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## 2 Different functional roles and expression of miR-126-3p and

- 3 miR-126-5p in breast cancer cell lines and tissues
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## Abstract

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tumors compared to luminal A.

18 **Background** 19 MiRNAs are regulators of gene expression and are involved in carcinogenesis through regulation 20 of oncogenes, tumor suppressors and cellular oncogenic properties such as invasion and 21 metastasis. MiR-126 has tumor suppressor function in various cancers, and seems to regulate the 22 metastatic process in BC both in vitro and in vivo. 23 Methods 24 Functions of miR-126-3p and the passenger strand miR-126-5p were explored in the ER+ breast 25 cancer cell line MCF7, HER2+ SK-BR-3 cells and triple negative MDA-MB-231 cells using the 26 proliferation platform xCelligence and invasion assay CytoSelect Cell Invasion. MiRNA 27 expression in malignant and benign breast tissue were analyzed by microarray and RT-PCR, and 28 cell specific in-tumor fibroblasts and epithelium using ISH and tissue microarrays. 29 **Results** 30 MiR-126-3p transfection led to decreased proliferation and invasion in all cell lines, whereas 31 miR-126-5p had the opposite effect in MDA-MB-231 cells where miR-126-5p was the dominant 32 strand determined by RT-PCR analysis. Both miR-126 strands were downregulated in cancer 33 compared to benign tissue, and in lymph node positive breast cancers compared to tumors 34 without nodal involvement. MiR-126-5p ISH stromal staining was significantly higher in high 35 grade tumors and in stroma and tumor cells of luminal B, HER2 positive and triple negative

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MiR-126-3p has tumor suppressor functions in breast cancer cell lines. MiR-126-5p, however,
promotes proliferation and invasion in triple negative cancer cells and demonstrates higher
expression in tumors of high grade and aggressive molecular subtypes. Different functions and
expression of the miR-126 strands in breast cancer subtypes add to the complexity of miRNAs'
regulatory network in malignant disease.

# Introduction

| Breast cancer (BC) in women accounts for 30% of all new cancer cases, and is the most common            |
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| cancer diagnosed worldwide in the female population [1, 2]. BC is a highly heterogeneous                |
| malignancy, contributing to the challenges of determining the most effective treatment regimens         |
| [3, 4]. There are different approaches to subgrouping of BC, where tumor grade, TNM-stage               |
| (tumor, node, metastasis), molecular subtype and/or receptor status are the principal categories        |
| [5-9]. Despite extensive efforts to improve prognosis in BC patients, it remains the second largest     |
| cause of cancer related deaths in women [1, 2, 4]. More than 90% of BC mortality is due to local        |
| recurrence and distant metastases [10, 11]. Cells from the primary tumor are known to                   |
| metastasize and disseminate in the bone marrow at a presymptomatic stage in the tumorigenesis,          |
| and may persevere in a dormant state for years, even decades, before becoming clinically evident,       |
| contributing to the challenges in accurate diagnosis, treatment and follow-up [4, 12]. Improving        |
| the prognostic and predictive biomarkers for early detection of primary and metastatic disease,         |
| alongside with the identification and stratification of the most aggressive tumors with focus on        |
| targeted treatments, still needs to be a priority in BC research.                                       |
| MicroRNAs (miRNAs) constitute a conserved class of endogenous small non-coding RNAs first               |
| discovered in <i>Caenorhabditis elegans</i> [13]. They function as post-transcriptional gene expression |
| regulators and, depending on the degree of base complementarity to the 3' untranslated region           |
| (3'-UTR) of target mRNAs, they suppress gene expression by either complete mRNA                         |
| degradation, mRNA destabilization, or mRNA silencing [14-16]. According to the miRBase                  |
| database of published miRNA sequences and annotations, there are currently described more than          |
| 2500 unique mature miRNAs [17].   |
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67 oncogenes, while others activate tumor suppressor genes [18, 19]. Moreover, studies have shown 68 that miRNA expression profiles vary between different subcategories of BC [20, 21]. Some of the 69 best described miRNAs differentially expressed in BC, are the miR-200 family and the miR-21 70 [22, 23]. The miR-200 family consists of five individual miRNAs, and they are suppressed during 71 epithelial to mesenchymal transition (EMT), a central step in metastasis and cancer progression 72 [24, 25]. MiR-21 is highly expressed in BC, and has been shown to inhibit several tumor 73 suppressors in BC. High expression of miR-21 is associated with overall tumor progression and 74 poor prognosis [23, 26]. It is of great interest to investigate and characterize individual miRNAs, 75 their expression and functional roles in BC and their subtypes. 76 MiR-126 was initially described as a regulator of megakaryocytopoiesis and leukemia [27], but 77 has since been designated a tumor suppressor role in various cancers [28-31]. Reduced 78 expression of miR-126 has been linked to invasion and metastasis, especially through the 79 regulation of ADAM9 [32-34], and miR-126 has been described as predicting response to 80 chemotherapy and bevacizumab treatment [35]. Noteworthy, miR-126 has been shown to be a 81 negative regulator of metastatic progression, mainly through downregulation of key functions 82 such as cell proliferation, migration, and survival of BC cells [36]. 83 Through a series of in vitro experiments, we sought to study the functional effects of both miR-84 126-3p, and its passenger strand miR-126-5p, in BC cell lines reflecting different subtypes of 85 breast cancer: Estrogen receptor positive (ER+), human epithelial growth factor receptor positive 86 (HER2+), and triple negative (TN) BCs. Using an unselected cohort of BC patients participating 87 in the Norwegian Woman and Cancer Study (NOWAC) [37], we further wanted to evaluate the

In BC, various miRNAs have been studied, where some contribute to the upregulation of

tissue- and cell specific expression of miR-126-3p and miR-126-5p using *in situ* hybridization methods.

### Methods

#### **Functional studies**

To investigate the functional properties of miR-126-3p and miR-126-5p in tumorigenesis, we performed a series of *in vitro* experiments. A miR-126-3p and a miR-126-5p mimic were introduced into different BC cell lines. The miRNAs were introduced alone or in combination, alongside a miRNA negative control, to study cell proliferation and cell invasion.

The functional properties of miR-126-3p and miR-126-5p were evaluated in three different BC

#### **Cell cultures**

cell lines: the ER+ cell line MCF7 (ATCC ® HTB-22<sup>TM</sup>), the HER2+ cell line SK-BR-3 (ATCC® HTB-30<sup>TM</sup>), and the triple negative (TN) cell line MDA-MB-231. SK-BR-3 and MDA-MB-231 were cultured in RPMI-1640 media (cat.# R8758, Sigma-Aldrich, St. Louis, USA) supplemented with 10% fetal bovine serum (cat.# S0415, Biochrom, Berlin, Germany). MCF7 cells were cultured in DMEM (cat.# D5796, Sigma-Aldrich, St. Louis, USA) with the same supplements as the other two cell lines. All cell lines were incubated at 37°C in a humidified atmosphere with 5% CO<sub>2</sub>. Additionally, total RNA from the non-cancerous breast cell line MCF-10A was used in qPCR experiments. This was as a kind gift from the RNA and molecular pathology (RAMP) research group, UiT - The Arctic University of Norway, Tromsø, Norway.

