

1 **On the Control of North-Hemispheric Feedbacks by AMOC,**
2 **Evidence from CMIP and Slab-Ocean Modeling**

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7 ABSTRACT: The climate sensitivity of the Earth and the radiative climate feedback both change
8 over time due to a so-called “pattern effect”, i.e., changing patterns of surface warming. This
9 is suggested by numerical climate model experiments. The Atlantic Meridional Overturning
10 Circulation (AMOC) influences surface warming patterns as it redistributes energy latitudinally.
11 Thus, this ocean circulation may play an important role for climate-feedback change over time.
12 In this study, two groups of members of the Coupled Model Intercomparison Project (CMIP)
13 phases 5 and 6 abrupt4xCO₂ experiment are distinguished: one group showing weak and the other
14 strong feedback change over time. It is found that both groups differ significantly in the AMOC
15 response to 4xCO₂. Therefore, experiments with a slab-ocean model (SOM) with quadrupling
16 of the CO₂ concentration are performed where the AMOC change is mimicked by changing the
17 ocean heat transport. It is found that in the Northern Hemisphere extra-tropics the CMIP model
18 group differences can be qualitatively reproduced by the SOM experiments, indicating that the
19 AMOC plays an important role in setting the surface warming pattern. However, in the tropics and
20 especially in the Southern Hemisphere other explanations are necessary.

21 **1. Introduction**

22 Equilibrium climate sensitivity (ECS), i.e., the magnitude of the warming of the Earth's climate
23 system in response to a given forcing, is a widely used metric for describing global climate
24 change. Another important parameter is the top-of-atmosphere (TOA) radiative response to a
25 given warming, which is called the climate feedback and is also widely used. These are metrics
26 intended to describe the change of the complex climate system by a single number and thus their
27 ability for interpretation is highly limited. To gain more insight into the climate system's response
28 to a forcing, and to better understand climate sensitivity and feedback, individual physical processes
29 important for the climate are often investigated. The processes most typically considered are the
30 change of surface albedo (SA), the change of water-vapour (WV) concentration, the change of
31 the temperature lapse rate (LR), and the change of cloud properties. All these processes cause
32 specific feedbacks that influence the magnitude of climate sensitivity. The SA feedback is mostly
33 due to the melting of sea ice and a reduction of snow cover which decreases the surface albedo
34 of the Earth and thus causes a positive feedback, raising the climate sensitivity (e.g., Hall 2004;
35 Winton 2006; Graverson and Wang 2009). The WV feedback is also positive and arises due to the
36 fact that at higher temperature the atmosphere can hold more water vapour which inhibits more
37 thermal radiation from escaping to space and thus enhances the warming (e.g., Held and Soden
38 2000; Manabe and Wetherald 1967). The LR feedback arises due to different warming at different
39 altitudes in the atmosphere. If an atmospheric column warms more aloft than the surface below,
40 this increases the Earth's cooling efficiency and thus constitutes a negative feedback. In contrast,
41 a positive feedback results if the lower atmospheric layers warm more than aloft. Due to strong
42 convection in the tropics the LR feedback is often negative there, and due to stable atmospheric
43 stratification at high latitudes it is positive in those regions (Manabe and Wetherald 1975; Graverson
44 et al. 2014). Its global average is thought to be negative (e.g., Soden and Held 2006). Finally, the
45 nature of the cloud feedback is manifold and it remains unclear whether it is positive or negative
46 (e.g., Zelinka et al. 2016; Bjordal et al. 2020; Mülmenstädt et al. 2021), although a recent extensive
47 review of the literature argues for a moderately positive feedback (Sherwood et al. 2020). Even
48 based on the latest generation of global climate models, the cloud feedback remains the mechanism
49 associated with the largest inter-model variance (Zelinka et al. 2020). To understand and constrain
50 cloud feedback, typically it is subdivided into different categories based on different cloud regimes

51 and physical processes. Two types of cloud feedback are robustly assessed to be positive in the
52 review by Sherwood et al. (2020): the high-cloud altitude and the tropical marine low-cloud
53 feedback. The only negative cloud feedback component according to Sherwood et al. (2020) is the
54 tropical anvil cloud area feedback, although its magnitude is highly uncertain and may be zero.
55 Based on climate models, magnitudes of the different cloud feedback types generally fall within
56 the uncertainty range of the expert assessment in Sherwood et al. (2020), although a large variance
57 across models as well as outliers remain (Zelinka et al. 2022). Indeed, Zelinka et al. (2022) find
58 that increased skill in simulating mean-state cloud properties does not lead to the cloud feedback
59 being in better agreement with expert judgement.

60 In spite of ever increasing research efforts it remains difficult to constrain the estimated values
61 of climate feedback and ECS (Arrhenius 1896; Charney et al. 1979; Sherwood et al. 2020).
62 Typically, the ECS is derived by running a numerical climate model experiment starting in a
63 quasi-equilibrium (pre-industrial) state but with instantaneous doubling or quadrupling of the CO₂
64 concentration. Running a fully-coupled Earth-System Model (ESM) to a new equilibrium takes
65 thousands of simulation years and thus requires immense computational resources (e.g., Paynter
66 et al. 2018; Rugenstein et al. 2020). Hence, such experiments are usually only run for a few
67 hundred years, and the relationship between surface-air temperature (SAT) and TOA radiative-flux
68 imbalance is extrapolated to a new equilibrium state, which provides an estimate for ECS, typically
69 referred to as *effective* climate sensitivity (e.g., Sherwood et al. 2020). This procedure is known as
70 the Gregory method (Gregory et al. 2004). It was already noted by Gregory et al. (2004) that the
71 relationship between SAT and TOA imbalance appears non-linear and thus estimates derived with
72 the Gregory method from short (i.e., \ll 1000 years) model simulations may diverge from the ECS
73 as derived from running the model to equilibration. The time-dependence of the effective climate
74 sensitivity has since become a topic of much research attention (see e.g., Eiselt and Graversen
75 2022, for a brief literature overview).

76 Recently, the so-called *pattern effect* (Stevens et al. 2016) has emerged as the most prominent
77 explanation for the time-dependence of climate sensitivity. That is, sea-surface temperature (SST)
78 warming patterns in response to a forcing of the climate system change over time to favour regions
79 of different cooling efficiency causing the global cooling efficiency and thus climate sensitivity to
80 change over time (Andrews and Webb 2018; Ceppi and Gregory 2017; Dong et al. 2019, 2020).

81 However, it is still unclear whether the pattern effect is consistent in terms of a general pattern across
82 climate models (i.e., do models show similar spatial patterns of warming, and similar warming-
83 pattern changes?). It is also unclear how a given surface-warming pattern is triggered due to
84 a forcing. Dong et al. (2019, 2020) point to the Indo-Pacific Warm Pool (IPWP) as one region
85 that appears particularly important for the pattern effect. The IPWP is characterised by strong
86 convection and weak stratification implying that the region cools efficiently. Using a Green's
87 function approach, Dong et al. (2020) predict the change of climate feedback over time across
88 members of the Coupled Model Intercomparison Project (CMIP) phases 5 and 6 with some skill.
89 In support of these findings, Eiselt and Graversen (2022) show that the change of the surface
90 warming of the IPWP relative to the change of the global mean warming is robustly negatively
91 correlated with the change over time of climate feedback across CMIP5 and CMIP6 members.
92 However, some uncertainties remain and, as Dong et al. (2020) note, the reconstruction of the
93 change of feedback over time is less reliable for CMIP6 members than for those of CMIP5.

94 A further contribution to the pattern effect may emerge from a differential polar (especially
95 Arctic) and extra-polar warming development (Lin et al. 2019; Bellomo et al. 2021; Mitevski et al.
96 2021; Eiselt and Graversen 2022). In the climatology, as less solar radiation per unit area hits
97 the surface at high latitudes than at low latitudes, an excess of energy in the tropics and a deficit
98 of energy at the poles are induced, which is compensated by a poleward energy transport. A
99 considerable part of this energy transport is accomplished by the ocean, and a major part of this
100 ocean heat transport in the Northern Hemisphere is due to the Atlantic Meridional Overturning
101 Circulation (AMOC; e.g., Buckley and Marshall 2016). The AMOC is thought to originate from
102 the formation of dense surface water in the Arctic that sinks causing warm surface water from the
103 tropics to move northward. As the climate system warms in response to a forcing, the latitudinal
104 energy transport may change and thus influence the surface-warming difference between the Arctic
105 and the tropics.

106 The Arctic atmosphere exhibits a strongly stable stratification and thus a low cooling efficiency as
107 compared to lower latitudes, since a warming at the surface is not easily spread to higher altitudes
108 and thus "trapped" close to the surface. Hence, a relatively stronger warming over time in the
109 Arctic than over the rest of the globe would over time lead to a less negative climate feedback
110 and higher climate sensitivity. Eiselt and Graversen (2022) find evidence for this effect, since the

111 change of climate feedback over time correlates positively with the change over time of warming
112 in the Arctic relative to the global mean warming.

113 Because of its transport of warm water poleward, the AMOC exhibits to some degree control
114 over the surface warming in the Arctic and especially in the North Atlantic. Members of CMIP5
115 and 6 show a large spread in both the pre-industrial control (piControl) AMOC and in the response
116 of the AMOC to an abrupt quadrupling of the CO₂ concentration (abrupt4xCO₂; Lin et al. 2019;
117 Bellomo et al. 2021). Indeed, Bellomo et al. (2021) find that models with a large AMOC decline
118 in response to abrupt CO₂ quadrupling exhibit a distinct lack of warming in the North Atlantic
119 (50-70°N, 80°W-10°E; known as the North Atlantic Warming Hole), while this is not the case for
120 models with small AMOC decline. Lin et al. (2019) find that models that quickly slow down their
121 AMOC in response to abrupt CO₂ quadrupling start recovering the AMOC in later years, while
122 models with a moderate initial AMOC slowdown show little or no recovery. The former group of
123 models (called “high” in Lin et al. 2019) shows a shift in warming from low latitudes to the Arctic
124 over time, and these models weaken their climate feedback more over time than does the latter
125 group of models (called “low” in Lin et al. 2019), which is consistent with the aspects regarding
126 Arctic atmospheric stability mentioned above. As changes of atmospheric stability directly affect
127 the atmospheric temperature lapse rate (Ceppi and Gregory 2017; Andrews and Webb 2018), Eiselt
128 and Graversen (2022) investigated the change of the LR feedback over time and its impact on the
129 total feedback in the CMIP5 and 6 abrupt4xCO₂ experiments. It was found that the change of these
130 feedbacks strongly correlates across models. Hence, it was found that the LR feedback change
131 mostly dominates the total feedback change, except for a few models for which the cloud feedback
132 change appears more important. To further analyse the causes of differences in feedback change
133 between models, two model groups were extracted based on the change of LR feedback over time
134 (G1: weak LR feedback change, G2: strong LR feedback change) and compared in terms of surface
135 warming and individual climate feedbacks. Further investigation reveals that the development of
136 the AMOC in G1 and G2 corresponds remarkably well to the development of the groups named
137 “low” and “high”, respectively, in Lin et al. (2019) (see especially their Fig. 1), although their
138 division was based on AMOC strength, and the groups are comprised of different members (except
139 for one member, NorESM1-M).

