

# An Interdisciplinary Insight into the Human Dimension in Fisheries Models. A Systematic Literature Review in a European Union Context.

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9

## 10 **Abstract**

11 Fisheries are complex adaptive social-ecological systems (SES) that consist of interlinked human  
12 and ecosystems. Thus far, they have mainly been studied by the natural sciences. However, the  
13 understanding and sustainable management of fisheries will require an expansion of the study of  
14 the human element in order to reflect the SES perspective. Models are currently the most common  
15 method used to provide management advice in fisheries science, and these, in particular, will have  
16 to expand to include the human dimension in their assessment of fisheries. The human dimension  
17 is an umbrella term for the complex web of human processes within a social-ecological system  
18 and as such it is captured by disciplines from the social sciences and the humanities.  
19 Consequently, capturing and synthesizing the variety of disciplines involved in the human  
20 dimension, and integrating them into fisheries models, will require an interdisciplinary approach.  
21 This study therefore attempts to address the current shortcomings associated with the modelling  
22 of fisheries in the European Union and advise on how to include the human dimension and  
23 increase the interdisciplinarity of these models. We conclude that there is potential for the  
24 expansion of the human dimension in fisheries models. To reach this potential, consideration  
25 should be given to e.g. early involvement in model development of all relevant disciplines, and  
26 the formulation of operationalisable theories and data from the human dimension. We provide  
27 recommendations for interdisciplinary model development, communication, and documentation  
28 in support of sustainable fisheries management.

29

30 **1 Introduction**

31 Fisheries have been recognised as a social-ecological system (SES). As such, they consist of a  
32 coupling of a human system with a natural one (Ostrom, 2009). These two subsystems are  
33 connected and intertwined, and have a two-way feedback relationship, where a change in one of  
34 the subsystems can impact the other, and vice-versa (Berkes, 2011). Fisheries also have the  
35 characteristics of complex adaptive systems, such as non-linearity, uncertainty, and self-  
36 organisation (Leenhardt et al., 2015; Levin et al., 2012). Thus, fisheries can be understood as  
37 social-ecological complex adaptive systems (SECAS). Today, the SECAS perspective on  
38 fisheries has been acknowledged, yet fisheries are not always addressed as such (Syed, Borit, &  
39 Spruit, 2018).

40 The field of fisheries science has been traditionally dominated by natural scientists (Link, 2010).  
41 Their research efforts have focused mainly on topics relating to the natural subsystem (Syed et al.,  
42 2018). However, these efforts need to expand to include the human subsystem in order to ensure  
43 that fisheries science is addressing both elements of the social-ecological system, especially as a  
44 lack of consideration of the SES perspective in general, and the human subsystem in particular,  
45 has led, in some cases, to management and policy failures in the past (Freire & Garcia-Allut,  
46 2000; Österblom et al., 2011). Thus, it is only through equal consideration of both subsystems  
47 that fisheries science can provide a SECAS perspective. In return, it is only through a SECAS  
48 perspective that the field can capture the complexity of fisheries appropriately, and contribute to  
49 effective sustainability, conservation, and management initiatives (Marshall et al., 2018; Rissman  
50 & Gillon, 2017; Starfield & Jarre, 2011).

51 Fisheries science uses modelling approaches to assess fisheries systems and to provide  
52 management advice. As such, models are the most commonly used method in this field (Jarić,  
53 Cvijanović, Knežević-Jarić, & Lenhardt, 2012). A common way to integrate various data and  
54 additional considerations on, for example, theory or indicators (Link, 2010, p. 89), models can  
55 provide an inspiring point of departure and a guiding principle for interdisciplinary (e.g.  
56 (Heemskerk, Wilson, & Pavao-Zuckerman, 2003)), and as such models have a high potential to be  
57 used as an integrative research method in itself. Consequently, including considerations of the  
58 human subsystem into these models will provide a better assessment of fisheries as SECAS, while  
59 supporting their sustainable management. However, the human subsystem is not easily captured,  
60 as it is a broad and diverse field of study.

61 The umbrella term ‘human dimension’ in relation to fisheries has been used in order to refer to  
62 the diversity within the human subsystem and to highlight its importance (Charnley et al., 2017;  
63 OECD, 2007). The human dimension (HD) can be understood as a complex web of human  
64 processes that relate to natural resources (Spalding, Biedenweg, Hettinger, & Nelson, 2017). It  
65 can be categorised into social phenomena, social processes, and individual attributes (Bennett et  
66 al., 2017). To study the HD, human dimension aspects (HDA) (i.e. smaller components within an  
67 HD category) are often analysed, such as compliance or trust. Due to the diversity of the human  
68 subsystem, the HD and its HDAs are addressed by many different disciplines, ranging across the  
69 social sciences and the humanities. This makes the HD a **broad multi- and interdisciplinary**  
70 **concept** that can be studied from various angles and at different scales, from global to local  
71 (Bennett et al., 2017; Spalding et al., 2017). Thus, interdisciplinary approaches are required to  
72 capture the full diversity of the HD.

73 However, models commonly use economic and environmental data, because these data are more  
74 easily available and accessible, e.g. *catch* and *effort*. Such data are commonly recorded during  
75 fishery-independent surveys or as fishery-dependent data for all (large-scale) fleets and markets in  
76 the European Union (EU), for example. Economic and environmental considerations are also  
77 commonly very prominent in frameworks for a comprehensive approach to fisheries management

78 (Stephenson et al., 2018). In comparison, consideration of the HD and the collection of HD data  
79 has been falling short in the EU compared to collection efforts associated with environmental and  
80 economic data and as such social data is often lacking or unavailable (Hatchard & Gray, 2014).  
81 Social information is also more difficult to collect as social issues range from individual to global  
82 concerns (Bennett et al., 2017), additionally hindering the quantification of HDAs (Hatchard &  
83 Gray, 2014; Symes & Phillipson, 2009). In cases where social science data has been provided,  
84 information is usually presented in the form of descriptive text, which is often neither read, nor  
85 integrated into fisheries assessments in a meaningful way (Hall-Arber, Pomeroy, & Conway,  
86 2009).

87 In order to ensure that fisheries models can capture the HD and its diversity, multi- and  
88 interdisciplinary efforts are needed, with support from various disciplines. Through such efforts,  
89 the necessary support for the inclusion and incorporation of the broad concept of HD can be  
90 provided. However, it remains unclear to what extent the HD has been integrated into fisheries  
91 models and exactly how interdisciplinary the field of HD in fisheries models is at present, and  
92 into what areas it should be expanded.

93 Therefore, the aim of this study is to assess the presence of HD in fisheries models, and to  
94 evaluate interdisciplinarity within modelled HDAs. These objectives were translated into the  
95 following research questions: *How interdisciplinary is the field of the human dimension in*  
96 *fisheries modelling? Is there a gap between the HDAs that are modelled and those that could be*  
97 *modelled? Are HDAs included in fisheries models modelled in an interdisciplinary manner?*

98

## 99 2 Conceptual Framework

### 100 2.1 Interdisciplinarity

101 In this study, we understand interdisciplinarity as an attempt at mutual interaction between  
102 disciplinary components that involves crossing the boundaries of several academic disciplines  
103 with contrasting research paradigms in order to create new theories and knowledge (Tress, Tress,  
104 & Fry, 2005). Interdisciplinary activities and studies apply, synthesize, integrate, or transcend  
105 parts of two or more disciplines with a common goal (Chiu, Kwan, & Liou, 2013; Huutoniemi,  
106 Klein, Bruun, & Hukkinen, 2010; Tress et al., 2005). To make the distinction, multidisciplinary  
107 involves several academic disciplines that have multiple parallel goals, often with the purpose of  
108 comparison, but does not cross subject boundaries or aim for any form of integration.

109 Transdisciplinarity combines interdisciplinarity with a participatory approach by involving non-  
110 academic participants and knowledge bodies to create new knowledge and theory (Tress et al.,  
111 2005).

112 To assess interdisciplinarity within the field of the human dimension in fisheries models, we used  
113 the typology and indicators for interdisciplinarity developed by Huutoniemi et al. (Huutoniemi et  
114 al., 2010). This typology considers interdisciplinarity on three dimensions: 1. the scope of  
115 interdisciplinarity, i.e. what is being integrated; 2. the type of interdisciplinary interaction, i.e.  
116 how it is being done; and 3. the types of goals, i.e. why an interdisciplinary approach is being  
117 used.