#### **Cell transfection**

BC cell lines were transiently transfected with 100 nM hsa-miR-126-3p Pre-miR<sup>TM</sup> miRNA

Precursor (cat.# PM12841, Thermo Fisher Scientific, USA) and/or 100 nM hsa-miR-126-5p Pre-

110 miR<sup>TM</sup> miRNA Precursor (cat.# PM10401, Thermo Fisher Scientific, USA), alongside the Cy3<sup>TM</sup> 111 Dye-Labeled Pre-miR Negative Control #1 (cat.# AM17120, Thermo Fisher Scientific, USA). 112 The transfection was performed using 8 µl/mL of the Lipofectamine® RNAiMAX transfection 113 reagent (cat.# 13778075, Thermo Fisher Scientific, USA). Transfected Cy3<sup>TM</sup> Dye-Labeled Pre-114 miR Negative Control emits fluorescent light when exposed to UV-light to allow detection of 115 transfected cells, and the transfection efficiency was determined using a fluorescence microscope. 116 The transfection efficiency was typically as high as 80% - 95%. 117 **Total RNA isolation** 118 Total RNA was isolated from cells using the miRNeasy Mini Kit (cat.# 217004, Qiagen, Hilden, 119 Germany) according to the manufacturer's protocol. Briefly, cells were lysed using 700 µl 120 QiAzol Lysis Reagent before homogenization and incubation for 5 minutes at room temperature. 121 Next, 140 µl chloroform were added, and the samples were shaken before incubation at room 122 temperature for 3 minutes. Samples were then centrifuged for 15 minutes at 12000 g at 4°C, and 123 the upper aqueous phase was transferred and mixed thoroughly with 100% ethanol. Finally, 124 samples were transferred into the RNeasy® Mini column and washed in several steps before 125 elution with 50 μl ddH<sub>2</sub>O. Isolated total RNA samples were stored at -70°C. 126 cDNA synthesis 127 Synthesis of first strand cDNA was performed using the miScript II RT Kit (cat.# 218160, 128 Qiagen, Hilden, Germany) according to the manufacturer's protocol. In short, 100 ng of total 129 RNA was mixed with 4 µl 5x miScript HiSpec Buffer, 2 µl 10x Nucleics Mix, 2 µl miScript 130 Reverse Transcriptase Mix, and RNase-free water to a total volume of 20 µl. Next, samples were

incubated for 60 minutes at 37°C, and subsequently incubated for 5 minutes at 95°C to inactivate

enzymes. Finally, samples were diluted with 200 µl RNase-free water and stored at -20°C.

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#### **RT-PCR**

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134 Endogenous levels of miR-126-3p and miR-126-5p in the BC cell lines were quantified relative 135 to the reference snRNA RNU6 using the miScript SYBR® Green PCR Kit (cat.# 218073, 136 Qiagen, Hilden, Germany) and real-time PCR. The primers used in this study were miScript 137 Primer Assays Hs\_miR-126\_1 miScript Primer Assay (cat.# MS00003430, Qiagen, Hilden, 138 Germany), Hs miR-126\* 1 miScript Primer Assay (cat.# MS00006636, Qiagen, Hilden, 139 Germany), and Hs\_RNU6-2\_11 miScript Primer Assay (cat.# MS00033740, Qiagen, Hilden, 140 Germany), and all primers were used according to the manufacturer's protocol. Briefly, a total 141 volume of 25 μl/well in a 96-well plate included 1 μl cDNA mixed with 12.5 μl 2x QuantiTect 142 SYBR Green PCR Master Mix, 2.5 µl 10x miScript Universal Primer, 2.5 µl 10x miScript Primer 143 Assay, and 6.5 µl RNase-free Water. The plate was sealed and centrifuged for 1 minute at 1000 g 144 before it was placed in a 7300 Real-Time PCR System (Thermo Fisher Scientific, Waltham, 145 Massachusetts, USA). Each sample was analyzed in quadruplicates, and three independent 146 experiments were performed.

#### **Proliferation assay**

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The real-time cell analyzer system xCelligence, RTCA DP (cat#05469759001, ACEA Biosciences, San Diego, USA) fitted with the E-plate 16 (cat#05469830001, ACEA Biosciences, San Diego, USA) was used to study the proliferation of the BC cell lines. Cells were trypsinized until detached, resuspended in complete growth media and counted before analysis on the xCelligence platform. Approximately 8000 cells per well were estimated to be optimal through initial titration experiments. In line with the manufacturer's protocol, cells were seeded in quadruplicates into an E-plate after baseline measurements, and the E-plate incubated for 30 minutes at room temperature before being positioned in the RTCA DP instrument. The

instrument was located in an incubator preserving the same conditions as used for routine cultivation of cell lines. The cellular growth rate is denoted as 'Cell Index' and recorded by the instrument every 30 minutes, where the index is an arbitrary unit reflecting the cell-sensor impedance. The RTCA software version 1.2.1 was used to calculate growth curves. Three independent experiments were performed as a minimum for each cell line.

#### **Invasion assay**

The invasiveness of the BC cell lines was tested using the CytoSelect<sup>TM</sup> 96-well Cell Invasion Assay, Basement membrane (cat.# CBA-112, Cell Biolabs, San Diego, USA) according to the manufacturer's protocol. In short, a modified Boyden chamber was used, and 50000 pretransfected and serum starved cells were seeded in the upper chamber precoated with a basement membrane (a protein matrix isolated from Engelbreth-Holm-Swarm tumor cells). The cells were allowed to invade for 24 h towards the bottom chamber containing media +10% FBS. Cancer cells that were able to invade and pass through the porous membrane to the bottom side of the membrane were lysed and stained before fluorescence was measured at 480/520 nm using the CLARIOstar® microplate reader (BMG LABTECH, Ortenberg, Germany). All experiments were performed in quadruplicates. Three independent experiments were performed as a minimum for each cell line.

#### Classification of human breast cancer samples

The patient samples included in the study were retrieved from the archives at the Department of Pathology at the University Hospital of North Norway in Tromsø and the Nordland Hospital in Bodø. The BC cases were NOWAC participants diagnosed with breast cancer in the years 2004 – 2010. FFPE tissue cores from breast reduction surgery specimens were included in the study as benign tissue controls. Histological grading and immunohistochemical (IHC) analyses of ER,

progesterone receptor (PR) and HER2 were done as part of routine diagnostics, but validated by a breast pathologist (L.M.). Cut-off values for ER positivity was  $\geq 1\%$ , for PR  $\geq 10\%$  and for HER2 score 3+. Silver in situ hybridization (SISH) was done when HER2 IHC was inconclusive (score 2+). The proliferation marker Ki67 was evaluated in at least 500 tumor cells in the most proliferative areas of the tumor on slides from the primary surgery and reported as percentage of positive tumor cells. Molecular subtyping of tumors was based on the surrogate markers ER, PR, HER2 and Ki67 according to recommendations by the St Gallen International Expert Consensus and previous publications [38, 39] as presented in table 1:

Table 1: Molecular subtyping of tumors based on surrogate markers.

|               | ER/PR          | HER2 | Ki67          |  |
|---------------|----------------|------|---------------|--|
| Luminal A     | ER+ and/or PR+ | _    | ≤30%          |  |
| Luminal B     | ER+ and/or PR+ | Any  | >30% if HER2- |  |
| HER2 positive | - + Any        |      | Any           |  |
| Basal like    | _              | _    | Any           |  |

#### MiRNA microarray and validation by PCR

Tissue cores from formalin-fixed paraffin-embedded (FFPE) tissue blocks where collected. Total RNA was extracted from the tissue cores using the RecoverAll Total Nucleic Acid Isolation kit (Life Technologies, Grand Island, NY, USA) according to the manufacturer's instructions, and RNA quality and quantity assessed using the NanoDrop 1000 spectrophotometer (Thermo Fisher Scientific, Wilmington, DE). Exiqon (Vedbaek, Denmark) performed the microarray hybridization and analyses as a paid service. In summary, 250 ng total RNA from samples and reference were labeled with Hy3TM and Hy5TM, using the miRCURY LNATM microRNA Hi-Power Labeling Kit (Exiqon). An aliquot of all RNA species included in the study was used as

the Hy5TM-labeled reference RNA. Samples and reference RNA were mixed before hybridization on a Tecan HS4800 hybridization station (Tecan, Austria) to the 7th generation miRCURY LNA microRNA array containing probes for miRNAs in human, mouse and rat as annotated in miRBASE version 19.0. Slides were scanned on the Agilent G2565BA Microarray Scanner System (Agilent technologies Inc., USA) before image analysis using the ImaGene 9.0 software (BioDiscovery Inc., USA). The detection threshold was set as 1.2 times the 25th percentile of the overall signal intensity of individual slides.