140 In this study we extend the analysis of Eiselt and Graversen (2022) and show that certain
141 differences between G2 and G1 may be related to their difference in AMOC development. However,
142 in fully-coupled climate model experiments it is difficult to establish causality. Thus, we employ
143 a slab-ocean model (SOM) to mimic the AMOC-related changes in ocean heat transport and
144 investigate their effects. In a SOM, the ocean-model component is simplified to a mixed-layer
145 slab where the energy balance is computed based on a lateral and steady ocean heat flux (called
146 Q-flux), including an annual cycle, and heat exchange with the atmosphere in form of radiation
147 and sensible and latent turbulent fluxes. A change in the AMOC can be mimicked in a SOM by
148 changing the Q-flux. We institute such a Q-flux change roughly corresponding to the difference in
149 AMOC between G2 and G1 (see appendix A) while also abruptly raising the CO₂ concentration by
150 a factor of four (as in the CMIP abrupt4xCO₂ experiments). Then we investigate the response in
151 terms of SAT as well as TOA radiative fluxes due to individual climate feedbacks and qualitatively
152 compare to the difference in response between G2 and G1. We note that since in a SOM the ocean
153 is inactive, with our experiments we cannot establish how changes in the climate system induced
154 by the AMOC change (e.g., sea-ice melt or surface and atmospheric warming) may feed back on
155 and alter the AMOC itself (Liu et al. 2019; Todd et al. 2020; Dai 2022).

156 Singh et al. (2022) perform similar experiments to those presented here, by changing the merid-
157 ional ocean heat transport in a slab-ocean model while increasing the atmospheric CO₂ concen-
158 tration. In contrast to our experiments they change the *zonally integrated* heat transport, thus
159 neglecting possible pattern effects arising from regional Q-flux changes. The general significance
160 of the pattern effect has been elaborated above. Additionally, and more specifically with respect to
161 Q-flux change, Lin et al. (2021) show that the regional location of the Q-flux change is highly im-
162 portant. Hence, Singh et al. (2022) do not find a North Atlantic Warming Hole in their experiments
163 with reduced ocean heat transport, which, however, is prevalent in fully-coupled model experiments
164 that exhibit AMOC decline (Bellomo et al. 2021), and which is observed in our experiments (not
165 shown). This indicates that important *regional* climate changes and impacts may be ignored if
166 the regional pattern of the heat transport change is neglected. Furthermore, Singh et al. (2022)
167 do not consider a recovery of the ocean heat transport after its initial decline, which is observed
168 in some of the coupled models and which may affect the change of climate feedback over time
169 (e.g., Lin et al. 2019). In the present study, additional experiments are performed where AMOC

170 recovery is mimicked and compared to those without AMOC recovery. Finally, Singh et al. (2022)
171 use an older version (i.e., CESM1) of the same model used in the present study (i.e., CESM2)
172 that underwent substantial changes (e.g., in the cloud parametrisation) and exhibits considerable
173 differences in terms of feedbacks and climate sensitivity (Gettleman et al. 2019).

174 The paper is structured as follows: Section 2 gives an overview of the CMIP data as well as
175 the SOM experiment design and section 3 describes briefly the radiative kernel method employed
176 to derive radiative fluxes. In section 4 we first describe the differences between the CMIP model
177 groups G2 and G1 in terms of AMOC and then proceed to present and discuss the results of our
178 SOM experiments and compare them with CMIP model groups G1 and G2. In section 5, some
179 further discussion and concluding remarks are offered.

180 **2. Models and experiments**

181 *a. CMIP experiments*

182 The CMIP data used in this study is taken from the abrupt4xCO₂ and piControl experiments from
183 the CMIP5 (Taylor et al. 2009) and CMIP6 (Eyring et al. 2016) archives, and the members from
184 both archives are treated equally. Consistent with previous studies, anomalies were calculated as
185 abrupt4xCO₂ minus a 21-year running mean over the piControl for all variables used (e.g., Caldwell
186 et al. 2016; Zelinka et al. 2020). Two groups of models were extracted from these experiments, one
187 with small (G1) and one with large (G2) lapse-rate feedback change over time, where change over
188 time is defined as the feedback over the years 21-150 of the simulation minus that over the years
189 1-20 (see also section 1). A further motivation and description of the methodology employed in
190 choosing the members of G1 and G2 can be found in Eiselt and Graversen (2022) and the group
191 members are listed in Tables S4 and S5, respectively, in their online supplemental material.

192 *b. CESM2-SOM and experiment design*

193 In order to mimic the AMOC change, the Community Earth System Model Version 2.1.3
194 (CESM2; Danabasoglu et al. 2020) is employed in the slab-ocean configuration (hereafter called
195 CESM2-SOM). In this set-up, the Community Atmosphere Model Version 6 (CAM6; Danabasoglu
196 et al. 2020) on a $\sim 2^\circ$ resolution, the Community Land Model Version 5 (CLM5; Lawrence et al.
197 2019), the Model for Scale Adaptive River Transport (MOSART; Li et al. 2013), and the Los

198 Alamos Sea Ice Model Version 5.1.2 (CICE5; Hunke et al. 2015) are coupled to a dynamically
199 inactive ocean component (the slab ocean). The ocean component consists of an isothermal mixed
200 layer with prescribed horizontal energy transport in the form of the so-called Q-flux. The Q-flux is
201 derived using the climatology of mixed-layer depth, SSTs, and ocean heat uptake (Bitz et al. 2012)
202 over the last 80 years of a 100-year fully-coupled CESM2 pre-industrial-state control simulation
203 using the Parallel Ocean Programme Version 2 (POP2; Smith et al. 2010; Danabasoglu et al. 2020)
204 as the dynamically active ocean component. This 100-year simulation was continued at our server
205 from a 300-year pre-industrial control simulation conducted at the National Center for Atmospheric
206 Research (NCAR) servers which is publicly available from the NCAR website.

207 Since in a SOM the Q-flux is prescribed, there is no dynamical ocean response and thus no
208 AMOC change due to a greenhouse-gas forcing. However, the effect of a change in AMOC can be
209 mimicked in a SOM by changing the Q-fluxes, thus simulating a change in ocean heat transport.
210 Hereby the AMOC change can be separately investigated. As the AMOC transports warm water
211 from the tropics into the North Atlantic, a decline in AMOC leads to less ocean heat uptake (more
212 ocean heat release) in the tropics and more ocean heat uptake (less ocean heat release) in the North
213 Atlantic. The strong influence of the AMOC on the North Atlantic surface heat flux is demonstrated
214 e.g. in the fresh-water hosing experiments of Jackson et al. (2015) and the flux-anomaly experiments
215 of Todd et al. (2020). A change in ocean heat transport associated with the AMOC change can
216 be implemented in a SOM by decreasing the Q-flux in the tropical Atlantic, and increasing it
217 in the northern Atlantic. The regions that are most affected by the AMOC are different across
218 the CMIP models. Hence, we perform several experiments with different northern boundaries
219 of AMOC-change impact region, with northern boundaries of 70, 75, and 80°N, respectively.
220 However, while the impact on sea ice, temperature, and radiative fluxes is somewhat larger if the
221 boundary is situated further north, this does not qualitatively change the results and conclusions.
222 The results shown here are for the impact region being 50-80°N and 75°W-25°E. The boundaries
223 chosen for the region in the tropical Atlantic are 15°S-15°N and 75°W-25°E. In all experiments
224 the Q-flux in the tropics is chosen to exactly compensate the Q-flux change in the North Atlantic
225 region, implying that the global-mean Q-flux change is zero and no net forcing is introduced.

226 To determine appropriate values for the Q-flux change, we employ an order-of-magnitude esti-
227 mation of the energy-transport change associated with the AMOC-change difference between G2

228 and G1 (appendix A). To test the dependence of the results on the magnitude of the Q-flux change,
229 several simulations with different magnitudes are performed. The global as well as the regional
230 surface-temperature response increases non-linearly with the Q-flux change (see Fig. S1 in the
231 online supplemental material). This may be due to non-linear aspects of the feedback changes
232 triggered by the Q-flux change. For instance, the Q-flux changes non-linearly impact the Arctic
233 sea-ice melt (see section 4 and Fig. S1). The non-linear nature of the response to the Q-flux
234 change complicates a quantitative analysis and we thus emphasise again that our comparison of
235 CESM2-SOM experiments with the fully-coupled models is qualitative.

236 To mimic that the AMOC does not instantly assume its final value in response to the abrupt CO₂
237 forcing, the Q-flux is changed linearly over the course of 12 months (the Q-flux is changed per
238 month) and then held constant. Further experiments were performed where the Q-flux is changed
239 linearly over the course of 60 and 120 months but the results appear qualitatively independent of
240 the timing of AMOC decline and thus are not shown. Finally, an experiment was performed where
241 the Q-flux is changed linearly over the first 12 months and then the change is reversed, although
242 more slowly, with the Q-flux obtaining its original value in year 30, i.e., mimicking full AMOC
243 recovery.

244 The experiments with mimicked AMOC change are in the following referred to as dQ . In all
245 these experiments the atmospheric CO₂ concentration is abruptly quadrupled to 1138.8 ppm. To
246 investigate the impact of the mimicked AMOC change a control simulation is performed where only
247 the CO₂ concentration is abruptly quadrupled but no Q-flux change is implemented (hereafter called
248 *no-dQ*). To account for the effect of internal variability, three ensembles of both dQ and *no-dQ*
249 experiments were run, starting from different years in the pre-industrial-state control simulation.

250 **3. Methods**

251 *a. Radiative kernel method*

252 To estimate the radiative fluxes due to individual climate feedback processes we employ the
253 radiative kernel method (Soden et al. 2008; Shell et al. 2008). In this method it is assumed that
254 the total TOA radiative flux change (N) can be partitioned into contributions from independent
255 climate variables and that the TOA radiative flux change in response to a small change of a climate
256 variable is linear. The radiative kernels for a specific climate variable are generated by perturbing

257 this variable by a given amount in a climate model and then executing only the radiation code. As
258 an example the SA kernel can thus be derived as

$$N(a + \delta a, T, w, c) - N(a, T, w, c) = N(\delta a) = \frac{\partial N}{\partial a}(a, T, w, c) \delta a \equiv K^a \delta a, \quad (1)$$

259 where a is the SA, δa the SA perturbation (typically 1%), T the temperature, w the WV mixing
260 ratio, c a set of cloud properties, and K^a is the SA kernel. In a climate model experiment, the
261 TOA radiative flux change due to a given climate feedback process is obtained by multiplying the
262 associated kernel with the climate variable in question. Radiative kernels derived from one climate
263 model are assumed to be applicable across climate models as radiative transfer schemes across
264 climate models are well tested and fairly similar (Soden et al. 2008). Due to the strongly non-linear
265 effects of clouds on radiation, standard cloud radiative kernels are inappropriate. However, the
266 cloud radiative effect, calculated from model output all-sky minus clear-sky fluxes, can be adjusted
267 by the cloud masking of the other feedbacks to obtain the cloud feedback (Soden et al. 2008).

268 In the present study the radiative kernels provided by Shell et al. (2008) are used to calculate TOA
269 radiative fluxes for both CMIP and CESM2-SOM simulations (for more details on the choice of
270 radiative kernels see Eiselt and Graversen 2022). For consistency, all radiative fluxes are positive
271 downward.

272 *b. AMOC index, sea-ice area, and SEB*

273 The AMOC index is calculated as the maximum of the meridional overturning stream function
274 (based on the variables named *msftmyz* or *msftyyz* in CMIP5 and *msftmz* or *msftyz* in CMIP6) north
275 of 30°N in the Atlantic basin below 500 m depth. Note that the necessary model output was not
276 available for all G1 and G2 members (Tables S1 and S2 in the online supplemental material).

277 The sea-ice area is calculated by multiplying the sea-ice concentration with the ocean-grid-cell
278 area and summing separately over the northern and Southern Hemispheres.

279 The surface energy balance (SEB) is calculated from the model output surface fluxes, including
280 net surface long-wave and short-wave fluxes, surface latent heat flux, and surface sensible heat
281 flux.

