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# Studies on the complex Warnowiaceae (Dinophyceae) I. Lohmann's *Pouchetia parva* refound and renamed *Nematodinium parvum* comb. nov. (= *Warnowia parva*)

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## ABSTRACT

The dinoflagellate family Warnowiaceae has often been considered to include some of the most complex cells among the protists. The number of described species is around 40, but both the species and generic concepts are in need of revision. Warnowiaceans are often fragile and readily change morphology under the light microscope, and they are usually regarded to be rare. They are particularly famous for the eye-like structures, termed ocelloids. Studies on warnowiaceans are hampered by lack of cultures, and our studies are therefore based on cells obtained directly from field samples. We provide a description of *Nematodinium parvum* comb. nov. (syn. *Pouchetia parva*, *Warnowia parva*), based on light, scanning and transmission electron microscopy, combined with phylogenetic analyses of ribosomal genes. It was described in 1908 by Lohmann from Kieler Bay, but is often common in Danish waters, allowing observations on distribution and behaviour. Crucial conditions for finding high cell abundances were periods of warm temperatures and a calm sea. Cells were yellowish, photosynthetic, and contained a net-like chloroplast, in addition to an ocelloid, trichocysts and harpoon-like nematocysts. They divided asexually but planozygotes were also seen. Following the demonstration of nematocysts, the species, which has been known as *Warnowia parva* since 1928, is transferred to *Nematodinium*. The finding of a peduncle indicates mixotrophy but all feeding experiments failed to identify a suitable prey. The phylogenetic analyses based on single-cell PCR and sequence determinations of small and large subunit rDNA confirmed that the systematics of *Nematodinium* and *Warnowia* is in a state of flux.

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## INTRODUCTION

Species of the dinoflagellate family Warnowiaceae (Gymnodiniales; Gómez *et al.* 2009) are some of the most complex unicellular organisms known. Both photosynthetic and phagocytotic species have been described, and species of all four main genera, *Warnowia* Er. Lindemann, *Nematodinium* Kofoid & Swezy, *Erythrospidinium* P.C. Silva and *Proterothropsis* Kofoid & Swezy, possess eye-like structures known as ocelloids, while the genera *Nematodinium* and *Proterothropsis* further contain nematocysts, and *Erythrospidinium* a so-called piston. The origin and function of ocelloids in the Warnowiaceae has formed the basis of much speculation since they were first observed (see details below). However, recent molecular studies have resolved their chimaeric nature and shown that chloroplast-encoded protein genes from the melanosome (retinal body) in a concatenated phylogenetic analysis grouped with dinoflagellates having the peridinin-type chloroplast. The chloroplasts in the peridinin-containing dinoflagellates originated from an endosymbiosis with a red alga (Gavelis *et al.* 2015). However, the genetic history of the ocelloid seems even more complicated, as Hayakawa *et al.* (2015) showed that mRNA of rhodopsin pigment in *Erythrospidinium* originated from bacteria, and may have been acquired from either diatoms or haptophytes.

The first warnowiacean species described in some detail was *Erythrospis agilis* Hertwig, found by Richard Hertwig (1884) in the Gulf of Naples, in the Mediterranean. The Ukrainian Metschnikoff had previously studied in some detail a single cell near Funchal at Madeira as early as 1872 (Metschnikoff 1874, 1885) but did not publish any illustrations nor a formal description. However, Metschnikoff was the first to interpret the ocellus as an eye, and he described both the lens and the pigment cup. Hertwig's find was immediately repudiated by Vogt (1885a) in Geneva, and the cell claimed to represent a ciliate that had engulfed a dead medusa, the eye coming from the medusa. This resulted in a brief but intense dispute (Hertwig 1885), but Vogt never admitted defeat, and one of his papers, which includes a poem on scientific errors and the use of osmium tetroxide (Vogt 1885b), makes for strange reading ('Humans make errors as long as they live'). However, Metschnikoff (1885) supported Hertwig, and an additional species was independently found at the same time in France by Pouchet (1885a, 1885b, 1887) and described as *Gymnodinium polyphemus* C.H.G. Pouchet. The subsequent 135 years have resulted in the description of many species, 37 of which are mentioned in Kofoid & Swezy (1921). The latter authors described many new species, often based on a single or a few individuals and using

morphological features such as colour, and the structure and location of the ocelloid.

Later, Greuet (1972) showed that many of the morphological features used to characterize the species vary during the cell life cycle. However, he was unable to construct an alternative taxonomic system, and went as far as saying that it would be more prudent at the time to consider the genera monospecific. A few years later, Elbrächter (1979) studied over 50 specimens of *Erythrospidinium* during a cruise on the 'Meteor' and came to the conclusion that the six additional species of *Erythrospidinium* described by Kofoid & Swezy (1921) may perhaps all be identical to Hertwig's *E. agilis*, making this genus monospecific.

The number of species in the Warnowiaceae described is presently around 40, but since both the species and the generic concepts are uncertain, taxonomy of the group must start almost from scratch, taking care to use populations whose identity can be determined with confidence rather than one or a few cells, preferably from or near the type localities.

We have over a number of years studied warnowiaceans from Danish and Greenlandic waters. Here we present a description of Danish material characteristic of a species that agrees well with Lohmann's *Pouchetia parva* (Lohmann 1908). This species was subsequently renamed *Warnowia parva* by Lindemann (1928), as the generic name *Pouchetia* F. Schütt (1895) is illegitimate in 'botanical' nomenclature, being a homonym of the Rubiaceae *Pouchetia* A. Richard ex de Candolle (1830). Our study was based on detailed light, scanning and transmission electron microscopy combined with molecular data based on single-cell PCR. The aim was to seek a basis for a more reliable taxonomy for warnowiaceans.

Lohmann described *Warnowia parva* from Kieler Bay in the Baltic Sea, albeit in an incomplete way. The description is nevertheless sufficient to recognize it as the commonest warnowiacean species in the Danish parts of the Baltic. Below we transfer the species formally to *Nematodinium* as *Nematodinium parvum* *comb. nov.*, and this name will be used in the following.

## MATERIAL AND METHODS

### Sampling

Surface water was sampled with a 30-litre bucket on numerous occasions. The material used here was sampled at (1) Rønbjerg in the Limfjord (May 2015, April 2019, May and June 2021); (2) Risø at Roskilde Fjord (May 2019 and September 2019) and (3) Skovshoved Harbour at the Sound (Øresund) (July 2021) (Fig. 1). For all locations the temperature range was 15–27°C and the salinity range 16–27. The bucket was subsequently transported as quickly as possible to a darkened culture room, and light from a Leica CLS 150X microscope light source (Leica, Wetzlar, Germany) was mounted on the edge of the bucket near the water surface. Cells accumulated at the water surface near the light source, and the highest cell number was noted between 30 min and 2 h of exposure.

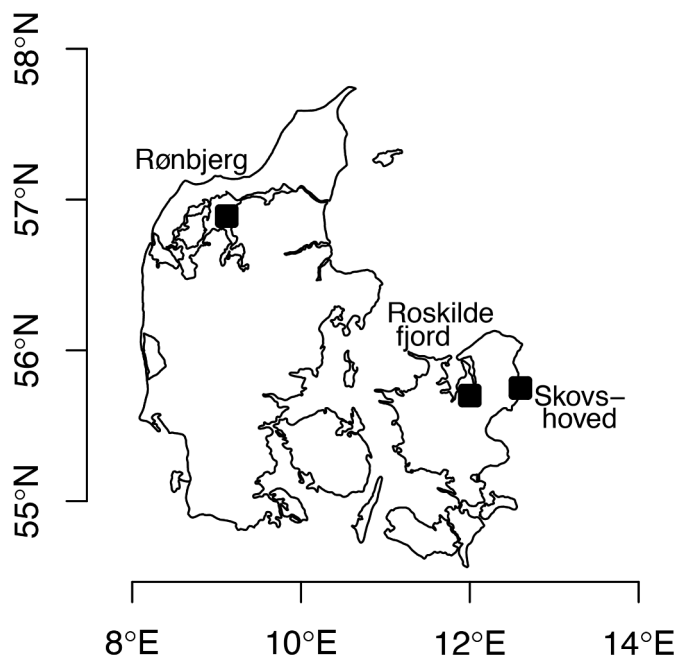


Fig. 1. Sampling locations in Denmark. Rønbjerg (56°53.48'N, 9°9.97'E), Roskilde (55°41.50'N, 12°4.93'E) and Skovshoved (55°45.66'N, 12°36.02'E). All locations had small marinas or other structures that allowed for easy access to surface waters from a pier.

### Light microscopy of live and fixed cells

Live cells from freshly collected samples, or samples kept in the laboratory for up to two days, were concentrated as described above and observed using a Carl Zeiss Axio Imager.M2 (Zeiss, Oberkochen, Germany) equipped with Nomarski interference contrast and a 63× oil-immersion lens. Colour micrographs were taken with a Zeiss AxioCam HRc digital camera (Zeiss, Oberkochen, Germany). Images of live cells were used for morphometric measurements of cell length and width using the Zen image acquisition software (Zeiss). For 3D-microscopy of chloroplast and nucleus, cells were fixed in glutaraldehyde (final concentration 2%), filtered onto a filter with a pore size of 0.22 μm (Whatman, GE Healthcare, Chicago, Illinois, USA) and placed on a slide to which 20 μl of Vectashield had already been added. A second drop of Vectashield was placed on the upper side of the filter, before placing a coverslip on top. Vectashield mounting medium (Vector Laboratories, Burlingame, California, USA) contains 4'-6-diamidino-2-phenylindole (DAPI), which stains DNA. Nuclear and chloroplast DNA was observed using the Zeiss filter set 49 (excitation band pass 365 nm and emission 445 nm). Chlorophyll autofluorescence was recorded using a Zeiss AxioCam 506 mono digital camera and a Zeiss filter set 09 (excitation BP450–490, emission LP515). Recorded z-stacks comprising 85–91 single images were imported into Imaris 9.2.1 (Bitplane Scientific Software, Zürich, Switzerland) for three-dimensional reconstructions. The snapshot option was applied for exporting combined stacks.