118 The scope of interdisciplinarity refers to the conceptual and cultural distance between the  
119 participating disciplines or research fields. It is understood as *narrow* if the participating fields are  
120 conceptually close to each other (e.g. life sciences and biological sciences), whereas it is  
121 considered *broad* when the fields are conceptually diverse (e.g. law and engineering). The type of  
122 interdisciplinary interaction describes how interdisciplinarity is being carried out, and three

123 different approaches can be distinguished: *empirical*, *methodological*, and *theoretical*. *Empirical*  
124 interdisciplinarity integrates different types of empirical data (e.g. qualitative and quantitative  
125 data). *Methodological* interdisciplinarity implies the integration of different methodological  
126 approaches. As we chose to explore only models as a fisheries research methodology, this  
127 dimension of interdisciplinarity has not been assessed in this study. *Theoretical* interdisciplinarity  
128 occurs when concepts, models, or theories from more than one field or discipline are synthesized  
129 in order to develop new theoretical tools (Huutoniemi et al., 2010). By considering only empirical  
130 and theoretical interdisciplinarity, we assumed that the HD should be fit into fisheries models and  
131 did not consider potential other methodological approaches that could be suitable for studying  
132 fisheries as SECAS and providing science advice to management.

133 The types of goals can be *epistemologically* oriented to increase knowledge, or *instrumentally*  
134 oriented to achieve an extra-academic goal or solve a societal problem. The types of goals can  
135 also have a *mixed* orientation when they have both, an epistemological and an instrumental  
136 orientation.

### 137 **3 Methodology**

138 In order to address our research questions, we employed a systematic literature review (SLR)  
139 approach that consisted of three consecutive steps: 1. relevant literature was collected and  
140 selected in a systematic, reproducible manner; 2. the selected literature was analyzed in a  
141 qualitative way through content analysis and hierarchical coding, which was followed by 3. the  
142 design of data visualizations. Subsequently, we applied a typology and indicators to assess  
143 interdisciplinarity within the data. All the applied methods are explained in detail in the following  
144 sections, followed by their limitations.

#### 145 **3.1 Literature collection and selection**

146 In order to select a large enough sample of papers on fisheries models to study the practices being  
147 used to the model the human dimension, we decided to use a systematic approach. This provides  
148 transparency and replicability and makes the choice of the publications under review  
149 comprehensible by determining: 1. a set of keywords to be used as search terms in an unbiased  
150 academic search engine, and 2. clear inclusion and exclusion criteria by which the resulting  
151 literature will be evaluated. These steps are described in Sections 3.1.1 and 3.1.2.

152 This methodology is commonly referred to as a Systematic Literature Review (SLR) and is an  
153 effective approach for sampling the literature in a systematic and reproducible way. SLRs are  
154 commonly applied in fields such as medical science (e.g. Weitzen, Lapane, Toledano, Hume, &  
155 Mor, 2004) and software engineering (e.g. Kitchenham et al., 2009), and they are an emerging  
156 method in fields such as organisational studies (Maier et al. 2016), education (e.g. Hainey et al.  
157 2016), and marine and coastal studies (e.g. Lique et al. 2013).

##### 158 **3.1.1 Search terms**

159 The search was conducted using the scientific search engine Scopus ([www.scopus.com](http://www.scopus.com)), where  
160 the search terms ‘fisheries’, ‘model\*’, and ‘common fisheries policy’ were employed to select for  
161 peer-reviewed publications on fisheries models. All subject areas as identified by Scopus (i.e. life  
162 sciences, health sciences, physical sciences, social sciences, and humanities) and all possible  
163 publication years were selected. The precise search string used in Scopus can be found the  
164 Appendix S1. The search was conducted on 25/08/2015.

165 We used the term ‘fisheries’ in order to select for models with a system perspective, rather than  
166 select for models only considering the environmental components (e.g. fish), and therefore we did  
167 not use the search term ‘fish\*’. To achieve a general perspective on the field of fisheries

168 modelling, we chose not to limit this study to a particular modelling technique (e.g. Bayesian  
169 belief networks) or a particular model type (e.g. stock assessment). Thus, we sampled models  
170 created for a large variety of fisheries that are performing under similar managerial assumptions.  
171 Among the multitude of possible managerial assumptions, we chose the Common Fisheries  
172 Policy of the European Union (EU), a common set of rules that applies to all EU fishing fleets  
173 and EU fish stocks. This decision was driven mainly by the fact that the EU fisheries are among  
174 the most extensively studied in the world (Jarić et al., 2012), therefore presumably offering a  
175 large, but still manageable, sample for qualitative analysis. In addition, we considered the source  
176 to include a model if the respective item was referred to as a model by the authors of the  
177 publication, including qualitative/quantitative models, process/conceptual models, and  
178 frameworks.

### 179 **3.1.2 Inclusion and exclusion criteria**

180 The full text of all publications was downloaded, and the publication metadata was exported from  
181 Scopus, including authors, title, year, journal, and journal subject areas. All articles were screened  
182 for relevance to the study objectives and included or excluded based on the criteria listed in Table  
183 1.

184 Throughout this process, we followed the guidelines for systematic reviews in conservation and  
185 environmental management (Pullin & Stewart, 2006), and the PRISMA reporting guidelines  
186 (Moher, Liberati, Tetzlaff, Altman, & Group, 2009). These guidelines ensure a thorough  
187 execution of the sampling and analysis of the literature while carrying out the SLR.

188

## 189 **3.2 Content Analysis**

190 The SLR process was followed by a qualitative analysis and synthesis through content analysis,  
191 which is a research methodology for making valid inferences from texts in a replicable manner  
192 (Krippendorff, 2013). This study followed a problem-driven approach to content analysis, which  
193 means that it was motivated by epistemic questions about currently inaccessible information that  
194 the text is assumed to be able to answer (Krippendorff, 2013). During our content analysis, coding  
195 categories and recording instructions were developed, and an analytical procedure was selected.  
196 These steps are explained in detail in Section 3.2.1.

### 197 **3.2.1 Coding of the human dimension aspects**

198 The content of the selected publications, i.e. the information relevant to the research questions of  
199 this study, was analysed through coding and the development of a category system. Coding is the  
200 process of categorising and organising information into a meaningful framework (Johnson, 2007)  
201 to empower and speed up systematic qualitative data analysis (Lofland, Snow, Anderson, &  
202 Lofland, 2006). The term coding refers to the process of reading the data and dividing it into  
203 meaningful analytical units, also known as segmenting the data. Once a meaningful unit has been  
204 identified, it is coded, which means that the unit is marked with a descriptive word or a category  
205 name. During coding, a master list is maintained in order to keep track of all previously coded  
206 units, so that codes can be reapplied to new data segments each time an appropriate unit or  
207 segment is discovered within the text (Johnson, 2007). We developed an indicative code, which  
208 means that it was created by the researcher whilst directly inspecting the data, in contrast to, for  
209 example, using a pre-existing set of codes that had been developed *a priori* to the analysis.

210 We coded the data according to a hierarchical category system. This enables organisation of the  
211 data into different levels or categories based on the idea that some themes are more general than  
212 others, and that codes are therefore related vertically (Johnson, 2007). We used the term

213 ‘function’ to describe the categorical relationship between the codes. A functional relationship  
214 between two variables essentially means: *X is used for Y* (Johnson, 2007).

215 In the code developed for this study the main aspect modelled by a publication, or the main  
216 subject of the model, was coded as the first hierarchical unit representing the general theme and  
217 overall goal. The main aspect modelled was identified based on what the authors themselves  
218 stated in the title, the abstract, or the introduction to the article (e.g. “...we modelled the  
219 exploitation of a fishery...”). The theme identified as the overall goal or main aspect of the model  
220 was categorised into one of three dimensions: human/social, economic, or environmental, or a  
221 combination of these (see Section 3.2.2).

222 Studies whose main aspect was identified as the human dimension were analysed in depth via  
223 further hierarchical coding to determine through which variables they had been modelled. Two  
224 more descending hierarchies were introduced into the coding, which resulted in a three-level code  
225 hierarchy: Level 1—the main HDA; Level 2—variables that were used to model Level 1 and the  
226 functional relationship between them; Level 3—variables that were employed to model Level 2  
227 and the functional relationship between them. In more mathematical terms, this can be described  
228 as follows:

$$229 \quad HDA = F(b, c), \quad \text{with } b = G(d, e)$$

230 where HDA is the main HDA, (Level 1), which is modelled as a function F of the variables b and  
231 c, and where b is modelled as a function G of the variables d and e.

232 All these variables were coded in NVivo 11 (QSR International Pty Ltd, 2015). The codes, which  
233 are represented as nodes in NVivo, were assigned to hierarchical categories in order to distinguish  
234 between Level 1, Level 2, and Level 3 variables (Figure 1).

235 In addition, information on the modelling techniques and types e.g. Bayesian belief network,  
236 bioeconomic model, etc., were extracted from the publications and recorded in Microsoft Excel  
237 2016.