Forty tumor samples and 20 of the benign breast tissue controls were included in PCR validation,

also performed by Exiqon. RNA was extracted from FFPE tissue cores according to the manufacturer's instruction using the Qiagen miRNeasy FFPE kit (Qiagen, Hilden, Germany), and 10 ng RNA was reverse transcribed using the miRCURY LNA Universal RT microRNA PCR, Polyadenylation and cDNA synthesis kit (Exiqon). 100 x diluted cDNA went into PCR-reactions using ExiLENT SYBR Green master mix in 384 well plates on a Light Cycler 480 Real-Time PCR System (Roche). Suitable reference miRNAs were evaluated by Exiqon using the Normfinder software. Based on stable expression across the data set, miR-664a-3p was used for normalization. The expression values were calculated using the quantification cycle (Cq) from PCR analyses and the formula: average Cq (all samples) – assay Cq (sample).

#### Tissue microarray

Full histological slides of tumor tissue from resection specimens were evaluated by two pathologists (L.M. and L.T.B) and representative areas of tumor tissue carefully selected and marked. The tissue microarray (TMA) was constructed using a tissue-arraying instrument (Beecher Instruments, Silver Spring, MD) as previously published [40]. In short, a 0.6 mm-diameter stylet was used to collect a total of four replicate tissue cores from each donor block and

transferred to the recipient block. 4 µm sections were cut with a Microm microtome HM355S (Microm, Walldorf, Germany) and used for hematoxylin and eosin staining and later *in situ* hybridization.

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In situ hybridization 224 225 The miRNA in situ hybridization (ISH) was performed in Ventana Discovery Ultra instrument 226 (Ventana Medical Systems Inc, Arizona, USA). Reagents were supplied by Roche (Bacel, Switzerland). Labelled locked nucleic acid (LNA) modified probes and controls were delivered 227 228 by Exigon (Vedback, Denmark). For this study, the hsa-miR-126-3p (619866-360), hsa-miR-126-229 5p (612156-360), U6 snRNA positive control probe and Scramble-miR negative control probe 230 were used. Exigon analyzed the LNATM oligonucleotides by CE or HPLC, and confirmed the 231 identity of compound by MS. 232 First, 4 µm breast TMA sections were cut with a Micron microtome (HM355S) and placed on 233 SuperFrost Plus glass slides. Second, sections were incubated at 60°C overnight to melt the 234 paraffin and increase attachment to the slides. During the process of sectioning and staining, 235 cautions were taken to prevent RNase contamination of reagents and degradation of the RNA 236 samples. 237 As positive and negative tissue controls, sections from a TMA multi-organ tissue block were 238 used. It included benign and malign tissue from 12 different human organs. 239 Hybridization conditions were optimized for temperature and concentration for each LNA probe. For breast TMA FFPE, a hybridization temperature of 51°C gave specific staining for both miR-240 241 126-3p and miR-126-5p. The best staining intensity for miR-126-3p was achieved at a 242 concentration of 2 nM, while for the miR-126-5p the optimal concentration was 10 nM.

Sensitivity level of the ISH protocol was consolidated by clean and strong staining with 0.5 nM U6 snRNA. Concentrations between 0.1-2.0 nM were required for optimal sensitivity. Scramble miR negative control probe gave negative staining at standard conditions. For deparaffinization, pretreatments and chromogen staining, the well-established standard ISH protocols in the Discovery Ultra instrument were used. This methodology is described in more detail in our previous publication on miRNAs [41].

#### **Scoring of ISH staining intensity**

For semiquantitative evaluation of ISH staining intensities for miR-126-3p and -5p, TMA tissue slides were used. For each sample, a minimum of two tissue cores of tumor tissue and tumorassociated stromal tissue were evaluated using a microscope at 200x magnification. By morphologic criteria, tumor cells and stromal fibroblasts were scored for staining intensity with the dominant staining intensity scored as: 0 = negative, 1 = weak, 2 = moderate, 3 = strong. From the observed ISH staining pattern, both tumor cells and stromal fibroblasts stained diffusely and homogenously and hence staining density was not scored since it did not give any additional information. All cores were independently scored by two pathologists (L.M. and S.M.D.) who were blinded to each other's score and histopathological parameters. In case of disagreement (score discrepancy > 1), the slides were re-examined and a consensus was reached by the observers. Mean score for each case was calculated from both cores and both examiners.

#### **Statistics**

The descriptive statistics, the non-parametric tests, and the correlation analysis were performed using IMB SPSS Statistics, version 25. Standard error for the qPCR quantification and the invasion study was calculated using four technical replicates from a representative experiment. Statistics in the proliferation experiments were calculated using one-way ANOVA with p-values

corrected for multiple testing by controlling the false discovery rate implementing the method of Benjamini & Hochberg.

## **Results**

Relative expression of miR-126-3p and miR-126-5p in breast cancer cell lines

To evaluate the endogenous expression of miR-126-3p and miR-126-5p, miRNA levels in BC

cell lines were quantified relative to the levels in the non-cancerous breast cell line MCF-10A.

RT-qPCR revealed downregulated levels of both miR-126-3p and miR-126-5p in all three BC

cell lines (Fig. 1A). Noteworthy, the highest relative levels of the lead strand, miR-126-3p,

compared to the passenger strand, miR-126-5p, were observed in the non-cancerous breast cell

line MCF-10A, whilst the lowest relative levels were observed in the TN BC cell line MDA-MB
231 (Fig. 2). Interestingly, in contrast to the other cell lines, miR-126-5p appears to be more

abundant than miR-126-3p in the TN BC cell line (Fig. 2).