## Electron microscopy

For scanning electron microscopy, a 800- $\mu$ l suspension of swimming cells from Roskilde Fjord collected 17 June 2019 was fixed for 55 min at room temperature in a cocktail of 960  $\mu$ l 4% OsO<sub>4</sub> in seawater, 960  $\mu$ l seawater and 480  $\mu$ l saturated HgCl<sub>2</sub>. The cells were subsequently concentrated on a 5- $\mu$ m Isopore Membrane filter (Millipore, Tullagreen, Cork, Ireland) in a Swinnex filter holder (Millipore), carefully rinsed for 15 min in distilled water, and dehydrated in an ethanol series, 12–20 min in each step, before staying overnight in absolute ethanol with molecular sieves to complete dehydration. It was critical point dried through liquid carbon dioxide in a BAL-TEC 030 Critical Point Drying apparatus (Balzers, Liechtenstein). The cells were coated with a thin layer of gold-palladium before being examined and photographed in a JEOL 6335F field emission Scanning Electron Microscope (Jeol, Tokyo, Japan).

For transmission electron microscopy, water samples from Rønbjerg, 21 May 2015, and Roskilde Fjord, 13 May 2019, were transported to University of Copenhagen, and cells concentrated as described above. Cells were fixed the day after collecting, having spent the night in a 15°C culture room. They were fixed in a cold osmium tetroxide-glutaraldehyde mixture in water (final concentration in the fixation cocktail 1.7% glutaraldehyde and 0.4% osmium tetroxide) for *c.* 1 h in a fridge, and subsequently concentrated into a pellet by centrifugation. After washing in cold (4°C) culture medium for 30–60 min, cells were post-fixed in 2% osmium tetroxide in distilled water for 1½ h or overnight in the fridge. This was followed by a brief rinse in culture medium, and dehydration in a series of cold ethanol, *c.* 20 min in each step of 30%, 50%, 70% and 96% ethanol. Dehydration was completed at room temperature in absolute ethanol (several changes over *c.* 1½ h) and propylene oxide (two changes over 10 min), followed by a 1:1 mixture of propylene oxide and Epon 812. The propylene oxide evaporated overnight in a fume hood, and the Epon was then replaced with another batch for *c.* 1½ h before transfer to Epon in a flat embedding mould and careful spreading of the cells on the bottom of the mould. The resin was subsequently cured at 65°C overnight or longer.

Portions of the resin containing the target cells were cut out, remounted on a stub, and sectioned with a diamond knife on an LKB-8800 ultramicrotome (LKB, Bromma, Sweden). Sections were collected on slot grids, stained in uranyl acetate and lead citrate, and examined in a JEM-1010 transmission electron microscope operating at 80 kV (JEOL, Tokyo, Japan).

## Molecular sequencing

Material from all three locations was transferred with glass pipettes onto glass slides for single-cell isolations under a dissecting microscope. Individual cells were selected with a drawn-out glass pipette with a cotton plug. They were washed in three drops of filtered sea water on the glass slide and transferred to 0.2-ml PCR tubes containing 100  $\mu$ l of water and 10% (w/v) Chelex 100 (Sigma-Aldrich #C7901). A total of 10 cells from each location were isolated for single-cell PCR.

For DNA extraction, PCR tubes were vortexed for 5s, spun down in a microcentrifuge for 10s, and subsequently incubated at 95°C for 20 min (Richlen & Barber 2005). After incubation, the tubes were centrifuged for 10s and stored at 4°C until used for PCR reactions. Two microlitres of the DNA extract were used as template in the subsequent PCR reactions, which involved the primer pairs: SSUF-SR7; SR4-SR9p; SR6-SSUR; 25F1-LSUr (see Table S1). PCR reactions were 25- $\mu$ l reactions containing 2 mM MgCl<sub>2</sub>, 0.4 mM dNTPs, 0.625 units polymerase (Thermo Scientific #K0171), 0.8  $\mu$ M primers, and using 40 cycles and an annealing temperature of 56°C. PCR products were sent to Macrogen (Macrogen Europe, Amsterdam, Netherlands) for purification and sequencing. For SSU rDNA, six cells (out of 10) provided high quality sequences (one from Roskilde, three from Rønbjerg and two from Skovshoved). For LSU rDNA, three cells (out of 10) resulted in high quality sequences (one from Rønbjerg and two from Skovshoved).

## Alignment and phylogenetic analyses

For sequence trimming and assembly as well as BLAST we used Geneious Prime v2022.1.1. Three data matrices were constructed and the first included SSU rDNA sequences (1,755 base pairs) from a diverse assemblage of athecate dinoflagellates (warnowiaceans, and species of *Gymnodinium* F. Stein, *Polykrikos* Buetschli, *Pheopolykrikos* Chatton, *Lepidodinium* M. M. Watanabe, S. Suda, I. Inouye, Sawaguchi & Chihara, *Karenia* Gert Hansen & Moestrup, *Karlodinium* J. Larsen and a few more). Two thecate species of *Peridinium* Ehrenberg formed the outgroup (Fig. S1). This first phylogenetic analysis was included to further explore the phylogenetic status of warnowiaceans. Confirming that the Warnowiaceae formed a monophyletic group (Fig. S1) two additional (i.e. second and third) data matrices were compiled. The second SSU rDNA data matrix (1,749 base pairs) included a subset of the first data matrix but only comprised warnowiaceans (20 sequences) and *Gymnodinium* and *Lepidodinium* as the outgroup taxa. The third data matrix comprised 10 LSU rDNA sequences (720 base pairs) of warnowiaceans and had the same species of *Gymnodinium* and *Lepidodinium* as the outgroup. Concatenation was not attempted as only five warnowiacean taxa have had both their SSU and LSU rDNA sequences determined.

All sequences were retrieved from GenBank and aligned using MAFT, and all data matrices were used to build trees using MrBayes with the GTR+G model and four MCMC (Huelsenbeck & Ronquist 2001). The first alignment was run for 1 million generations (burn-in of the first 25% of the trees), the second and third alignments were run for 5 million generations (burn-in of the first 20% of the trees). All Bayesian analyses sampled a tree every 1,000 generation. For phylogenetic analyses based on RAxML v8.2.11 (Stamatakis 2014) we started with complete random trees followed by 1,000 bootstrap replicates for the first data matrix and 10,000 bootstrap replicates for the second and third data matrix. All analyses were conducted using Geneious Prime (v2022.1.1 and v2023.0.4). The LSU and SSU rDNA gene sequences obtained have been submitted to GenBank, and the accession numbers are ON923865, OP442509 (LSU rDNA) and ON924314 (SSU rDNA).

## Culturing attempts

Several attempts were made to identify the prey potentially used for food by *Nematodinium parvum*. Cells of *N. parvum* were isolated from different field locations in Denmark and tested against different species of prey ( $n \leq 4$  per test) and at culture conditions detailed in Table S2. Briefly, the tested prey included several species of dinoflagellates, ciliates, diatoms, green algae (served as either a mix or monospecific) and copepods (whole organisms or eggs). The light:dark cycle was 16:8 h, temperature was set at 15°C and the salinity was adjusted to the measured values from nature (15–20, depending on the sampling location).

## RESULTS

### Light microscopy

Live cells of *Nematodinium parvum* were observed on numerous occasions over a period of several years, but the images included here (Figs 2–15, 19–23) are based on samples collected from Rønbjerg Harbour, the Limfjord, on 11 April 2019 and 24 May 2021 (Fig. 1). Cells measured  $32.3 \pm 2.95 \mu\text{m}$  (25.8–38.6  $\mu\text{m}$ ) in length and  $20.3 \pm 2.61 \mu\text{m}$  (16–25.1  $\mu\text{m}$ ) in width ( $n = 17$ ). The cell outline was ovoidal with a widely rounded apex and a characteristic conical antapex (Figs 2, 3, 7). However, some cells had a more pointed apex (Figs 9, 10). The cingulum started near the apical end, close to the uppermost part of the sulcus (arrow in Fig. 2), and ended at the antapical end after three complete turns. A nose-like appendage of the antapical end delimited the last cingular turn (Fig. 8). The anterior rim of the cingulum continued as a flagellum-like extension to encircle the lower part of the cell (Fig. 5, arrow). A small, dark-coloured, elongate granule was present close to the anterior rim of the anteriormost turn of the cingulum (Figs 5, 6, 12, 19).

The position and orientation of the ocelloid was always on the lower left side of the cell (Figs 2, 3, 7). When fully mature, the dark-brown melanosome measured *c.* 10  $\mu\text{m}$  in width and was 3  $\mu\text{m}$  thick (Fig. 11). The concave part adjoined the hyalosome, which was *c.* 7  $\mu\text{m}$  thick (Figs 3, 11). Higher magnification showed the hyalosome to contain concentric proximal ring-like structures (Fig. 4) and a cornea-like external envelope (Fig. 3, arrowheads). The apical furrow was U-shaped, and one branch started near the proximal part of the cingulum. The right branch was slightly longer than the left one (Fig. 9, arrows) and took a full turn on the dorsal side (Fig. 10, arrows). The nucleus made up a major portion of the cell, reaching from the apex to the antapex (Figs 3, 7). Parts of the golden-brown, permanent, chloroplast could be discerned in all cells (Figs 2–14), always positioned along the perimeter as thin (Fig. 6) or wide (Figs 11, 14) lobes.

Epifluorescence microscopy showed the chloroplast lobes to be highly reticulated (Fig. 15). On a few occasions, cells contained a large elongate vacuole (19  $\mu\text{m}$  long and 8  $\mu\text{m}$  wide; Fig. 7). Its contents remain obscure and it was not observed in sectioned material. When bundles of nematocysts were present (they were sometimes lacking), they were always located in the area between the first and second cingular curve (Fig. 12) and measured 3.9  $\mu\text{m}$  in length and 1.1  $\mu\text{m}$  in width (Fig. 13).

