concentration. The effect of OH structural defects on deformation is
- unclear but appears limited (Doukhan and Trépied, 1985; Paterson, 1989; Kronenberg, 1994;
- 56 Cordier et al., 1994). However, in a given quartz material, the weakening effect increases with
- 57 the H₂O content (Griggs, 1967, Blacic and Christie, 1984, Kronenberg and Tullis, 1984,
- Cordier and Doukhan 1989, Hirth and Tullis 1992, Den Brok et al., 1994, Stunitz et al., 2017).
- Another elementary process, proposed by Griggs (1967) as a cause of quartz hydrolytic
- weakening, is recrystallization, which operates in polycrystals (Jaoul et al., 1984; Tullis and
- Yund, 1989; Hirth and Tullis, 1992). Similar to single crystals, in quartz aggregates, there is
- an inverse correlation between the amount of added H₂O and the assemblage strength (Jaoul
- et al., 1984; Kronenberg and Tullis, 1984). In particular, in H₂O-rich experiments, the small
- 64 grain sizes decrease strength, suggesting some contribution of grain-boundary mediated
- process to weakening (Kronenberg and Tullis, 1984).

- The case of deformation of polycrystalline material is of prime interest to nature, as it directly
- applies to the strength of mylonites and ultramylonites. It involves the combination of
- intracrystalline and grain boundary processes, so that identifying the H₂O-weakening effect is
- 69 difficult. In addition, natural material may contain H₂O concentrations varying over several
- orders of magnitude (Ito and Nakashima, 2002): microcrystalline cherts, non-metamorphic or
- of diagenetic grade, contain up to $\sim 40,000 \text{ H}/10^6 \text{Si}$. In contrast, the threshold for the onset of
- weakening, in other words the boundary between wet and dry quartz in experiments on
- 73 synthetic quartz, is ~100 H/10⁶ Si (Griggs and Blacic, 1965; Cordier and Doukhan, 1991, Den
- Prock, 1994; Christie et al., 1964, Kilian et al., 2016). Not all of the H₂O content of natural
- 75 quartz (primarily in μm-scale fluid inclusions) has a weakening effect (Kekulawala et al.,
- 76 1978), but the mechanical role of large H₂O concentrations is still to be determined.
- In particular, considering natural quartz as a two-phase-system of crystal and fluid inclusions,
- the transfer of H₂O from the inclusions into the crystal (and the associated weakening) is
- 79 difficult because of the very low solubility and diffusive flux of H₂O in quartz (Kronenberg et
- al., 1986, Paterson 1986, Gerretsen et al., 1989). As a consequence, micro-fracturing
- 81 (Kronenberg et al., 1986, 1990, Gerretsen et al., 1989, FitzGerald et al., 1991, Stunitz et al.,
- 82 2017) and diffusion (along subgrain boundaries or dislocation cores; Post and Tullis, 1998)),
- as well as grain boundary migration (Gleason and DeSisto, 2008), have been proposed as
- mechanisms responsible for H₂O uptake, because oxygen diffusion may be increased along
- 85 dislocations or grain boundaries.
- 86 Finally, H₂O concentration is not a fixed quantity and depends itself on deformation, as
- 87 recrystallization redistributes H₂O within the material. For example, in rock of low
- 88 metamorphic grade from Japanese accretionary complexes, the H₂O concentration decreases
- with increasing grade, reflecting the progress of recrystallization (Ito and Nakashima, 2002).
- In grains with a large initial H₂O content stored in fluid inclusions, the migration of grain
- boundaries that accompanies recrystallization leads to the loss of most fluid inclusions and the
- decrease H₂O concentration (Bakker and Jansen, 1994; Kilian et al., 2016; Palazzin et al.,
- 2016). Therefore, the "steady-state" H₂O concentration (and the associated strength) in a
- deforming and recrystallizing aggregate is poorly known. FTIR measurements in natural
- mylonites formed at mid-greenschist and amphibolite facies conditions show low H₂O
- contents, <100 to ~320 H/10⁶ Si at grain boundary regions (Gleason and DeSisto, 2008) and
- 97 in individual single grains (Kilian et al., 2016).
- 98 Given the wealth of existing experimental and natural studies on H₂O weakening of quartz,
- this study specifically focuses on the strength of quartz as a function of H₂O-content. Another

aspect of this study is the evolution of H_2O -content (i.e. increasing and decreasing H_2O

contents in deforming polycrystals). "Wet" initial conditions (e.g., applicable to examples to

sediments in subduction settings) and dry initial crystals are compared and their H₂O-

evolution studied. We have carried out high strain experiments in order to simulate natural

shear zones but also to be as close as possible to steady-state conditions, both in terms of

microstructures and H₂O distribution. We focused on the role of H₂O on recrystallization and

the effect of H₂O content and speciation.

2. METHODS

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2.1 Experimental procedure

- All experiments were performed in a Griggs-apparatus at 800°C, ~1500 MPa and a constant
- strain rate of $\sim 2 \times 10^{-5}$ s⁻¹. These conditions were chosen in order to activate dislocation creep
- in the samples (cf. Hirth and Tullis, 1992). Pre-cut (at 45°) Al₂O₃ pistons were inserted into a
- pre-annealed platinum jacket. A ~1mm thick layer of fine quartz matrix powder was placed
- between pistons, which contained a fixed proportion of larger grains ("porphyroclasts"). The
- matrix material (Brazil quartz) was the same in all experiments while two distinct types of
- porphyroclasts were used.
- The quartz matrix comes from a dry Brazil single-crystal crushed in a stainless steel mortar.
- After crushing, we applied repeated cycles of sedimentation in a H₂O column to sort grains
- of a specific size. The powder we obtained consisted of grains with a diameter in the range of
- \sim 6-20µm, although a small fraction of the grains (\sim 15% in volume), had larger, up to 110µm,
- 120 diameter.
- The first material chosen for the porphyroclast was dry Brazil quartz. A single euhedral
- crystal of ~4 cm long was cut, trying to avoid optically visible secondary fluid inclusions
- planes. Porphyroclasts were obtained by crushing the selected part of the crystal in a stainless
- steel mortar and separating a size in the range of 200-250 µm by sieving.
- The second porphyroclast material was milky vein quartz from the Hyuga mélange, a low-
- grade metamorphic unit from the Shimanto Belt in Japan (Raimbourg et al., 2014; Palazzin et
- al., 2016 for detailed description). Fragments from mm-wide veins were crushed in an agate
- mortar and quartz was separated from the remaining matrix by a Frantz magnetic separator
- and density (Sodium Polytungstate) techniques. The material was sieved to separate the 200-
- 130 250 μm size fraction. A final hand-picking was carried out to avoid possible clay mineral
- 131 contamination.

The matrix and porphyroclasts were dried at 110°C for 48 hours before preparing the mixture. 132 Four experimental samples were prepared by mixing 30% and 70% porphyroclasts of either 133 Hyuga or Brazil quartz with the pure Brazil quartz matrix. In addition, one sample with 100% 134 pure Brazil matrix was deformed. 135 136 To ensure an homogenous distribution of clasts in the matrix, we added acetone to the clasts+matrix mixture in a glass beaker and stirred the slurry obtained in an ultrasonic bath, 137 until complete acetone evaporation (cf. de Ronde et al. (2005). The porphyroclasts and matrix 138 quartz mixtures were dried at 110°C and then placed on the lower Al₂O₃-piston surface after 139 adding distilled water with a micropipette. The material was wrapped in Ni-foil inside the Pt-140 jacket. The amount of water was adjusted to the proportion of the matrix (100, 70 or 30%), 141 corresponding to an H₂O-content of 0.15 wt.% for matrix (**Table 1**). The second pre-cut 142 piston was placed on top of the sample, and the Pt jacket was welded with a Lambert 143 precision point welder. The sample was then placed in the center of a graphite furnace inside 144 the solid confining medium (NaCl). The sample-piston assembly is illustrated in Figure 1a. 145 Pumping and heating were performed slowly to reach the desired temperature and pressure 146 conditions (normally over ~9 hours). Confining pressure was initially increased to 150 MPa 147 before heating. Temperature was increased in steps of 100°C at a rate of 25°C per minute at 148 pressure intervals of several hundreds of MPa. When pressure and temperature were at 1.5 149 GPa and 800°C, axial piston movement was started at a constant displacement rate. The 150 typical time to reach the hit point was ~20 to 24 hrs. During this stage, the sample was 151 effectively subjected to hot isostatic pressing, leading to a denser aggregate. 152 The hit point, marked by a sharp increase in axial force, corresponds to the onset of sample 153 loading and deformation. Axial force then rises rapidly to a maximum value ("peak stress"). 154 The subsequent deformation of the sample, up to $\gamma \sim 4-6$, is achieved for near constant, or 155 slowly decreasing axial force. 156 At the end of each experiment, the sample was quenched to a temperature of 200°C within 3 157 minutes. Subsequently, the confining pressure was lowered to room pressure over a period of 158 several hours, keeping a differential stress of (initially) 100-200 MPa on the sample in order 159 to minimize unloading cracks. 160 Some samples were kept under hydrostatic conditions without deformation to obtain 161

information of the microstructures during the initial steps of the experiments. All samples

were cut along their long axis normal to the shear zone in order to provide thin and thick

sections for microscopy and FTIR analysis, respectively.

2.2 FTIR measurements

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- To enable analysis of the H₂O-content, slices of the single Brazil quartz crystal (cut in the
- direction normal to the [c]-axis) and isolated porphyroclasts of Hyuga quartz (both
- corresponding to the starting material) were firstly embedded in orthodontic acrylic resin
- (Vertex Orthoplast) and then manually doubly polished (thickness 120-140 μm). Deformed
- samples were cutted and directly polished to 120-140 µm_thick sections. Samples were then
- accurately cleaned in ultrasonic bath with acetone to remove remaining resin. The H₂O-
- content was determined by Fourier Transform Infrared (FTIR) spectroscopy with a
- microscopic FTIR continuum spectrometer (Nicolet-6700, Thermo Scientific) at the Institut
- des Sciences de la Terre d'Orléans (France). All the measurements were carried out at
- atmospheric temperature, after purging the optical path of the IR beam with dry air in order to
- avoid measurement of atmospheric water. A sodium chloride window was used to collect and
- subtract the background for each measurement. A 50×50 µm aperture window was used for
- all the analyses. 256 scans per spectrum were collected with 4 cm⁻¹ resolution. We estimated
- the concentration of "molecular" H₂O, using the Paterson (1982) calibration of the integral
- absorption band between ~2800 and 3780 cm⁻¹ using the Beer-Lambert law,
- 181 $A = C * t * \square$

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- where C is the concentration, t the thickness, and \Box the integrated molar absorption
- coefficient (0,8120 L cm⁻² mol⁻¹). Sample thicknesses t was obtained from the height of the
- peak at 1790 cm⁻¹ corresponding to the Si–O band, again making use of the Beer-Lambert law
- 185 (e.g., Ito and Nakashima, 2002). H₂O concentration (wt. ppm H₂O/SiO₂) was obtained
- (Table 1) by dividing the H/10⁶Si-value of the Paterson (1982) calibration by a factor 6.67
- 187 given in Kilian et al. (2016).