280 Functional studies of miR-126-3p and miR-126-5p in vitro 281 The potential functional roles of miR-126-3p and miR-126-5p in the three BC cell lines were 282 explored by in vitro experiments. Effects on proliferation and invasion were evaluated after 283 transfecting the BC cell lines with either miR-126-3p mimic, miR-126-5p mimic or both in 284 combination and in equal concentrations. 285 Transfection of miR-126-3p inhibits proliferation and invasion in vitro 286 All three BC cell lines demonstrated a significant drop in proliferation when transfected with 287 miR-126-3p (Fig. 3). Depending on the cell line, the shift in proliferation occurred 12-48 hours 288 after transfection. 289 Further, the invasive potential of all three cell lines were significantly reduced when transfected 290 with miR-126-3p (Fig. 4). The degree of inhibition seemed to be uniform across cell lines, 291 independent of receptor status. 292 Transfection of miR-126-5p increases proliferation and promotes invasion in the TN 293 BC cell line MDA-MB-231 294 The proliferation rate, as denoted by cell index, of the TN cell line MDA-MB-231 was 295 significantly increased when transfected with miR-126-5p mimic. The effect was highly 296 significant, reproducible, and was observed almost immediately after transfection (Fig. 3C). In 297 contrast, the proliferation rates of the ER+ and HER2+ BC cell lines were reduced compared to 298 negative control, although not to the same extent as for cells transfected with miR-126-3p (Fig. 299 3A-B). 300 In the invasion experiment, where cells were allowed to invade and migrate through a basement 301 membrane matrix, the TN cell line MDA-MB-231 displayed an increase in invasive ratio after

transfection with miR-126-5p (Fig. 4). In contrast, the invasion ratios of the ER+ and HER2+ cell lines were not significantly different in cells transfected with miR-126-5p compared to negative control (Fig. 4).

## Cotransfection of miR-126-3p and miR-126-5p inhibits proliferation and invasion in

*vitro* 

Cotransfecting miR-126-3p and miR-126-5p in equal concentrations resulted in a tumor suppressor phenotype in all BC cell lines (Fig. 4). In the cell lines MCF-7 and SK-BR-3, where both miR-126-3p and miR-126-5p alone demonstrated inhibition of proliferation and invasion, the combination of the two demonstrated a similar effect, and the magnitude of this inhibition was comparable to that of miR-126-3p alone (Figs. 3A-B, 4). Transfecting miR-126-5p into the TN cell line resulted in an increase in both proliferation and invasion, but the cotransfection of both miRNAs in equal concentration resulted in inhibition of proliferation and invasion (Figs. 3C, 4). Again, the magnitude of this inhibition was equivalent to that of miR-126-3p transfection alone.

### Expression of miR-126-3p and miR-126-5p in the BC patient cohort using

## microarray and PCR

A total of 108 NOWAC BC cases from the postgenome cohort and 44 benign tissue controls were included in the study. One case had to be excluded because of insufficient amount of tumor tissue and five cases and six controls were excluded due to poor RNA quality, leaving 102 BC cases and 38 benign tissue controls to be analyzed using miRNA microarray. An additional case and two of the controls were identified as outliers after microarray and excluded from further statistical analyses. 40 tumor samples and 20 of the controls were included in PCR validation.

324 Table 1 presents the expression levels of miR-126-3p and -5p in the BC tumors and benign tissue 325 controls. 326 Microarray miRNA analysis revealed a significant downregulation of both miR-126-3p and miR-126-5p in BC tissue compared to benign breast tissue (p<0.001). This result was validated and 327 328 confirmed by PCR analyses (p<0.001) (Fig. 1B and Table 2). Microarray- and PCR-based 329 expression levels were significantly correlated for both miR-126-3p (r=0.47, p<0.001) and miR-330 126-5p (r=0.46, p<0.001). Noteworthy, we found lower expression of miR-126-3p and -5p in 331 tumors with lymph node metastases compared to breast cancer cases without nodal involvement 332 (Table 2 and Fig. 5). Further subgroup analyses did not demonstrate any significant differences in 333 the expression of any of the miR-126 strands between tumors of different histological grade or 334 molecular subtype.

|                |            | PC                    | CR        | Array      |            |  |
|----------------|------------|-----------------------|-----------|------------|------------|--|
|                |            | miR-126-3p miR-126-5p |           | miR-126-3p | miR-126-5p |  |
|                | Mean       | 4,24                  | -0,86     | 9,28       | 7,16       |  |
| Control        | SD         | 0,79                  | 0,8       | 1,13       | 0,52       |  |
|                | N (%)      | 20 (100)              | 20 (100)  | 38 (100)   | 38 (100)   |  |
|                | Mean       | 3,02                  | -2,01     | 7,38       | 6,13       |  |
| All tumors     | SD         | 0,33                  | 0,32      | 0,49       | 0,19       |  |
|                | N (%)      | 40 (100)              | 40 (100)  | 102 (100)  | 102 (100)  |  |
|                | <b>P</b> * | <0.001                | <0.001    | <0.001     | <0.001     |  |
|                | Mean       | 3,21                  | -1,81     | 7,44       | 6,17       |  |
| Tumor grade 1  | SD         | 1,1                   | 1,03      | 0,42       | 0,20       |  |
|                | N (%)      | 7 (17.5)              | 7 (17.5)  | 31 (32)    | 31 (32)    |  |
|                | Mean       | 3,29                  | -1,75     | 7,30       | 6,10       |  |
| Tumor grade 2  | SD         | 0,8                   | 0,72      | 0,49       | 0,18       |  |
|                | N (%)      | 17 (42.5)             | 17 (42.5) | 41 (41)    | 41 (41)    |  |
|                | Mean       | 2,55                  | -2,46     | 7,43       | 6,10       |  |
| Tumor grade 3  | SD         | 1,15                  | 1,14      | 0,53       | 0,17       |  |
|                | N (%)      | 16 (40)               | 16 (40)   | 26 (27)    | 26 (27)    |  |
|                | P*         | 0.269                 | 0.356     | 0.536      | 0.328      |  |
|                | Mean       | 3,13                  | -1,91     | 7,38       | 6,14       |  |
| Luminal A      | SD         | 0,8                   | 0,64      | 0,49       | 0,19       |  |
|                | N (%)      | 12 (30)               | 12 (30)   | 54 (54.5)  | 54 (54.5)  |  |
|                | Mean       | 3,14                  | -1,84     | 7,41       | 6,13       |  |
| Luminal B      | SD         | 1,41                  | 1,37      | 0,37       | 0,16       |  |
|                | N (%)      | 11 (27.5)             | 11 (27.5) | 20 (21.5)  | 20 (21.5)  |  |
|                | Mean       | 3,11                  | -1,99     | 7,56       | 6,18       |  |
| HER2+          | SD         | 0,88                  | 0,78      | 0,54       | 0,16       |  |
|                | N (%)      | 7 (17.5)              | 7 (17.5)  | 9 (8)      | 9 (8)      |  |
|                | Mean       | 2,52                  | -2,48     | 7,26       | 6,06       |  |
| Basal-like     | SD         | 0,94                  | 1,01      | 0,54       | 0,20       |  |
|                | N (%)      | 10 (25)               | 10 (25)   | 16 (16)    | 17 (16)    |  |
|                | P*         | 0.866                 | 0.917     | 0.716      | 0.514      |  |
|                | Mean       | 2,44                  | -2,63     | 7,22       | 6,06       |  |
| Lymph node pos | SD         | 0,76                  | 0,65      | 0,45       | 0,16       |  |
|                | N (%)      | 11 (27)               | 11 (27)   | 31         | 31         |  |
|                | Mean       | 3,18                  | -1,82     | 7,48       | 6,17       |  |
| Lymph node neg | SD         | 1,04                  | 0,98      | 0,47       | 0,19       |  |
|                | N (%)      | 29 (73)               | 29 (73)   | 69         | 69         |  |
|                | P*         | 0.049*                | 0.010*    | 0.021*     | 0.025*     |  |