### 238 **3.2.2 Assigning the dimensions identified in the fisheries models to the human dimension** 239 **aspects**

240 The identified HDAs and other variables were assigned to the dimensions described previously  
241 (*human/social, economic, and environmental*) based on the indicators for sustainable development  
242 of marine capture fisheries developed by the Food and Agriculture Organization (FAO) of the  
243 United Nations (see Section 2.3. Table 3 in FAO Fishery Resources Division, 1999). We included  
244 the FAO’s *governance* dimension in the *social* one and renamed the latter as the *human*  
245 dimension. The *economic* dimension was treated as a dimension in its own right, as the tradition  
246 of treating it separately in fisheries science seems to be very strong (Haapasaari, Kulmala, &  
247 Kuikka, 2012). We found the FAO framework appropriate given its global penetration level and  
248 authority in fisheries science, but we are aware that other categorizations and divisions of  
249 fisheries systems exist (A. Charles, 2000). The human dimension aspects were categorized into  
250 three topics as described by Bennett et al. (2017): *social phenomena, social processes, and*  
251 *individual attributes*.

### 252 **3.2.3 Enumeration of the qualitative data**

253 The qualitative coding analysis of the publications was followed by enumeration, which refers to  
254 the quantification of the qualitative data and coding results, for example, the number of HDAs  
255 and the human/social, economic, and environmental variables for each HDA were counted. The  
256 enumeration of the qualitative data was conducted using the software NVivo 11 (QSR  
257 International Pty Ltd, 2015) because computer-aided qualitative data analysis allows for the

258 automated enumeration while enabling all data to be exported into other formats (e.g. csv, excel,  
259 etc.).

### 260 **3.3 Visualizations of the human dimension aspects**

261 The creation and use of displays (i.e. visualisations—the organised, compressed assembly of  
262 information that permits the drawing of conclusions and subsequent actions) is an important part  
263 of qualitative data analysis (Miles & Huberman, 1994). In order to be able to design relevant  
264 visualisations for this study, the qualitative data (i.e. the HDAs and their corresponding variables)  
265 were exported from NVivo 11 to Microsoft Excel 2016. They were transformed using Python into  
266 a data format (source-to-target) adequate for import into Gephi (Version 0.9.1), which is an open  
267 source visualisation tool for graph and network analysis (Bastian, Heymann, & Jacomy, 2009).  
268 This program allows for visual analytics and functions as a complementary tool to perform  
269 enumeration, to enable visual thinking, and to facilitate reasoning. In particular, Gephi was used  
270 for qualitative and quantitative visualisation of the hierarchy and the connections between the  
271 HDAs and the variables, as shown in Figure 1.

272 To give a qualitative representation of how the HDAs were modelled, the HDAs and variables  
273 were represented as nodes and the connections between them as edges, while the colour of each  
274 node was set according to the dimension that was assigned to the variable. The colours were  
275 assigned as follows: pink: human; blue: economic; green: environmental; white: other (e.g. time)  
276 or more than one dimension (e.g. sustainability). To include a quantitative representation of the  
277 results, the size of the nodes was set according to the publication count (i.e. the overall number of  
278 sources that featured this variable), which gives an impression of the relative importance of each.  
279 Each HDA in the study was treated separately, and a visual representation was created for each.  
280 The network algorithm used in Gephi was ForceAtlas2 (Jacomy, Venturini, Heymann, & Bastian,  
281 2014).

### 282 **3.4 Assessment of interdisciplinarity**

283 Interdisciplinarity was assessed based on the typology and indicators described by Huutoniemi et  
284 al. (2010), as explained in Section 2. We assessed interdisciplinarity in the modelling of the  
285 human dimension in fisheries through: 1. indicators of the scope of interdisciplinarity (narrow or  
286 broad, i.e. what is being integrated), and we assessed interdisciplinarity within the modelled  
287 HDAs through 2. the types of interdisciplinary interaction (empirical or theoretical, i.e. how the  
288 integration is done). The former was determined by an inspection of the diversity of the journals  
289 in which the papers were published, and their subject areas, and as well as the diversity of the  
290 types of models. The latter was determined by inspecting the diversity of the HDAs found within  
291 the models (theoretical interdisciplinarity), and examining the diversity of the fisheries  
292 dimensions (human, economic, environmental) within the variables used to model the HDAs  
293 (empirical interdisciplinarity). It is important to emphasize that we assessed the interdisciplinarity  
294 of the sample as a whole (based on the aggregated empirical data we had collected), rather than  
295 looking at each individual model separately.

296 We did not assess the types of goals because this was not the primary purpose of our study.

### 297 **3.5 Limitations of the applied methodology**

298 One limitation of the SLR approach, as with any keyword-based study, is that the choice of  
299 keywords is prone to human subjectivity, and that relevant literature can be potentially excluded  
300 if the keywords are not present in the searchable fields, e.g. abstract, title, or keywords of the  
301 item. Also, the similar managerial assumptions introduced through the keyword search of  
302 “common fisheries policy” might not necessarily encourage the incorporation of the HD into  
303 fisheries models, and are as such a limitation of this study. Additionally, the number of

304 publications reviewed is often much smaller than in, for example, computational approaches such  
305 as topic modelling (Syed & Weber, 2018).

306 Another limitation of the SLR approach is the exclusion of grey literature. Grey literature is not  
307 indexed in the same manner as scientific publications, and therefore cannot be sampled in the  
308 same way. On the other hand, grey literature does not undergo the same rigorous peer-review  
309 process as scientific journal publications, which gave us a good enough reason to exclude it and  
310 focus our interest on peer-reviewed scientific publications. We are aware that due to the  
311 limitations of this approach, relevant documents might have been excluded and are therefore  
312 absent from our sample. As such, our work reflects the academic contributions to the  
313 incorporation of HD into fisheries models, but not the fisheries science contributions as a whole  
314 (including modelling of stock assessments and advice) to this domain. However, since the aim of  
315 this study was to select a large sample of the literature in a transparent manner, rather than to  
316 identify all of the literature in the field, the methodological approach described above was  
317 considered sufficient.

318 Another limitation of the SLR approach is inherent to qualitative analysis and synthesis: it is an  
319 interpretative process, and the results can vary between human coders. Therefore, to ensure  
320 coding consistency, the coding was conducted by only one of the authors.

321 Interdisciplinarity is difficult to assess (Huutoniemi et al., 2010) and the approach applied here is  
322 therefore another limitation of this study. The measures used to assess interdisciplinarity (journal  
323 subject areas, model diversity, human dimension categories, and diversity of variables used to  
324 model the human dimension) are indicators and thus not direct measures of interdisciplinarity  
325 because they do not measure actual integration. This is due to the fact that the exact form and  
326 degree of integration in interdisciplinary research is often difficult to identify within a publication  
327 if it is not made explicit (e.g. whether the theories underlying the model were integrated and  
328 which theories they were). However, we assume interdisciplinarity (and not multidisciplinary)  
329 because the HDAs are modelled in individual models and as such, various variables and data were  
330 integrated into the model to achieve the overall goal of modelling the HDA (instead of achieving  
331 multiple parallel goals).

332

## 333 4 Results and Discussion

### 334 4.1 How interdisciplinary is the field of modelling the human dimension?

335 The Scopus search generated a total of 211 publications, out of which 131 were excluded based  
336 on the inclusion and exclusion criteria in Table 1. This left 80 publications that were eligible for  
337 further qualitative analysis. Within these 80 publications, we identified 31 papers as modelling an  
338 HDA, based on our coding criteria of the content analysis (see Appendix S3 for a full list of these  
339 papers). These 31 articles had been published in 20 different journals, which were listed in eight  
340 different subject areas in Scopus (Table 2). While some of the subject areas can be considered  
341 relatively similar from a conceptual point of view (e.g. *environmental sciences* and *agricultural*  
342 and *biological sciences*), other subject areas were conceptually diverse and crossed the  
343 boundaries of broad intellectual areas (e.g. *social science* and *computer science*). At the same  
344 time, many of these journals were registered in more than one field (e.g. *Marine Policy* is listed in  
345 three fields, *Land Economics* is listed in two fields). This spread of journals and subject areas,  
346 together with the presence of the same journals in multiple fields, could indicate the potential for  
347 both narrow and broad interdisciplinarity in the modelling of the human dimension in fisheries.  
348 At the same time, it is interesting to note that, even though the models we analysed were about the  
349 human dimension, and one would expect these to be published mainly in journals in the field of



350 social sciences, the most highly-represented subject field was environmental science, with social  
351 sciences being only half the size. This result is in line with the fact that fisheries science has been  
352 traditionally dominated by natural scientists (Link, 2010).

353 The journal with the highest frequency of appearance in the dataset was *Marine Policy*,  
354 accounting for almost one third of the articles on modelling an HDA in fisheries. This is not  
355 surprising, considering that the journal describes its contributions as a “*unique combination of*  
356 *analyses in the principal social science disciplines relevant to the formulation of marine policy*”  
357 (Elsevier, 2018), while the main topics published by this journal are fisheries management,  
358 conservation, fishing gear, and models (Syed et al., 2018).