2.3 EBSD - CIP - Grain Size determinations

- 189 Crystallographic preferred orientation (CPO) of quartz was measured by electron backscatter
- diffraction (EBSD) (Lloyd and Freeman, 1994; Prior et al., 1999) and by computer integrated
- polarized (CIP) microscopy (Heilbronner and Barrett, 2014). EBSD patterns were acquired
- with an Oxford AZTEC system and a Nordlys detector on a FE- Zeiss Merlin compact SEM
- at the University of Tromsø. We used thin sections with a thin carbon coat at 70° tilt angle, 20

- kV acceleration voltage, ~10 nA beam current, and 11 to 14 mm working distances at a step
- size of 0.1 µm. Initial noise reduction was performed with CHANNEL 5 software by
- removing isolated points and replacing non-indexed points with the orientation of their
- neighbors (interactively filled starting with eight similar neighbors down to six or five similar
- neighbors). Thin sections were rotated 45° counterclockwise in order to align shear zone
- boundaries (forcing block interface) to reference frame direction. Data were analyzed with
- 200 MTEX toolbox (Hielscher and Schaeben, 2008). Analysis of the grain boundary fraction was
- carried out using EBSD maps. Grains were segmented based on c-axis misorientation after
- 202 converting EBSD images to CIP images using a procedure described in Heilbronner and
- 203 Kilian (2017).

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3. RESULTS

3.1 Characterization of the starting material

- 206 Hyuga quartz has a milky appearance due to the great abundance of many tiny fluid
- inclusions (Palazzin et al., 2016). These inclusions range from a few to 10µm in diameter
- (Raimbourg et al., 2015) and are heterogeneously distributed in the porphyroclasts which look
- dark in thin section and grain mounts (**Figure 1b-1**). As shown by FTIR spectra, OH content
- 210 is variable as a consequence of the distribution of fluid inclusions (**Figure 1b-1**). Mean
- measured values (listed in **Table 1**) are of the order of $\sim 23,000 \text{ H}/10^6\text{Si}$, or $\sim 0.34\text{wt}\% \text{ H}_2\text{O}$,
- which is about twice the amount of H₂O added to the sample matrix. After the rise in T and P
- 213 up to 800°C
- and 1.5GPa, Hyuga porphyroclasts show a lower abundance of fluid inclusions than the
- starting material, so that they appear clearer (Figure 1b-2). The measured amount of OH is
- lowered to about half of the initial quantity (\sim 12,000 H/10⁶Si), corresponding to \sim 0.18wt%,
- similar to the added H₂O content of 0.15wt% (**Table 1**, **Figure 1b-2**).
- 218 Porphyroclasts of dry Brazil quartz are optically strain free and contain no fluid inclusions
- 219 (Figure 1b-3). The mean measured H content in Brazil quartz is about 260 H/10⁶Si and
- confirms that this material can be considered as "dry" quartz for deformation (**Table 1**; Post
- and Tullis, 1998; Paterson, 1989).

222 3.2 Mechanical data

- 223 Moderate peak shear stress and lower flow strength values (Figure 2, Table 2) indicate
- dominant plastic deformation in most samples at the applied strain rate of $\sim 2.1 \times 10^{-5} \text{ s}^{-1}$. Only
- 225 the 70% Brazil clast sample reaches peak stress above the Goetze criterion ($\Delta \sigma = 1600$ MPa at

- gamma ~2; **Figure 2**) for plastic deformation ($\Delta \sigma \approx P_{conf}$; Kohlstedt et al., 1995), all other
- samples are in the fully plastic regime. All curves are characterized by a first stage of a rapid
- increase in stress up to peak values ($\gamma = 0$ to $\sim 0.5/1.5$), followed by a weakening stage that
- 229 may or may not reach steady state stress values.
- Two samples with 100% matrix show a \sim 50% difference in peak stress (at $\gamma \sim$ 0.8) and a
- smaller difference at $\gamma \sim 3.5$ (Figure 2). The sample with 30% Hyuga porphyroclasts shows a
- peak shear stress between that of the two matrix samples (Figure 2) and a final stress value
- 233 that is almost identical to that of the matrix sample (\sim 260 MPa) at gamma \sim 3.2. The sample
- with 70% Hyuga porphyroclasts shows a considerably higher peak stress but weakens to a
- similar finite strength as the 30% Hyuga and matrix samples.
- Samples with Brazil porphyroclasts reach higher peak shear stresses than their Hyuga
- porphyroclast counterparts: up to ~650 MPa for 70% clasts and ~420 MPa for 30% Brazil
- clasts. After the peak stress, all matrix + clast assemblages display significant weakening with
- a similar slope, irrespective of the nature of the clasts. It is remarkable that the flow stresses
- of three assemblages, containing 70% and 30% of Hyuga porphyroclasts and 30% of Brazil
- porphyroclasts, converge towards a common flow stress of ~250-280 MPa at γ ~3.3 (**Figure**
- 242 2), similar to the 100% matrix, regardless of their peak stress values.

243 3.3 Microstructural observations

244 3.3.1 Hot pressed material

- After hot-pressing, only little porosity is observed, typically at triple junctions of grains
- (Figure 3). Pores rarely exceed 1 μ diameter, and total porosity is estimated to be ~ 2 to 4 %
- of the sample volume. Very few open grain boundaries are present, but these are
- 248 approximately normal to the piston axis, so that they probably result from quenching and
- 249 unloading (**Figure 3**).

3.3.2 Pure matrix deformation

- The deformed samples with pure 100% matrix are characterized by pervasive recrystallization
- of the deformed parts of the samples. Strain is partitioned into narrow regions at the piston
- ends, extending into a broader region, which occupies almost the full width of the shear zone
- in the center (Figure 1a). The quartz grains in the regions of strain localization show a strong
- shape fabric, and individual grain boundaries are difficult to detect in the high strain regions
- in the light microscope because of their strong CPO (see paragraph 3.5.1).

3.3.3 Matrix + Brazil porphyroclasts

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In these samples, a foliation results from the elongation of matrix domains and recrystallized 259 regions extending from porphyroclasts at an angle of about 18° to the shear zone boundaries 260 (piston interfaces; Figure 4a,b). In the sample with 70% clasts, tails of recrystallized quartz 261 262 (Figure 4b,d) extending from porphyroclasts define σ-type clasts (Hanmer, 1984b; Passchier and Simpson, 1986). Porphyroclasts in the assembly with 30% clasts show a variable shape: 263 some are elongated, while others have an equant shape, both of which are mainly inherited 264 from their pre-deformation state (Figure 4a,c). Porphyroclasts contain few or no subgrains. 265 Their rims are commonly sutured and their cores are surrounded by small recrystallized grains 266 (Figure 4c,d). Some undulatory extinction and deformation lamellae are common (Figure 267 **5a,b).** These microstructures are characteristic of dislocation creep regime 1 described by 268 Hirth and Tullis (1992). In both Brazil clast samples the extent of recrystallization of 269 porphyroclasts is limited, and relict clasts and recrystallized rims are easy to distinguish 270 (Figure 4-5a,b). 271 272 3.3.4 Matrix + Hyuga porphyroclasts Hyuga porphyroclasts (6a,b) invariably are strongly elongated, up to an aspect ratio of ~ 10 . 273 Their shape fabric defines a foliation at about 10° to the shear zone boundaries. They are 274 extensively recrystallized into elongated grain aggregates, with serrated boundaries, and 275 subgrains, forming a microstructure of dislocation creep regime 2 of Hirth and Tullis (1992). 276 Because of the penetrative recrystallization, former porphyroclasts are difficult to distinguish 277 from the surrounding matrix (Figs 5c,d and 6c), except for the fact that porphyroclasts 278 recrystallized and grown into larger grains and subgrains than the matrix (Figs 5c,d and 279 **6c,d**). The clasts in the 70% Hyuga porphyroclasts sample are more pervasively recrystallized 280 281 than in the 30% clast sample, possibly due to higher strain. 3.3.5 Shear Bands 282 In both samples containing Hyuga porphyroclasts, shear bands develop oblique to the shear 283 zone boundaries in a synthetic orientation (c'-orientation) with the imposed shear sense (Figs 284 6c,d and 7). The angle between these planes and the shear zone boundary varies between ~25 285 and ~35°. The stretched porphyroclasts define the S-planes of a SC' fabric (C-planes are 286 absent). Plane polarized light images show the shear bands as trails of small fluid inclusions 287 (Figure 7a). The shear bands are most ubiquitous in the sample containing 70% of Hyuga 288 289 porphyroclasts, where the bands cut across the matrix and the stretched porphyroclasts, making an average angle of 27 degrees with porphyroclast long axes. SEM images (Figure 290

- 7c) show that the C' bands are zones of high porosity, composed of cube-shaped, idiomorphic
- grains, of size \sim 2-5 µm, surrounded by voids.
- 293 3.4 H₂O content/distribution
- 294 3.4.1 Matrix
- 295 The original Brazil quartz crystal, from which the matrix was produced, contains a very low
- proportion of H_2O , of the order of ~250 H/10⁶Si (**Table 1 and Figure 1b-3**). In each
- experiment, H₂O had been initially added to the dry Brazil quartz matrix, with a proportion of
- 298 0.15wt%, or equivalently 10 000 H/10⁶Si.
- After the experiments, the matrix displays a broad absorption band in the region between
- 3000 and 3800 cm⁻¹ (**Figure 8a**), representing the molecular H₂O in quartz and in the grain
- boundaries. From this broad absorption band, the H₂O content after experiments can be
- estimated as \sim 900 to 2900 H/10⁶Si in deformed samples and \sim 4200 H/10⁶Si in an undeformed
- sample (464GP, **Table 1**). The water initially added has been retained to variable extent in the
- matrix during the application of pressure and temperature and later deformation.
- The same initial material (Brazil quartz matrix + 30% Hyuga porphyroclasts) was brought to
- high P and T in one experiment and then retrieved (464GP). In another experiment it was
- deformed to high strain (428GP). The Brazil matrix in the deformed sample contains ~1500
- $H/10^6$ Si, i.e. 2 to 3 times less than the corresponding experiment without deformation (~4200
- 309 $H/10^6Si$; **Table 1**).
- 310 Comparing the different experimental materials, the H₂O content of the matrix is
- significantly higher for experiments containing initially "wet" Hyuga porphyroclasts (~1500
- for 30% and ~2900 H/106Si for 70% porphyroclasts) than in experiments containing initially
- "dry" Brazil porphyroclasts (~950 and ~1300 H/106Si for 30% and 70% of porphyroclasts) or
- experiments with 100% matrix (~800 H/10⁶Si) (**Figure 8a, Table 1**). Additionally, in
- experiments containing Hyuga porphyroclasts, the H₂O -content of the quartz matrix and that
- of the recrystallized Hyuga clasts are similar after deformation, considering the large standard
- deviation: with 30% of clasts, the matrix contains ~1500 H/10⁶Si and porphyroclasts ~2300
- 318 H/10⁶Si, while for 70% clasts, the matrix contains \sim 2900 H/10⁶Si and porphyroclasts \sim 2350
- 319 H/10⁶Si (**Table 1**).
- All spectra show discrete absorption bands at 3363, 3382, and 3595 cm⁻¹, which were not
- present in the original Brazil quartz spectra (cf. Figures 1D and 8). The most prominent
- discrete absorption band in the deformed matrix measurements is at 3595 cm⁻¹.