### Swimming behaviour

A few millilitres of illuminated surface water from the large buckets were transferred to Utermöhl sedimentation chambers or Petri dishes. The swimming behaviour was observed using an inverted microscope and showed that cells were always active and moving rapidly across the chamber or dish. When reaching the side of the chamber they stopped briefly, swam rapidly downwards, and later upwards again.

### Three-dimensional configuration of nucleus and chloroplast

The 3D-outline of the nucleus and chloroplast were examined in a few glutaraldehyde-fixed cells to illustrate the morphological variation of the reticulate chloroplast (Figs 16–18). Small perforations in the chloroplast lobes were filled with chloroplast-DNA as witnessed by the DAPI staining. The host nucleus was confirmed to take up a large proportion of the cell cytoplasm (Figs 16–18).

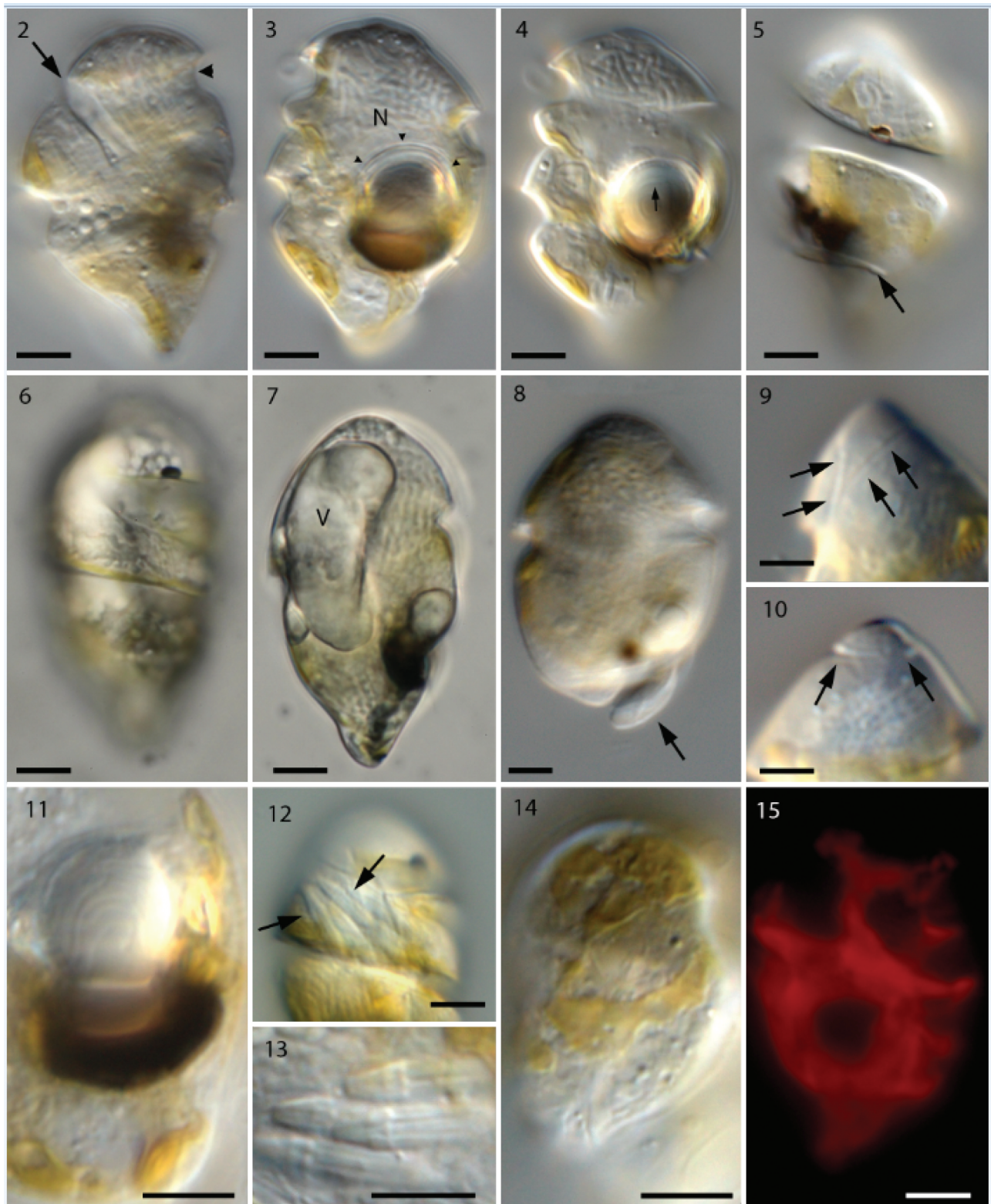
### Asexual cell division

Our observations over several years have resulted in some understanding of ocelloid transformation during asexual division (Figs 19–23). The first sign of asexual division was observed early in the morning, when the melanosome began disintegrating by splitting into two parts (Figs 19, 20). At this stage, the hyalosome had apparently broken down. Next, a clear separation of the melanosome was observed, with one part located at the very antapex and the other in the position typical for the non-dividing stage (Fig. 21). Subsequently, when two daughter cells had started forming but had not yet separated, the ocelloid was in the process of maturing, one still in the same position (upper cell in Fig. 22), the other in the antapex. In the final stage shown here, close to cytokinesis, the ocelloids in both daughter cells had matured and taken up their final position, the hyalosome and melanosome having reached the size seen in single cells. Cell fusion (sexual reproduction) or cyst stages were never observed under the light microscope (but see below).

### Scanning electron microscopy

The preparation contained both vegetative cells (Figs 24–29) and cells with double sets of both flagella, which we interpret as planozygotes (Figs 30, 31). The apical furrow was seen just below the cell apex (Figs 24–27), and in Fig. 26 a cell has been tilted to show the furrow from start to end (arrows). The apical furrow was horseshoe- or U-shaped, its two ends separated by a distance of just over 2  $\mu\text{m}$ . A row of small projections was seen on the inner margin of the U (compare with Fig. 27), and very thin fibrillary structures (apparently mucoid) were present on the outer margin of the furrow.

The cingulum and the sulcus emerged close to the gap between the two ends of the apical furrow (Fig. 26). The cingulum took two whole turns around the cell but at the antapex the anterior, thickened rim of the cingulum continued (Fig. 24) as a separate flagellum-like structure for another,



**Figs 2–15.** Longitudinal view of live cells of *Nematodinium parvum* in Nomarski interference contrast (Figs 2–14) and epifluorescence (Fig. 15).

**Figs 2–4.** Slightly ovoidal cell in left-lateral view (different focal points) with rounded anterior and bluntly pointed posterior. Numerous parts of the reticulated chloroplast are visible. The nucleus (N) fills up most of the epicone, and the ocelloid a conspicuous part of the lower left side (to the right in the figures). Note extension of sulcus (arrow in Fig. 2) and the uppermost curve of the cingulum (arrowhead in Fig. 2). Note also cornea-like external envelope on the anterior part of the hyalosome (arrowheads in Fig. 3) and concentric proximal ring-like structures in Fig. 4 (arrow). Scale bars = 5  $\mu$ m.

**Fig. 5.** Left lateral view showing dark-coloured, elongate granule near upper part of the cingulum, and the cingular extension curving around the lower part of the cell (arrow). Scale bar = 5  $\mu$ m.

**Figs 6, 7.** Cell in surface and mid-focal ventral-leftside view. Note dark-coloured elongate granule, a chloroplast lobe running along the cingulum in Fig. 6, and a large elongated vacuole (v) in Fig. 7. The nucleus extends from the apex to the antapex (Fig. 7). Scale bars = 5  $\mu$ m.

**Fig. 8.** The posterior-most part of the cell forms a nose-like extension of the cell defined by the last (third) turn of the cingulum. Scale bar = 5  $\mu$ m.

**Fig. 9.** Ventral view of epicone showing the ends of the apical furrow (arrows). Scale bar = 5  $\mu$ m.

**Fig. 10.** Dorsal view of epicone showing the apical furrow (arrows). Scale bar = 5  $\mu$ m.

**Fig. 11.** Large magnification of ocelloid. Note hemispherical hyalosome with proximal concentric laminae positioned on the concave side of the dark-brown melanosome (retinoid-like body). Scale bar = 5  $\mu$ m.

third turn (Figs 27, 28). It delimited the nose-like antapical end of the cell (Fig. 28). The sulcus proceeded as a deep furrow along the ventral and left-hand side of the cell (Figs 24, 25), but the longitudinal flagellum was not visible until half-way down the cell (Fig. 24 and better in the planozygote in Fig. 31). At the same level, a peduncle (pe) emerged as a short projection from the sulcus (Figs 24, 25). A relatively deep groove extended in an oblique anterior direction from this area to the anterior part of the cingulum (Figs 24, 25). The ocelloid was seen as a swelling on the cell surface, on the right side of the groove in Fig. 24. The proximal part of the longitudinal flagellum was in the upper half of the cell, but was located in a tube inside the cell (see below). An additional, finger-like projection emerged from the cell in the area just below the ocellus and extended in a direction opposite to the cingulum (Figs 24, 25, 29, asterisk).

Sexual reproduction is illustrated in Figs 30, 31, which show two parallel longitudinal flagella (Fig. 31) emerging from the bottom of the mid-region of the sulcus. Two parallel transverse flagella were also present, the curvatures of each flagellum very precisely aligned (Figs 30, 31).

### Transmission electron microscopy

A detailed study of the ultrastructure of selected organelles will be published separately but a brief, general description of the complete cell is provided here. The main organelles and the two main turns of the cingulum are visible in Fig. 32. The nucleus was visible anteriorly and nuclear pores belong to the typical eukaryote type, without nuclear chambers (Fig. 35). Numerous trichocysts (t) are present in Fig. 33. The ocellus is visible in the right part of Fig. 32, showing the hyalosome (hya) and the melanosome (ms), and part of a canal from the exterior, which separates the hyalosome and melanosome. The hyalosome was surrounded by a layer of mitochondria (arrows), and two profiles of a horseshoe-shaped structure that surrounds the upper-middle part of the hyalosome were also visible (ovals). They represent the ring-like structure seen in the light microscope (Fig. 4). A nematocyst (ne) is visible on the left-hand side of Fig. 32 and illustrated at higher magnification in transverse (Fig. 37) and longitudinal sections (Fig. 38). Each nematocyst contained a ring of six elongate structures, perhaps acting as six dischargeable units.