339 molecular subtype 340 The cellular and subcellular expression of miR-126-3p and miR-126-5p in BC tissue was 341 evaluated by ISH in TMA slides, and staining intensity in tumor cells and fibroblasts scored as a 342 semiquantitative measure of miRNA expression (Fig. 6). ISH staining for both strands of miR-343 126 was cytoplasmic and observed in malignant epithelial cells and cancer-associated stromal 344 fibroblasts. Further, it was noted positive staining for miR-126-3p and -5p in endothelial cells and 345 inflammatory cells within the tumor. 346 In TMA, 90 of the BC tumors had representative tissue cores with tumor and stromal cells 347 represented, from which ISH staining intensity could be analyzed. Noteworthy, positive ISH 348 staining for miR-126-3p and -5p was observed in all tumors. The mean score for miR-126-3p in 349 tumor and stroma was 2.22 and 2.60, respectively, compared to 1.35 in tumor and 1.09 in stroma 350 for miR-126-5p. Mean miR-126-5p staining intensity in tumor cells was significantly different in 351 tumors grouped according to molecular subtype (p=0.006) where subgroup analyses revealed a 352 significantly lower miR-126-5p expression in tumor cells of luminal A tumors compared to 353 luminal B (p=0.035), HER2+ (p=0.011) and basal-like cancers (p=0.012) (Fig. 7A). 354 Interestingly, the main differences in expression of miR-126 between tumor groups were found 355 when exploring the staining of miR-126-5p in the stromal compartment. The stromal expression 356 of miR-126-5p was significantly different between tumors of different histological grade with 357 lower expression in grade 1 tumors compared to grade 3 (p=0.006) (Fig. 7B), and in luminal A 358 tumors compared to luminal B (p=0.022), HER2 positive (p=0.002) and the basal-like subgroup 359 (p<0.001) (Fig. 6A). Both miR-126-3p and -5p staining in tumor cells and stroma was higher in 360 ER- compared to ER+ tumors, whereas HER2+ tumors had higher miR-126-5p staining in tumor

Stromal ISH expression of miR-126-5p increases with both histological grade and

cells and stroma compared to HER2- tumors. There were no significant differences in miR-126-3p and -5p mean staining intensity in tumor or stromal cells between tumors according to lymph node status, although the lowest levels were found in tumors with nodal metastases. ISH scores according to histopathological variables are presented in Table 3.

**Table 3: In situ hybridization scores for miR-126-3p and miR-126-5p.** Data presented as mean score in tumor cells and stroma of breast cancer tumors according to histopathological variables.

|              |            | miR-1  | 26-3p  | miR-126-5p |          |  |
|--------------|------------|--------|--------|------------|----------|--|
|              |            | Tumor  | Stroma | Tumor      | Stroma   |  |
| III:-4-lil   | Grade 1    | 2.14   | 2.59   | 1.19       | 0.80     |  |
| Histological | Grade 2    | 2.22   | 2.64   | 1.35       | 1.11     |  |
| grade        | Grade 3    | 2.31   | 2.57   | 1.52       | 1.38     |  |
|              | P          | 0.67   | 0.83   | 0.094      | 0.023*   |  |
|              | Luminal A  | 2.13   | 2.56   | 1.17       | 0.76     |  |
| Molecular    | Luminal B  | 2.21   | 2.60   | 1.52       | 1.21     |  |
| subtype      | HER2+      | 2.39   | 2.50   | 1.72       | 1.78     |  |
|              | Basal-like | 2.39   | 2.80   | 1.48       | 1.63     |  |
|              | P          | 0.35   | 0.11   | 0.006*     | <0.001** |  |
| ER status    | Positive   | 2.14   | 2.56   | 1.27       | 0.84     |  |
|              | Negative   | 2.42   | 2.71   | 1.56       | 1.67     |  |
|              | P          | 0.042* | 0.017* | 0.008*     | <0.001** |  |
| HED2 status  | Positive   | 2.22   | 2.58   | 1.62       | 1.53     |  |
| HER2 status  | Negative   | 2.22   | 2.61   | 1.30       | 1.00     |  |
|              | P          | 0.97   | 0.98   | 0.029*     | 0.027*   |  |
| Lymph node   | Positive   | 2.12   | 2.52   | 1.28       | 0.94     |  |
| status       | Negative   | 2.27   | 2.64   | 1.39       | 1.16     |  |
|              | P          | 0.32   | 0.20   | 0.20       | 0.16     |  |

There was a positive correlation between the ISH staining intensity in tumor cells and stromal fibroblasts for both miR-126-3p and miR-126-5p (Table 4). Interestingly, the proliferative marker Ki67 displayed a positive correlation with the stromal expression of miR-126-5p which was borderline significant at the p $\leq$ 0.05 level (r=0.24, p=0.055).

Table 4: Correlation of miR-126-3p and miR-126-5p from *in situ* hybridization staining.

|            |        |   | miR-126-3p |        | miR-126-5p |        | Ki67    |
|------------|--------|---|------------|--------|------------|--------|---------|
|            | N=90   |   | Tumor      | Stroma | Tumor      | Stroma | N=63    |
| miD 126 2n | Tumor  | r |            | 0.47** | 0.42**     | 0.29** | 0.09    |
| miR-126-3p | Stroma | r |            |        | 0.24*      | 0.39** | 0.11    |
| :D 126 Fm  | Tumor  | r |            |        |            | 0.70** | 0.18    |
| miR-126-5p | Stroma | r |            |        |            |        | 0.24(*) |

## **Discussion**

Herein, we describe the miR-126-3p and its passenger strand, miR-126-5p, in BC using both functional studies of BC cell lines and studies of the miRNAs' expression patterns in samples from BC patients.

Endogenous expression of miR-126-3p and miR-126-5p was found to be downregulated both in

malignant tumors from women participating in the NOWAC study, and in the three different cell lines representing the BC subtypes ER+, HER2+, and TN tumors. This is in line with other studies where expression of miR-126 has been reported to be downregulated in malignant compared to benign breast tissue [42]. Promoter regulation of the host gene of miR-126, *EGFL7*, has been reported as a possible underlying mechanism [30, 43].

Expression of miR-126-3p is considered tumor suppressive [30, 44-46], and the functional experiments presented in this study support this perception, as all three studied BC cell lines

displayed inhibition of both proliferation and invasion when transfected with miR-126-3p. Interestingly, the passenger strand, miR-126-5p, appears to be a potent driver of tumorigenesis in the TN cell line MDA-MB-231, whilst having tumor suppressive effects in the ER+ MCF7 and the HER2+ SK-BR-3 cell line. Proliferation and invasion significantly increased in the TN cell line when transfected with miR-126-5p. The effect was profound, especially in the proliferation assays, and this was reproduced in a minimum of three independent experiments. Other studies of miR-126 in BC have reported the passenger strand miR-126-5p to be a tumor suppressor working in synergy with the lead strand, miR-126-3p [30, 47]. However, there are reports of miR-126-5p being associated with tumor promoting properties, such as drug resistance and poor prognosis in acute myeloid leukemia (AML) patients [48], promotion and protection of endothelial proliferation by inhibition of Dlk1 and SetD5 [49, 50], and induction of proliferation and angiogenesis in non-tumorigenic cells via the PI3K/AKT and MAPK/ERK pathways [51]. Interestingly, the epidermal growth factor-like protein Delta-like homolog 1 (Dlk1) is suppressed by miR-126-5p, but not miR-126-3p, in endothelial cells [49]. Dlk1 is an inhibitor of NOTCHreceptors, which, depending on cellular context, have oncogenic or tumor suppressor properties. Low levels of Dlk1 and thereby higher levels of NOTCH-signaling have been reported to increase cell proliferation and cell invasion of MDA-MB-231 cells [52], the TN BC cell line used in our study. Further, transfection of miR-126-5p in other cell types has been shown to inhibit the expression of the klotho gene, and increase the phosphorylation of Akt [53]. Klotho exerts inhibitory effects on the IGF-1 signaling pathway and has tumor suppressive effects in BC cells [54]. Downregulation of klotho and Dlk1 represents possible underlying mechanisms for the observed tumor promoting effects of miR-126-5p in the TN BC cell line in our study.