359 A total of 36 different model types were identified within the publications, ranging from classic  
360 economics models (e.g. econometrics models) to theoretical frameworks (Table 3). As is the case  
361 for publication outlets and subject areas, this spread of model types could indicate the potential  
362 for both narrow and broad interdisciplinarity in the field being analysed. The application of  
363 various modelling approaches could be a potential first step towards an integration of the human  
364 dimension into fisheries assessments (Schlüter et al., 2012).

365 Almost one fifth of the publications included in this analysis used a bioeconomic model. The  
366 greater use of these models is likely related to their long-term use in fisheries, dating back to  
367 Gordon (1954) and Clark (1973). It might also indicate the interdisciplinary practice of borrowing  
368 methods and tools from across the disciplines in an effort to address the needs dictated by the  
369 specific problem at hand (Huutoniemi et al., 2010). It is also possible that the uptake of models  
370 more suitable for modelling the human dimension, e.g. agent-based models (Schlüter et al., 2012),  
371 and social network analysis (Scott, 2017), is rather slow.

#### 372 **4.2 Is there a gap between the human dimension aspects that are modelled and those that** 373 **could be modelled?**

374 A total of 20 different main HDAs (Table 4) were identified within the 31 publications. These  
375 aspects cover all three of the categories of topics relating to the human dimension described by  
376 Bennett et al. (2017), which could be taken as a sign of theoretical interdisciplinarity at the field  
377 level. However, the number of specific aspects that have been modelled is rather small compared  
378 with the wealth of HDAs that could be modelled. As stated in Syed et al. (2018), the human  
379 dimension in fisheries in particular, or in any similar social-ecological system in general, could be  
380 explored by addressing topics such as: “institutional aspects (enforcement and compliance, policy  
381 interactions etc.), social aspects (gender, religion/beliefs, welfare, social cohesion, social  
382 networks, education and learning, human agency, health, safety and security at sea, food security,  
383 perception, attitudes, social norms, compliance, mental models of various actors involved in  
384 fisheries etc.), economic aspects (poverty, innovation, distribution of benefits, spiritual,  
385 inspirational, and aesthetic services of fisheries etc.), political aspects (power structures,  
386 transparency etc.), and cultural aspects (traditional/local ecological knowledge, history, cultural  
387 dimensions, culinary choices, heritage, blue humanities, fisheries literacy etc.)”. Note that this list  
388 is not exhaustive and the items are listed in random order.

389 Comparing this list with the results of this study, there appears to be a wide and obvious gap  
390 between the HDAs that are modelled and the ones that could be modelled. However, considering  
391 our sample size of 31 papers, this gap exists only within the context explored by this review and  
392 does not necessarily reflect the situation in the Common Fisheries Policy area.

393 A theory describes our understanding of the components and aspects of reality, and their  
394 interactions. Once developed, a theory guides modellers in their decisions regarding what  
395 elements, relationships, and processes to include into their models. It is therefore the case that a

396 model itself and the generalizability of its results can be judged by the validity and quality of the  
397 theories incorporated (Raser, 1972). Moreover, when studying complex systems, a single theory  
398 taken in isolation is rarely sufficient (Orcutt, Greenberger, Korbel, & Rivlin, 1961). From this  
399 perspective, achieving theoretical interdisciplinarity is a pre-requisite for integrative theories  
400 and/or theories from more than one field, assuming that these theories are suitable for integration.  
401 The low amount of HDAs in our systematic literature review might indicate a shortage of  
402 adequate theories or data in the context of fisheries, as particularly data (or their lack) are often a  
403 limiting factor.

### 404 4.3 Are human dimension aspects modelled in an interdisciplinary manner?

405 The 20 Level 1 HDAs were modelled through a total of 43 different Level 2 variables and 137  
406 different Level 3 variables (see Appendix S4 and S5.). All visual representations of the HAD are  
407 presented in Figure 3 and in Appendix S6. *Perception and views* has the most Level 2 variables.  
408 *Fish auctions* has the smallest number of Level 3 variables, with only three (Figure 3), whereas  
409 *socio-bio-economic consequences* has the largest number of Level 3 variables, with 37. *Fish*  
410 *auctions* also has the smallest number of variables overall, with a total of five across Level 2 and  
411 Level 3. Other HDAs with generally low numbers of Level 2 and Level 3 variables are *fisheries*  
412 *dependency* (n=6) and *decision making* (n=6). The majority of the HDAs have a total number of  
413 variables between 10 and 20. The HDA *socio-bio-economic consequences* has the largest number  
414 of variables overall, with a total of 41. This variety of Level 2 and Level 3 variables might  
415 indicate the existence of several theories around the same aspect of Level 1, something which  
416 contributes to theoretical interdisciplinarity of the field.

417 The number of aspects modelled and the variables assigned to each dimension are shown in  
418 Figure 2. A close inspection of this figure reveals that the proportion of each of the three fisheries  
419 dimensions changes with an increase in the depth of analysis. Thus, at Level 2, the count and  
420 usage of human dimension variables are higher, compared to the environmental variables.  
421 Whereas at Level 3, human dimension variables' usages is much lower compared to economic  
422 variables' usage. This diversification might indicate an empirical interdisciplinary nature to the  
423 modelling of the human dimension. However, it might also indicate a lack of suitable  
424 operationalisation of human dimension variables and, consequently, a lack of suitable data to use  
425 in modelling. At the same time, this highlights how the human dimension can be modelled  
426 through economic and environmental variables, and the entanglement of the dimensions.

427 Only one HDA, *governance*, was modelled entirely through human dimension variables on all  
428 levels. *Fish auctions* was the only HDA where all Level 2 and Level 3 factors were economic  
429 (Figure 3). The two HDAs *fishing strategy* and *institutional inertia* were modelled through Level  
430 2 and Level 3 variables from only two different dimensions, whereas *fishing strategy* was  
431 modelled through factors from the *economic* and *environmental* dimensions, and *institutional*  
432 *inertia* was modelled through factors from the *economic* and *human* dimensions (see Appendix  
433 S6). Thirteen HDAs were modelled through Level 2 and Level 3 variables from three different  
434 dimensions (n=12) and five HDAs were modelled through Level 2 and Level 3 variables from all  
435 dimensions. These were: *socio-bio-economic consequences*, *compliance*, *evaluation of*  
436 *management plans*, *perception and views*, and *TAC setting process*.

437 Overall, variables from the *economic* dimension were used the most often (Figure 2); in  
438 particular, *cost* (n=13), *effort* (n=13), and *price* (n=12) were the most used *economic* variables in  
439 Level 3. The variables from the *human* dimension that were used most often in Level 3 were  
440 *demography* (n=4), *regulation* (n=4), and *employment* (n=3), whereas the most frequently used  
441 variables from the *environmental* dimension in Level 3 were *stock* (n=13), *area* (n=6), and *fishing*  
442 *mortality* (n=4). This study suggests that HDAs are mainly modelled through economic and partly  
443 through environmental variables, which represents the data typically available for fisheries

444 assessments. Some of the social aspects, such as *governance*, might be very difficult (if not  
445 maybe impossible) to be expressed in numerical terms.

### 446 **4.4 How to advance the interdisciplinarity of the field**

447 As a first step to advance the interdisciplinarity of the field, we suggest a protocol based on  
448 Huutoniemi et al. (2010) that succinctly describes the elements necessary for assessing various  
449 interdisciplinary typologies, shown in Table 5. Such a protocol could guide scientists on how to  
450 take an interdisciplinary approach during model development and implementation. It is also  
451 paramount for the advancement of the field that human dimension models are reproducible. Many  
452 of the descriptions of models in published articles are incomplete, which makes it impossible to  
453 re-implement them or replicate their results (Railsback & Grimm, 2012). As we have ourselves  
454 encountered when carrying out this study, model descriptions are often “a wordy mixture of  
455 factual descriptions and lengthy justifications, explanations, and discussions of all kinds”  
456 (Railsback & Grimm, 2012). Therefore, we also suggest that this protocol is used as a  
457 documentation tool in order to help modellers to express the interdisciplinary characteristics of  
458 their models clearly. This would also aid model communication, in-depth model comprehension,  
459 model assessment, model replication, model comparison, theory building, and code generation  
460 (Müller et al., 2014).