Chloroplast branches (c) were visible in several places, and a larger part of the chloroplast is visible to the left of the hyalosome in Fig. 32. In the chloroplast, extensive amounts of DNA fibres were visible at higher magnification (Fig. 36). The apical furrow was composed of three parallel rows of amphiesmal vesicles (Fig. 34). Vesicles of one row were restricted to the bottom part of the furrow, while the other two rows formed the sides of the furrow, extending over the furrow rims. The amphiesmal vesicles contained two plate-

like structures, the lowermost structure well defined, the uppermost more diffuse in transverse section. The peduncle is illustrated in Figs 39–41. It is lined here by four amphiesmal vesicles (asterisks in Fig. 40), all with contents as the vesicles elsewhere in the cell. One side of the peduncle contained an irregular row of microtubules (Fig. 41), and a few separate microtubules were visible elsewhere in the peduncle lumen.

### Distribution and behaviour of *Nematodinium parvum* in Danish waters

Cells of *Nematodinium parvum* were observed in water samples from Skovshoved, Svanemøllen (both in Øresund), Risø at Roskilde Fjord, and from Rønbjerg, Skive, Hjarbæk and Virksund harbours (all in the Limfjord). High abundance was often related to high temperatures (June–October, 20–27°C), a salinity range of 16–27 and absence of wind (calm surface water conditions). They were never observed in water samples from winter (February–March), when windy conditions, heavy rain and low temperatures often prevail.

Field observations indicated that *N. parvum* performed diel vertical migrations (DVM) as high abundances were found between 12:00 AM and 6:00 PM (even earlier when the sun rose at 4:00 AM), always at the surface (<1 m depth). In early autumn 2021 (October), when temperatures were still 15–20°C and there was no wind, cell concentrations were very high at 7:00 AM in Rønbjerg harbour (Fig. 1).

*Nematodinium parvum* most often co-occurred with ciliates (*Mesodinium* sp.), other dinoflagellates and larger zooplankton. Prolonged periods of high temperatures and a calm sea appear to be crucial conditions when searching for high concentrations of *N. parvum* in Danish waters.

### Culturing experiments

Food uptake of *Nematodinium parvum* was never observed in the laboratory. The longest survival periods (13–14 days) were achieved when cells were provided with a 'prey' mixture of *Eutreptiella* and *Mesodinium* in TL30 medium with or without ammonium (modified from Erd-Schreiber medium; Throndsen 1969, 1978), and microturbulence generated by plankton wheel rotation (1 rpm). Similar results were achieved with *Brachiomonas submarina* in L<sub>1</sub>-Si+NH<sub>4</sub> (modified from Guillard & Hargraves 1993), when *N. parvum* cells ( $n = 4$ ) were observed to be alive after 13 days. However, they did not divide.

### Molecular analyses

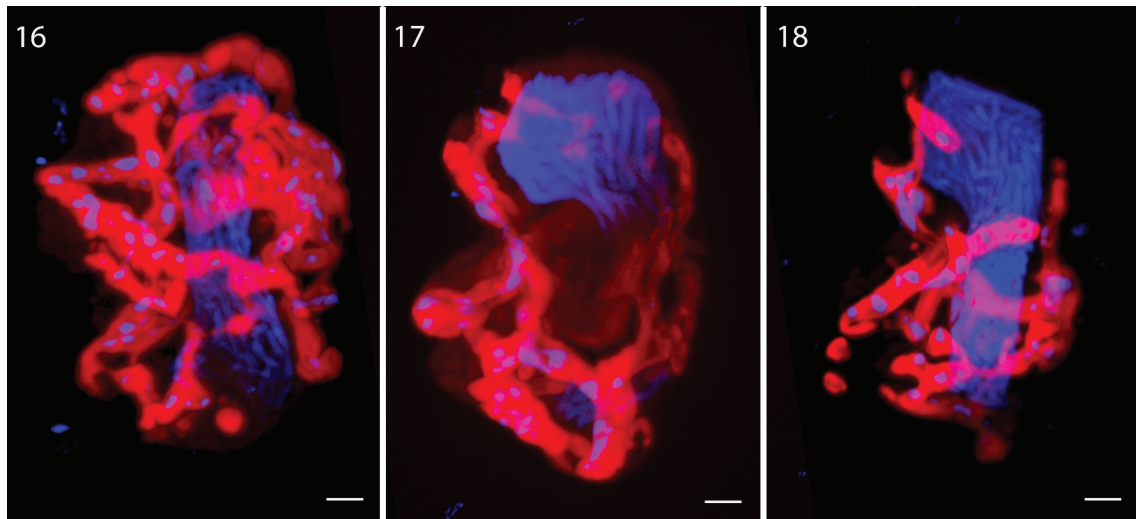
The phylogenetic tree based on the first data matrix revealed that the warnowiaceans formed a monophyletic lineage highly supported by posterior probability (0.99) but less so by RAxML

**Fig. 12.** Bundle of nematocysts in the intercingular area. Scale bar = 5 µm.

**Fig. 13.** Large magnification of four nematocysts. Scale bar = 5 µm.

**Fig. 14.** Large parts of the reticulated chloroplast located in the cell perimeter. Scale bar = 5 µm.

**Fig. 15.** Highly reticulated chloroplast in longitudinal view. Scale bar = 5 µm.

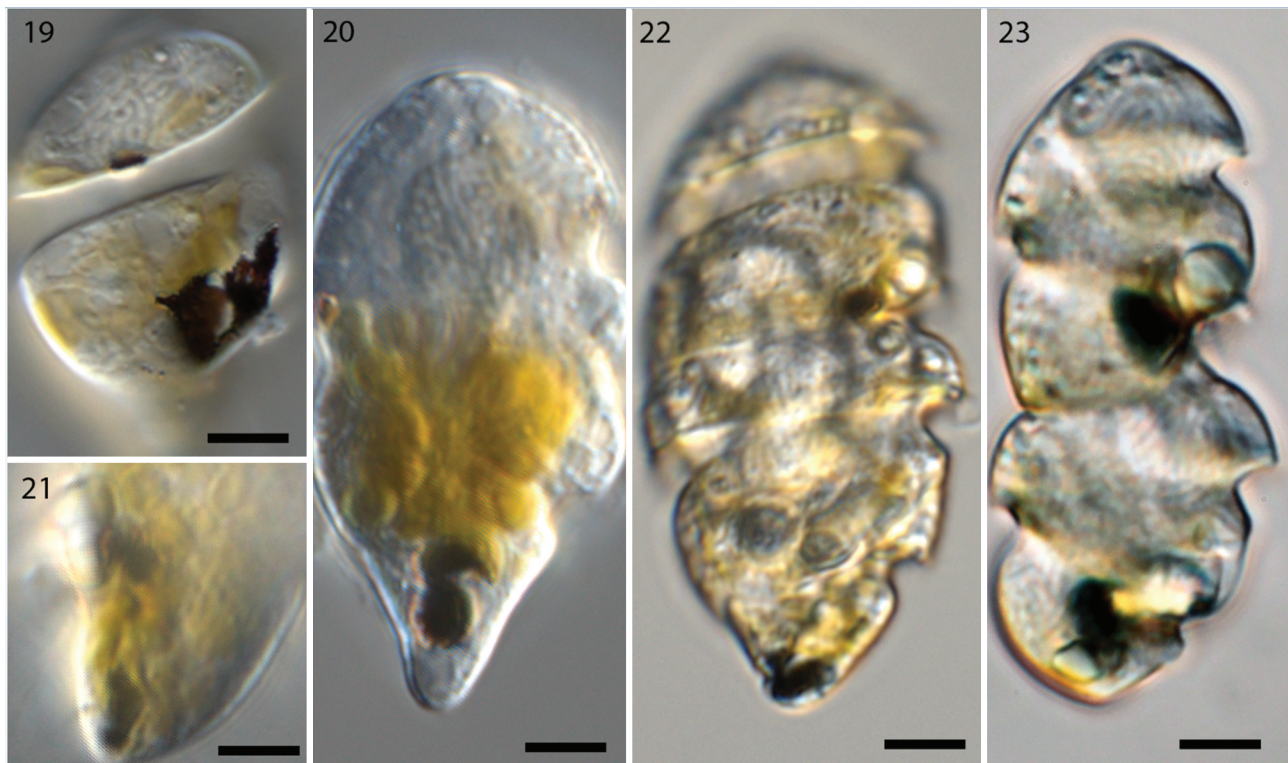


**Figs 16–18.** Glutaraldehyde-fixed cells of *Nematodinium parvum* from stacked series of images, used to construct the 3-dimensional structure of chloroplast and nucleus. The nucleus fills up a major part of the cell, reaching from the apex to the antapex. The chloroplast is highly reticulated but the morphology varies markedly between cells. The blue dots in the chloroplast lobes represent chloroplast-DNA.

**Fig. 16.** Stack based on 85 images. Scale bar = 3  $\mu$ m.

**Fig. 17.** Stack based on 87 images. Scale bar = 3  $\mu$ m.

**Fig. 18.** Stack based on 91 images. Scale bar = 3  $\mu$ m.



**Figs 19–23.** Live cells of *Nematodinium parvum* in different stages of mitotic division (Nomarski interference contrast).