3.4.2 Porphyroclasts 323 Hyuga porphyroclasts display a broad absorption band in the region between 3000 and 3800 324 cm⁻¹ (Figures 1b,c,d and 10a), which is related to molecular H₂O contained in the large 325 number of fluid inclusions initially present. During hot pressing the broad band of molecular 326 H₂O shows a general decrease with respect to the as-is material. This reduction in water 327 content is enhanced with deformation, as Hyuga porphyroclasts in deformed samples contain 328 $\sim 1/10$ of their initial water content (**Table 1, Figure 9a**). 329 In addition to this evolution of the H₂O content, the shape of the H₂O spectrum is also 330 modified by the application of P-T conditions and deformation from a broad triangular 331 absorption centered around around 3400cm⁻¹ that is charateristic of fluid H₂O to a very broad 332 flat flat shape absorption (Figure 9a) that is unlike absorption spectra of liquid H₂O. The 333 position of discrete absorption bands, related to structurally-bound H₂O, are also modified. 334 The initial spectrum displays only a discrete absorption band at 3382 cm⁻¹. Application of P-T 335 condition results in the development of a band at 3585cm⁻¹, while after deformation a discrete 336 band around 3595cm⁻¹ appears as well. As a result of this evolution in shape and integrated 337 area, recrystallized Hyuga porphyroclasts and the fine grained matrix converge towards a 338 similar IR spectrum (Figure 9b). 339 Initially, Brazil porphyroclasts contain virtually no H₂O (~250 H/10⁶Si), resulting in a flat 340 absorption spectrum (**Figure 8b**), where only one secondary absorption band at 3485 cm⁻¹ is 341 visible. The application of P-T conditions and deformation (Figure 10, Table 1) results first 342 in the increase in H₂O content in the cores of the porphyroclasts (~650 H/10⁶Si) and in their 343 recrystallized rims (~1500 for 30% and ~900 H/106Si for 70% of porphyroclasts). The very 344 weakly deformed cores, showing only undulatory extinction, contain a smaller amount of H₂O 345 (Figure 10b,c,f) than observed for the recrystallized regions with their grain boundaries 346 (Figure 10d,e,f). Furthermore, new discrete absorption bands appear at 3595 cm⁻¹ and at 3363 347 cm⁻¹ in the recrystallized region, whereas the core shows a discrete band at 3585 cm⁻¹, which 348 is absent in the recrystallized region (Figure 10a,f). 349 3.4.3 Shear bands 350 351 In the experimental sample containing 30% of Hyuga porphyroclasts, the shear bands that cut across porphyroclasts and matrix contain a high H₂O concentration of ~3700 H/10⁶Si (**Table** 352 1). This H_2O content is significantly higher than either porphyroclasts (~2300 H/10⁶Si) or the 353

matrix (~1500 H/10⁶Si) that hosts these bands. It corresponds to trails of fluid inclusions

355	(optical observations) and the presence of abundant voids (SEM observations) along the shear
356	bands (Figure 7a,c).
357	3.5 Crystallographic fabrics
358	Grain boundary maps, orientation images and pole figures are derived from EBSD maps and
359	shown for a pure matrix sample and for one sample containing 70% Hyuga and one with 70%
360	Brazil clasts. For easier interpretation, the orientation images are recalculated as c-axis
361	orientation images (see color look-up table on the right of Figs 11b-12b and 13b).
362	3.5.1 100% Matrix
363	In the 100% matrix experiment, the central region of pervasive recrystallization of the sample
364	shows elongated grains, whose long axes are oriented at ~25 degrees (on average) from the
365	shear plane (Figure 11a,b). Their CPO shows a well-defined maximum rotated synthetically
366	with the sense of shear, and all crystallographic axes are concentrated in or near the X-Z plane
367	(Figure 11c).
368	3.5.2 Recrystallized Hyuga porphyroclasts CPO
369	EBSD map shows that a large proportion of initial Hyuga porphyroclasts, strongly elongated
370	after deformation, consists of recrystallized grains with small grain size (light blue domain,
371	Figure 12a,b).
372	The CPO shows a similar asymmetry with respect to the shear plane as the 100% matrix.
373	Parts of the recrystallized regions show many subgrain boundaries in a rather uniform CPO
374	domain (Figure 12b).
375	
376	3.5.3 Recrystallized tail of a Brazil porphyroclast
377	In the experiment with 70% Brazil quartz, the crystallographic fabric of the recrystallized tail
378	is qualitatively similar as that of the 100% matrix, but the grain size distribution is broader
379	(Figure 13a,b). The c-axis maxima are slightly weaker (Figure 13c). The recrystallized tail
380	appears to have a better developed CPO than the matrix (orange domain, Figure 13b).
381	3.5.3 Shear bands and strain shadows
382	Shear bands are developed only in Hyuga clast samples. CIP analysis of these samples reveals
383	that shear bands inside larger porphyroclasts have a completely different c-axis orientation
384	from that of the surrounding material, as seen in light microscope images with the
385	compensation plate inserted (Figure 7b). Strain shadows around Brazil porphyroclasts

constitute other high-porosity domains where small idiomorphic grains are surrounded by voids, and the crystallographic orientation of these small grains, similar to that of shear bands, is very different from surrounding quartz. The strong contrast in orientation between the grains in shear bands and pressure shadows and the surrounding material, the high-porosity, and the grain shapes suggest that these grains formed as a result of precipitation in a fluid filled porosity.

After exhibiting an initial peak stress, all samples deform at flow stresses well below the

4. DISCUSSION

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4.1 Mechanical Data

values of the confining pressure (Figure 2; Goetze Criterion: Kohlstedt et al., 1995). The 395 inference of the Goetze Criterion that fractures opening and extension are suppressed when Pc 396 > differential stress is consistent with the fact that non of our sample contained thoroughgoing 397 fractures. The 100% Brazil quartz matrix samples show a higher flow stress than that of wet 398 polycrystalline quartz of Hirth and Tullis (1992) in coaxial experiments at the same 399 temperature and confining pressure. The shear strain rate of our experiments is faster than the 400 coaxial strain rate of Hirth and Tullis (1992), which may explain the differences. From the 401 mechanical data it is clear that the originally dry Brazil quartz matrix material has become 402 fully "wet" during pressurization and heating, as it has been achieved in experiments 403 404 previously by Post and Tullis (1998) and Rutter and Brodie (2004a). All samples with porphyroclasts show a pronounced peak stress and subsequent weakening 405 behavior, consistent with a higher initial strength of the large porphyroclasts, which 406 subsequently weaken during recrystallization. Even the Hyuga quartz, which contains a lot of 407 H₂O in the form of aqueous fluid inclusions and thus may be expected to be weaker than the 408 pure Brazil quartz matrix, shows this behavior. The mechanical evolution of the sample raises 409 two points: (1) Porphyroclast material shows pronounced strain weakening, probably caused 410 by recrystallization (2) Fine grained quartz material tends to be weaker than that with coarse 411 grained porphyroclasts. The former point has already been addressed by Hirth and Tullis 412 (1992), who have observed strain weakening behavior for dislocation creep regime 1. The 413 weakening is attributed to local grain boundary migration (bulging recrystallization) of more 414 or less undeformed porphyroclasts. In our examples here, dynamic recrystallization takes 415 place by progressive subgrain rotation (see below), so that the recrystallization mechanism 416 does not seem to be the only controlling factor for the weakening. 417

Steady state is reached by the 30% Hyuga material (428GP) after $\gamma \approx 1.5$ (Figure 2). The 70% 418 Hyuga sample (456GP) reaches similar stresses as the 30% sample, but at higher gamma 419 values and after higher peak stress. The grain sizes of the 70% Hyuga sample are smaller, 420 even though the finite stress values of the samples are identical. The findings are consistent 421 with the results by Kidder et al. (2016), who find that the recrystallized grain size is 422 dependent on stress history. 423 Samples with dry Brazil porphyroclast samples do not reach steady state after $\gamma = 3.5$ or 4 is 424 425 consistent with Brazil clasts behaving as more rigid particles, and their recrystallization takes more strain than does recrystallization of the Hyuga clasts. The high peak strength of the 70% 426 Brazil clasts sample can be attributed to the high strength of the Brazil clasts themselves with 427 low H₂O content. The fact that they survive deformation as more or less rigid particles implies 428 that they have not become sufficiently hydrated. Only limited recrystallization takes place at 429 their margins, where tails of recrystallized quartz grains develop (Figs. 5-6a,b and 14). The 430 CPO of their recrystallized grains is oriented synthetically with respect to the imposed shear 431 sense (dextral; **Figure 14**), i.e. similar to that of weaker material of other samples. 432 Applying the empirical quartz flow law by Hirth et al. (2001), and the Paterson & Luan 433 (1990) flow law (for silicic acid), we estimated the differential stress of our quartz matrix for 434 strain rate values of $2*10^{-5}$ s⁻¹. Both flow laws predict lower shear stresses ($\Delta \sigma/2$) than our 435 experimental values for pure matrix (111 MPa and 178 MPa versus observed ~200 MPa) but 436 the values are not far off (yellow star and red dot in **Figure 2**). 437 4.2 Effect of H₂O on deformation 438 Our samples are deformed by crystal-plastic processes, so that they can be compared with the 439 different deformation regime defined in Hirth and Tullis (1992). Even though Brazil and 440 441 Hyuga porphyroclasts were deformed at the same P and T, the degree and mechanism of recrystallization was quite different in the two materials. 442 Dry Brazil porphyroclasts show undulatory extinction and abundant deformation lamellae as 443 typical features for dislocation glide with limited recovery or recrystallization in quartz. These 444 features usually occur together with small scale fractures, kinks or short wavelength 445 misorientation bands, and dislocation tangles (Hirth and Tullis, 1992, Trepmann and 446 Stoeckhert, 2013, Stunitz et al., 2017) and can be compared to microstructures observed in the 447 dislocation creep regime 1 of Hirth and Tullis (1992). The presence of small recrystallized 448 grains limited to prophyroclasts rims suggests the onset of subgrain rotation recrystallization. 449

Recrystallized tails at porphyroclasts form a CPO similar to the matrix CPO (Figure 13),

- consistent with activation of slip on the basal <a> system (Schmidt and Casey, 1986; Law,
- 452 1990).
- Deformed milky Hyuga quartz shows very different microstructures. The shape of highly
- elongated Hyuga porphyroclasts can be compared with natural quartz aggregates deformed at
- 455 mid-greenschist conditions (Law, 1984) or with experimentally deformed Black Hill Quarzite
- (BHQ) described in regime 2 by Hirth and Tullis (1992) or by Hirth et al., (2001). These
- elongated grain aggregates are recrystallized to a great extent (**Figs. 6 and 12**). Similar
- 458 microstructures, and corresponding activated slip systems, are reported also for BHQ
- (Heilbronner and Tullis, 2006) deformed at higher temperatures and higher strain rates.
- According to our microstructural observations and CPO, recrystallization took place by
- subgrain rotation. The development of a common CPO for recrystallized porphyroclasts and
- 462 matrix indicates that deformation is rather homogenously accommodated within the whole
- system and dominated by basal <a> slip.
- The difference between Brazil porphyroclasts, deforming with limited recrystallization, and
- Hyuga porphyroclasts, pervasively recrystallized by subgrain rotation, can only be explained
- by their original difference in H₂O content. Our observations further support the effect of H₂O
- on enhancing microstructural development and recrystallization proposed by Jaoul et al.
- 468 (1984), Tullis and Yund (1989) and Hirth and Tullis (1992).
- Most samples converge to a similar strength after a shear strain of ~3 and recrystallization of
- large volume fractions of the samples (**Figure 2**), even though the initial concentrations of
- 471 H₂O differed (**Figs. 9 and 11, Table 1**). Some of the main parameters controlling strength are
- 472 therefore grain size and H₂O distribution, and we can deduce that weakening in experiments
- with Hyuga porphyroclasts is due to grain size reduction and fluid redistribution as a
- 474 consequence of dynamic recrystallization.

4.3 Evolution of H₂O with deformation

- 476 **4.3.1 H₂O speciation**
- In addition to the "broad" band of molecular H₂O in the range 2800-3800 cm⁻¹, our samples
- show a large set of secondary, discrete absorption bands distributed between 3660 and 3300
- cm⁻¹ (Figs 8-9 and 10 and Table 3). Most of these bands were not present in the original
- 480 material and appear after deformation.