461 Social issues are often complex and understanding these issues from a fisheries management  
462 perspective will require interdisciplinary efforts from the natural and social sciences, as well as  
463 the humanities (Urquhart, Acott, Symes, & Zhao, 2014). This assertion is backed by this  
464 empirical study, which brings evidence on how entangled the human dimension is when viewing  
465 fisheries as SECAS. Multi- and interdisciplinarity would entail the transfer of knowledge, tools,  
466 and methods from a multitude of disciplines into the field of fisheries science, making it possible  
467 to integrate various data inputs (e.g. quantitative and qualitative data). Existing methods, such as  
468 agent-based models, systems analysis, and social network analysis from domains ranging from  
469 political science to business organisation could be integrated into fisheries science and used to  
470 study societies, social interactions, and people’s behaviour in fisheries (Libre et al., 2015; Scott,  
471 2017).

472 Through an expansion of current practices, a wider range of the HDAs could be considered in  
473 fisheries models to better reflect the diversity of the human dimension. This endeavour could be  
474 fostered further through the inclusion of scientists from the social sciences and the humanities  
475 right from the start of a project (Criddle, 2016). In this way, they can contribute to the formulation  
476 of the research questions that ought to be answered by a model, which could lead to a more  
477 diversified investigation of the human dimension.

478 The challenges of performing interdisciplinary research are not new, as they have been already  
479 identified 20 years ago (see for example Volume 2, Issue 4, 1999 of the journal  
480 *Ecosystems*). Thus, in order to address the issues identified by the above analysis, it might be that  
481 fisheries science will require new types of experts, besides biologists, mathematicians, and  
482 statisticians: 1. scientists from the social sciences and the humanities; 2. scientists with  
483 interdisciplinary backgrounds who can address fisheries from a more holistic perspective and  
484 apply the concept of SECAS to multi- and interdisciplinary fisheries workgroups and research;  
485 and 3. modellers with the latest skillset who are trained to use tools that can reflect fisheries as  
486 SECAS, and include the human dimension in an interdisciplinary way. This would potentially  
487 lead to the rise and also the recognition of a new kind of natural resources expert:  
488 interdisciplinary individuals with the flexibility required to move between fields and explore  
489 various SECAS, e.g. sustainability science (Haider et al., 2018), conservation science, and  
490 complexity science.

491 Researchers putting aside their differences and finding better ways to communicate could support  
492 the practice of interdisciplinary science and disciplinary cross-fertilisation (Arlinghaus, Hunt,  
493 Post, & Allen, 2014), whilst the interdisciplinary development of conceptual models could  
494 support communication between social and natural scientists (Hall-Arber et al., 2009). Some  
495 things in the culture of science might have to change, e.g. arrogances and the way we speak to  
496 each other, but we also need to rethink our assumptions, values, and institutional structures  
497 (Degnbol et al., 2006). Researchers from cross-disciplinary research programs, as well as  
498 innovative graduate training programs, would have to become more involved. In addition,  
499 interdisciplinary career choices would have to be rewarded instead of generating a fear of risking  
500 one's career (Fischer et al., 2012; Rhoten & Parker, 2004).

501 Besides experts and scientists from different disciplines, the insight of stakeholders should also be  
502 taken into account. Stakeholders and practitioners, such as management authorities and non-  
503 governmental organisations, can contribute to the modelling process through co-creation  
504 (Santiago et al., 2015; Wood, Stillman, & Goss-Custard, 2015). Co-creation could highlight the  
505 importance of HD components and lead to assurances that managers and policy makers will take  
506 the behaviour of individuals and organisations into consideration within their fishing  
507 communities. As such, this would make models of the human dimension more relevant for  
508 management and decision making, while supporting local and global policies and goals, such as  
509 the EU's Common Fisheries Policy and the United Nations' Sustainable Development Goals  
510 (United Nations, 2015).

511 Furthermore, with this study we wish to stimulate the discussion on how best to model the human  
512 dimension of SECAS. As it currently stands, based on our empirical results, the human dimension  
513 is largely modelled through economic and environmental variables. One could argue that the field  
514 of human dimension modelling needs more operationalisable social theories and more data  
515 relevant to these theories. At the same time, using more easily available economic and  
516 environmental data is a more practical short-term approach. In contrast, some argue for extreme  
517 caution in modelling the human dimension, and social phenomena in general (ní Aodha &  
518 Edmonds, 2017). These decisions will likely be made on an individual level, but we hope that  
519 researchers from all fields can engage in these discussions and share their experiences as well as  
520 the reasons for the approaches they have taken and their lessons learned.

## 521 **5 Conclusions**

522 This study identifies a variety of HDAs that have been investigated in the context of fisheries  
523 models. There is broad potential for the expansion of the human dimension in fisheries models.  
524 This expansion is important in order to increase our understanding of fisheries systems in general,  
525 and to better reflect the interdisciplinarity of the field in order to support sustainable fisheries  
526 management.

527 In the support of modelling the human dimension in a SECAS context, interdisciplinary  
528 approaches are required. Such efforts need to focus on several aspects, including: acknowledging  
529 that exploring the human dimension requires interdisciplinarity; early involvement of all relevant  
530 disciplines and stakeholders in model development through co-creation; improved development  
531 and integration of tools for the modelling of HDAs; the formulation of operationalisable theories  
532 and the collection and inclusion of more data from the human dimension. To further improve and  
533 advance the interdisciplinarity of human dimension modelling in the long term, model  
534 transparency, documentation, and communication will be key. A model publication should be  
535 easy for the reader to understand and follow, and it should make the HDAs and levels of  
536 interdisciplinarity explicit. Clear model descriptions will enable interested readers and modellers  
537 to understand how interdisciplinarity and human dimension modelling was achieved, thus  
538 facilitating model uptake and re-use by scientists, managers, and policy makers.

539

540 **6 Conflict of Interest**

541 *The authors declare that the research was conducted in the absence of any commercial or*  
542 *financial relationships that could be construed as a potential conflict of interest.*

543 **7 Author Contributions**

544 CW collected and analyzed the data. CW and MB interpreted the data. CW, MB, and MA wrote  
545 the manuscript.

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733

734 **10 Supplementary Material**

735 The Scopus search string (Appendix S1), the PRISMA flow diagram (Appendix S2), a table  
736 listing all publications included in analysis and synthesis phase (Appendix S3), a table for all  
737 Level 2 variables (Appendix S4), a table for all Level 3 variables (Appendix S5), and all  
738 remaining visualisations (Appendix S6) are available in the Supplementary Material.

739

#### 740 **1 Data Availability Statement**

741 The list of publications analyzed in this study can be found in the Supplementary Material.

742

743 **Table 1.** Inclusion criteria used to select publications for the systematic literature review of  
 744 modelling the human dimension in fisheries models.

Inclusion criteria	Why this criterion
Published in the English language.	English is by far the most common language for scientific publications in this field.
Study/research published in a scientific journal or conference paper.	Articles in scientific journals have undergone rigorous quality controls and conference proceedings are published more often and much more quickly than articles.
Refers to a fisheries model. <sup>1</sup>	Our study focuses on models pertaining to fisheries.
Refers to the Common Fisheries Policy.	Our study focuses on studies connected to this set of rules for managing European Union fishing fleets and for conserving European Union fish stocks.
Contains the words ‘human dimension’, ‘social’, or ‘socio*’ within the body of the full text. <sup>2</sup>	Our study focuses on articles connected to the human dimension of fisheries.
Models a human dimension aspect of fisheries.	Our study focuses on the human dimension.

745 <sup>1</sup>We considered it to be a model if it was referred to as ‘model’ by the authors of the publication.

746 <sup>2</sup>We included the words ‘social’ and ‘socio\*’ because ‘human dimension’ is a relatively new term  
 747 in fisheries and might not be included as such in older publications.

748

749

750 **Table 2.** The subject areas and corresponding journals identified in this study. Subject areas are  
 751 labelled as indicated by Scopus. *Count* refers to the number of articles found in each subject area.  
 752 *Journal (count)* refers to the journal title and the number of articles from our study found within  
 753 that journal (shown in parentheses after the journal name). Numbers are only indicated if there  
 754 was more than one article per journal. Note that several journals are included in more than one  
 755 subject area.

Count	Subject Areas (as indicated by Scopus)	Journal (count)
21	Environmental Sciences	Ambio Ecological Modelling Fish and Fisheries Human Ecology ICES Journal of Marine Science (3) Journal of Institutional and Theoretical Economics Land Economics Marine Ecology Progress Series Marine Policy (9) Methods in Ecology and Evolution Ocean and Coastal Management
20	Agricultural and Biological Sciences	Canadian Journal of Fisheries and Aquatic Sciences Ecological Modelling Ecology and Society Fish and Fisheries Fisheries Management and Ecology Fisheries Research ICES Journal of Marine Science (3) Journal of Fish Biology Marine Ecology Progress Series Marine Policy (9) Methods in Ecology and Evolution Ocean and Coastal Management
14	Economics, Econometrics and Finance	Applied Economics

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		Journal of Institutional and Theoretical Economics
		Land Economics
		Marine Policy (9)
		Panoeconomicus

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12	Social Sciences	Ambio
		Human Ecology
		International Journal of the Commons
		Marine Policy (9)

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5	Earth and Planetary Sciences	ICES Journal of Marine Science (2)
		Fish and Fisheries
		Ocean and Coastal Management
		Ecology and Society

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1	Decision Sciences	International Transactions in Operational Research
1	Computer Science	International Transactions in Operational Research
1	Business, Management and Accounting	International Transactions in Operational Research

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757

758 **Table 3.** Model types extracted from the publications in this study, sorted alphabetically. Counts  
 759 of each model type are indicated in parentheses if there was more than one occurrence.