282 4. Results

283 We now first describe the differences between G2 and G1 with respect to AMOC in their
284 piControl state and in their response to an abrupt quadrupling of the CO₂ concentration. We
285 hypothesise that the difference between the two model groups when it comes to the response in
286 SEB, temperature, and atmospheric stability in the mid-latitude North Atlantic (MLNA; 40-60°N,
287 10-60°W) is strongly influenced by the AMOC (e.g., Yeager et al. 2012, 2015; Jackson et al.
288 2015; Todd et al. 2020). However, it should be noted that the change of the SEB in fully-coupled
289 atmosphere-ocean models may not only depend on the AMOC but is influenced by atmospheric
290 changes as well (Todd et al. 2020). We conclude the section with a discussion of the differences in
291 global and regional mean SAT and TOA radiative fluxes between G2 and G1 and we qualitatively
292 compare these differences with those between our CESM2-SOM experiments without a Q-flux
293 change (no-dQ) and with a Q-flux change applied (dQ; see section 2b).

294 *a. Differences in AMOC and mid-latitude North Atlantic SEB*

295 The piControl state AMOC is considerably stronger in G2 than in G1 (on average by 8.77 Sv;
296 the difference is 53 % of the G1 mean and 35 % of the G2 mean), indicating that there is a larger
297 equator-to-pole energy transport by the AMOC in G2 than in G1 (Fig. 1a). Consistent with the
298 hypothesis stated above, there is more piControl ocean heat release (OHR; negative SEB) in the
299 MLNA region in G2 than in G1 (Fig. 2a). In response to the abrupt 4xCO₂ forcing, the AMOC
300 and OHR in the MLNA region decline in both groups, but this happens quicker in G2 than in
301 G1 (see Figs. 1b-d as well as 2b-d). In G2, AMOC and OHR rapidly decline for about 15 years
302 after the CO₂ forcing and then exhibit a slower decline until about year 50, after which they start a
303 slight recovery that continues over the remainder of the simulation (Figs. 1b and 2b). Conversely,
304 AMOC and OHR in G1 decline more slowly than in G2 over the first about 50 years and then
305 remain constant for the rest of the simulation. After around year 25, the OHR in G1 even becomes
306 negative. Notably, the total *change* of the AMOC at around year 50 is somewhat larger in G2 than
307 in G1 (-12.11 Sv and -9.67 Sv, respectively), but due to the recovery in G2, it is smaller in G2 than
308 in G1 at the end of the simulation (Fig. 1cd). A similar development obtains for the OHR change
309 (Fig. 2cd). We conclude that the development of the SEB in G1 and G2 in the MLNA region is
310 strongly influenced by the AMOC. For a summary of the values of piControl average AMOC, as

311 well as AMOC early (years 1-15) and late (years 51-150) trends for the individual members of G1
312 and G2 see Table S1 and S2, respectively.

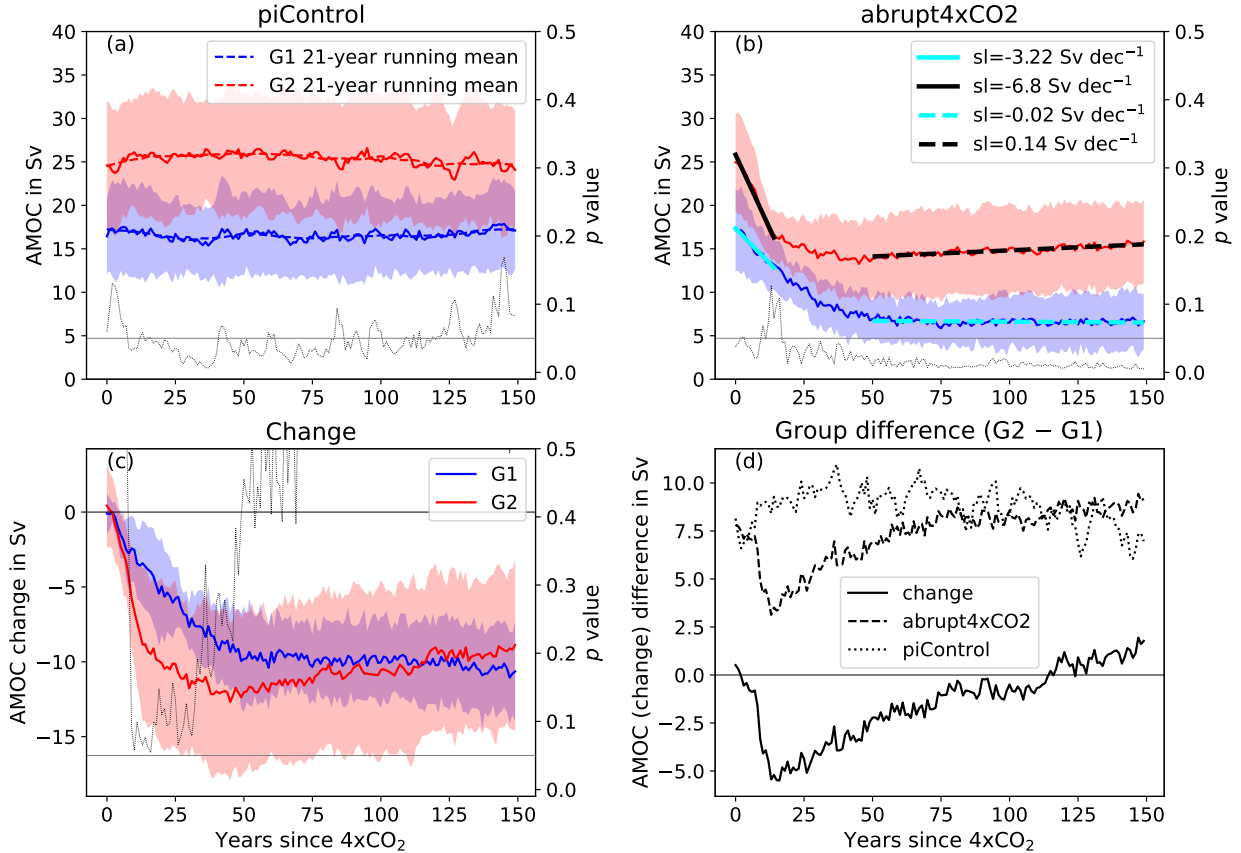
313 We note that while the model groups G1 and G2 were chosen based on their change in lapse-
314 rate feedback over time (Eiselt and Graversen 2022), they exhibit remarkably similar AMOC
315 development as the model groups “low” and “high”, respectively, defined by Lin et al. (2019) based
316 on the magnitude of the AMOC decline in CMIP5 abrupt4xCO₂ experiments (compare their Fig.
317 1 to our Fig. 1). Consistently, Lin et al. (2019) find that the models with weaker AMOC (“low”)
318 exhibit less (especially lapse-rate) feedback change over time than the models with stronger AMOC
319 (“high”).

322 *b. Temperature and atmospheric stability in the mid-latitude North Atlantic*

323 The model groups G1 and G2 exhibit distinct differences in their development of temperature
324 and lower tropospheric stability (LTS, Klein and Hartmann 1993) over the MLNA region in the
325 abrupt4xCO₂ experiment (Fig. 3). Surface temperature in the MLNA in the piControl simulation
326 is significantly higher in G2 than in G1 (Fig. S3a). This holds for both SST and SAT, but we focus
327 here on SAT as this variable is normally used in the calculation of LTS. The temperature at the
328 700 hPa level is also higher in G2 than in G1, but the difference at this level is not significant
329 ($p > 0.05$; Fig. S3d). Accordingly, the LTS is higher in G1 than in G2, but not significantly (p
330 > 0.05 ; Fig. 3a). These differences between G2 and G1 are consistent with those in AMOC. As
331 described in section 4a, G2 has a significantly stronger piControl AMOC than G1, which explains
332 the significantly higher G2 surface temperatures in the MLNA region as a stronger AMOC advects
333 more warm water to this region from the south. The temperature at 700 hPa is partly driven by
334 atmospheric advection and hence non-local factors and is thus less sensitive to the AMOC-induced
335 surface warming. Hence, it is also in agreement with the AMOC impact that the difference between
336 G2 and G1 of the 700 hPa temperature is smaller than that of the SAT.

337 In response to the abrupt 4xCO₂ forcing, the SAT in the MLNA region quickly increases in both
338 G1 and G2 (Fig. S3bc). However, in G2 the SAT plateaus after a few years, while it increases
339 further in G1. Subsequently, in G2 the increase of the SAT resumes so that in the later years
340 the SAT exhibits a stronger trend in G2 than in G1. This is consistent with the explanation due
341 to AMOC: In G2 the AMOC initially slows down more than in G1 and thus imposes a stronger

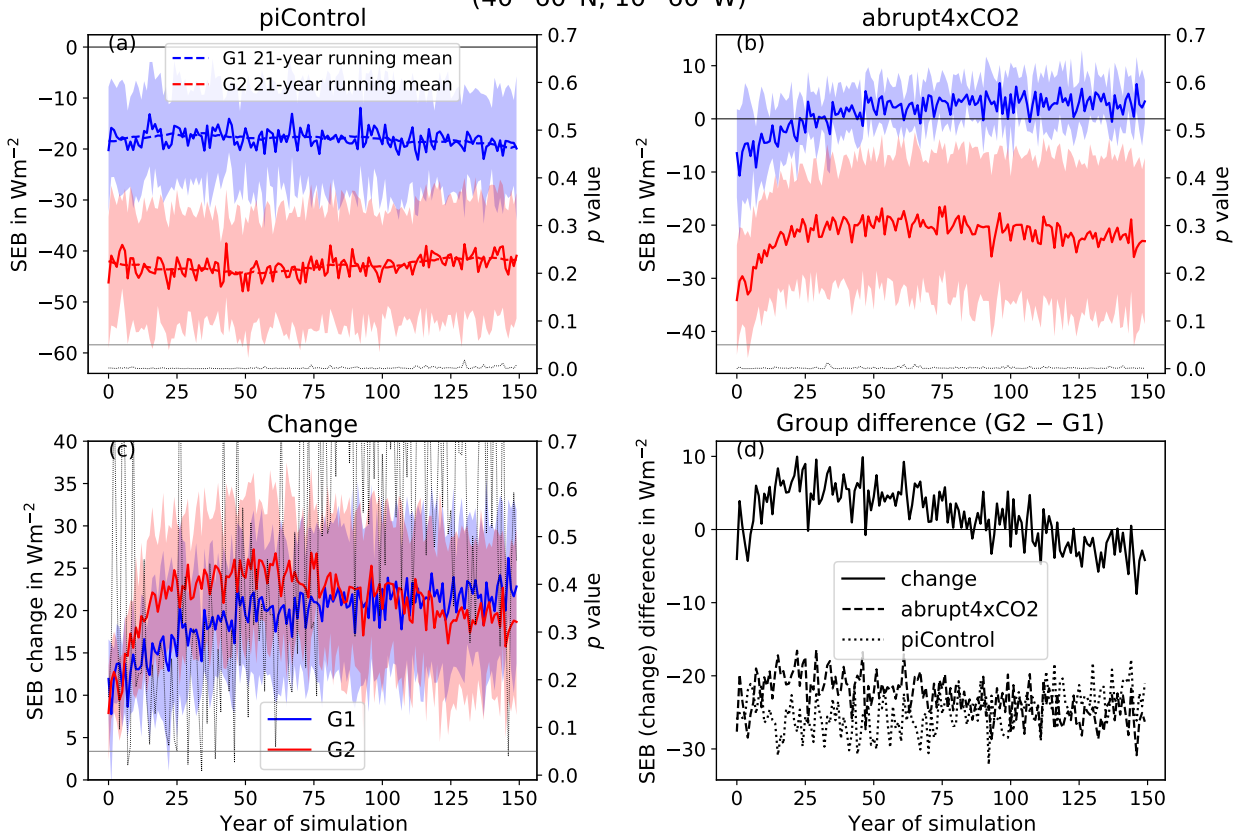
AMOC G1–G2 comparison



320 FIG. 1. Atlantic Meridional Overturning Circulation (AMOC) index for G1 and G2 in piControl (a; both
 321 annual and 21-year running mean; the period corresponds to the abrupt4xCO₂ simulation), in abrupt4xCO₂ (b),
 322 and the difference between abrupt4xCO₂ and a 21-year running mean of the piControl simulation (c), and the
 323 G₂-minus-G₁ difference (d). The blue and red solid lines show the G₁ and G₂ means, respectively, and the
 324 shading indicates the ± 1 -sigma spread of models. In panels a-c the thin, black, dotted line shows the p value
 325 of a two-sided Welch's t -test for the difference in group mean and the gray horizontal line indicates a p value
 326 threshold of 0.05. Panel b includes linear trends for the early (years 1-15; solid) and late (years 51-150, dashed)
 327 periods. For details of the AMOC index calculation see section 3b.