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410 For the ER+ and the HER2+ cell lines, transfection with miR-126-5p led to a tumor suppressive 411 response in the proliferation experiments, although the effect was less pronounced when 412 compared to the experiments with the lead strand, miR-126-3p. Notably, when transfecting the 413 ER+ and HER2+ BC cell lines using miR-126-5p, their invasion potential did not significantly 414 differ to that of the control transfection. 415 The passenger strands of miRNAs are typically degraded after processing, and are consequently 416 less abundant compared to their lead strand [15]. This is also evident for mature miR-126 in our 417 study, where expression of miR-126-3p in the patient cohort and the BC cell lines MCF7 and SK-BR-3 is greater compared to expression of miR-126-5p. Interestingly, when comparing 418 419 endogenous levels of miR-126-3p with endogenous levels of miR-126-5p in the non-cancerous 420 cell line MCF-10A, the ER+ BC cell line MCF-7, the HER2+ BC cell line SK-BR-3, and the TN 421 BC cell line MDA-MB-231, we found an incremental shift in the miR-126-3p/miR-126-5p 422 expression pattern. The non-cancerous cell line MCF-10A appears to harbor the largest relative 423 amount of miR-126-3p, followed by ER+ MCF-7 and HER2+ SK-BR-3, whilst the TN MDA-424 MB-231 has the opposite expression pattern, with miR-126-5p presenting as the more abundant 425 strand. It is possible that mechanisms responsible for targeting the passenger strand miR-126-5p 426 for degradation are either corrupted or in some way modified to allow miR-126-5p to accumulate. 427 As a consequence, a larger part of the mature passenger strand, miR-126-5p, is eligible to interact 428 with the RISC-complex to exhibit a more potent biological response in the TN BC cell line which represents the most aggressive BC subtype (Fig. 8). 429 430 Studies of miRNA biogenesis, expression and functions have reported that different miRNA 431 strands can be selected in a tissue specific manner and during cancer progression [55, 56]. 432 Further, high abundance of target mRNAs may block miRNA release from their targets and

433 protect miRNA strands from degradation by exoribonucleases [56]. Hence, regulation of miRNA 434 strand selection and stability could add to the complexity of miRNA expression and function in 435 different BC subtypes, as illustrated by the different functions of miR-126-3p and miR-126-5p in 436 different BC cell lines in the present study. 437 In the clinical tumor samples, the expression of miR-126-3p and -5p, analyzed by microarray and 438 PCR, was lower in lymph node positive breast cancers compared to tumors without nodal 439 metastases. Interestingly, miR-126 has been shown to be a negative regulator of the metastatic 440 process in BC in part by suppressing tumor growth in vitro using highly metastatic breast cancer cell lines [36]. In a murine model of breast cancer, miR-126 knockdown cells were shown to 441 442 form metastases with high blood vessel density due to increased recruitment of endothelial cells 443 to the metastatic cells [57]. The target genes demonstrated to mediate the suppressive effects of 444 miR-126 on metastasis formation were IGFBP2, the receptor kinase MERTK and the 445 phosphatidylinositol transfer protein PITPNC1, which in sum mediate a positive migratory and 446 chemotactic signal to endothelial cells. 447 The expression of miR-126-3p and -5p was not significantly different between tumors of 448 different histological grade or molecular subtype when analyzed using microarray and PCR 449 technology. The homogenized tissue used for RNA extraction and later microarray and PCR 450 contains RNA contributions not only from epithelial cells, but also from other cellular 451 components in benign and malignant tissue such as fibroblast, endothelial cells, adipocytes and 452 lymphocytes. However, in this study, ISH analysis of both miRNA strands was included, 453 allowing us to explore the *in situ* expression of miR-126 in both tumor and stromal BC cells. 454 Interestingly, stromal levels of miR-126-5p were significantly associated with both molecular 455 subtype and histological grade, with the highest levels of miR-126-5p observed in the tumors

belonging to the BC subtypes with the worst prognosis. Although not statistically significant (p=0.055), the positive correlation between miR-126-5p and the proliferation marker Ki67 is noteworthy in this context. These findings are especially interesting when considering the *in vitro* experiments where the introduction of miR-126-5p produced a more aggressive phenotype in the TN BC cell line MDA-MB-231. The linkage between miR-126-5p expression and BC subtype and grade was highly significant in BC stroma, i.e. in fibroblasts within the tumor. Fibroblasts are involved in tumorigenesis and constitute the majority of stromal cells in breast tumors [58]. Several studies have described considerable crosstalk between tumor and stroma via exosomal transfer of miRNAs [59-61] where microvesicles containing miRNAs derived from cancer cells convert fibroblast into cancer associated fibroblasts (CAFs) with tumor-promoting properties. Further, exosomes from fibroblast can also affect cancer cell functions, e.g. miRNAs from CAFs have been shown to be directly involved in ER repression in breast cancer through secreted exosomes [62]. Hence, the increased stromal miR-126-5p expression and its association with more advanced breast cancers is an interesting finding, given the diversity of miR-126-5p effects in functional studies. This study has increased our understanding and awareness of miRNAs duplexity in regulation and function. Through in vitro studies we have described the mature miR-126 as having both potent tumor suppressor and tumor driver functions with opposite effects of the two different miR-126 strands in TN BC. Functional studies on individual miRNAs are an important tool in detecting and understanding these two-faced properties which are recurrently emerging for several miRNAs.