**Model Types**

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• 3-Dimensional Wellbeing Framework	• Individual-Based Model (IBM)
• Accessibility Analysis	• Linear Model
• Age-Structured Model	• Logistic/Ordered Regression Model (n=3)
• Allocation Management Model	• Management Evaluation Framework
• Bayesian Approach in Participatory Modelling	• Management Scenario Model
• Bayesian Belief Network (BBN) (n=3)	• Management Strategy Evaluation Model/Approach (MSE)
• Binary Logit Model	• Market-Orientated Value-Adding (MOVA) Management Model
• Bioeconomic Model (n=6)	• Multinomial Logit Model
• Conditional Logit Model (n=2)	• Press Perturbation Analysis
• Decision Making Model (Single-Species)	• Principal Agent Model
• Discrete Choice Random Utility Model (RUM) (n=2)	• Qualitative Model Analysis
• Dynamic State Variable Model (DSVM) (IBM)	• Socio-Bio-Economic Model
• Econometric Model	• Statistical Analysis
• Flow Chart	• Statistical Model
• Game Theoretical Model	• System Dynamics Model
• Generalised Additive Model (GAM)	• Theoretical (Framework) Model of Governance Architecture
• Generalised Linear Model (GLM)	• Theoretical Institutional Model (n=2)
• Gravity Model	• Theoretical Model of An Evaluation Framework for Fisheries Resource

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760

761

762 **Table 4.** List of human dimension aspect (HDAs) identified within the publications, mapped  
 763 against the general human dimension topics of study proposed by Bennett et al. (2017) . Count is  
 764 the number of publications that model the HDA.

<b>Human Dimension Category (Total count)</b>	<b>HDA (Level 1)</b>	<b>Count</b>
Social phenomena (8)	Fisheries Dependency	1
	Governance	1
	Institutional Inertia	1
	Regulation	2
	Socio-Bio-Economic Consequences	3
Social processes (15)	Commitment	2
	Compliance	3
	Decision Making	1
	Effort Allocation	3
	Enforcement	2
	Evaluation of Management Plans	2
	Fish Auctions	1
	Total Allowable Catch Setting Process	1
Individual attributes (11)	Enter and Exit the Fishery	2
	Fishing Strategy	1
	Métier Selection	1
	Over-Quota Discarding	1
	Perception and Views	4
	Switching of Métiers	1
	Wellbeing	1

765

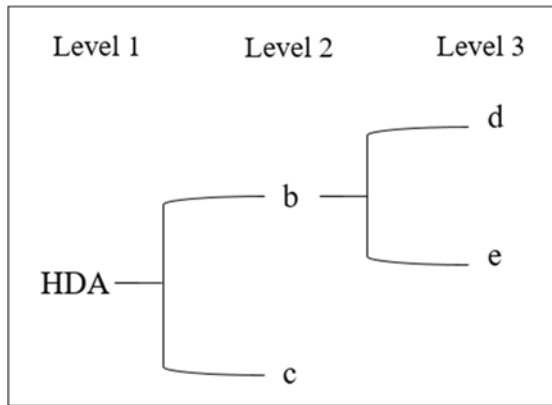
766 **Table 5.** An overview of the protocol for assessing the interdisciplinarity of models, based on  
 767 Huutoniemi et al. (2010).

<i>What</i>	<b>Scope of Interdisciplinarity</b>	<b>Narrow</b>	<b>Broad</b>	
		<i>What disciplines and knowledge bodies were involved and integrated, e.g. what disciplines contributed to this model, what stakeholders added knowledge to the concept of the model etc.</i>		
<i>How</i>	<b>Type of Interdisciplinarity</b>	<b>Empirical</b>	<b>Methodological</b>	<b>Theoretical</b>
		<i>Which types of data and data sources (knowledge bodies) were included (e.g. social, economic, environmental; qualitative data, quantitative data, academic data, non-academic data from stakeholders/local ecological knowledge etc.)?</i>	<i>Which different modelling tools/methods were integrated? Is this a new integrative modelling method involving different stakeholders (e.g. participatory modelling)? How was integration achieved?</i>	<i>Which theories were used and integrated (e.g. which social theories were used?)?</i>
<i>Why</i>	<b>Goal of interdisciplinarity</b>	<b>Epistemological</b>		<b>Instrumental</b>
		<i>The production of new understanding and knowledge. (Why do we need this understanding? What is the new knowledge for?).</i>		<i>To solve a problem or a societal challenge (What is the problem the model is trying to solve?)</i>

768



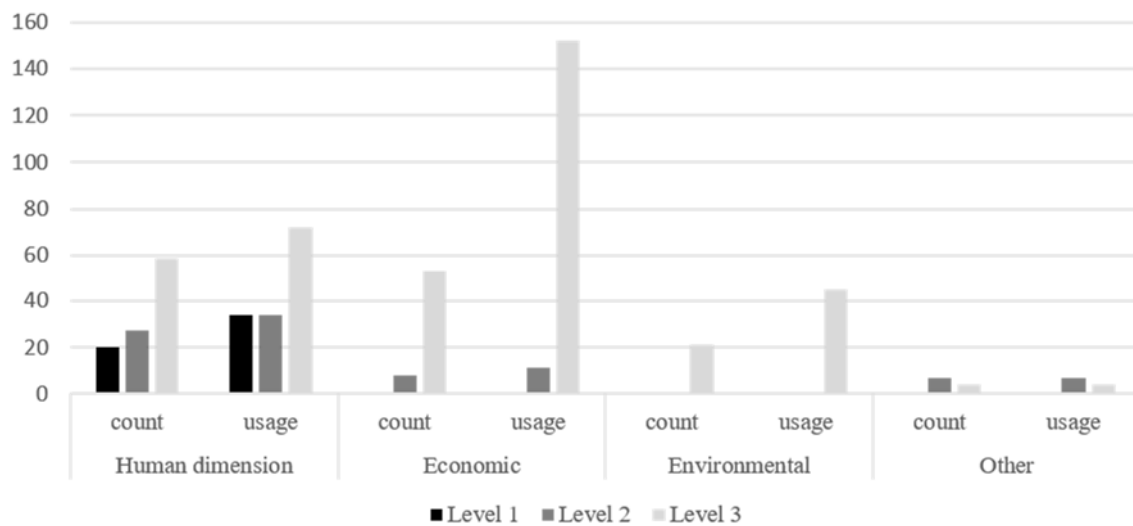
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770

771 **Figure 1:** A conceptual display of the hierarchy of variables used to model the main Human  
 772 Dimension Aspects (HDA) of the human dimension fisheries models. Level 1 represents the main  
 773 HDA, and Levels 2 and 3 represent the variables (b,c and d,e) that were used in a functional  
 774 relationship to model the HDA.

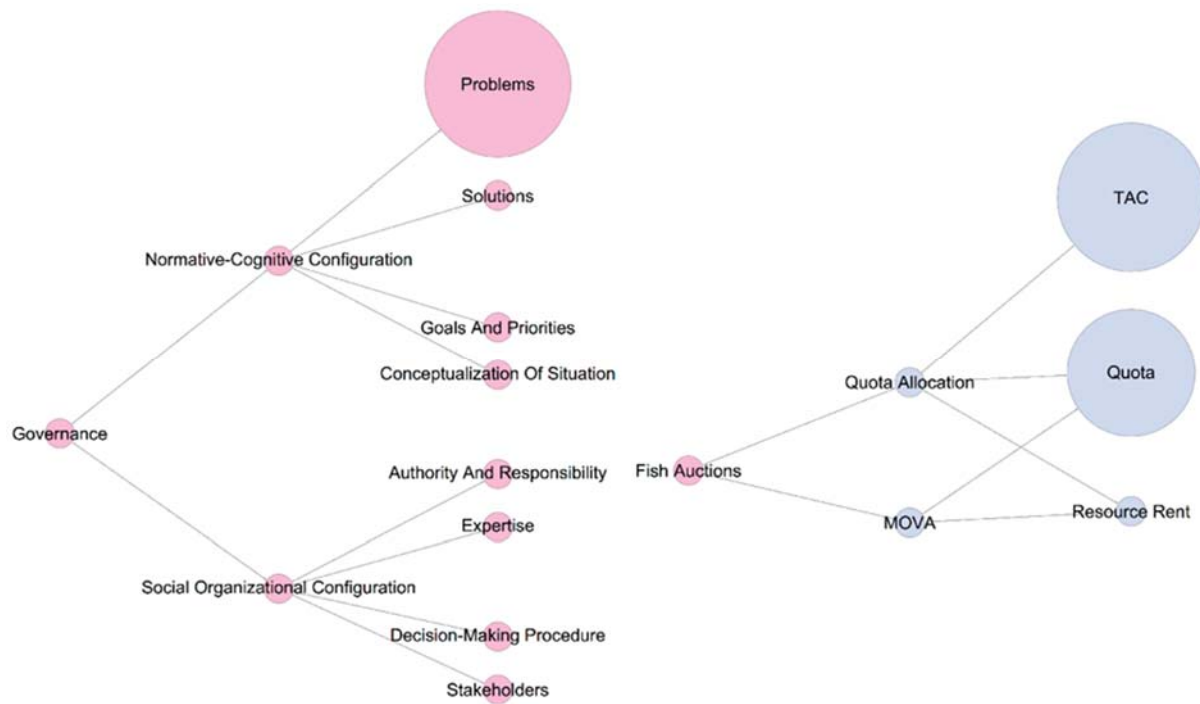
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776