352 surface cooling influence in the MLNA region. However, as the AMOC starts recovering in G₂
 353 and not in G₁ in the later simulation years, it accelerates the local SAT warming in G₂ only. In
 354 contrast, for the 700 hPa temperature no plateauing is observed in G₂ (Fig. 3ef). Thus, the 700 hPa
 355 temperature is more similar in the two model groups than is the SAT, although G₁ again exhibits

SEB G1–G2 comparison mid-latitude North Atlantic
(40–60°N, 10–60°W)



328 FIG. 2. As Fig. 1 but for surface energy balance (SEB; units are positive down) averaged over the mid-latitude
 329 North Atlantic (40–60°N, 10–60°W). Note that the members of G1 and G2 for which AMOC was not available
 330 were *not* excluded here; however, excluding these members does not qualitatively impact the results (see Fig. S2
 331 in the online supplemental material).

356 more warming. Consistent with the discussion above, this indicates that the 700 hPa temperature
 357 is partly driven by non-local factors and to a lesser extent by the AMOC.

358 The change in LTS (Fig. 3bc) depends on the difference in changes in temperature at the two
 359 levels. Due to the plateauing of the SAT that is only observed in G2, the LTS initially increases more
 360 in G2 than in G1. However in the later years of the simulation, due to the stronger increase of the
 361 SAT in G2 than in G1, the LTS increase stagnates in G2 while it continues in G1. The differences
 362 in the changes in atmospheric stability in the MLNA region thus seem to be mostly determined
 363 by differences in surface changes, and the AMOC appears to be a driving factor. Indeed, the
 364 differences in LTS in this region are qualitatively similar to the global mean LTS differences (Eiselt

365 and Graversen 2022, especially their Fig. 6e). This is consistent with the findings of Lin et al.
366 (2019) reporting that the change over time of atmospheric stability correlates with the magnitude
367 of AMOC decline, and this points to the importance of the AMOC for global atmospheric stability
368 and hence the lapse-rate feedback and the Earth’s cooling efficiency (Lin et al. 2019; Ceppi and
369 Gregory 2017; Eiselt and Graversen 2022).

370 Furthermore, these results are consistent with Bellomo et al. (2021) who divide the CMIP
371 abrupt4xCO2 simulations into two groups according to the magnitude of AMOC decline. Con-
372 gruent with the differences between G2 and G1 presented here and in Eiselt and Graversen (2022),
373 Bellomo et al. (2021) find that models with strong AMOC decline exhibit reduced warming, es-
374 pecially in the North Atlantic (the North Atlantic Warming Hole), compared to those with weak
375 AMOC decline.

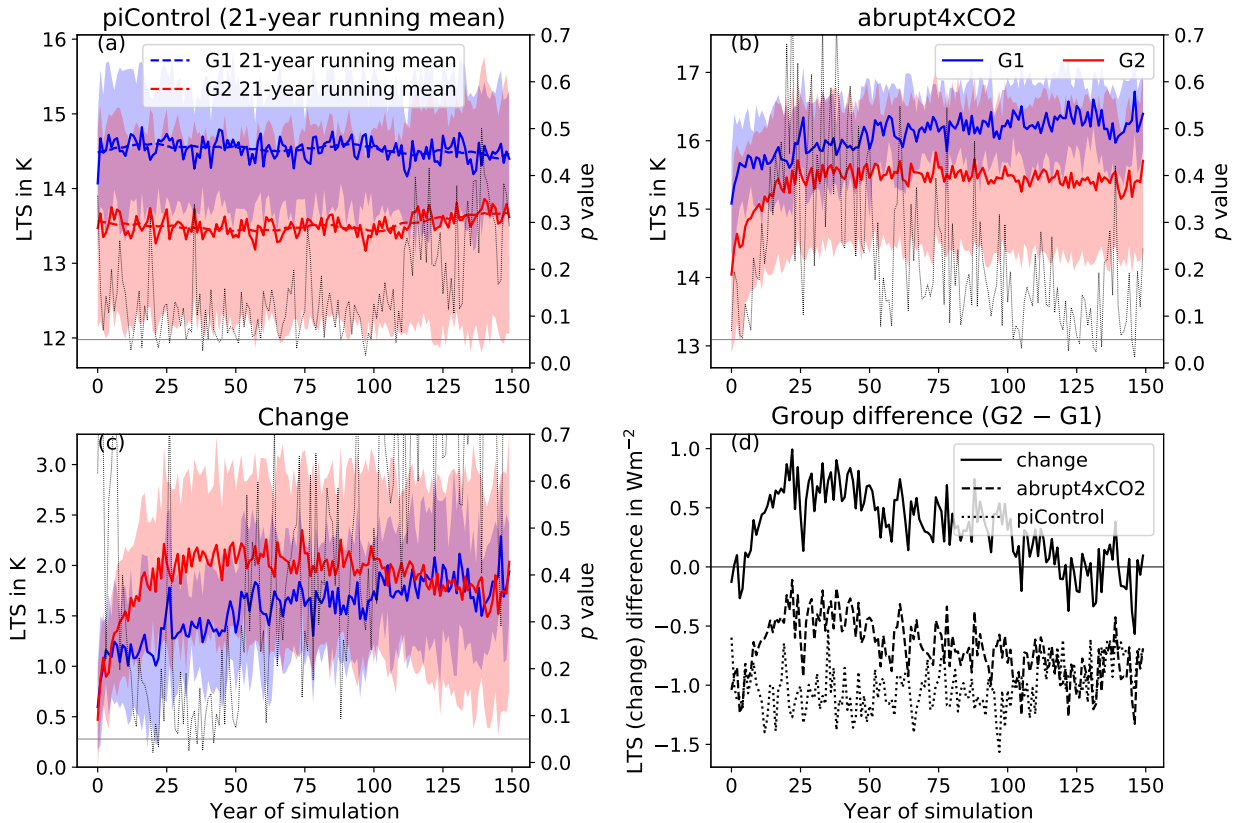
376 We note that Singh et al. (2022) perform similar experiments to the ones presented here
377 employing CESM1 in the SOM configuration. They do not find a North Atlantic Warming Hole
378 in their experiments (see especially their Fig. 6). However, they change the *zonally averaged*
379 ocean heat transport. That is, they do not prescribe a distinct Q-flux pattern but change the Q-flux
380 zonally uniformly. This may indicate the importance of the *pattern* of ocean heat transport for
381 SST patterns and thus the global climate feedback (see also Lin et al. 2019; Rugenstein et al. 2016;
382 Mitevski et al. 2021; Lin et al. 2021).

383

387 *c. Regional SAT and TOA radiative fluxes*

388 In the following the differences between G2 and G1 in global and regional SATs as well as
389 in radiative fluxes are discussed. The CMIP results are compared to CESM2-SOM experiments
390 which have been designed to mimic AMOC change (see section 2b). As the implementation of the
391 AMOC change in the CESM2-SOM experiments effectively constitutes a redistribution of energy
392 across latitudes, we show zonal means over different latitude ranges. Furthermore, as the simulated
393 AMOC change mostly affects the Northern Hemisphere, both hemispheres are discussed separately.
394 Specifically, the regions (R) considered are $R > 75^\circ$, $R > 60^\circ$, $R > 30^\circ$, $R < 30^\circ$ (i.e., $0-30^\circ$), and $R > 0^\circ$,
395 where in the following $^\circ$ is replaced by N in case of the Northern Hemisphere (NH) and by S in
396 case of the Southern Hemisphere (SH).

LTS G1–G2 comparison mid-latitude North Atlantic
(40–60°N, 60–10°W)



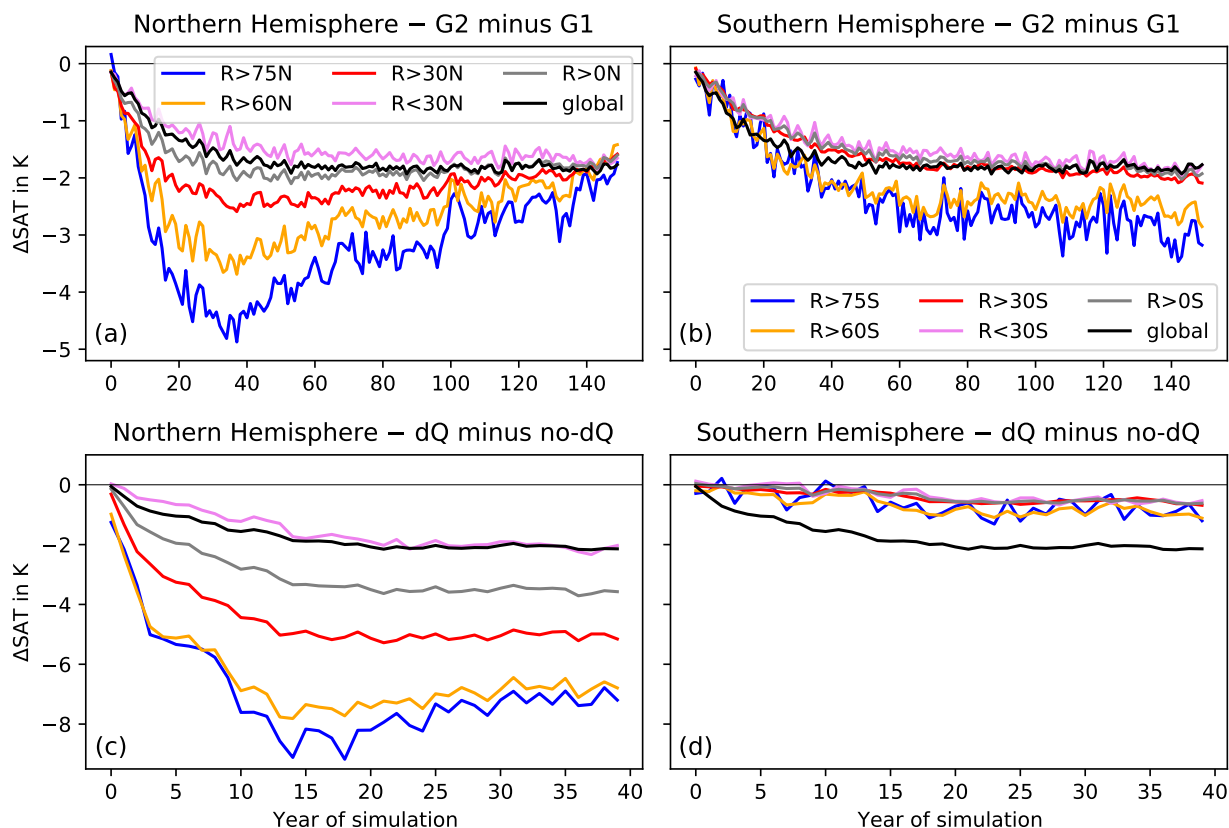
384 FIG. 3. As Fig. 1 but for lower tropospheric stability (LTS) averaged over the mid-latitude North Atlantic
385 (40–60°N, 10–60°W). The LTS was calculated as the difference between the 700 hPa and surface potential
386 temperature (see Fig. S3 for similar plots of the surface and 700 hPa temperature).