481 4.3.1.1 - Absorption band at 3595 cm⁻¹.

The band 3595 cm⁻¹ has been detected in different kinds of natural clear quartz and 482 natural/synthetic amethyst (e.g. (Kats, 1962; Aines and Rossman, 1984; Rovetta, 1989; 483 Kronenberg, 1994), and in natural deformed and then annealed quartzite (Niimi et al., 1999). 484 The common interpretation is that H⁺ charge compensates for Al³⁺ substituting for Si⁴⁺. 485 Gleason and DeSisto (2008) described this band in pegmatitic quartz and quartz ribbons, 486 giving the same interpretation. 487 In our experiments, the band at 3595 cm⁻¹ is not detected in all samples but it is strictly 488 correlated with attaining P and T and most importantly with deformation. This band is first 489 identified in all matrix analyses (Figure 8a), while it is absent in the IR spectra of 490 undeformed Brazil quartz (Figure 8b). In Hyuga porphyroclasts, it is not observed at hot-491 pressed conditions, but it develops after deformation, when porphyroclasts are pervasively 492 recrystallized (**Figure 9**. The band is also observed in Brazil porphyroclasts, but exclusively 493 in their recrystallized tail (Figure 10b,f). The 3595 cm⁻¹ band is therefore closely associated 494 with recrystallized aggregates of grains, suggesting that the OH species of this band are 495 496 associated with grain or perhaps subgrain boundaries. 497 Several studies on quartz IR spectra in naturally-deformed rocks further substantiate the connection between a discrete absorption band near 3600 cm⁻¹ and recrystallization 498 microstructures. Quartz in mylonites from Sambagawa metamorphic rocks in Japan 499 (Nakashima et al., 1995) and the western Adirondack in the USA (Gleason and DeSisto, 500 2008) show a discrete absorption band around 3600 cm⁻¹. Such a peak is absent in other 501 mylonites, such as granite mylonite in the vicinity of the Median Tectonic Line in Japan 502 (Nakashima et al., 1995; Niimi et al., 1999). However, in these samples it is not clear whether 503 grain boundaries were analyzed by FTIR in addition to grain interiors. The speciation of OH 504 in quartz at subgrain/grain boundaries may be related to silanols at the quartz surface, 505 although the corresponding absorption bands are reported at slightly higher wavenumbers 506 (e.g. 3627 and 3649 cm-1, see the review in (Kronenberg, 1994)) than the ~3595 cm⁻¹ peak 507 observed in our study. In summary, a strong connection between increased surface area by 508 recrystallization microstructure and 3595 cm⁻¹ absorption band is clear from this study, but 509 the nature of this OH absorption band requires further work. 510

4.3.1.2 – Absorption band at 3585 cm⁻¹

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The absorption band at 3585 cm⁻¹ exists in both, Hyuga and Brazil porphyroclasts after deformation (**Figs. 9a,b and 10**). This band has been observed in different materials as

- amethyst (Kronenberg, 1994, Chakraborty and Lehmann 1976), metamorphic cherts (Ito and
- Nakashima, 2002), chalcedony (Frondel, 1982; Graetsch, 1985, 1987), flint (Graetsch, 1987),
- agate (Yamagishii et al., 1997) and synthetic quartz (Stalder and Konzett, 2012) Wood, 1960,
- Kats, 1962; Aines and Rossman, 1984; Cordier and Doukhan, 1989; Chakraborty and
- Lehmann, 1976; Paterson, 1986; Rovetta, 1989). In experiments of hydrothermal growth of
- quartz, the intensity of the band increases with pressure and H₂O activity in the surrounding
- fluid phase (Stalder and Konzett, 2012). A connection of this 3585 cm⁻¹ absorption band to
- deformation was established in deformation experiments (Stünitz et al., 2017), where the
- development of 3585 cm⁻¹ band is confined to deformed parts of single quartz crystals. In
- addition, a band at 3580 cm⁻¹ was observed to develop after annealing of quartz at high
- temperature (Cordier and Doukhan, 1991; Rovetta et al., 1986). In the latter set of
- experiments, it was correlated with the formation and healing of cracks during annealing,
- while no cracks were reported in the former study. Stünitz et al. (2017) suggest that the 3585
- 527 cm⁻¹ band may record OH-defects associated with dislocations. The systematic association
- between this band and deformation microstructures is also apparent in our samples. This
- band, absent in "as-is" Hyuga milky quartz samples, appears after both, hot-pressing and
- deformation (**Figure 10a**), while in Brazil quartz it is observed only in unrecrystallized but
- deformed (e.g. lamellae) porphyroclasts cores (**Figure 10f**). This close connection to
- microstructures supports the conclusion by (Stünitz et al., 2017) that the band 3585 cm⁻¹ is
- caused by structurally bond OH at dislocations.

4.3.1.3 – Absorption bands at 3382 cm⁻¹ and at 3363 cm⁻¹:

- The sharp absorption bands at 3382 cm⁻¹ and at 3363 cm⁻¹ are specific to the nature of the
- experimental material, either Hyuga or Brazil quartz.
- The absorption band at \sim 3380 cm⁻¹ is systematically observed in Hyuga milky quartz before
- and after deformation. It has been detected in quartz grains of gneiss (Gleason and DeSisto,
- 539 2008) and in cherts (Ito and Nakashima, 2002) and is attributed by several authors to OH
- groups bonded with Al⁺³ substituting for Si (Pankrath, 1991; Stalder and Konzett, 2012)
- Kronenberg, 1994; Suzuki and Nakashima, 1999; Gleason and DeSisto, 2008). This
- interpretation is supported in our case by the high content of aluminum in Hyuga quartz
- 543 (Raimbourg et al., 2015).

- The band at 3363 cm⁻¹ is observed only in deformed and recrystallized Brazil quartz, either in
- the matrix (**Figure 9a**) or at recrystallized tails of porphyroclasts (e.g. **Figure 11**). While it is

- generally assigned to surface Si-OH groups (Yamagishi et al., 1997), our study does not yield
- further clues to this attribution.
- To summarize, we infer that three different species of H₂O are observed after deformation.
- The first one is molecular H₂O incorporated in porphyroclasts on the form of fluid inclusions,
- represented by the broad absorption band between 2800 and 3800 cm⁻¹. The second type of
- H₂O is stored in grain boundaries as a result of recrystallization processes. It is represented by
- the discrete band at 3595 cm⁻¹ corresponding to structurally bond OH-defects. The third type
- of H₂O is characterized by the discrete band at 3585 cm⁻¹ and is probably due to OH-defects
- on dislocations.

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4.3.2 Mechanisms of H₂O incorporation and expulsion

- H₂O content in "as-is" Hyuga clasts is estimated at \sim 22,900 H/10⁶Si. It decreases first with
- the application of temperature and pressure to ~12,000 H/10⁶Si (hot-pressed conditions), and
- then further decrease to ~2,300 H/10⁶Si after deformation of γ ~4 (**Table 1 and figs. 1b,c,d**
- and 10). The expulsion of H₂O during the application of pressure and temperature does not
- proceed through recrystallization, as the corresponding new grains were not observed (Figure
- 1c). In the absence of significant deformation and formation of grain boundaries through
- recrystallization, the expulsion of H₂O is probably caused by micro-fractures draining fluids
- from inclusions. One driving force for fracturing during the rise in P and T conditions is the
- ΔP between the fluid pressure in the inclusions initially present (imposed by the fluid
- isochoric line) and the confining pressure (experimentally imposed). Decrepitation of fluid
- inclusions is observed for relatively low ΔP of less than a couple of 100 MPa in experiments
- 567 (Hall and Sterner, 1993; Vityk and Bodnar, 1995). Furthermore, the initial assembly has a
- relatively large porosity and heterogeneous grain size distribution (clast + matrix). The
- application of P and T results in compaction, hence in local stress concentration, which may
- result in fracturing that may drain fluid inclusions. These fractures are not easily observable
- because they are systematically healed at high pressure and temperature (Tarantola et al.,
- 572 2010).
- 573 During subsequent plastic deformation, dynamic recrystallization (Figs. 6-7-13) involving
- formation and mobility of grain boundaries, provides an efficient process to further decrease
- 575 the H₂O concentration in the quartz porphyroclasts (**Figure 14a**). Grain boundary mobility
- 576 has an important effect on modification of volume and distribution of fluid inclusions in
- experiments (Olgaard et FitzGerald, 1993; Schmatz et Urai, 2010). Observations in natural

- samples (e.g. Kilian et al., 2016) are consistent with experimental ones. In domains where the 578 Hyuga quartz recrystallized in nature as a result of deformation, the number of fluid 579 inclusions, hence the H₂O content, is strongly decreased (Palazzin et al., 2016). Similarly, Ito 580 and Nakashima (2002) show a strong decrease in the total H₂O content with increasing 581 recrystallization. This implies that extensively recrystallized "dry" porphyroclasts in natural 582 shear zones might have been initially wet. 583 In contrast to Hyuga porphyroclasts, "as-is" Brazil porphyroclasts have a very low initial H₂O 584 content (~260 H/10⁶Si). After deformation, both, slightly deformed cores and strongly 585 recrystallized domains, show a significant increase in H₂O: up to 640 and 950-1530 H/10⁶Si, 586 respectively (Table 1, Figures 11-14a). Their final content is similar to the hydrated matrix, 587 which indicates a transfer of H₂O from the matrix to the porphyroclasts during deformation. 588 The process responsible for the increased H₂O content of recrystallized tails of Brazil 589 porphyroclasts is probably identical to that of Hyuga clasts inferred above. The transfer of 590 H₂O to the unrecrystallized cores of Brazil porphyroclasts is probably caused by 591 microcracking as inferred by Kronenberg et al., (1986); Rovetta et al., (1986); Gerretsen et al., 592 (1989) and Cordier and Doukhan (1989, 1991). The H₂O uptake by non-recrystallized cores 593 of Brazil porphyroclasts, has a limited weakening effect, as attested by the higher strength of 594 samples with a high content of Brazil porphyroclasts (Figure 2). Their final H₂O content, 595 ~640H/10⁶ Si, is nevertheless higher than the minimum amount of H₂O in wet synthetic 596
 - 4.3.3 H₂O budget

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4.3.3.1 Concentration and distribution of H₂O

We measured relatively large and variable (from ~ 810 to ~ 3710 H/10⁶Si) concentrations of

quartz (~200 H/10⁶ Si) necessary for weakening of these crystals (Kekulawala et al., 1978,