777 **Figure 2.** Occurrence and usage of Human Dimension Aspects (HDAs) for all three levels of  
 778 variables. Count indicates the number of different aspects identified for each level and each  
 779 dimension. Usage indicates the number of times that aspects/variables from each dimension were  
 780 used. Other includes variables that could not be categorized within the three dimensions, human,  
 781 economic, and environmental, such as time.

782



783

784 **Figure 3.** A visual representation of the Human Dimension Aspects (HDAs) governance (left)  
 785 and fish auctions (right) and the Level 2 and Level 3 variables that were used to model these  
 786 social aspects. The size of each node represents the relative importance of the variable (i.e. the  
 787 number of publications using it) and the color indicates its dimension (pink: human; blue:  
 788 economic; green: environmental; white: other/more than one dimension). The position of each  
 789 node (left – middle – right) indicates its level (Level 1 – Level 2 – Level 3).

## *Supplementary Material*

# **An Interdisciplinary Insight into the Human Dimension in Fisheries Models. A Systematic Literature Review in a European Union Context.**

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\* Correspondence: Charlotte Teresa Weber: [charlotte.t.weber@uit.no](mailto:charlotte.t.weber@uit.no)

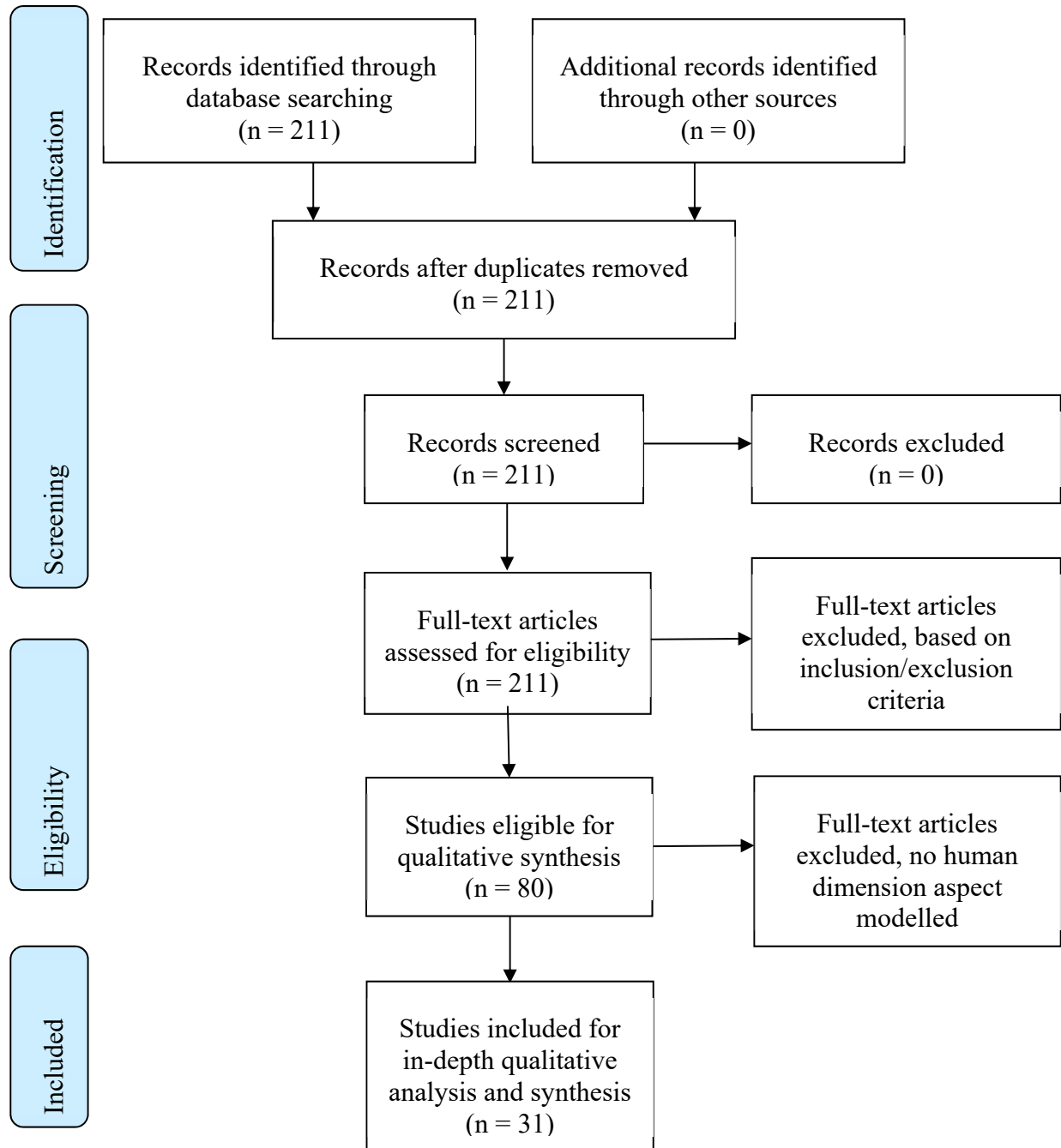
### **1 Appendix S1.**

Scopus Search String:

*(TITLE-ABS-KEY(fisheries) AND TITLE-ABS-KEY(model\*)AND ALL("Common Fisheries Policy")) AND ( LIMIT-TO(LANGUAGE,"English" ) ) AND ( LIMIT-TO(DOCTYPE,"ar" ) OR LIMIT-TO(DOCTYPE,"cp" ) )*

## 2 Appendix S2.

PRISMA Flow Diagram, adapted from (Moher et al., 2009), containing all steps and short explanations for the process of document exclusion during the process of selecting the publications suitable for analysis.



### 3 Appendix S3.

List of all publications included in the analysis and synthesis phase. In alphabetical order based on first author.

<b>Authors</b>	<b>Title</b>	<b>Year</b>	<b>Journal</b>
Aanesen, M; Armstrong, C	Stakeholder influence and optimal regulations: A common-agency analysis of ecosystem-based fisheries regulations	2013	Journal of Institutional and Theoretical
Aanesen, M; Armstrong, C W	The implications of environmental NGO involvement in fisheries management	2014	Land Economics
Amigo-Dobaño, Lucy; Dolores Garza-Gil, M.; Varela-Lafuente, Manuel	The perceptions of fisheries management options by Spain's Atlantic fishermen	2012	Marine Policy
Andersen, B S; Ulrich, C; Eigaard, O R; Christensen, A S	Short-term choice behaviour in a mixed fishery: Investigating métier selection in the Danish gillnet fishery	2012	ICES Journal of Marine Science
Astorkiza, K; del Valle, I	Changing the total allowable catch (TAC) decision-making framework: A central bank of fishes?	2013	Panoeconomicus
Bastardie, Francois; Nielsen, J Rasmus; Miethé, Tanja	DISPLACE: a dynamic, individual-based model for spatial fishing planning and effort displacement — integrating underlying fish population models	2014	Canadian Journal of Fisheries and Aquatic
Batsleer, J; Poos, J J; Marchal, P; Vermard, Y; Rijnsdorp, A D	Mixed fisheries management: Protecting the weakest link	2013	Marine Ecology Progress Series
Britton, E; Coulthard, S	Assessing the social wellbeing of Northern Ireland's fishing society using a three-dimensional approach	2013	Marine Policy
Burns, T R; Stöhr, C	Power, knowledge, and conflict in the shaping of commons governance. The case of EU Baltic fisheries	2011	International Journal of the Commons