397 1) SURFACE-AIR TEMPERATURE

398 Figure 4ab shows the G2-minus-G1 difference in SAT for the above-defined regions as well as
399 the entire Earth. The global mean initially becomes increasingly negative and stays constant after
400 about 50 years of simulation. While differences in R>0N and R>0S are similar, the differences are
401 larger further poleward with the most extreme differences in the Arctic (R>75N). It is remarkable
402 that in the regions of the NH (Fig. 4a) the differences between G2 and G1 initially strongly increase
403 in magnitude (especially in R>75N and R>60N), but after about year 40, they start decreasing
404 and continue to do so throughout the remainder of the 150-year simulation. In the SH (Fig. 4b),
405 the development is different since the initial increase of the difference is slower, but continues

406 throughout the whole simulation. These differences in development appear strongly affected by
407 the differences in sea-ice development (e.g., Eiselt and Graversen 2022; Graversen et al. 2014;
408 Dai et al. 2019; Jenkins and Dai 2021), which in turn may be affected by the development of the
409 AMOC. That is, the fast weakening of the AMOC in G2 described in section 4a decelerates the
410 NH warming in response to the CO₂ forcing and thus inhibits Arctic sea-ice loss. Conversely, the
411 slower AMOC weakening in G1 causes Arctic sea ice to melt faster than in G2. Note that Arctic
412 sea-ice loss as a feedback loop likely affects the AMOC (Levermann et al. 2007; Liu et al. 2019), but
413 this causality cannot be investigated with a SOM. Melting sea ice exposes the underlying warmer
414 ocean and thus enables the release of heat into the atmosphere which increases SAT. Furthermore,
415 sea-ice loss decreases the albedo and hence leads to more absorbed solar radiation, increasing SAT
416 further. Finally, the stable stratification in the Arctic confines the warming to the surface and thus
417 inhibits efficient cooling, again contributing to an increase in SAT. In G1 the Arctic sea ice initially
418 declines much faster than in G2 (Fig. 5a), consistent with the strong negative initial G2-minus-G1
419 difference in SAT. After some time, most of the Arctic sea ice in G1 has melted and hence the
420 sea-ice decline slows down, accompanied by a smaller pace of the SAT rise in R>75N. Conversely
421 in G2, more sea ice remains, which continues to melt in concert with the steady rise of SAT in
422 R>75N. This causes the difference in SAT between G2 and G1 to decline in the later years of
423 the CMIP simulations (especially in R>75N and R>60N). In Antarctica, the sea ice initially also
424 declines faster in G1 than in G2, but it declines generally slower than in the Arctic and the rate
425 of decline is more similar in G1 and G2 (Fig. 5b). Consistently, the SAT differences in R>75S
426 and R>60S between G2 and G1 are generally smaller (except in the last simulation years) than in
427 R>75N and R>60N, respectively, and they remain almost constant after about year 50 in the SH.

434 Figure 4cd presents the SAT differences between the dQ and no-dQ CESM2-SOM experiments
435 averaged over the above-defined regions. In general, they appear qualitatively similar to those
436 based on the CMIP groups, especially in the global mean and in the NH. That is, the further
437 north, the larger the magnitude of the difference between the dQ and the no-dQ experiment, and
438 the differences in R>0N are distinctly larger than in R>0S. Notably however, while the difference
439 between G2 and G1 in R>75N and R>60N starts declining in magnitude after the initial increase,
440 the difference between dQ and no-dQ in the same regions remains almost constant. This indicates
441 that the changes implemented in CESM2-SOM to mimic differences in AMOC change are not



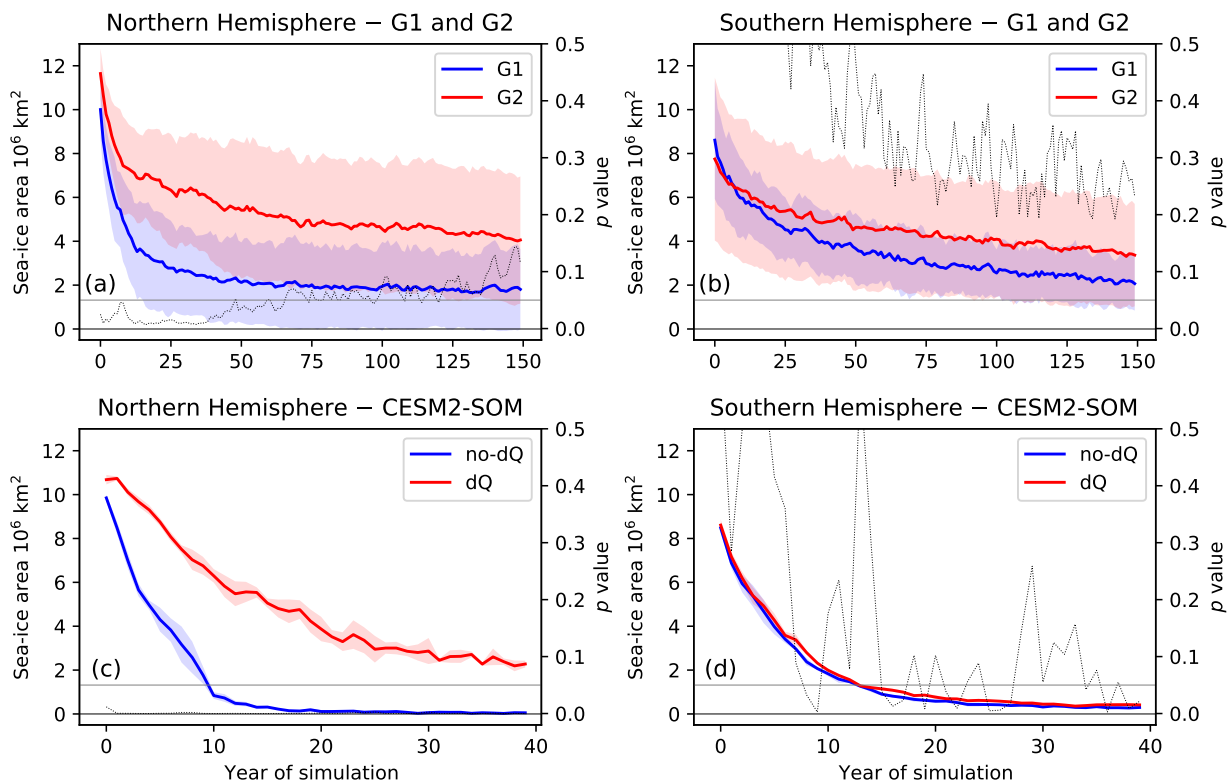
428 FIG. 4. Difference in surface-air temperature between G2 and G1 (panels a and b) as well as between the
 429 CESM2-SOM experiments dQ and no-dQ (panels c and d). Shown are zonal averages over latitude regions
 430 $R > 75^\circ$, $R > 60^\circ$, $R > 30^\circ$, $R < 30^\circ$ (i.e., $0-30^\circ$), and $R > 0^\circ$ for both hemispheres. In the legend $^\circ$ is replaced by N in
 431 case of the Northern Hemisphere (NH) and by S in case of the Southern Hemisphere (SH). Panels a and c depict
 432 the values for the NH, panels b and d for the SH. Fig. S4 in the online supplemental material shows the p values
 433 of the differences based on a two-sided Welch's t -test.

442 sufficient to fully explain the development of the SAT differences between G2 and G1 in the NH.
 443 Recall from section 4a that the AMOC in G2 starts recovering after its initial decline which is not
 444 the case in G1. Hence, during the later years of the simulation relatively more energy is transported
 445 from the tropics poleward to the north in G2 and it seems plausible that this is partly responsible for
 446 the decline in the SAT difference in $R > 30N$, $R > 60N$, and $R > 75N$. Indeed, in additional experiments
 447 with CESM2-SOM where the energy transport recovers after the initial 1-year decline over the
 448 course of years 2-30 of the simulation, the magnitude of the difference between SATs in $R > 30N$
 449 and $R > 60N$ to the no-dQ experiment also decreases after the initial increase (see Fig. S5). This

450 suggests that a possible AMOC recovery after its initial decline may play an important role for the
451 transient climate sensitivity (see also Lin et al. 2019).

452 The SAT development is consistent with the effect of sea-ice melt mentioned above. Accordingly,
453 Fig. 5c shows that Arctic sea ice declines much faster in no-dQ than in dQ and melts almost
454 completely after about 15 years, while in dQ it declines initially slower but continues to melt over
455 the whole 40-year simulation period engendering similar effects on SAT as explained above based
456 on CMIP models (for maps of Arctic sea-ice extent of the CMIP and CESM2-SOM experiments
457 see Figs. S7 and S8, respectively). However, after about year 25, the Arctic sea-ice area remains
458 almost constant, consistent with the constant SAT difference between dQ and no-dQ in $R>30N$,
459 $R>60N$, and $R>75N$. Thus, a consistent conjecture for the differences in temperature development
460 in the Arctic, and more generally in the NH extra-tropics, is that a stronger decline in AMOC in
461 G2 moderates the sea-ice loss compared to G1 which modifies various local feedbacks associated
462 with sea ice (as described above). Together, these feedbacks and the decreased northward energy
463 transport due to AMOC decline lead to a slower warming of the NH in G2 than in G1 (see
464 also Mitevski et al. 2021). As expected, in the CESM2-SOM experiments where the mimicked
465 AMOC recovers after the initial decline, the Arctic sea-ice loss is only initially slowed down but
466 then continues similarly to the no-dQ experiment and it is completely lost after about 25 years of
467 simulation (Fig. S6a).

468 Antarctic sea ice develops similar in dQ and no-dQ (Fig. 5d) and thus exhibits no differential
469 effect on SAT. This is expected since the main impact of the mimicked AMOC change should
470 concentrate in the NH. Consistently, dQ and no-dQ are found to be more similar in terms of SAT
471 development in the SH than in the NH (Fig. 4cd). Indeed, differences in Antarctic sea-ice loss
472 between the CESM2-SOM experiments are even smaller than those between G2 and G1, indicating
473 that other factors than AMOC affect the Antarctic sea-ice development in fully coupled models.
474 In agreement with the effects of sea-ice melt described above, the G2-minus-G1 differences in
475 SH extra-tropical SATs ($R>30S$, $R>60S$, and $R>75S$) are larger than the differences between the
476 CESM2-SOM experiments (compare Fig. 4b and d). We note that the development of Antarctic
477 sea ice appears unaffected by AMOC recovery (Fig. S6b).



478 FIG. 5. Sea-ice area development in G1 and G2 (panels a and b) as well as in no-dQ and dQ (panels c and
 479 d). Shown is the sea-ice area integrated over the Northern Hemisphere (panels a and c) and over the Southern
 480 Hemisphere (panels b and d). The shading denotes the ± 1 -sigma spread across group members in (a) and (b)
 481 and across ensemble members in (c) and (d). See text for details. No sea-ice data were available for one member
 482 of G2 (BCC-CSM2-MR) so this model is excluded in (a) and (b). The thin, black, dotted line shows the p value
 483 of a two-sided Welch's t -test for the difference in group/ensemble mean, and the gray horizontal line indicates a
 484 p value threshold of 0.05. Note that in panel (c) the p value is almost invisible as it is close to zero.