- 602 H₂O in the deformed quartz assemblies (**Table 1**). The large variability reflects first the
- difference in initial H₂O content: in deformation experiments with Hyuga porphyroclasts, the
- final H_2O content is between ~1470 and 3710 H/10⁶Si, while in experiments with Brazil
- porphyroclasts, or pure matrix, the final H_2O content is between ~640 and 1530 H/10⁶Si.
- Hyuga porphyroclasts, with their stored high H₂O content in fluid inclusions, act as an
- additional source of H₂O.
- The experiments were carried out to large shear strain in an attempt to reach some steady-state
- of the microstructure and H_2O concentration. The flat portions of σ - \square curves do not reach

perfect steady-state, but the convergence of strengths of most samples indicates that some 610 final stage of the microstructural development has been reached. In order to find a physical 611 meaning of the H₂O concentration in experiments with Hyuga porphyroclasts, we need to 612 consider where the H₂O is stored, as the IR analysis window size (50x50um) is larger than the 613 grain size, so that the analyses include grain interiors and grain boundaries. 614 In experiments in quartzite of a large grain size, carried out at the same P-T conditions as 615 ours, a strain rate of 10⁻⁶s⁻¹ and with 0.3 wt% H₂O (Post and Tullis, 1998), the H₂O content in 616 quartz interiors is ~800 H/10⁶Si, i.e. considerably lower than that in the finely recrystallized 617 Hyuga material, in spite of the very large amount of initially added H₂O (0.3 wt% H₂O \approx 618 20,000H/106Si). In natural mylonite deformed at 800°C and 800MPa, the intracrystalline H₂O 619 content is ~320 H/106Si (Gleason and DeSisto, 2008)). A compilation of data of natural 620 quartz deformed in nature and in experiments, shows that intragranular H₂O is significantly 621 lower than bulk measurements, which include the contribution of H₂O at grain boundaries 622 (Kilian et al., 2016). This hypothesis is supported by direct FTIR transects across grains and 623 their boundaries (Post and Tullis, 1998), or by the inverse relationship, in various 624 metamorphic rocks, between the grain size of a rock and the H₂O volume that can be stored in 625 the grain aggregate (Ito and Nakashima, 2002). 626 The concentration of H₂O stored in the grain boundaries of the recrystallized material varies 627 systematically; in experiments with 30% or 70% of Hyuga porphyroclasts, the recrystallized 628 porphyroclasts yield an approximately uniform content of ~2300 H/10⁶Si. The matrix 629 contains similar amounts of H₂O (~1500 and 2900 H/10⁶Si). These matrix H₂O contents are 630 systematically larger than in the 100% matrix experiment (~800 H/10⁶Si), despite the same 631 amount of initially matrix-added water. Thus, H₂O is redistributed from Hyuga porphyroclasts 632 to the matrix producing a uniform range of H₂O contents in Hyuga porphyroclasts and matrix. 633 The H₂O concentration tends to some "equilibrium" concentration, similar to the 634 microstructural evolution which tends to some final "equilibrium" state (Figure 14a). 635 4.3.3.2 Shear bands: evidence for excess H₂O 636 637 Shear bands are primarily observed in experiments with Hyuga porphyroclasts and are composed of small faceted quartz grains surrounded by void space (Figure 7c). IR 638 measurements show that they contain a significantly larger amount of H₂O than the 639 surrounding matrix (**Table 1**). Their CPO is also different from the surrounding quartz matrix 640 (Figure 12). The grain shapes, presence of voids between crystals, and the different crystal 641 orientations from host quartz suggest growth of these quartz grains in a pore space filled with 642

excess free fluid phase is stored. 644 4.3.4 H₂O stored in the grain boundary region 645 We observed that the deformed quartz aggregates develop towards a common microstructure 646 (Figs. 11-12 and 13), strength (Figure 2), and H₂O content of the matrix, clasts, and 647 recrystallized material regardless of the initial starting material and relative clast contents 648 (Figure 14a). The redistribution of H₂O mainly takes place by recrystallization and formation 649 of new grain boundary area. If it is assumed that the largest part of the H₂O is distributed 650 along the grain boundary regions (the grain interiors cannot store a large amount of H₂O as 651 fluid inclusions because the grain size is below 10 µm), then the volume fraction of H₂O in 652 the quartz aggregate can be plotted against the grain boundary area per analyzed volume 653 (Figure 14b). The volume fraction of H₂O is measured by FTIR (Table 1), and the grain 654 boundary density (length per surface) is determined by EBSD in the deformed microstructures 655 (Figs. 11-12 and 13, Table 4). A similar approach was taken by (Ito and Nakashima, 2002), 656 who used modeled average grain sizes instead of measured boundary fractions. As a result, 657 the pure matrix and the matrix with Brazil clasts have the same nominal grain boundary 658 widths of ~0.7 nm, whereas the matrix with Hyuga clasts has a grain boundary width which is 659 more than twice this width (~1.7 nm; **Figure 14b**). Realistic grain boundary widths have been 660 determined to be ~0.5 nm for feldspar (Hiraga et al., 2004) and ~1nm for pyroxene 661 (Raimbourg et al., 2011), of similar magnitude to those determined here for quartz. The 662 physical state of H₂O stored at grain boundaries is not established, nor the precise nature of 663 the grain boundary itself (Raimbourg et al., 2011). Two types of models are usually proposed 664 for H₂O along the grain boundary: (1) A thin molecular film or (2) A "channel-island" 665 structure, where domains of thin film ("islands") are separated by channels where the 666 thickness of H₂O is larger (e.g. (Den Brok, 1998; Nakashima, 1995)). Our results cannot 667 resolve the details of H₂O distribution along grain boundary, and the method employed here is 668 neither appropriate nor intended for an exact determination of a grain boundary width. The 669 670 "nominal boundary width" obtained here is merely a measure of the H₂O storage in the grain boundary region under the assumption that the H₂O is evenly distributed along the grain 671 672 boundaries with a common grain boundary width (Figure 14a). The thicker nominal grain boundary width of the sample with Hyuga clasts and higher H₂O 673 content coincides with the occurrence of shear bands (absent in other samples), which are 674 sites of porosity and thus H₂O storage. It is likely that the nominal boundary width of ~1.7 nm 675 676 corresponds to the H₂O storage capacity of the grain boundary region. If this storage capacity

fluid during deformation at high P and T. These shear bands appear to be the locations where

- is exceeded, shear bands may develop. This process accounts for the fact that shear bands
- develop principally in the material with the highest initial H₂O content (i.e. in the samples
- with Hyuga porphyroclasts). Riedel shear bands, formed during deformation and acting as
- drains to collect the H₂O from the surrounding matrix, have been similarly described in wet
- $(1.3 \text{ wt}\% \text{ H}_2\text{O})$ Dover flint experiments (Schmocker et al., 2003).
- The shear bands do not have a weakening effect on the bulk sample: The sample with 70%
- Hyuga clasts and the highest H₂O content is not weaker than others (**Figure 2**). The lack of
- weakening by shear bands can be explained by a lack of simple connectivity of these bands on
- the sample scale (Pec et al., 2016; Marti et al., 2017).
- If H₂O is principally stored at grain boundaries, the storage capacity of the grain aggregate is
- controlled by the grain size distribution and evolution. The process of redistribution of H₂O
- takes place by different processes of microcracking (including fluid inclusion decrepitation)
- and formation of grain boundaries by recrystallization. These processes can be observed in
- 690 natural samples and the H₂O storage capacity will be an important parameter to be determined
- 691 for deformation in natural samples, too.

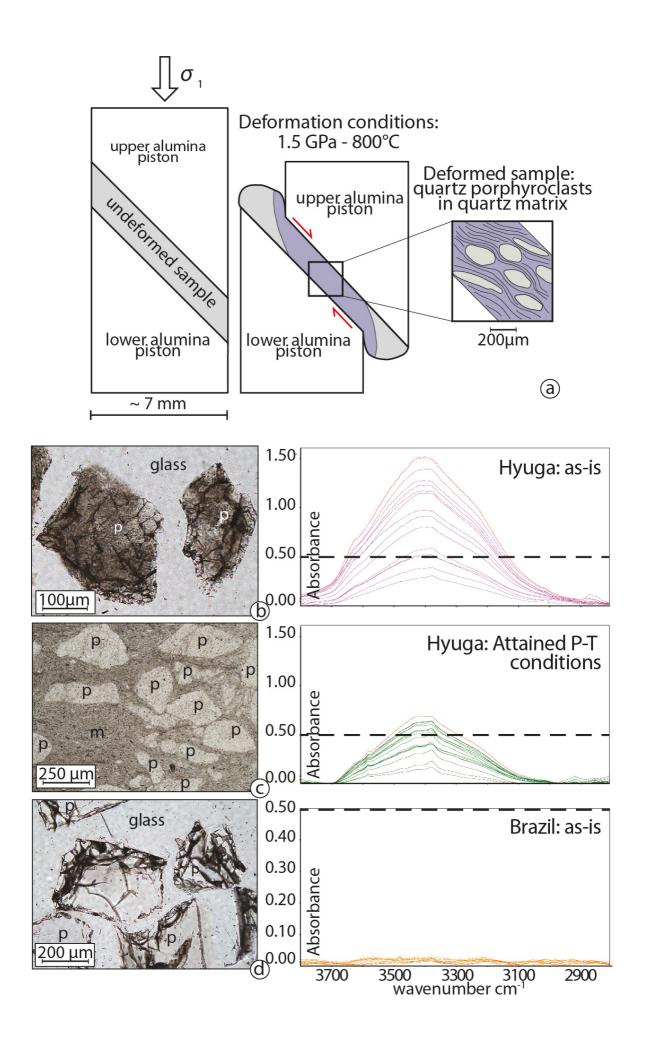
692 5. Conclusions

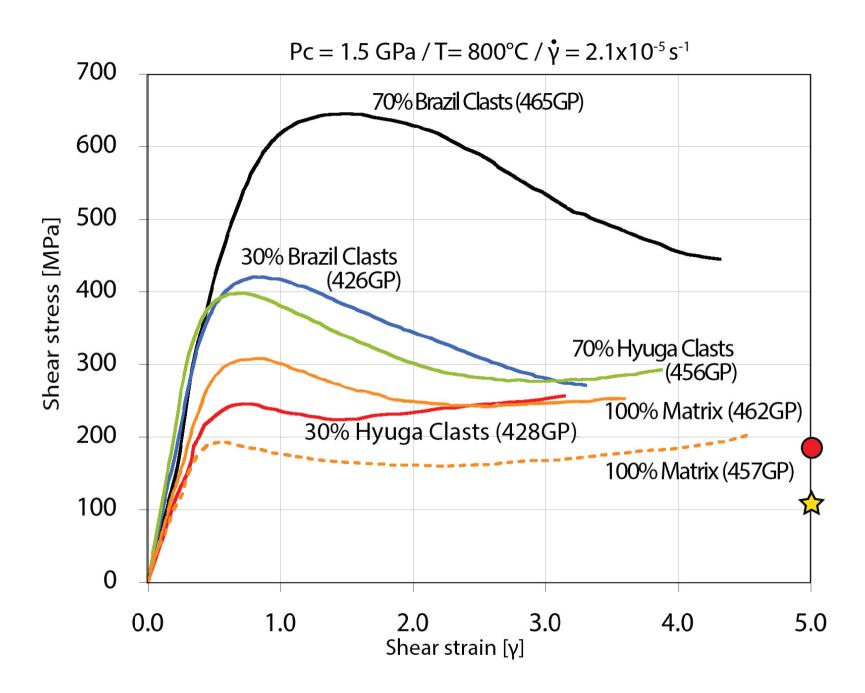
- In this experimental study the mechanical behavior, microstructural evolution, and H₂O
- content of different starting materials of "wet" quartz converge at higher shear strain at
- T=800°C, strain rate=5*10⁻⁵ s⁻¹ and Pc=1.5GPa. From these results we draw several
- 696 conclusions:
- The high crystalline H₂O content, initially present in the form of fluid inclusions in
- wet quartz, is responsible for enhancing the recrystallization rate, in contrast to
- initially dry crystals that do not recrystallize extensively.
- Sharp absorption bands at 3585 cm⁻¹ and 3595 cm⁻¹ develop during deformation. The
- occurrence of the 3585 cm⁻¹ band is consistent with dislocation-bound OH, whereas
- the 3595 cm⁻¹ band most likely indicates OH adsorbed at grain boundaries.
- Recrystallization results in a clear decrease in H₂O concentration in quartz crystals
- initially rich in fluid inclusions, whereas H₂O uptake in initially dry crystals takes
- 705 place by microcracking.
- In the deformed and recrystallized assemblies, most H₂O is stored in the grain
- boundary region. The amount that can be stored in the grain boundary (i.e. the storage
- capacity) is limited and corresponds to a nominally ~ 1.7 nm thick grain boundary