Da Rocha, J M; Cerviño, S; Villasante, S	The Common Fisheries Policy: An enforcement problem	2012	Marine Policy
Da Rocha, J M; Villasante, S; González, R T	Credible enforcement policies under illegal fishing: Does individual transferable quotas induce to reduce the gap between approved and proposed allowable catches?	2013	Ambio
Gezelius, S S; Raakjær, J; Hegland, T J	Reform drivers and reform obstacles in natural resource management: The Northeast Atlantic fisheries from 1945 to the present	2010	Human Ecology
Haapasaari, P; Michielsens, C G J; Karjalainen, T P;	Management measures and fishers' commitment to sustainable exploitation: A case study of Atlantic salmon fisheries in the Baltic Sea	2007	ICES Journal of Marine Science
Haapasaari, P; Mäntyniemi, S; Kuikka, S	Baltic herring fisheries management: Stakeholder views to frame the problem	2012	Ecology and Society
Hatcher, A; Jaffry, S; Thebaud, O; Bennett, E	Normative and social influences affecting compliance with fishery regulations	2000	Land Economics
Jensen, C L; Aarset, B	Explaining noncompliance in the Norwegian coastal cod fishery: An application of the multinomial logit	2008	Applied Economics
Levontin, P; Kulmala, S; Haapasaari, P; Kuikka, S	Integration of biological, economic, and sociological knowledge by Bayesian belief networks: The interdisciplinary evaluation of potential management plans for Baltic salmon	2011	ICES Journal of Marine Science
Martins, J H; Camanho, A S; Oliveira, M M; Gaspar, M B	A system dynamics model to support the management of artisanal dredge fisheries in the south coast of Portugal	2015	International Transactions in
McCausland, W D; Mente, E; Pierce, G J; Theodossiou,	A simulation model of sustainability of coastal communities: Aquaculture, fishing, environment and labour markets	2006	Ecological Modelling
Miethe, T; Bastardie, F; von Dorrien, C; Nielsen, J R	Impact assessment of a fisheries closure with effort and landings spatial analyses: A case study in the Western Baltic Sea	2014	Fisheries Research

Natale, F; Carvalho, N; Harrop, M; Guillen, J;	Identifying fisheries dependent communities in EU coastal areas	2013	Marine Policy
Nielsen, K N; Holm, P	A brief catalogue of failures: Framing evaluation and learning in fisheries resource management	2007	Marine Policy
Parés, C; Dresdner, J; Salgado, H	Who should set the total allowable catch? Social preferences and legitimacy in fisheries management institutions	2015	Marine Policy
Pita, C; Pierce, G J; Theodossiou, I	Stakeholders' participation in the fisheries management decision-making process: Fishers' perceptions of participation	2010	Marine Policy
Pita, C; Theodossiou, I; Pierce, G J	The perceptions of Scottish inshore fishers about marine protected areas	2013	Marine Policy
Rätz, H J; Charef, A; Abella, A J; Colloca, F; Ligas, A;	A medium-term, stochastic forecast model to support sustainable, mixed fisheries management in the Mediterranean Sea	2013	Journal of Fish Biology
Thorpe, R B; Le Quesne, W J F; Luxford, F; Collie, J S;	Evaluation and management implications of uncertainty in a multispecies size-structured model of population and community responses to fishing	2015	Methods in Ecology and Evolution
Tidd, A N	Effective fishing effort indicators and their application to spatial management of mixed demersal fisheries	2013	Fisheries Management and Ecology
Trenkel, V M; Rochet, M J; Rice, J C	A framework for evaluating management plans comprehensively	2015	Fish and Fisheries
Trondsen, T; Matthiasson, T; Young, J A	Towards a market-oriented management model for straddling fish stocks	2006	Marine Policy
Van Putten, I E; Quillérou, E; Guyader, O	How constrained? Entry into the French Atlantic fishery through second-hand vessel purchase	2012	Ocean and Coastal Management

4 **Appendix S4.**

Table of all Level 2 variables and their frequency (count).

<b>Level 2</b>	<b>Count</b>
Acceptance Of Management Regime	1
Attitudes On Regulatory Options	1
Central Bank-Like Of Fishery Resources	1
Change In TAC Level	1
Closed Areas	1
Commitment	1
Compliance	2
Components and Interrelationships Of Fishery	1
Conflicts	1
Diagnostics	1
DPSIR Indicators	1
Employment Opportunities	1
Fleet	1
Fleet Adaptation	1
Impact of Shocks to Aquaculture	1
Implementation Uncertainty	1
Interests	1
Intervention	1
ITQs	1
Management Decision	1
Management Measures	4
Management Option	2
Material Wellbeing	1
Motives For Non-Compliance	1
MOVA	1
Normative-Cognitive Configuration	1
Objectives	1
Objectives For Society	1
Participation In Decision-Making Processes	2



Policy Making	1
Preferences	2
Preferred Management Measures	1
Quota Allocation	1
Regulation	1
Relational Wellbeing	1
Social Organizational Configuration	1
Stock Dynamics	1
Subjective Wellbeing	1
Sustainability	1
TAC	1
Tactical Choices	1
Utility	4
Vessel Behavior	1

---

## 5 Appendix S5.

Table of all Level 3 variables and their frequency (count).

<b>Level 3</b>	<b>Count</b>
Accessibility	1
Administration Body	1
Aquaculture Escapes	1
Aquaculture Production	1
Area	6
Atmospheric Pressure	1
Authority And Responsibility	1
Believes	2
Biomass	2
Bureaucracy	1
Business Characteristics	2
Capacity	3
Capital	1
Catches	6
Closed Area Or Season	1
Compliance	2
Conceptualization Of Situation	1
Confidence In Management	1
Conservation Systems	1
Consulted	1
Cost	13
CPUE	1
Crew	1
Days At Sea	1
Decision Variables	1
Decision-Making Procedure	1
Decommissioning Grant	1
Demand	3

Demographics	4
Discards	2
Distance	1
Distribution System	1
Earnings	2
Economic Rent	2
Education	1
Effort	13
Employment	3
Existing Wealth	1
Experience	2
Expertise	1
Family Connections	1
Feed	1
Fine	3
Fish Abundance	1
Fishing Gear	1
Fishing Mortality	4
Fishing Operation Characteristics	1
Fishing Points	1
Fleet	2
Fuel	5
GDP	1
Go Out Fishing Or Stay In Port	1
Goals And Priorities	1
Government	1
Government Support	2
Harvest	2
Holistic View	1
Immigration Flows	1
Implementation	1
Income	2
Industry Support	1

Info From Other Fishers	1
Informed	1
Involved	1
Labour	1
Landings	8
Legitimacy	1
Local Fishing Interests	1
Market Trader Network Structure And Dynamics	1
Material Resources	1
Metier	4
Monetary Return	1
Monitoring Programme	1
Moral Norm	1
Mortality Reduction	1
Multispecies	1
Natural Resources	1
Needs For A Good Life	1
Network Integration	1
Number Of Participants Or Fishers	1
Number Of Vessels	5
Others Are Cheating	1
Performance Indicators	1
Policy	1
Pollution	1
Ports, Harbours	1
Prices	12
Probability Of Being Caught	1
Probability Of Making A Choice	1
Problems	2
Production	1
Profit	3
Profitability	1
Quota	6

Regulation	4
Regulatory Preferences	1
Relationships Influencing Fishing	1
Resource Rent	1
Revenue	7
Risk	1
River Abundance	1
Rules	1
Sense Of Justice	1
Sharing Scientific Information	1
Social Preferences	1
Social Pressure	1
Social Resources	1
Solutions	1
Species	2
Spawning Stock Biomass	1
Stakeholders	1
State Of Nature	1
Stock	13
Strength Of Relationship Between Variables	1
Subsidies	1
Supply	1
TAC	7
TAE	1
Tax	3
Technological Parameters	1
Time	3
Trip	1
Trust	1
Uncertain Variables Of Fishery	1
Utility, Loss, Preference Variables	1
Value	2
Vessel	4

## Supplementary Material

Veto Right	1
VPA	1
VPUE	1
Waste	1
Way Of Fishing	1
Ways Of Increasing Trust	1
Weather	1
Weight	2
Willingness To Cheat	1
<u>Yield</u>	<u>3</u>

## 6 Appendix S6.

Individual visualizations of all human dimension aspects and their level 2 and level 3 variables. Human dimension aspects are listed in alphabetical order. The color of the node indicates the dimension it belongs to, with pink = human, blue = economic, green = environmental, and white = other / more than one dimension; Size of the node shows relative importance, i.e. the number of publications that used this node; hierarchy of the nodes is displayed by order from left to right, where nodes on the very left are level 1 human dimension aspects, nodes in the middle are level 2 variables, and nodes on the very right are level 3 variables.