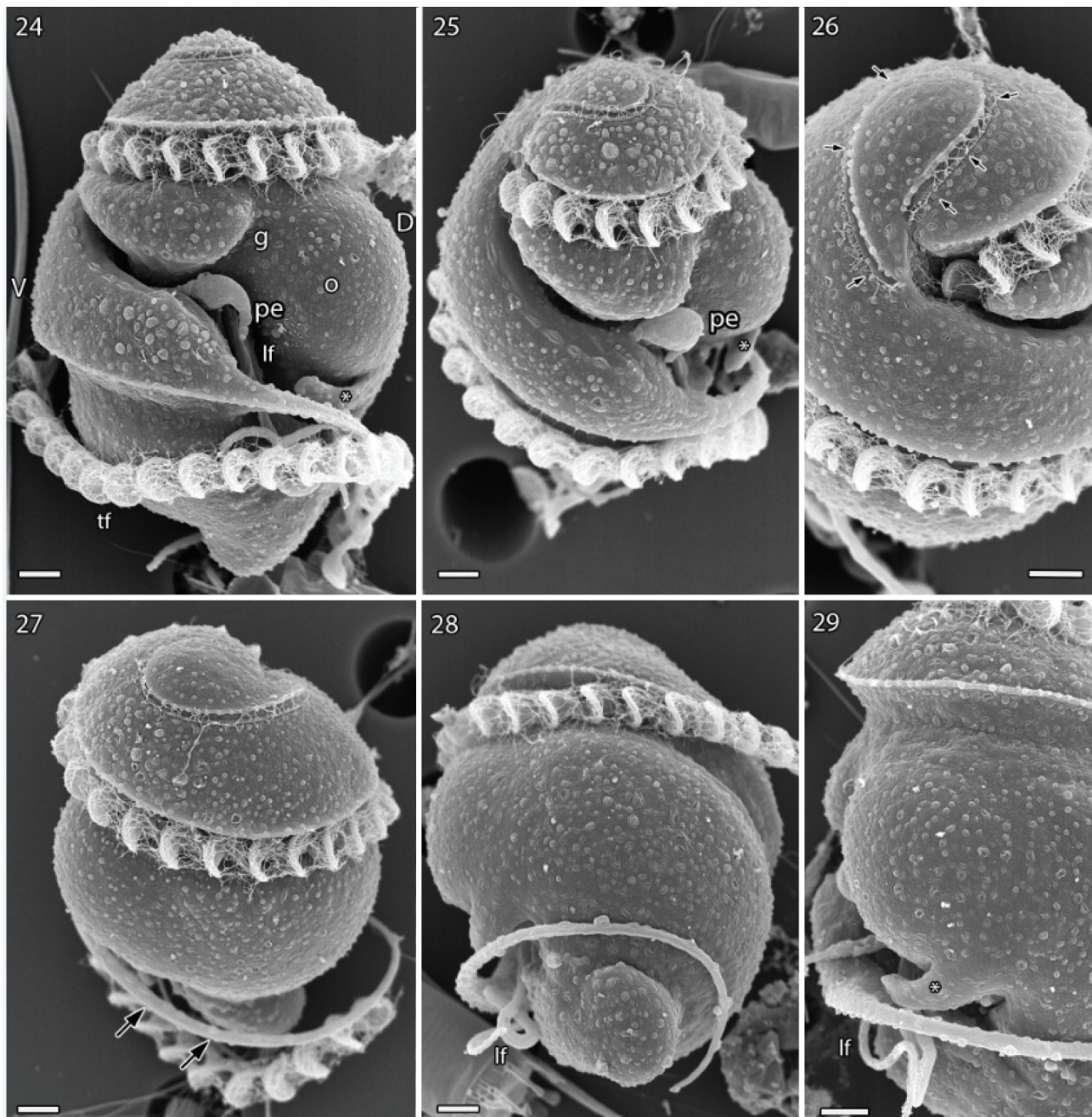
**Figs 19, 20.** The melanosome disintegrates into two parts, the hyalosome seems to have broken down. In Fig. 20 the reticulated chloroplast occupies the lower half of the cell. Note also the dark-coloured elongate granule in Fig. 19. Scale bars = 5  $\mu$ m.

**Fig. 21.** The melanosome has separated into two parts and a hyalosome appears to be reforming, associated with the uppermost melanosome. Scale bar = 5  $\mu$ m.

**Fig. 22.** Dividing cell with ocelloids in development. The upper daughter cell shows the ocelloid in an almost typical position while the ocelloid in the lower-most cell is still located at the cell antapex. Scale bar = 5  $\mu$ m.

**Fig. 23.** Later stage. Each daughter cell contains ocelloids in the typical position. The chloroplast has re-established its reticulated outline in Figs 22 & 23, compare with Fig. 20. Scale bar = 5  $\mu$ m.





**Figs 24–29.** Scanning electron microscopy of *Nematodinium parvum*.

**Figs 24, 25.** Cells seen from the left lateral side (Fig. 24), the cell tilted forward in Fig. 25. The apical furrow is visible near the top of the cells (arrows in Fig. 26), followed by the proximal part of the cingulum and transverse flagellum a short distance below, and the distal part of the cingulum and transverse flagellum (tf) one cingular turn further below. The sulcus proceeds in a diagonal direction, and the peduncle (pe) is visible in the central part of the figure, emerging from the inner surface of the sulcus, as shown particularly clear in Fig. 25. The short emerging (distal) part of the longitudinal flagellum is visible in Fig. 24 (lf). The anterior edge of the cingulum is sharp and continues as a flagellum-like extension (see further in Figs 26, 27), while the posterior edge is smooth. \* denotes the short arm-like extension. g, groove between sulcus and upper curve of cingulum; o, bulge containing the ocelloid. Scale bars = 2  $\mu$ m.

**Fig. 26.** Cell seen in oblique anterior, ventral view. The full length of the apical furrow is visible (arrows), the right branch is longer than the left. The cingulum and the sulcus emerge near the area between the ends of the apical furrow, the cingulum with the transverse flagellum above, the sulcus below. Scale bar = 2  $\mu$ m.

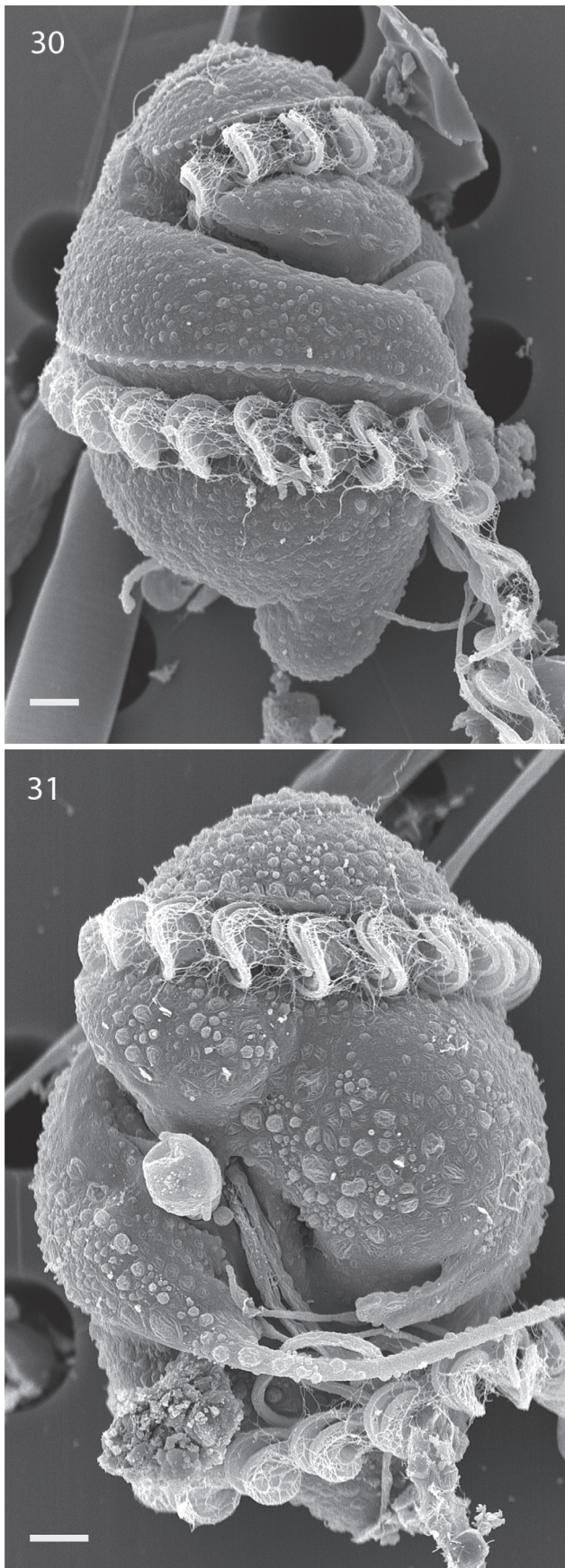
**Fig. 27.** Cell in right-lateral, dorsal view (as shown by the path of the apical furrow), slightly tilted towards the anterior, to show the cingular extension (arrows), which curves around the cell and measures between 10 and 15  $\mu$ m in length. Scale bar = 2  $\mu$ m.

**Fig. 28.** Cell in right-lateral view to show the posterior part of the longitudinal flagellum (lf) and the flagellum-like cingular extension. Scale bar = 2  $\mu$ m.

**Fig. 29.** The asterisk indicates the short arm-like structure located near the distal end of the sulcus, see also Figs 23, 24. Scale bar = 2  $\mu$ m.

bootstrap (70%) (Fig. S1). The sister group to the warnowiaceans comprised a diverse assemblage of other non-ocelloid-bearing athecate dinoflagellates including *Gymnodinium catenatum* H. W. Graham and *Lepidodinium chlorophorum* Elbrächter & Schnepf. Having received support for the monophyly of the

warnowiaceans, the group of interest here, two additional phylogenetic analyses were completed. The first of these was based on SSU rDNA (Fig. 42) and it revealed *Nematodinium* to appear in two and *Warnowia* in three of the five major lineages. Hence, both of these genera were considered polyphyletic as presently



**Figs 30, 31.** Scanning electron microscopy of planozygotes of *Nematodinium parvum*.

circumscribed. Two of the five lineages comprised sequences of presumably uncultured warnowiaceans, one representing cold-water species (Svalbard, Ross Sea and the Arctic Ocean), and the other comprised of taxa from the Sargasso Sea related to *Erythrosideinium agile* (Hertwig) P.C. Silva and an unidentified species of *Warnowia* from Florida (USA). The SSU rDNA sequence of *Nematodinium parvum* from Rønbjerg (Denmark) was closely related to two unidentified species of *Warnowia*, one from Canada and another from Spain, and an uncultured warnowiacean from China (Fig. 42).