485 2) RADIATIVE FLUXES

486 Eiselt and Graversen (2022) showed that G1 and G2 exhibit distinct differences in regional
 487 feedback change with the Arctic being the region with the largest differences. Here we discuss
 488 differences in global and regional radiative fluxes at TOA induced by these feedbacks. We show
 489 that the TOA radiative flux differences between G2 and G1 in the NH extra-tropics are qualitatively
 490 reproducible by CESM2-SOM experiments with mimicked AMOC change while differences in the
 491 tropics and in the SH require other explanations.

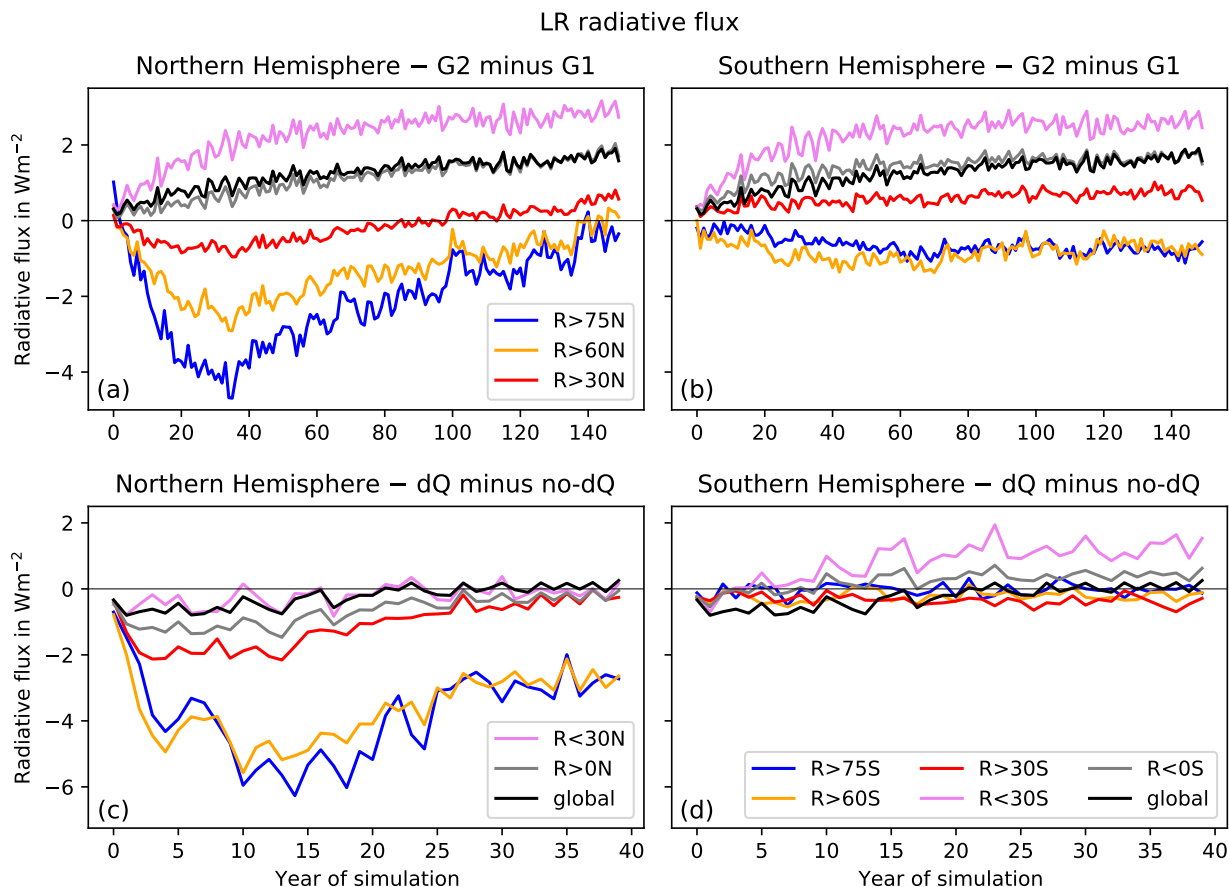


FIG. 6. Same as Fig. 4 but for lapse-rate feedback-induced top-of-atmosphere radiative-flux differences.

492 The G2-minus-G1 differences in LR and SA feedback-induced TOA radiative fluxes (Figs. 6ab
 493 and 7ab, respectively) in $R > 75\text{N}$ and $R > 60\text{N}$, as well as $R > 75\text{S}$ and $R > 60\text{S}$ show similar patterns
 494 as the SAT differences, consistent with earlier findings that LR feedback in stably stratified regions
 495 is mostly determined by surface temperatures (e.g., Jenkins and Dai 2021), and with the above-
 496 explained connection between SAT and sea ice. In $R > 75\text{N}$ and $R > 60\text{N}$, the differences in both SA
 497 and LR fluxes exhibit an initial increase in magnitude, but after about year 40 they decrease. In
 498 $R > 75\text{S}$ and $R > 60\text{S}$, the change of the difference is initially slower but continues to slowly increase
 499 in magnitude over the whole CMIP simulation period. In the tropics ($R < 30\text{N}$ and $R < 30\text{S}$), the
 500 differences in SA feedback-induced TOA radiative flux are negligible. However, the differences
 501 in LR feedback-induced TOA radiative flux are positive in both $R < 30\text{N}$ and $R < 30\text{S}$ and exhibit
 502 similar development in these regions. Furthermore, in $R > 30\text{N}$ the difference in LR TOA radiative

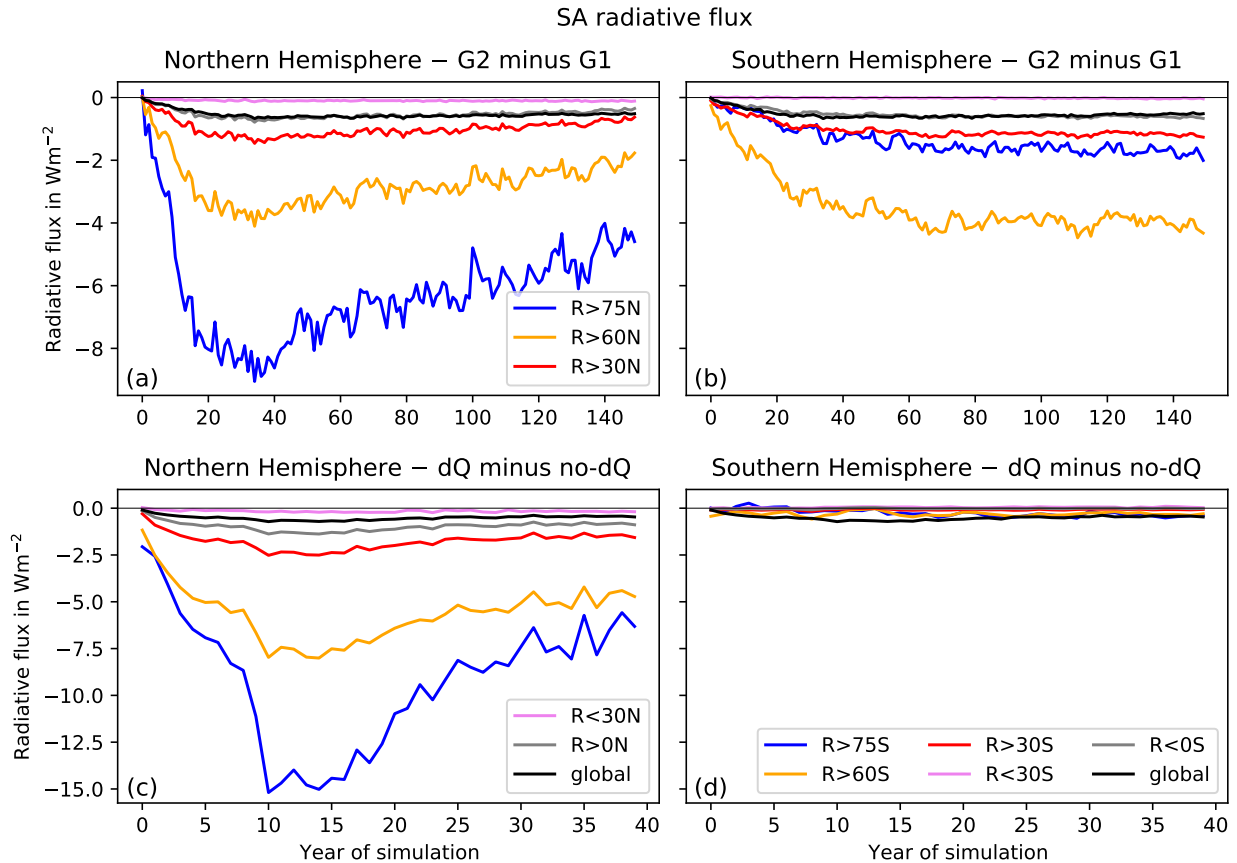


FIG. 7. As Fig. 4 but for surface-albedo feedback-induced top-of-atmosphere radiative-flux differences.

503 flux is initially negative and then becomes increasingly positive while it is always positive in $R>30S$
 504 and increases only slightly over the course of the simulation.

505 The differences in LR and SA feedback-induced fluxes between the CESM2-SOM experiments
 506 (dQ minus no-dQ; Figs. 6cd and 7cd, respectively) are qualitatively similar to the G2-minus-G1
 507 differences in LR and SA TOA fluxes in the NH extra-tropics (i.e., $R>30N$, $R>60N$, $R>75N$). As
 508 for the G2-minus-G1 difference, there is a decrease of the difference between the dQ and no-dQ
 509 experiments from about year 15 after the initial fast increase. However, this decrease appears
 510 to stop around year 25. Since the LR and especially the SA TOA radiative fluxes are strongly
 511 influenced by sea ice, this is consistent with the Arctic sea-ice area development described above:
 512 The initially stronger sea-ice decline in no-dQ contributes to stronger positive SA and LR TOA
 513 fluxes. However, at around year 15 the Arctic sea ice has mostly melted in no-dQ while it continues
 514 to decline in the dQ experiment and thus the the SA and LR TOA fluxes increase more strongly in

515 dQ than in no-dQ, causing the magnitude of their difference to decrease. After around year 25 the
516 Arctic sea-ice area in dQ remains almost constant, consistent with the almost constant SA and LR
517 TOA flux differences.

518 In our additional CESM2-SOM experiment with full AMOC recovery, the development of the
519 difference in LR radiative flux in the NH extra-tropics appears qualitatively more similar to the G2-
520 minus-G1 difference since both show about full recovery (Fig. S9). However, for the SA radiative
521 flux, the recovery after the initial increase is much stronger than for the CMIP model difference (Fig.
522 S10), which is consistent with the development of Arctic sea ice in the experiment explained above
523 (Fig. S6a). While differences in time scales between a SOM and fully-coupled models are difficult
524 to interpret, this may indicate that the AMOC as implemented in our CESM2-SOM experiments
525 affects sea ice more strongly than the AMOC in fully-coupled models. In fully-coupled models
526 with dynamical ocean components, the ocean itself may change in response to an AMOC change,
527 for instance so that the cooling effect of the AMOC in the North Atlantic is spread to adjacent
528 ocean areas. Such an effect is suppressed in the SOM experiments.

529 Since the G2-minus-G1 difference in Antarctic sea ice is not reproduced by the CESM2-SOM
530 experiments (Fig. 5bd), it is consistent that the difference in Antarctic SA flux is not reproduced
531 either. The differences in LR flux in the tropics ($R < 30N$ and $R < 30S$) are discussed in more detail
532 in section 4c3.