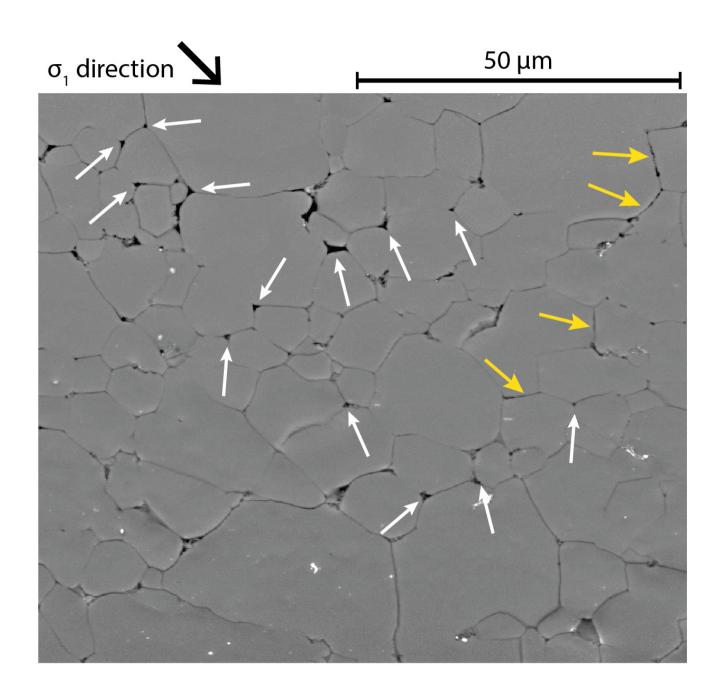
- region. H_2O in excess of this nominal thickness is drained into micro-veins, formed as dilatant synthetic shear bands.
- These dilatant shear bands do not control the strength of samples as they are not simply connected.

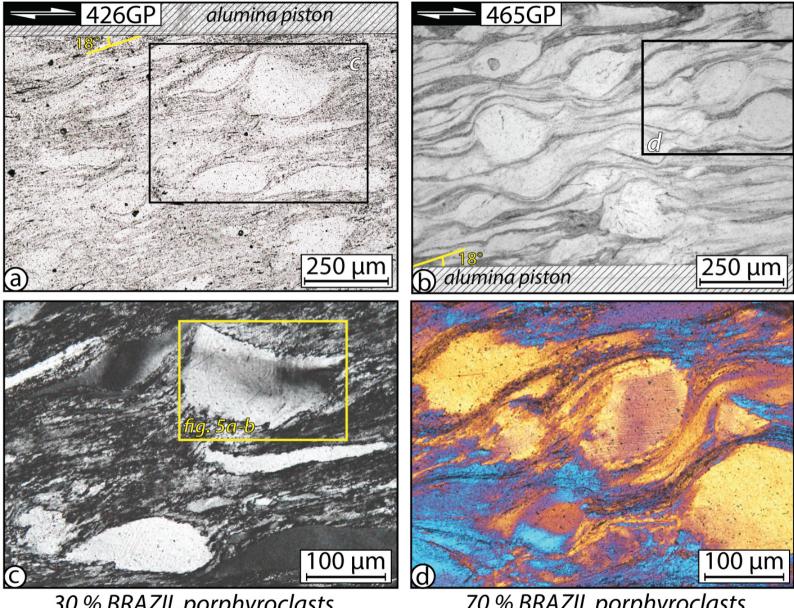
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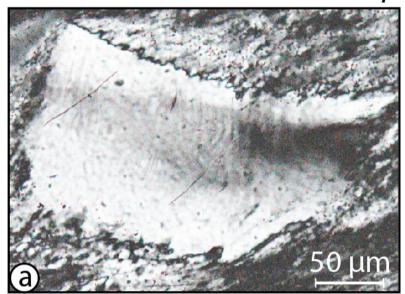


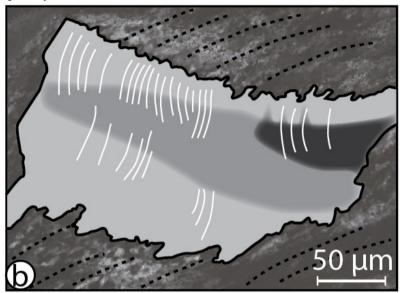


30 % BRAZIL porphyroclasts

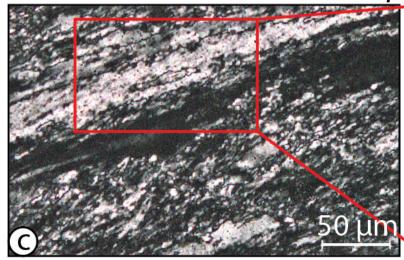
70 % BRAZIL porphyroclasts

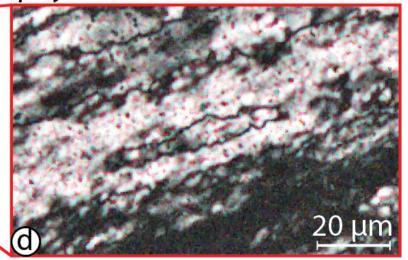
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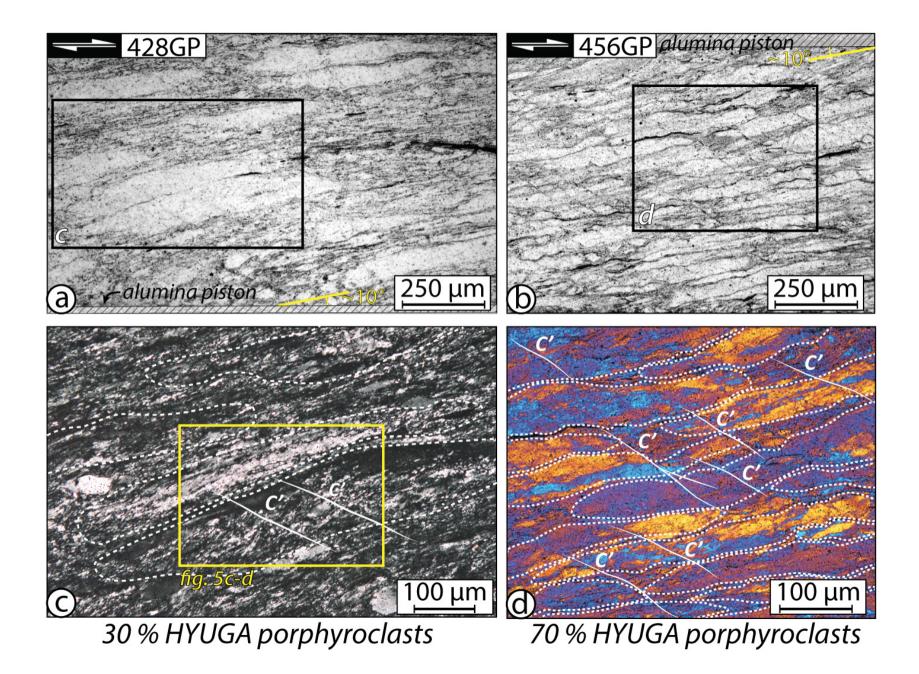


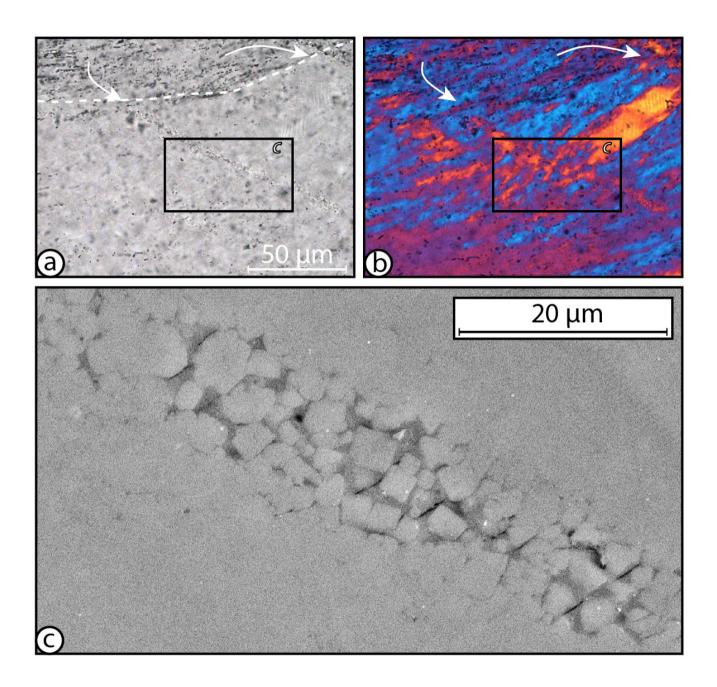


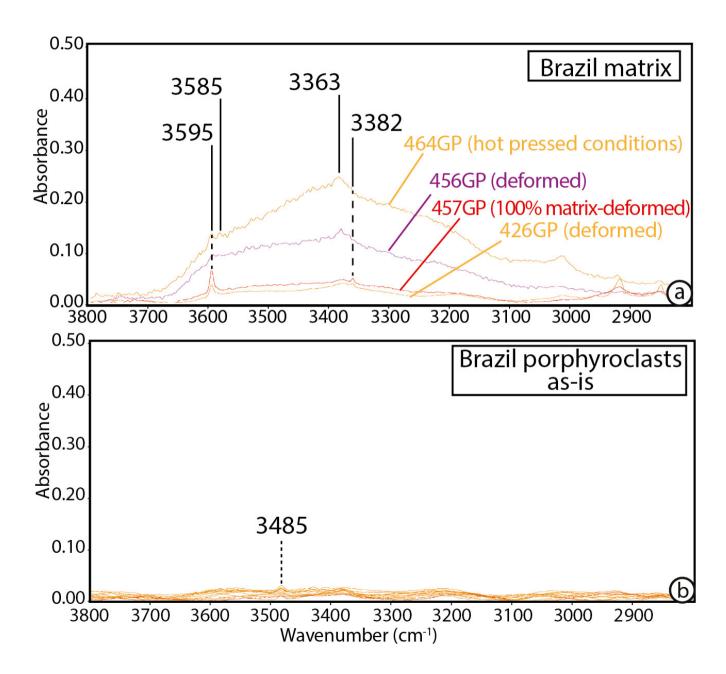
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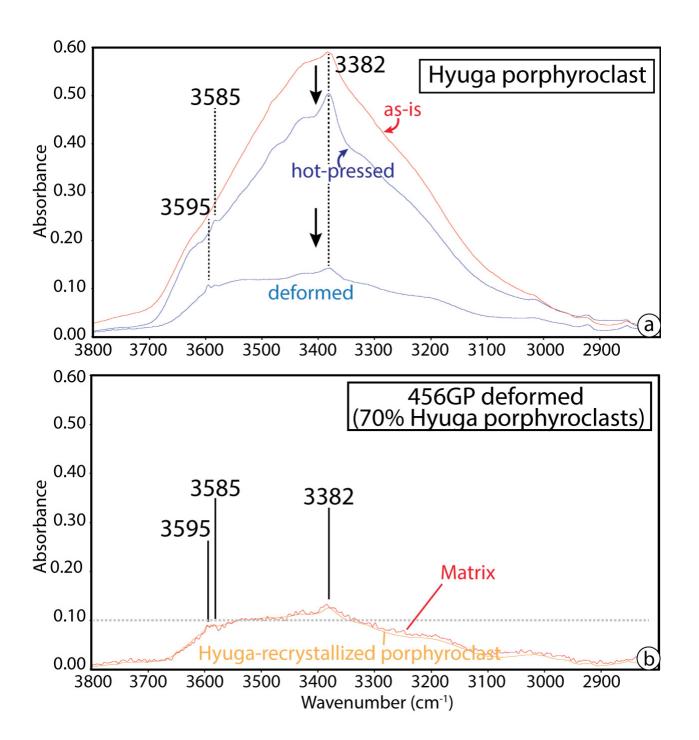