The phylogenetic analysis based on LSU rDNA comprised fewer warnowiaceans (10 sequences) but the polyphyletic nature of *Warnowia* and *Nematodinium* remained (Fig. 43). The two almost identical sequences from single-cell determinations of *Nematodinium parvum* from Denmark (see below) were closely related to two unidentified species of *Warnowia* from Spain (KP790242) and Canada (FJ947042).

Both analyses based on ribosomal genes showed species currently assigned to *Nematodinium* and *Warnowia* to be scattered within the warnowiaceans, allowing no clear taxonomic resolution (Figs 42, 43).

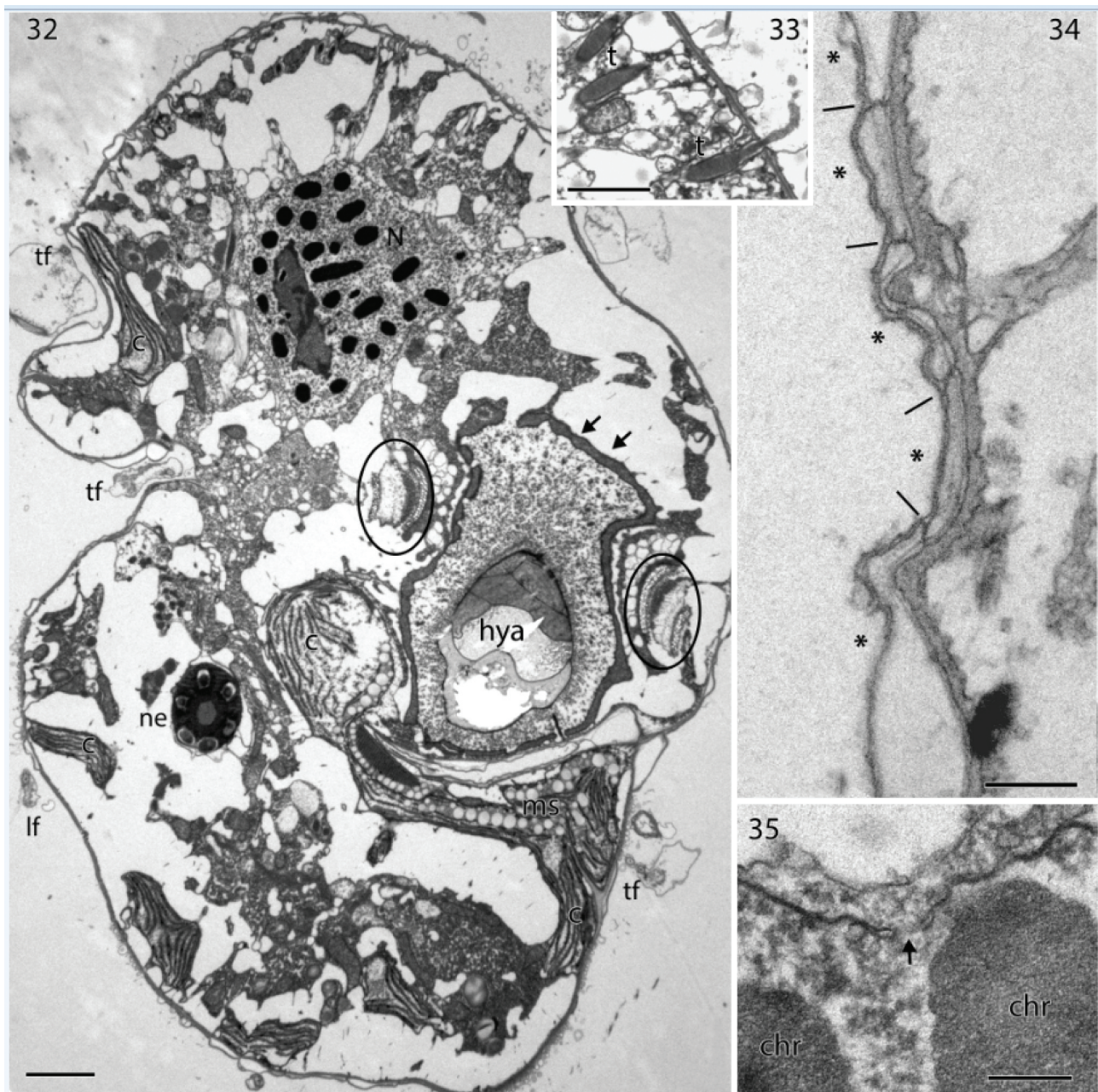
### Sequence divergence

All SSU rDNA sequences determined of material from Rønbjerg, Skovshoved and Roskilde Fjord were 100% identical. The Danish material was 100% identical to *Warnowia* sp. from Spain (KP790170) and had a one base pair difference to *Warnowia* sp. from Canada (FJ947040) and an uncultured eukaryote from China (KP404765). The LSU sequences of cells from Skovshoved were all identical and differed by a single base pair compared to the sequence determined from the single cell from Rønbjerg. This difference was due to a transition between the purines guanine and adenine (G ↔ A). The LSU rDNA sequences of *Nematodinium parvum* determined here showed a 99.7% pairwise sequence similarity to *Warnowia* sp. from Spain (KP790242) and *Warnowia* sp. from Vancouver Island, Canada (FJ947042). Such low sequence divergence between these cells suggests that they are conspecific.

## DISCUSSION

### The organelles of *Nematodinium parvum*

*Nematodinium parvum* has a complex, net-like, golden-brown chloroplast with typical stacked thylakoids (and DNA) and it is therefore undoubtedly photosynthetic. Chloroplasts have been observed also in *Nematodinium armatum* (Dogiel) Kofoid & Swezy (Dogiel 1906; Hovasse 1951; Mornin & Francis 1967) and *Nematodinium* sp. (Hoppenrath et al. 2009; Gavelis et al. 2015). A chloroplast has not been illustrated in other warnowiaceans and it is clearly absent in *Erythrosideinium* (e.g. Greuet 1969; Gómez 2017) and *Proterothropsis* (Marshall 1925; Moestrup et al., unpublished observations). Future studies may reveal whether chloroplasts constitute a generic character that can be used to distinguish



**Figs 32–35.** Transmission electron microscopy of *Nematodinium parvum*.

**Fig. 32.** Longitudinal section through a cell to show the main organelles: nucleus (N); hyalosome (hya) surrounded by U-shaped structure (ovals); melanosome (ms); chloroplast (c); transverse flagellum in the cingulum (tf); longitudinal flagellum (lf); nematocyst (ne). The arrows indicate the mitochondrial net that lines the hyalosome. Scale bar = 2  $\mu$ m.

**Fig. 33.** Trichocysts (t) being discharged. Scale bar = 1  $\mu$ m.

**Fig. 34.** Transverse section of the apical furrow, which is lined by three amphiesmal vesicles (asterisks). The lines indicate the sutures between the three vesicles. Scale bar = 200 nm.

**Fig. 35.** Nuclear pore (arrow). Scale bar = 200 nm.

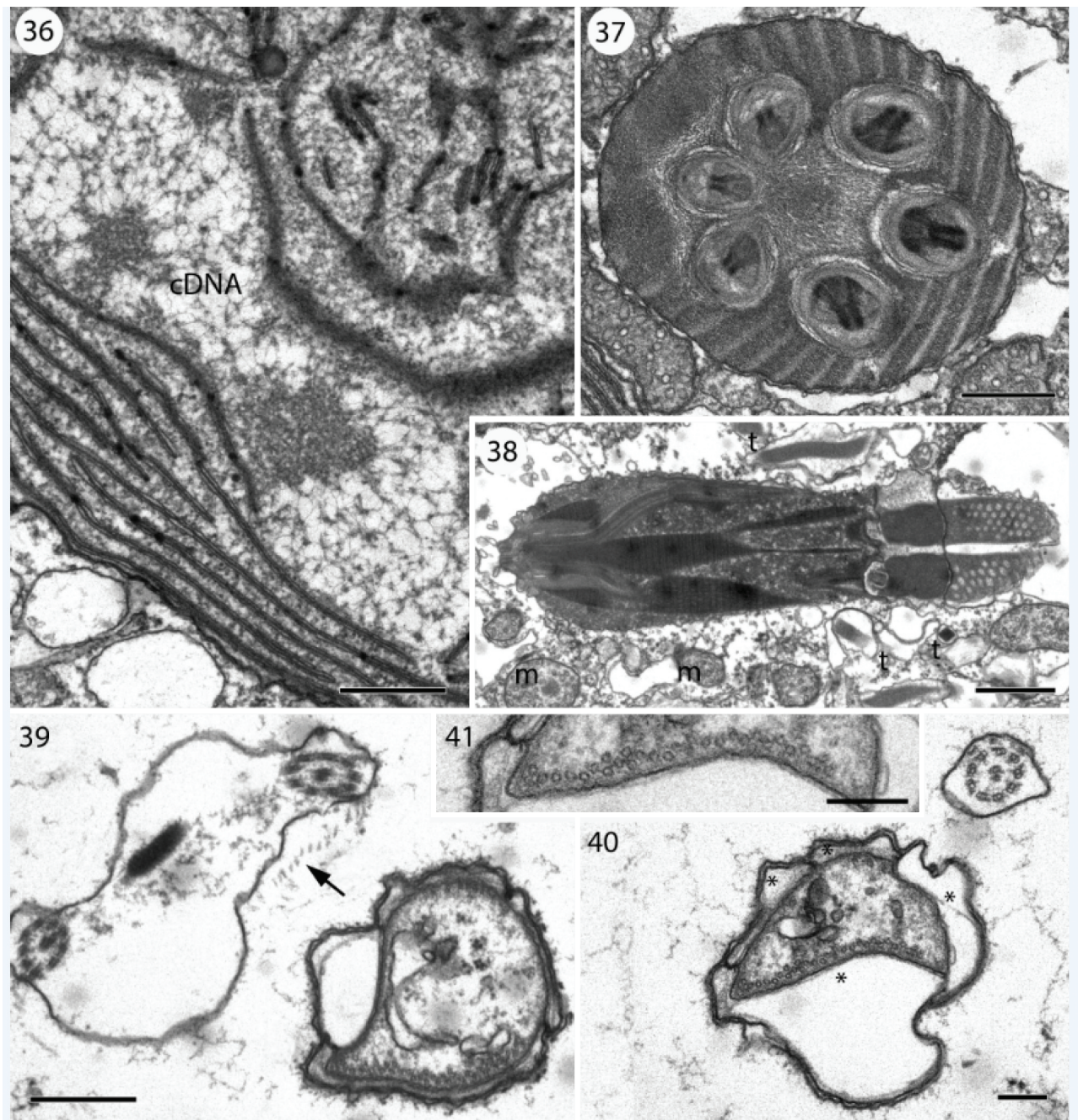
*Nematodinium* and *Warnowia* (present in *Nematodinium* and absent in *Warnowia*).