533 For the WV feedback-induced TOA radiative fluxes the G2-minus-G1 differences are generally
534 similar across the NH and the SH and always negative (Fig. 8ab). However, similar to LR and SA
535 fluxes, the differences in the NH regions increase initially faster in magnitude and then remain either
536 constant ($R < 30N$) or start slowly decreasing ($R > 30N$, $R > 60N$, $R > 75N$), while in the SH regions
537 the differences increase initially more slowly in magnitude but continue to decrease for the whole
538 simulation. Notably, in both hemispheres the WV flux differences are larger in magnitude in the
539 tropics than in the extra-tropical regions. This is also true for the dQ minus no-dQ differences (Fig.
540 8cd). Generally, for the NH regions, the G2-minus-G1 differences in WV flux are qualitatively
541 reproduced by the dQ minus no-dQ differences, but this is not the case in the SH. In $R > 30S$,
542 $R > 60S$, and $R > 75S$ there is little or no difference in WV TOA radiative flux between dQ and
543 no-dQ, but in $R < 30S$ the difference is *positive* which is qualitatively different from the G2-minus-
544 G1 difference. This again indicates that other factors than AMOC change are needed to explain

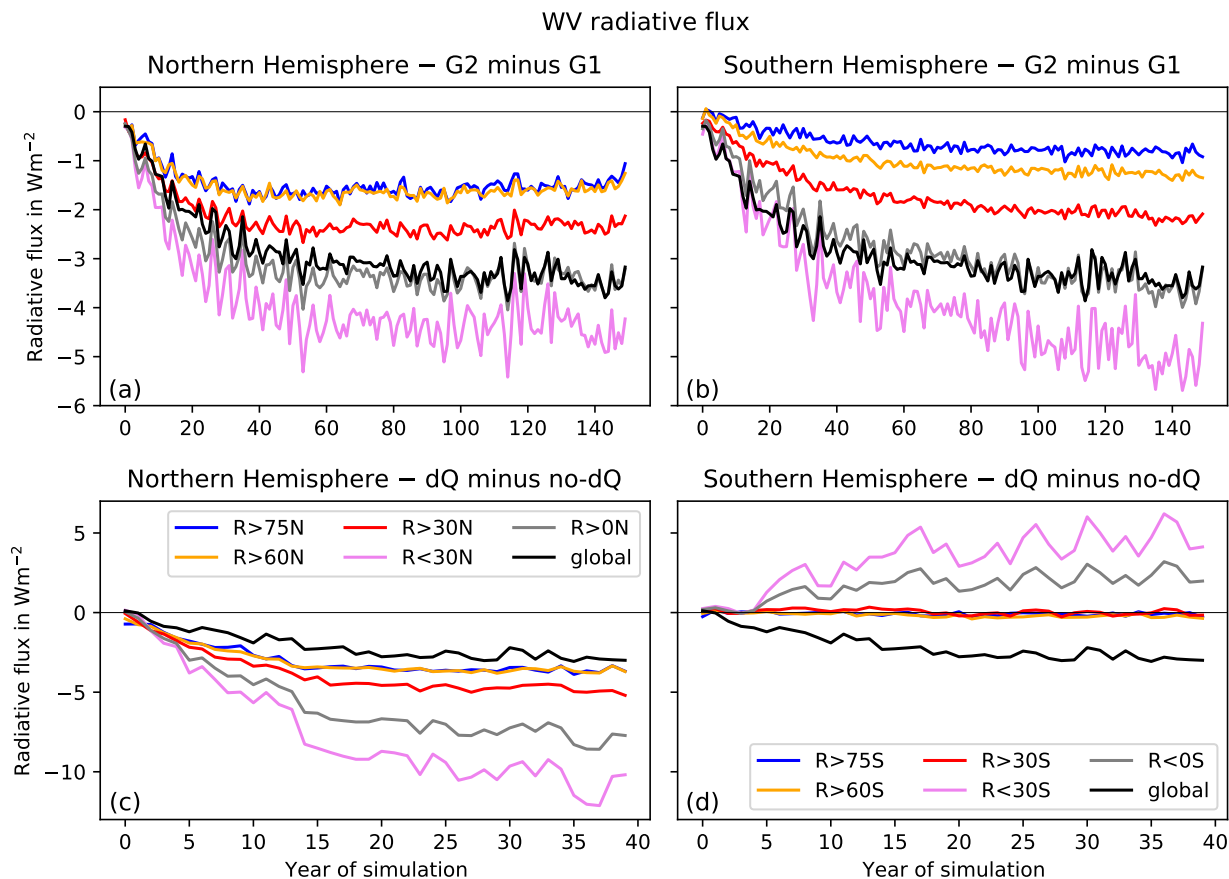


FIG. 8. As Fig. 4 but for water-vapour feedback-induced top-of-atmosphere radiative-flux differences.

545 differences between CMIP simulations in the SH and the tropics. The differences in WV radiative
 546 flux between the CMIP and the CESM2-SOM simulations in the tropics are further discussed in
 547 section 4c3.

548 Clouds and thus cloud feedback are notoriously model-dependent and hence the comparison
 549 of the SOM experiments based on a single model with groups of multiple fully-coupled models
 550 may be less instructive than for other feedbacks. Furthermore, cloud radiative flux changes can be
 551 caused by multiple, compensating factors (changes of, e.g., cloud area, cloud droplet size, cloud
 552 phase, cloud height etc.) whereby these changes are more difficult to interpret.

553 The long-wave cloud (CLW) radiative-flux differences between G2 and G1 are generally smaller
 554 than those of the other radiative fluxes (Fig. 9ab). The differences between the CESM2-SOM ex-
 555 periments in the extra-tropics are small but in the tropics they are much larger and thus qualitatively
 556 different from the differences between the CMIP model groups. Indeed, the CLW flux differences

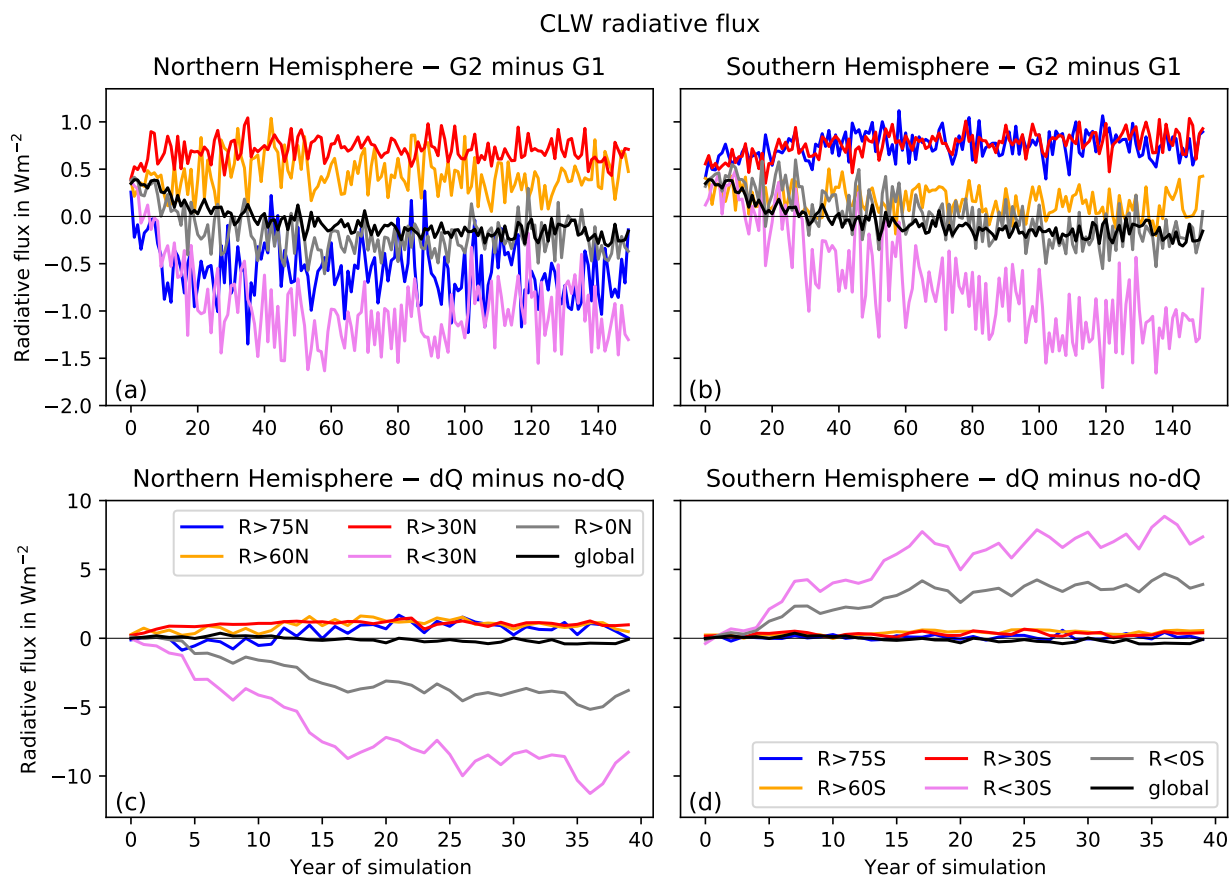


FIG. 9. As Fig. 4 but for long-wave cloud feedback-induced top-of-atmosphere radiative-flux differences.

557 between the CESM2-SOM in the tropics are similar to the WV flux differences. The short-wave
 558 cloud (CSW) radiative-flux differences between G2 and G1 are generally larger than those of the
 559 CLW fluxes and negative in all regions, except for $R > 75\text{S}$ where they are close to zero (Fig. 10).
 560 These differences appear to be qualitatively reproduced in the CESM2-SOM experiments, except
 561 in the NH tropics ($R < 30\text{N}$), where the difference is positive. Notably, in the CESM2-SOM experi-
 562 ments, the CLW and CSW flux differences in the tropics are of opposite sign and thus compensate
 563 each other to some degree which is not the case for the G2-minus-G1 difference. The differences
 564 in the tropics are discussed in more detail in section 4c3.