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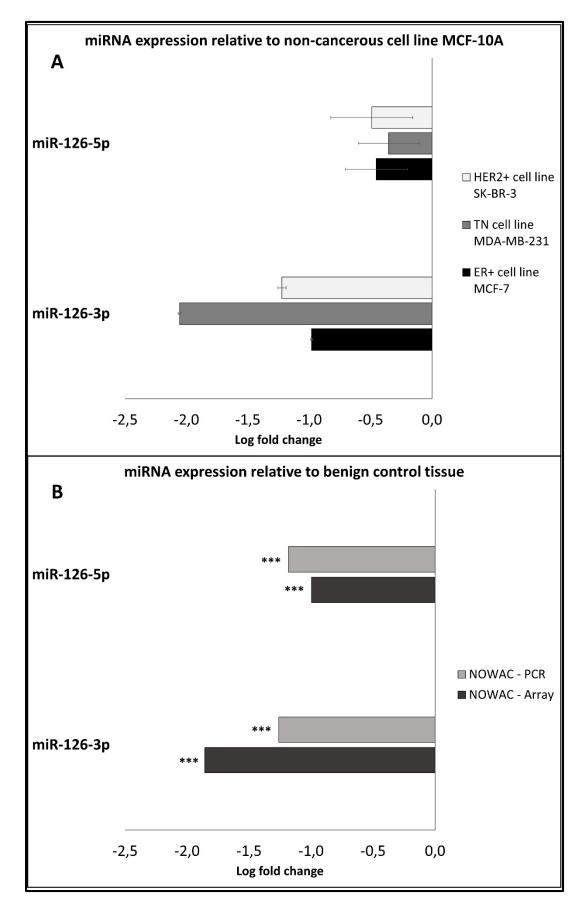
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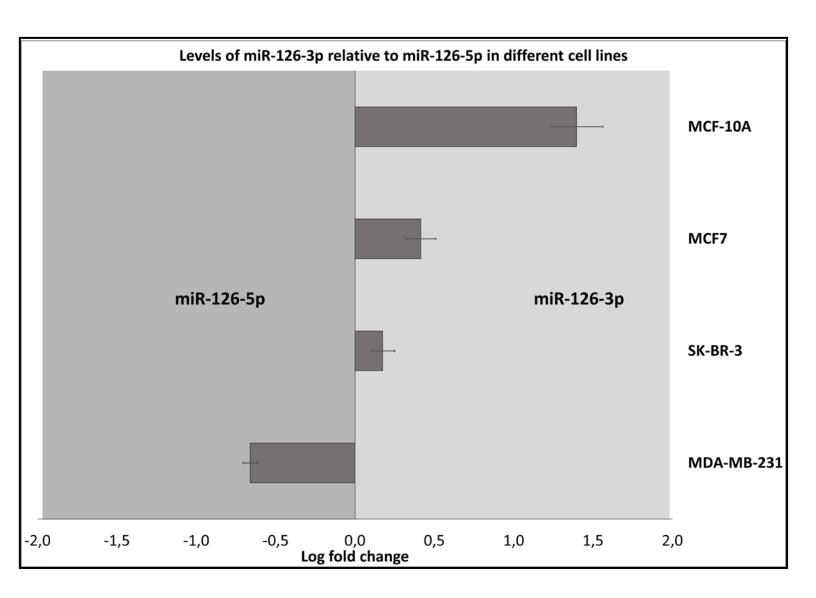
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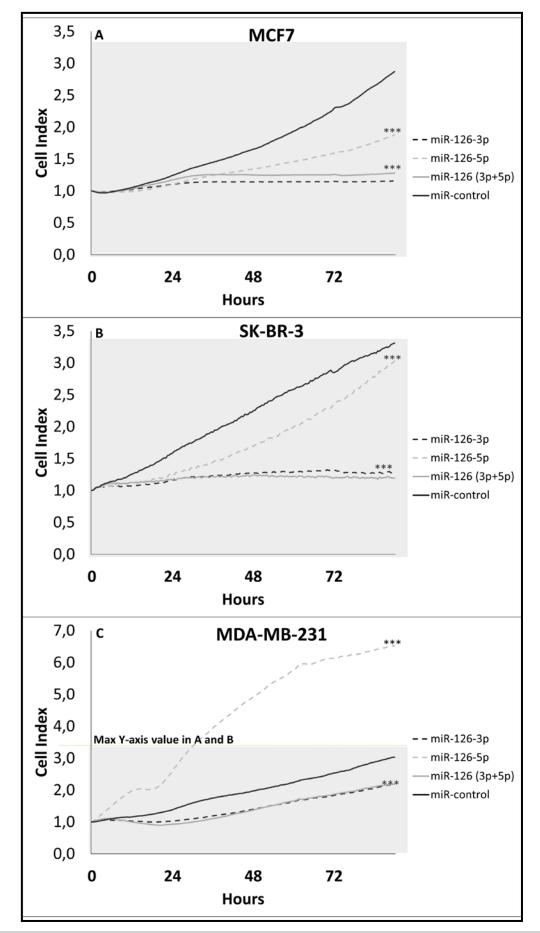
# **Figures**



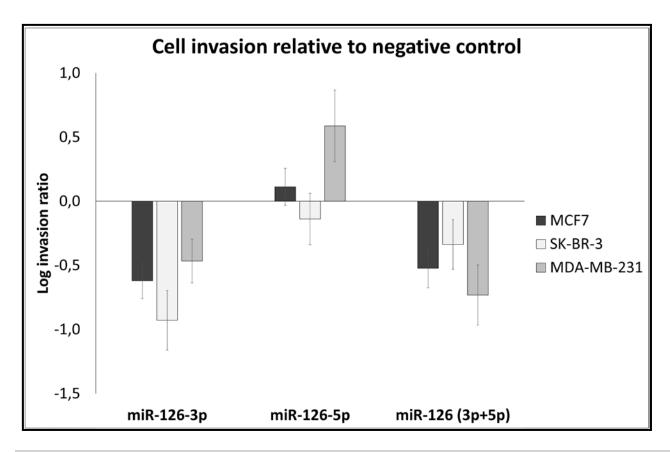
**Fig. 1 – Endogenous expression.** Endogenous miR-126 expression in three BC cell lines relative to the non-cancerous breast cell line MCF-10A (A), and the endogenous expression in BC tumors compared to benign breast tissue (B). Cell line data is displayed as mean log fold change  $\pm$ SE from a representative biological replicate. The annotation \*\*\* signifies P<0.001 using the Mann–Whitney U test for independent samples.



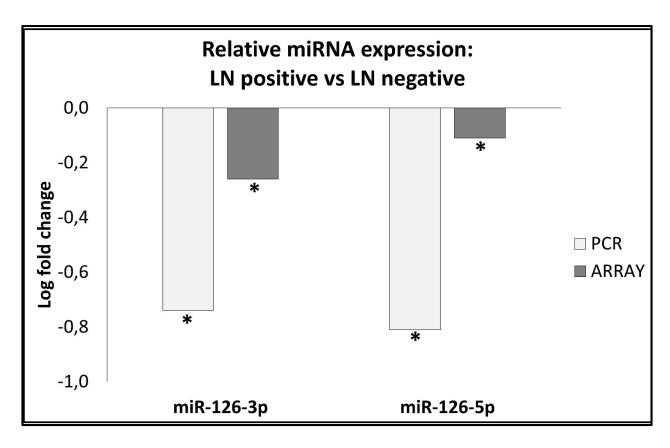
**Fig. 2 – Expression pattern.** The relative expression pattern between miR-126-3p and miR-126-5p in different breast cell lines. Based on RT-qPCR data, the relationship between miR-126-3p and miR-126-5p was studied in the non-cancerous breast cell line MCF-10A, the ER+ BC cell line MCF7, the HER2+ BC cell line SK-BR-3 and the TN BC cell line MDA-MB-231. Data are displayed as mean log fold change ±SE from a representative biological replicate.