Compared to other warnowiaceans, *Nematodinium parvum* is unusual in possessing not only nematocysts but also

trichocysts. The nematocysts will be described in more detail in a separate publication. We only found nematocysts with six dischargeable units (as in *Proterythropsis vigilans*; Moestrup *et al.*, unpublished observations), whereas Gavelis *et al.* (2017)

**Fig. 30.** Planozygote in nearly ventral view. Two parallel, coiled, and closely arranged transverse flagella are present. The cell antapex is conical, a species-characteristic of *N. parvum*. Scale bar = 2  $\mu$ m.

**Fig. 31.** Another planozygote in left view showing the double set of transverse, parallel flagella and the two longitudinal flagella, the latter emerging from a pore in the sulcus floor near the groove that connects the sulcus and the upper curve of the cingulum. A swollen peduncle is also visible. Scale bar = 2  $\mu$ m.



**Figs 36–41.** Transmission electron microscopy showing details of *Nematodinium parvum*.

**Fig. 36.** Part of chloroplast containing large amount of DNA (cDNA). Thylakoids are paired or form triplets. Scale bar = 0.5  $\mu$ m.

**Fig. 37.** Transverse section of a nematocyst with its six charges. The banded structure along the periphery is probably involved in firing of the charges. Scale bar = 0.5  $\mu$ m.

**Fig. 38.** Longitudinal section of a nematocyst. The apical end of the nematocyst is on the right, the cross-banded structures on the left correspond to the peripheral banded structure in Fig. 37. Scale bar = 1  $\mu$ m.

**Fig. 39.** Transverse flagellum (with flagellar hairs, arrow) and peduncle. Scale bar = 0.2  $\mu$ m.

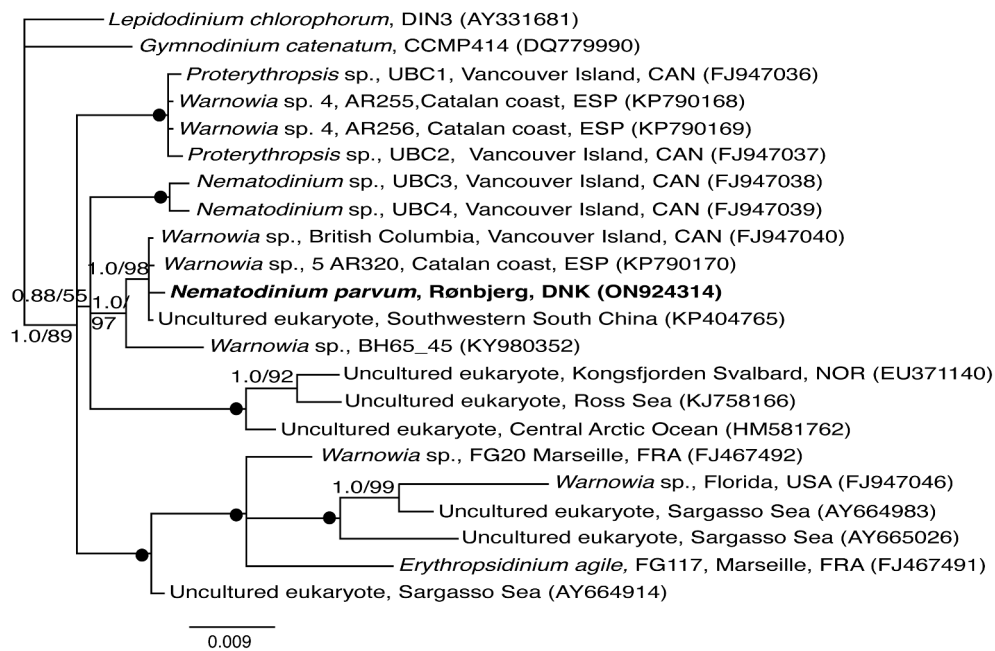
**Fig. 40.** Peduncle located near the longitudinal flagellum. \* indicates amphisomal vesicles bordering the peduncle. Scale bar = 0.2  $\mu$ m.

**Fig. 41.** Peduncle at higher magnification, showing irregular row of microtubules along one side. Scale bar = 0.2  $\mu$ m.

illustrated a variable number of 10 (11?)–15 in *Nematodinium* sp. and Hovasse (1951) illustrated eight in *Nematodinium armatum*. Trichocysts are widespread in dinoflagellates, and they have also been observed in *N. armatum* (Mornin & Francis 1967). However, they seem to be uncommon in other species of the Warnowiaceae.

### Feeding-related structures in *Nematodinium parvum*

Although all our attempts to establish cultures of *Nematodinium parvum* were unsuccessful, there can be little doubt that *N. parvum* is mixotrophic. In *Proterothropsis vigilans*, a food vacuole seen by Marshall (1925) consisted of a greenish or yellowish food mass, probably from *Thalassiosira* or *Phaeocystis* cells.



**Fig. 42.** Phylogeny of 13 ocelloid-bearing dinoflagellates identified to genus level (one to species level) and seven uncultured eukaryotes anticipated to possess ocelloids analysed using Bayesian analysis and inferred from SSU rDNA sequences. *Lepidodinium* and *Gymnodinium* formed the outgroup taxa. Numbers at internodes represent posterior probabilities from Bayesian analysis and bootstrap values from RAxML (10,000 replicates). Filled circles indicate maximal support (1.0 in posterior probability and 100% in RAxML). Branch lengths are proportional to the number of character changes, see scale bar. Newly sequenced cell isolate is written in bold.

We also tested *Thalassiosira weissflogii* (Van Heurck) G.A. Fryxell & Hasle as food for *N. parvum*, but it did not feed on them. Gómez (2017) illustrated *Erythrospidinium scarlatinum* (Kofoid et Swezy) P.C. Silva with ingested copepod eggs, but our feeding experiments with eggs from *Acartia tonsa* and *Calanus* sp. proved negative.

Cells of *N. parvum* possessed a peduncle, documented in both SEM and TEM. The peduncle was an extension of the cytoplasm supported internally by microtubules and emerged from the inner surface of the sulcus. A peduncle has been described in many dinoflagellates, starting with *Gyrodinium lebouriae* (Lee 1977), and is thought to act as a feeding-tube, which pierces the prey surface and sucks up the contents of the cell. Within the warnowiaceans, a peduncle has apparently not been found before, but it differs from the peduncle of other dinoflagellates by being bounded by both the cell membrane and amphiesmal vesicles. The peduncle has been studied in numerous other dinoflagellates and is always bounded by the plasma membrane only (e.g. Kubai & Ris 1969; Calado *et al.* 1998; Pandeirada *et al.* 2022). Due to the presence of amphiesmal vesicles in the peduncle it resembles the piston, although the long piston of *Erythrospidinium* at first sight is very different. The piston is believed to serve at least two different functions: food capture, and the sudden, jumpy movements of the usually motionless cell (Gómez 2008, 2017). Greuet (1969) provided drawings of the piston, which he named stomopode. In addition to being surrounded by amphiesmal vesicles it also contained an internal row of microtubules. The drawings also show several vacuoles, and this recalls the vacuoles observed in the peduncle in Figs 36–41. This raises the question of whether the piston in *Erythrospidinium* may have evolved as a modified peduncle. A piston was also observed by Greuet (1968) in the related genus *Leucopsis* Greuet, *nom. illeg.* (subsequently

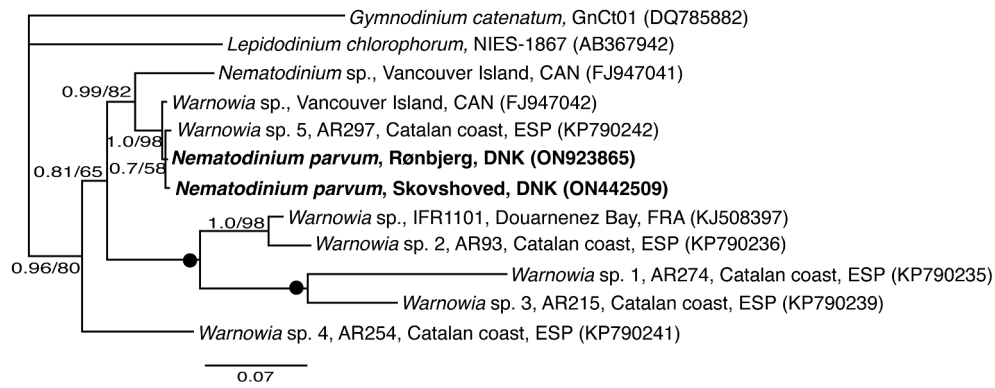
renamed *Greuetodinium* A.R. Loeblich), which apparently has not been refound since the first description, but it has to our knowledge not been found in other dinoflagellates.