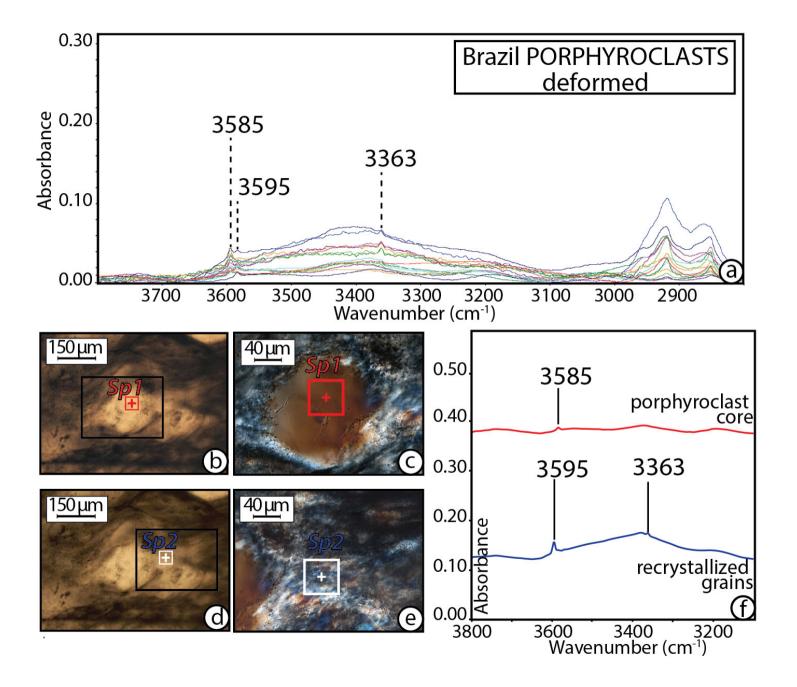


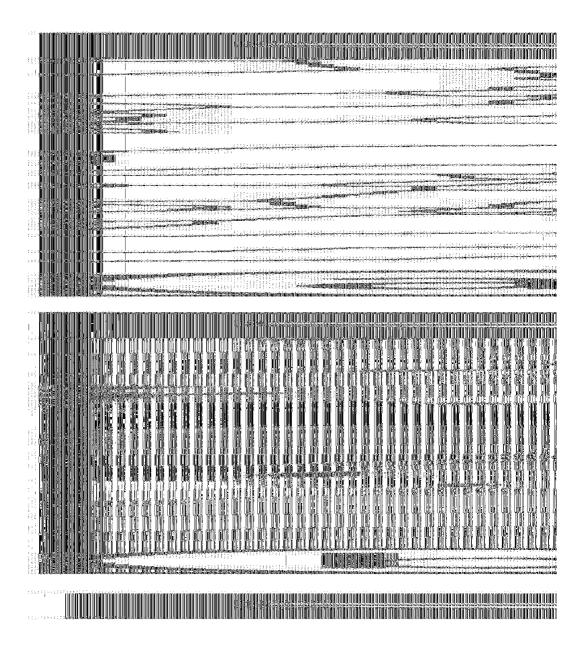




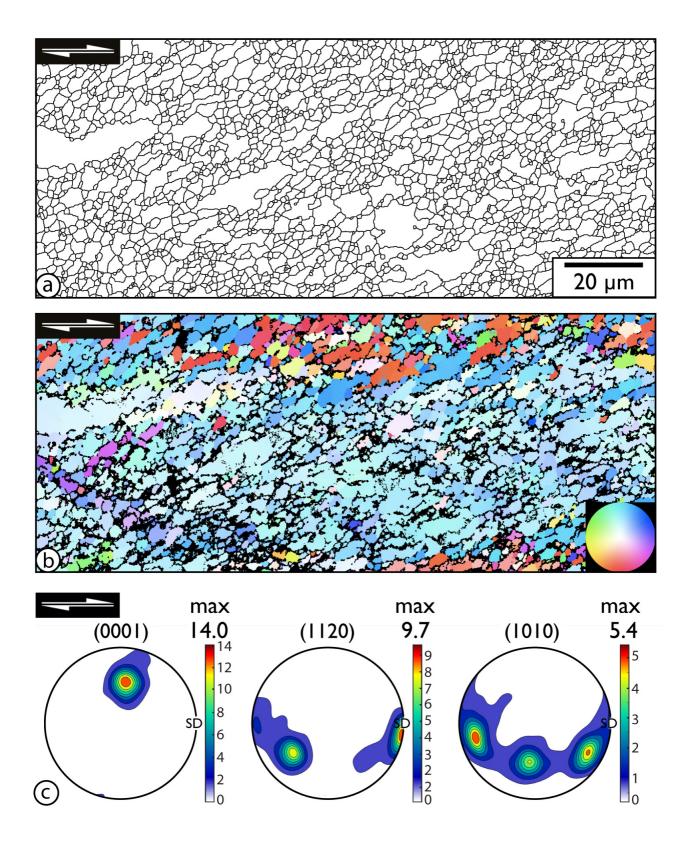


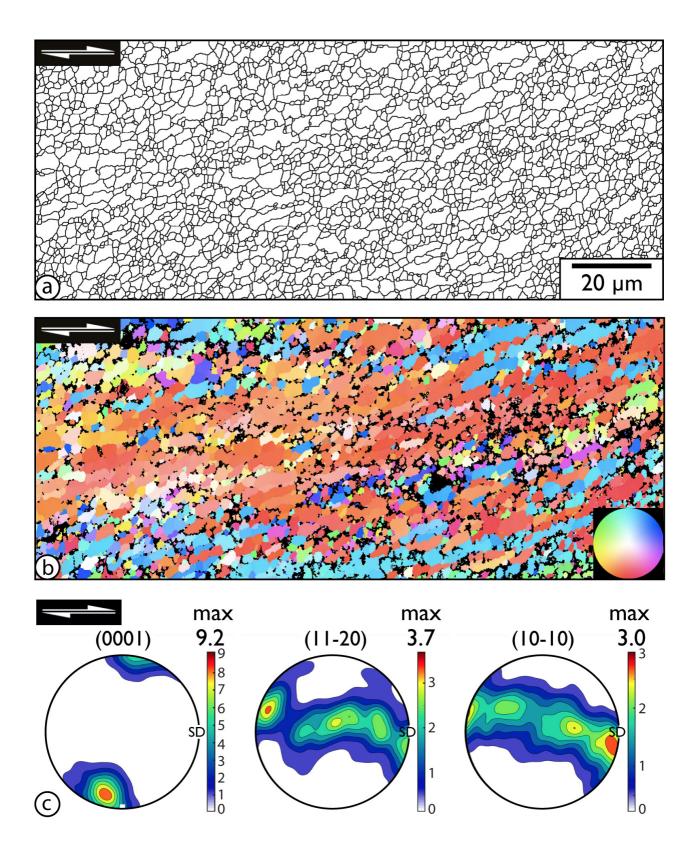


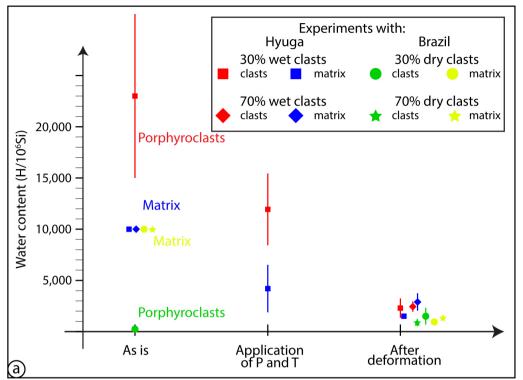












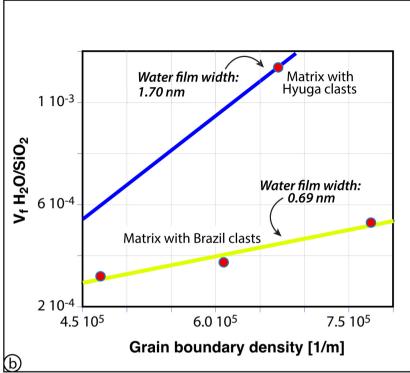


Table 1 - Water content

Sample number	Composition of sample	Condition of sample	Measured material	Thickness (cm)	Abs (cm²)	SD	wt. ppm H₂O	SD	wt. ppm H/10 ⁶ Si	SD	Number of analyses
457GP	100% matrix	deformed	Matrix	0.0120	11.1	2.90	121	28	807	189	31
starting material	Hyuga porphyroclasts	as-is	Porphyroclast	0.0140	383.5	164.4	3446	1234	22973	8224	16
464GP	Hyuga porphyroclasts	attained P-T conditions	Core of porphyroclast	0.0133	191.2	56.2	1809	516	12058	3448	16
464GP	Matrix with Hyuga porphyroclasts	attained P-T conditions	Matrix	0.0127	63.9	34.7	633	344	4219	2296	10
428GP	Hyuga porphyroclasts	deformed	Porphyroclast	0.005	13.6	5.61	342	141	2278	941	8
428GP	Matrix with Hyuga porphyroclasts	deformed	Matrix	0.005	8.76	1.31	220	33	1469	220	9
428GP	Matrix with Hyuga porphyroclasts	deformed	Shear Bands	0.005	22.1	5.66	556	142	3710	949	12
456GP	Hyuga porphyroclasts	deformed	Recrystallized porphyroclast	0.0141	39,6	7.77	353	76	2355	509	15
456GP	Matrix with Hyuga porphyroclasts	deformed	Matrix	0.013	44.8	14.8	430	129	2868	863	9
starting material	Brazil porphyroclasts	as-is	Porphyroclast	0.0136	4.19	0.9	39	10	258	68	7
426GP	Brazil porphyroclasts	deformed	Core of porphyroclast	0.0123	9.36	6.18	96	68	638	455	13
426GP	Brazil porphyroclasts	deformed	Recrystallized porphyroclast	0.0118	21.5	14.9	229	131	1526	873	11
426GP	Matrix in Brazil sample	deformed	Matrix	0.0131	14.8	5.03	142	45	947	299	12
465GP	Brazil porphyroclasts	deformed	Recrystallized porphyroclast	0.0201	21.5	8.35	135	52	898	348	16
465GP	Matrix in Brazil sample	deformed	Matrix	0.0201	31.9	9.39	200	59	1332	391	24

Table 2-Mechanical Data

Sample number	Composition of sample	% water added	γ ^[?] [s ⁻¹]	Peak τ [MPa]	γ at peak shear stress	τ at γ = 3 [MPa]	τ at end [MPa]	Y final
457GP	100% matrix	0.15	2.21 x 10 ⁻⁵	192	0.61	164	201	4.59
462GP	u	u	2.19 x 10 ⁻⁵	307	0.78	247	256	3.54
426GP	30% Brazil p.	0.10	2.12 x 10 ⁻⁵	421	0.81	286	271	3.30
428GP	30% Hyuga p.	0.10	2.08 x 10 ⁻⁵	245	0.80	247	256	3.14
465GP	70% Brazil p.	0.045	2.17 x 10 ⁻⁵	645	1.55	553	445	4.31
456GP	70% Hyuga p.	0.045	2.07 x 10 ⁻⁵	398	0.69	277	292	3.87
464GP	30% Hyuga p.	0.10	0.5	-	-		-	-
459GP	100% matrix	0.15	18	-	-		-	-