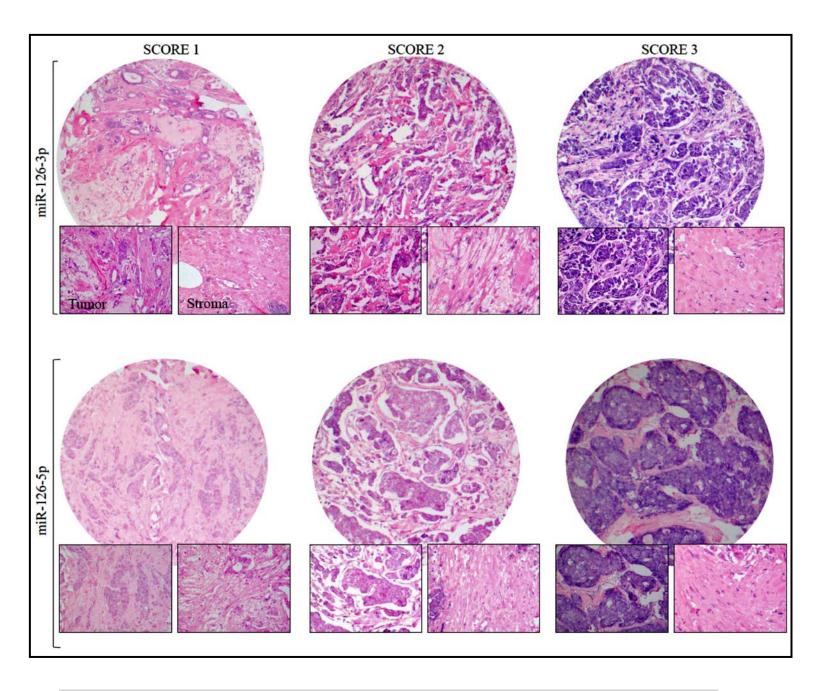
**Fig. 3 - Proliferation**. Experimental study on BC cell lines transfected with miR-126 performed in real-time using the xCelligence system. Proliferation in different BC cell lines after transfection with either miR-126-3p mimic, miR-126-p mimic or mimics 3p+5p in combination and equal concentration. Proliferation was assessed for the ER+ BC cell line MCF7 (A), the HER2+ BC cell line SK-BR-3 (B) and the TN BC cell line MDA-MB-231. Results are representative for all biological replicate, and each experiment includes four technical replicates. The annotation \*\*\* signifies P<0.001 with one-way ANOVA and the Benjamini & Hochberg correction comparing different transfections to controls.



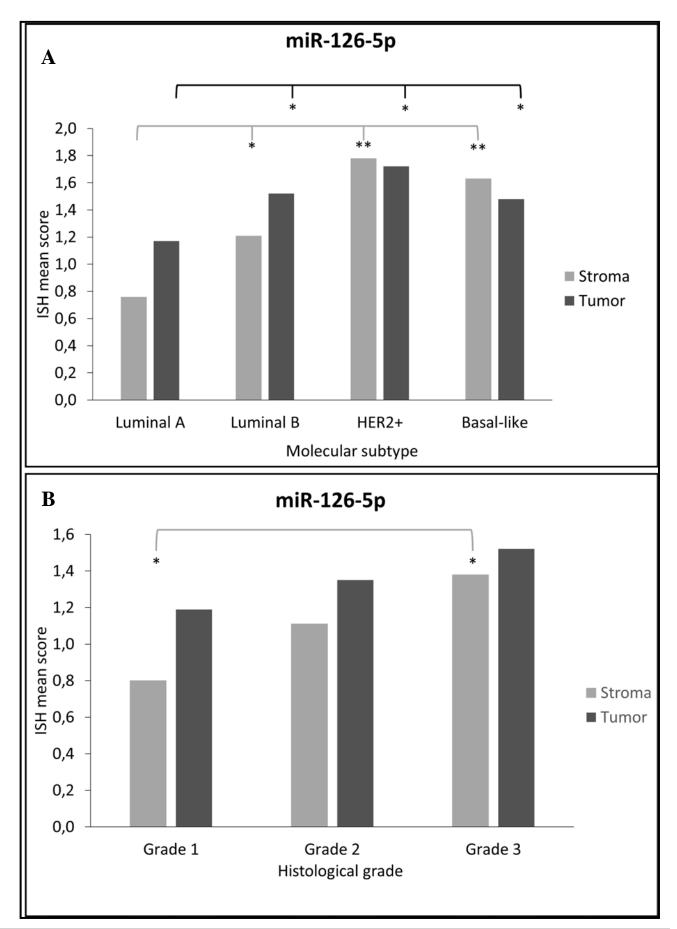
**Fig. 4 – Invasion.** Experimental study on invasion to assess the invasion potential in BC cell lines transfected with miR-126 performed using a Boyden chamber assay coated with a basement membrane matrix. BC cell lines transfected with either miR-126-3p, miR-126-5p or miR-126-3p + miR-126-5p in equal concentrations, were seeded in the upper wells of a modified Boyden chamber assay. Cells were allowed to invade, and migrate through, the basement membrane towards the bottom chamber containing bovine serum as a chemoattractant. Results are displayed as mean log fold change ±SD of miR-126 transfected cells relative to miR-control transfected cells. Data presented are from a representative biological experiment, which include four technical replicates for each cell line.



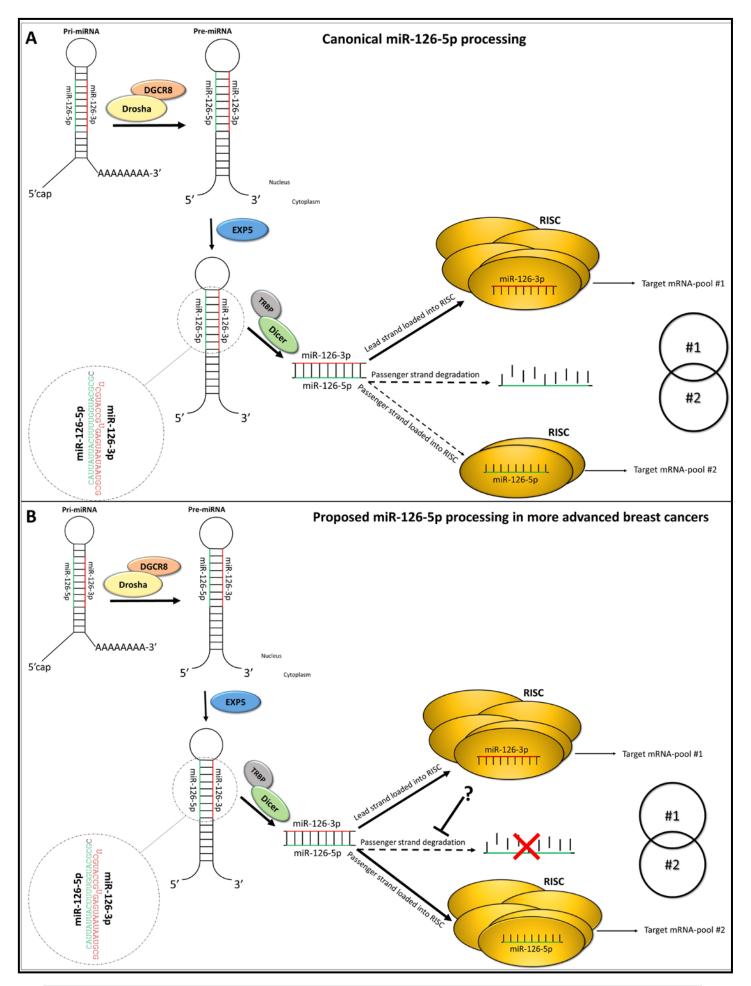
**Fig. 5 – Nodal involvement.** Differential expression of miR-126-3p and miR-126-5p in cancer patients with nodal involvement compared to patients with no nodal involvement. Results are displayed for both the miRNA microarray and the qPCR validation. Data are displayed as log fold change of nodal involvement vs no nodal involvement, and the annotation \* signifies P<0.05.



**Fig. 6 – Intensities of ISH staining**. The *in situ* hybridization staining intensities for miR-126-3p and miR-126-5p in TMA samples. TMA scores were analyzed in both tumor and stroma



**Fig. 7 – TMA subgroup analysis**. Differential expression of miR-126-5p in TMA samples for tumor and stroma. Mean value of TMA-scoring stratified into molecular subtype (A) and histological grade (B). The annotation \* and \*\* signifies P<0.05 and P<0.01, respectively.



**Fig. 8** – miR-126-5p processing in more advanced BC. Canonical processing of miR-126-5p (A), and our proposed miR-126-5p processing in more advanced cancer (B).