### On the taxonomic identity of the Danish specimens

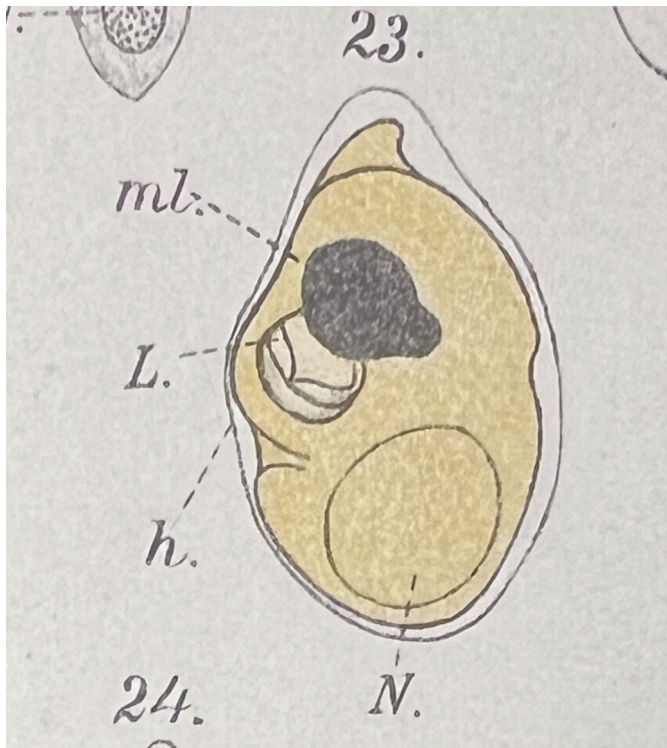
As stated above, the taxonomy of warnowiacean dinoflagellates is presently in a state of confusion, at the species as well as the genus level. The Danish material described agrees very precisely with *Pouchetia parva* Lohmann (1908, p. 264), now known as *Warnowia parva* (Lohmann) Er. Lindemann. Lohmann's description is brief, but the Danish material agrees in cell size, cell shape, including the characteristically rounded-conical antapex, and the yellow colour of the cytoplasm. Lohmann's single illustration (fig. 23 in pl. XVII) is reproduced here as Fig. 44.

Lohmann (1908) gave the length of *Pouchetia parva* as 33  $\mu\text{m}$ , compared to our 26–39  $\mu\text{m}$  and average of 32.2  $\mu\text{m}$ . The ecology of the Danish material also agrees with Lohmann's findings. Lohmann observed the species at the entrance to Kieler Bay ('Ostsee') in April–November, not far from our locations. Cells were not particularly numerous and mainly occurred in the upper layers of the water, a finding that Lohmann explains by its dependence on light. On 23 sampling days, cells appeared 15 times at the surface, twice at 5 m depth, five times at 10 m and only three times at 15 m. Lohmann gave the maximum concentration of cells near the surface as 2,300 cells  $\text{l}^{-1}$  on 16 June 1906.

Hulburt (1957) reported *Warnowia parva* from Massachusetts and gave the cell length as 23–30  $\mu\text{m}$ . He mentioned the presence of yellow chloroplasts but not the yellow colour of the cytoplasm. Lohmann's and Hulburt's descriptions differ from our cells in one respect: neither author mentions the presence of nematocysts. The many described species of *Warnowia* are believed to lack nematocysts, while the few



**Fig. 43.** Phylogeny of 10 ocelloid-bearing dinoflagellates identified to genus level (one to species level) analysed using Bayesian analysis and inferred from partial LSU rDNA sequences. *Lepidodinium* and *Gymnodinium* formed the outgroup taxa. Numbers at internodes represent posterior probabilities from Bayesian analysis and bootstrap values from RAXML (10,000 replicates). Filled circles indicate maximal support (1.0 in posterior probability and 100% in RAXML). Branch lengths are proportional to the number of character changes, see scale bar. Newly sequenced cell isolates are written in bold.



**Fig. 44.** Reproduction from Lohmann (1908, pl. XVII, fig. 23). Lohmann drew the cell with the apical end downwards.

known species of *Nematodinium* possess such organelles, and this is thought to be a main difference between the two genera.

### Is *Warnowia parva* a species of *Nematodinium*?

Kofoed & Swezy (1921), when describing their new genus *Nematodinium*, stated: “The diagnostic characteristic of this genus is the possession of nematocysts clustered about the nucleus” (p. 421). The accompanying figure of the type species (Kofoed & Swezy 1921, fig. NN, 4) shows some nematocysts near the nucleus and others along the right side of the cell; nematocysts do not appear as a cluster about the nucleus

in this figure. In the description of the type species, *Nematodinium partitum* Kofoed & Swezy 1921, p. 425 wrote, “Scattered through the cytoplasm are numerous (15–20) nematocysts”, and “They are arranged in roughly three groups: one in the anterior part, one near the central region, and the third posteriorly”.

The position of the nematocysts in the type species therefore disagrees with the statement in the generic diagnosis. One explanation for this may be that the position of the nematocysts in the cell varies, and our experience has confirmed this.

As mentioned above, cells from Denmark possess nematocysts, but they are easily overlooked, and careful examination at high magnification is needed to see them. It appears possible that limitations in the magnification available to Lohmann would have caused him to miss the nematocysts in the material he observed. However, the shape and size of the cell and the yellow colour are identical to our material, and we have on numerous occasions observed cells in which nematocysts were not visible, perhaps for having been discharged. The phylogenetic analyses (Figs 42, 43) revealed cells identified as *Warnowia* sp. with a morphology similar to our cells, but apparently lacking nematocysts (Hoppenrath *et al.* 2009, figs 1G–1I; Reñé *et al.* 2015, fig. 5O), clustering together with our cells in the molecular tree, supporting the difficulty in observing the nematocysts. Lebour (1925) had the same experience with material from Plymouth, and Hulburt (1957, p. 214) mentions that in *Nematodinium armatum* nematocysts may be present or absent, as reported also by Hoppenrath *et al.* (2009) for *Nematodinium* sp.

Following the demonstration of nematocysts, we transfer *Warnowia parva* to *Nematodinium* under the International Code of Nomenclature for algae, fungi, and plants (Turland *et al.* 2018):

### *Nematodinium parvum* (Lohmann) Moestrup comb. nov.

BASIONYM: *Pouchetia parva* Lohmann 1908, *Wissenschaftliche Meeresuntersuchungen, Abteilung Kiel* 10, p. 264, pl. XVII, fig. 23.

HOMOTYPIC SYNONYM: *Warnowia parva* (Lohmann) Er. Lindemann (1928, p. 52).

HETEROTYPIC SYNONYM: *Nematodinium pseudoarmatum* Hovasse (1951, p. 151).

Whether the two genera, *Nematodinium* and *Warnowia*, are separate genera must await examination of the type species of *Nematodinium*, *N. partitum*, originally described from La Jolla, California, and the type species of *Warnowia*, *W. fusus* (F. Schütt) Er. Lindemann, of uncertain origin but probably from the Bay of Naples or the Atlantic. It will cause some confusion if they have to be merged, as the name *Nematodinium* is older than *Warnowia* and the family name is Warnowiaceae.

### Are *Nematodinium armatum* and *Nematodinium parvum* different species?

The two species are very similar, but an important difference appears to be the length of the cell and the typically conical shape of the antapex in *N. parvum*. Dogiel (1906) gave the cell length of *N. armatum* as c. 50 µm, while Lohmann (1908), as mentioned above, described *N. parvum* to be 33 µm long. Neither author gave information on cell width. Hulburt (1957) provided a size range of his material from Massachusetts, in *N. armatum* 33–53 µm long and 20–33 µm wide, and in *Warnowia parva* 22.5–30 µm long and 15–18 µm wide. If these differences hold, the two species are different, although Lebour (1925) stated the size of *N. armatum* as 28–50 µm and suggested that the cells described by Lohmann may have been encysted *N. armatum*. Considering that our material was very constant in size and never exceeded 39 µm, we currently regard *N. armatum* and *N. parvum* as different species.

In the phylogenetic tree based on LSU rDNA (Fig. 43), the sister taxon to *N. parvum* was a sequence (FJ947041) of *Nematodinium* sp. from Hoppenrath *et al.* (2009), which is illustrated in their figs 1M–1P. The images show a yellowish cell with a cell length and shape more or less similar to *N. armatum*. This appears to support the conclusion above and to show the close relationship between *N. parvum* and *N. armatum*.

### Phylogenetic inferences

The phylogenetic analyses conducted here, based on SSU and LSU rDNA, highlight the same challenge: very few warnowiaceans have been identified to the level of species. This makes the available molecular sequences of little use for phylogenetic inferences clarifying the taxonomy of the ocelloid-bearing dinoflagellates. Additionally, the phylogenetic trees also confirm the present state of confusion at the generic level: both *Nematodinium* and *Warnowia* taxa clustered within multiple lineages, as shown in Fig. 42 and to some extent in Fig. 43. Since so few single-cell isolates have been determined for both ribosomal genes and morphologically characterized at the same time, concatenation would not have improved the outcome.

The numerous uncultured eukaryotes from Sargasso and polar seas clustering with the warnowiaceans (Fig. 42) reveal the widespread biogeography of the Warnowiaceae. However, understanding their distribution pattern and ecological importance will require precise taxonomic identification.

### CONCLUSION

The current study has illustrated that the taxonomy of species and genera in the Warnowiaceae is chaotic and no longer serves its purpose. We have with this article taken a modest step towards a new taxonomy for the group. By combining detailed light and electron microscopic analyses with molecular data we have characterized the species *Nematodinium parvum*. Yet, numerous questions remain unanswered within the Warnowiaceae such as the characters used to circumscribe genera, the number of species, and not the least how they feed. A major step forward towards solving some of these questions would be to resolve how to maintain the species in culture. They appear to be distributed in marine environments worldwide, but the number of species may be much lower than presently described.

### ACKNOWLEDGEMENTS

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### DISCLOSURE STATEMENT

No potential conflict of interest was reported by the authors.

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