Table 3 - Absorption bands

					Abso	rption [cm ⁻¹]		
Experiment	Material	Conditions	3363	3385	3430	3485	3585	3595	3625
-	Hyuga porphyroclasts	as-is		Х	Х	Х			Х
464GP	Hyuga porphyroclasts	attained P-T cond.		Х	Х	Х	Х		X
456GP	Hyuga porphyroclasts	deformed		Х			Х	Х	
-	Brazil porphyroclasts	as-is				Х			
426GP	Brazil porphyroclasts	deformed	х				Х	Х	
464GP	Matrix in Hyuga assembly	attained P-T cond.		Х				Х	
456GP	Matrix in Hyuga assembly	deformed		Х				Х	
426GP	Matrix in Brazil assembly	deformed	Х					Х	

Table 4- Grain boundary density

Experiment	Composition of sample	Grain boundary density (1/m)					
457GP	100% matrix	4.7 10 ⁵					
456GP	70% Hyuga porphyroclasts	6.7 10 ⁵					
426GP	30% Brazil porphyroclasts	6.09 10 ⁵					
465GP	70% Brazil porphyroclasts	7.75 10 ⁵					

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Figure 1

- a) Schematic representation of the sample assembly used in this study, before and after deformation, showing the piston final positions and the state of the deformed porphyroclasts + matrix mixture. Blue color indicates high strain deformed sample.
- b) Characterization of the concentration in water in different starting materials, using FTIR spectrum centered on the water absorption band. Note that in 2 the absorption scale is expanded to account for the very low water concentration. All images are plane polarized light microscope images.
- 1. Hyuga porphyroclasts (p): tiny fluid inclusions are extremely abundant and cause a dark appearance of the porphyroclasts. Their distribution is heterogeneous within each single grain, resulting in different IR absorbance. Grains show fractures caused by mechanical crushing.
- 2. Experimental assembly with 30% Hyuga porphyroclasts (p) (464GP) embedded in the Brazil quartz matrix (m), after hydrostatic hot pressing at 800°C and 1.5 GPa: fluid inclusions abundance inside porphyroclasts is decreased with respect to the initial stage (fig.1a), producing lower absorbance values.
- 3. Brazil quartz porphyroclasts (p): clasts are clear and contain no fluid inclusions. IR spectra show a very low absorbance.

Figure 2

Shear stress–shear strain curves for the samples deformed in this study; for final stress values see Table 2. The star and the red circle correspond to shear stress calculated for our experimental conditions (800° C, 1.5GPa and strain rate of 2.1×10^{-5} s⁻¹) using the flow laws of Hirth et al. (2001) and Paterson and Luan (1990) respectively.

Figure 3

BSE images of the matrix composed of Brazil quartz after "hot-pressing" stage (confining pressure 1.5 GPa; T=800°C). Porosity is observed at triple junctions between polygonal grains (white arrows) and at open grain boundaries (yellow arrow) from unloading. White particles are dust particles.

Figure 4

Microstructures of matrix+Brazil porphyroclasts assemblies after deformation.

- a. Matrix + 30 % porphyroclasts (426GP). Brazil porphyroclasts are quite variable in shape, from highly stretched (at the piston contact) to equant shape. Foliation develops at about 18° from the shear zone boundary (forcing block interface).
- b. Matrix + 70% porphyroclasts (465GP). Porphyroclasts are composed of a core, with an equant shape, and stretched tails.
- c. Close up on some Brazil porphyroclasts (426GP). Porphyroclasts have angular edges. Internally they show deformation lamellae (central lower

- white porphyroclast) and undulatory extinction (grain in the upper right corner) (see also fig. 5a-b).
- d. Close up on some Brazil porphyroclasts (465GP). Porphyroclast cores show no subgrains but commonly undulatory extinction and deformation lamellae. Porphyroclast outer rims and tails are composed of very small recrystallized grains with different orientation from the core.

Plane polarized light (a-b), cross-polarizers (c) and cross-polarizers with compensation plate (d), all light microscope images. Dextral shear sense for all images.

Figure 5

Deformation microstructures within the porphyroclasts.

- a-b) Close-up view of the deformed Brazil quartz porphyroclast in fig. 4c. Note the sutured porphyroclast boundaries (black lines), the undulatory extinction, the deformation lamellae (white lines), and foliation in the surrounding matrix (dashed black lines).
- c-d) Close-up view of the deformed Hyuga porphyroclasts in fig. 6c. These porphyroclasts are composed of elongated subdomains limited by sutured grain boundaries. These subdomains are made up of small recrystallized (sub)grains distinguishable by thier crystal orientations.

Cross-polarizers, all light microscope images, (a, c, d) and interpretative sketch (b).

Figure 6

Microstructures of matrix + Hyuga porphyroclasts assemblies after deformation.

- a) Matrix + 30 % porphyroclasts (428GP). Porphyroclasts are easily distinguishable from matrix because of their clearer appearance. Foliation is defined by the alignment of porphyroclasts and develops at $\sim \! 10^{\circ}$ from the shear zone boundaries. All porphyroclasts are strongly elongated. Note the presence of larger clasts in the matrix.
- b) Matrix + 70 % porphyroclasts (456GP). Hyuga porphyroclasts are strongly elongated with an aspect ratio up to 10:1.
- c) and d), C' Shear bands (highlighted with white lines), synthetic to the dextral shear sense, cut across the porphyroclasts (highlighted with dashed white lines) and matrix, making an angle of 25-30° from the shear zone boundaries (forcing block interface). Some internal domains of porphyroclasts preserve an internal coherence (fig. 5c-d), in terms of crystallographic orientation, in spite of extensive recrystallization (yellow domains).

Plane polarized light (a-b), cross-polarizers (c) and cross-polarizers with compensation plate (d), all light microscope images. Dextral shear sense for all images.

Figure 7

Shear bands developed in sample 456GP (70% Hyuga porphyroclasts). a) Shear bands (orientation indicated by the white arrows) contain abundant porosity and cut across the matrix and a porphyroclast. The matrix and the

porphyroclast are in the upper and lower portion of the image separated by the dashed white line.

- b) Shear bands show different crystal orientation, visible from their different extinction color (yellow) with respect to the surrounding porphyroclast.
- c) Shear bands are made up of equant and faceted grains, a few microns in size, separated from one another by void space. The large porosity of the shear band is in contrast with the surrounding porphyroclast, where there is no porosity. Plane polarized light (a), crossed-polarizers with compensation plate (b), all light microscope images, SEM image (c).

Figure 8

FTIR spectra of Brazil quartz in (a) matrix material (at the end of the experiments), and (b) original starting material. Prior to all experiments, a 0.15 wt% $\rm H_2O$ was added to the matrix. At the end of all the experiments, the matrix is enriched in water with respect to the dry Brazil quartz powder; in other words, the matrix has retained a fraction of the initially added water. All experimental material has been deformed to large shear strain, except for 464GP, which has been subjected to the same P,T-conditions as those of the deformation experiments for ~30 min. The highest amounts of water are found in the experiments with Hyuga porphyroclasts. In addition, water concentration is highest in the experiment without deformation. Note the discrete absorption band at 3595 cm⁻¹ in all experimental samples. The band at 3382 cm⁻¹ is associated with the matrix in experiments with Hyuga clasts, while the band at 3363 cm⁻¹ is associated with the matrix in experiments with Brazil porphyroclasts. "As-is" Brazil quartz shows only a discrete absorption band at 3485 cm⁻¹.

Figure 9

Effect of the application of P-T and deformation on the water content of Hyuga porphyroclasts, containing initially a large number of fluid inclusions.

- a) Evolution of water concentration and absorption bands in Hyuga porphyroclasts 'as-is' (red), 'hot-pressed' (dark blue) and 'deformed' (blue) (all spectra are represented with the same scale). Note the important sharpening in discrete absorption bands from the 'as-is' to the 'hot pressed' material. Most of initial discrete bands disappear with deformation while a new band is detected at 3595 cm⁻¹.
- b) Comparison of water amount between a recrystallized Hyuga porphyroclast and the surrounding matrix. The two FTIR spectra show a similar absorbance, the same shape and the same discrete absorption bands.

Figure 10

Water concentration and speciation in deformed Brazil porphyroclasts.
(a) FTIR spectrum of Brazil porphyroclasts, showing three discrete bands at 3595, 3585, 3363 cm⁻¹. Each color indicates a single measurement.
(b, c, d,e,f) Relationship between FTIR spectra and microstructures. The red spectrum in (f) corresponds to a porphyroclast core (red square in (b) and (c),

labelled "Sp1"), while the blue spectrum corresponds to its recrystallized tail (white square in (d) and (e), labelled "Sp2") made of grains of $\sim 4\mu m$ in size. Recrystallized tails of porphyroclasts contain a much higher water concentration than their core. In addition, they show a peak around 3595cm⁻¹, contrasting with the peak around 3585cm⁻¹ present in the core. Plane polarized light (b, d) and crossed polarizers (c, e), light microscope images.

Figure 11

Microstructure and texture of pure matrix. Central part of sample 457GP. The shear sense is dextral, top to the right; SD is the shear direction.

- a) Grain boundary map obtained by segmentation of EBSD map based on c-axis orientation after conversion to CIP images: in order to best reproduce the visual identification of grains, segmentation is performed using c- axis misorientation angle of 3°, which is approximately equivalent to a full misorientation angle of 5°. b) Orientation image with color look-up table (LUT) for c-axis orientations in the Z-direction.
- c) Pole figures for c- a- and m- directions; maximum density of each axis are indicated above color bar; contours are at intervals of uniform density (m.u.d); SD: shear direction.

Figure 12

Microstructure and texture of experiment with 70% of Hyuga porphyroclasts. Central part of sample 456GP. Dextral shear is applied.

Description of a) b) and c) are the same as figure 11.

Figure 13

Microstructure and texture of experiment with 70% of Brazil porphyroclasts. Central part of sample 465GP. Dextral shear is applied.

Description of a) b) and c) are the same as figure 11.

Figure 14

a) Water contents of samples before and after deformation. Water amount for Brazil porphyroclasts refers to recrystallized zones. All values are measured by FT-IR (see Table 1) except for 'as-is' matrix values which are calculated from the initial amounts of dry Brazil matrix powder and added water. b) H_2O volume fraction in quartz aggregates against grain boundary fraction of the analyzed volume. Volume fraction was calculated from FT-IR measurements of weight proportions of water (Table 1), assuming a density of 1 and 2.6 g/cm³, for water and quartz, respectively. The slope of the regression curves represent some average thickness of the water film along the grain boundary estimated to ~0.7 nm for the pure matrix and the matrix with Brazil clasts and to ~1.70 nm for the matrix with Hyuga clasts.

Table 1

Molecular water content of Hyuga and Brazil porphyroclasts, Brazil matrix at different conditions: "as-is", "hot-pressed" and "deformed". (Th=thickness; Mean Abs= integrated absorbance area; wt. ppm= part par million weight; H/10⁶ Si; SD = Standard Deviation). Stolper (1982) and Kats (1962) calibration.

Table 2

Deformation conditions (deformation time, gamma, peak stress and final stress values) and sample parameters (assembly, added H2O) of all deformation experiments. Confining pressure (Pc) = 1.5 GPa; Temperature (T) =800°C; strain rate = $2.1*10^{-5}$ s⁻¹.

Table 3

Absorption bands and associated materials with respect to experimental deformation conditions.

Table 4

Grain boundary density (surface/volume) calculated from grain boundary maps in Figs. 11 to 13.