565 Generally it may be concluded that for the NH extra-tropics, the TOA radiative fluxes and their
 566 development are qualitatively reproduced, hereby providing supporting evidence that the AMOC
 567 change is important in explaining differences in NH climate reponse between G2 and G1. However,

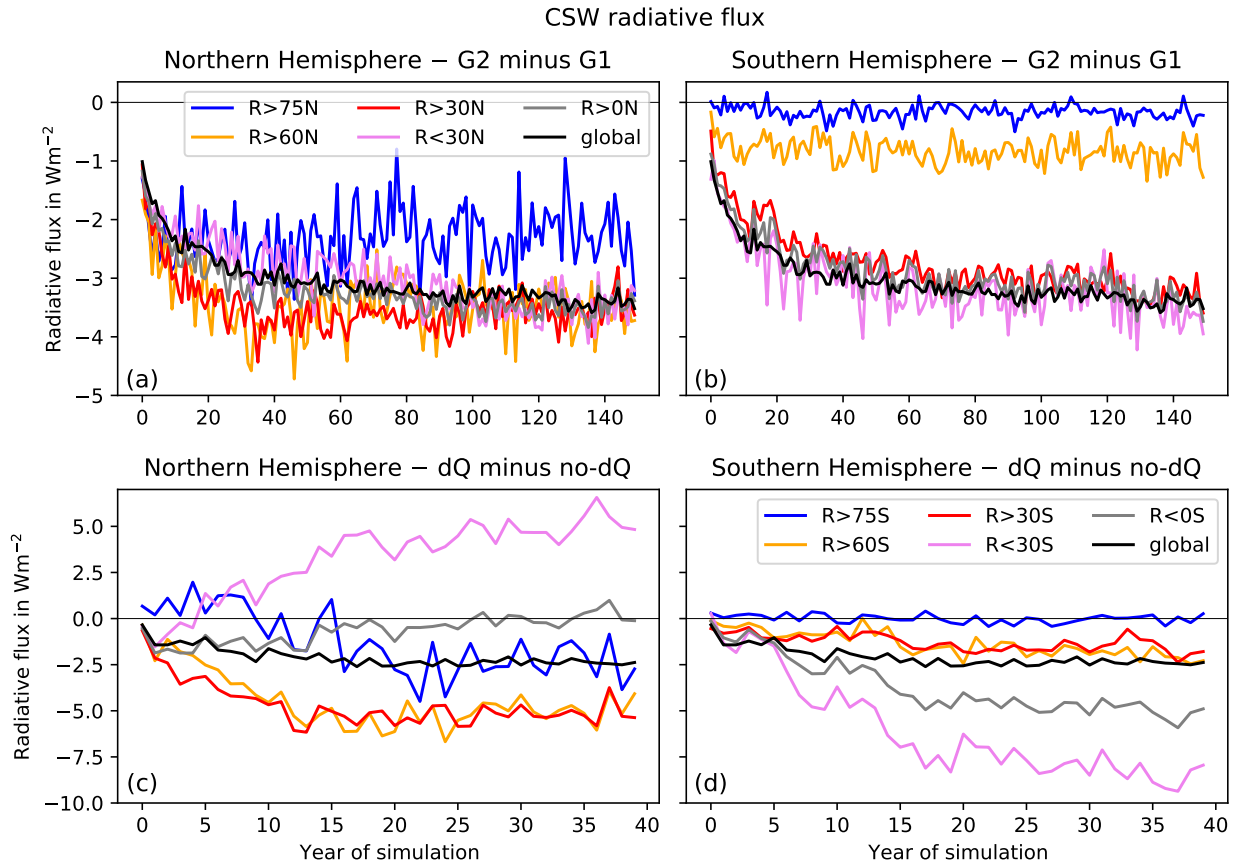


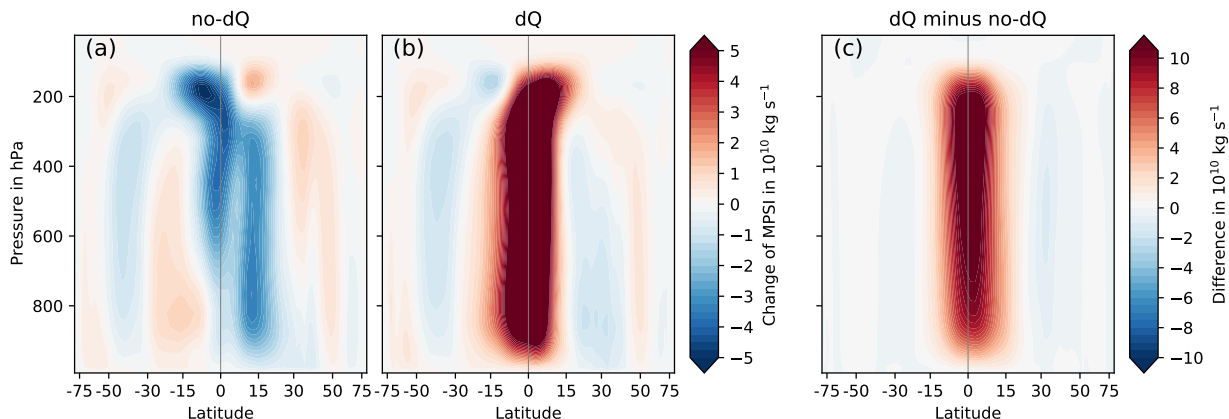
FIG. 10. As Fig. 4 but for short-wave cloud feedback-induced top-of-atmosphere radiative-flux differences.

568 differences in the tropics are not captured by the SOM experiments. In the following, the dQ and
 569 no-dQ experiments are further analysed to explain the difference.

570 3) DIFFERENCES BETWEEN CESM2-SOM AND CMIP EXPERIMENTS

571 The differences between dQ and no-dQ in the tropics and the opposing effects seen in the northern
 572 tropics ($R < 30N$) and the southern tropics ($R < 30S$) are consistent with a concomitant change in
 573 the Hadley cell and the difference in warming between the hemispheres. As the mimicked AMOC
 574 change effectively constitutes a decline in northward oceanic heat transport in the NH, it is expected
 575 that this ocean heat-transport reduction is at least partly compensated by an increase in northward
 576 heat transport in the atmosphere (known as Bjerknes compensation, Bjerknes 1964), and thus a
 577 stronger NH Hadley cell. This has been documented in previous studies where ocean heat transport
 578 is changed in a SOM (e.g., Singh et al. 2022).

Change of meridional overturning streamfunction CESM2-SOM years 20–40



579 FIG. 11. Change of the atmospheric meridional overturning streamfunction for no-dQ (a) and dQ (b) and their
 580 difference (c). The streamfunctions averaged over years 20-40 of the simulations.

581 In the no-dQ case, the northern Hadley cell weakens over the course of the simulation while the
 582 southern Hadley cell strengthens and moves slightly northward so that the ascending branch in the
 583 annual mean becomes situated slightly north of the equator (Figs. 11a, S11a, and S12). In the dQ
 584 case, the opposite development obtains and the northern Hadley cell strengthens and shifts slightly
 585 southward so that in the annual mean the ascending branch is now situated south of the equator
 586 (Figs. 11b, S11b, and S12). As a consequence, there is more ascending motion in R<30S and
 587 more descending motion in R<30N in dQ relative to no-dQ (Fig. 11c; see Figs. S13 and S14 for
 588 vertical cross sections of the vertical velocity), increasing and decreasing, respectively, atmospheric
 589 humidity and cloud. The descending of the dry tropopause air together with a generally colder NH
 590 (Fig. S15) leads to a lower specific humidity in R<30N across the vertical profile in dQ than in
 591 no-dQ and induces a smaller TOA radiative flux associated with WV. The opposite is the case in
 592 R<30S, although to a lesser degree (see Figs. S16 and 8cd). Furthermore, the increase in descent
 593 in R<30N and ascent in R<30S implies that the cloud content is reduced in R<30N but increased
 594 in R<30S (Fig. S17) so that in the former region more long-wave radiation can escape to space
 595 and more short-wave radiation can reach the surface, while in the latter the opposite is the case.
 596 Thus, the dQ minus no-dQ difference in CLW flux is negative in R<30N, but positive in R<30S
 597 (Fig. 9cd), while the opposite obtains for the CSW flux difference (Fig. 10cd). Finally, comparing
 598 the difference in temperature lapse rates between dQ and no-dQ in the tropics, it is found that

599 the difference in R<30N is positive at lower and negative at higher levels, while the difference in
600 the R<30S is always negative (Fig. S18). This is consistent with the LR feedback induced flux
601 difference being close to zero in the R<30N and positive in R<30S (Fig. 6cd). However, the
602 governing processes for the difference in lapse rate are less clear. We speculate that due to the
603 larger cloud amount in the R<30S in the dQ case, the emissivity is stronger and thus the atmosphere
604 cools more efficiently. Note that in the radiative kernel method applied here, changes in emissivity
605 and the resulting higher cooling efficiency are included in the CLW radiative fluxes but not in the
606 LR radiative fluxes. However, this effect is difficult to diagnose as it is masked by the effect of
607 clouds blocking outgoing long-wave radiation from the surface. A further but smaller effect may
608 be that due to more deep convective cloud less solar radiation is absorbed in the atmosphere, also
609 leading to a relative cooling in R<30S. These results are broadly consistent with the findings of
610 Singh et al. (2022) for their case with decreased ocean heat transport.

611 The differences between no-dQ and dQ as regards humidity, temperature, and clouds are to
612 some extent consistent with the differences between G2 and G1, although the differences between
613 the CESM2-SOM experiments seem generally more extreme than those between G2 and G1. In
614 terms of the Hadley cell, the difference between dQ and no-dQ (Fig. 11c) is qualitatively similar
615 to the G2-minus-G1 difference (Fig. S19c), although the latter is weaker. Comparing the change
616 of Hadley circulation separately for G1 and G2 (Fig. S19ab) as well as dQ and no-dQ (Fig. 11ab)
617 it is clear that while G1 and no-dQ are similar, the response of the Hadley circulation in dQ is
618 considerably stronger than in G2. This indicates that the mimicked AMOC change in the SOM
619 experiment is more effective at latitudinally redistributing energy than the AMOC in the CMIP
620 model experiments or that other processes important for the difference between G2 and G1 are
621 compensating the AMOC response in the tropics. The difference in humidity between G2 and G1
622 is latitudinally more symmetric around the equator than between the CESM2-SOM experiments.
623 However, the G2-minus-G1 difference is still more negative in the NH than in the SH around
624 year 40 (Fig. S20). As the NH (and especially the Arctic) in G2 warms relatively more over the
625 later years of the abrupt4xCO2 simulation than in G1, the humidity difference profile becomes
626 almost latitudinally symmetric (Fig. S21). This is not the case in the CESM2-SOM simulations as
627 the difference remains latitudinally asymmetric for the whole simulation. However, if we let the
628 AMOC recover in the CESM2-SOM simulation, the difference between humidity profiles becomes

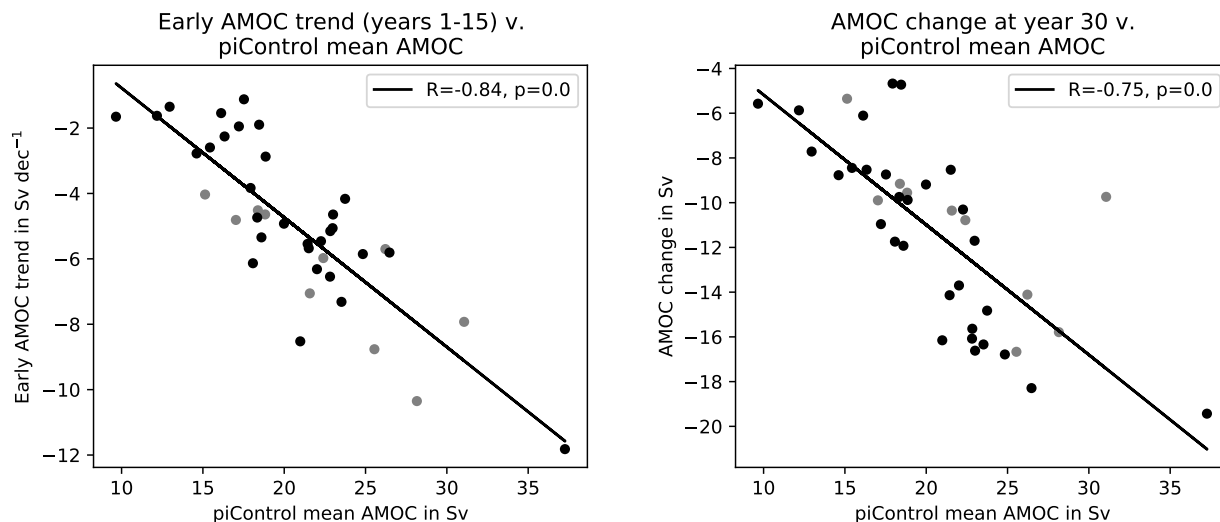
629 almost symmetric by the end of the 40-year simulation after being asymmetric in the first years
630 (not shown).

631 We conclude that by our simple AMOC implementation, we can qualitatively reproduce differ-
632 ences in regional SATs and TOA radiative fluxes for the NH seen in our CMIP model groups G2
633 and G1. However, parts of the model response in the CMIP experiments are not reproduced in the
634 CESM2-SOM experiments, especially in the SH as well as partly in the tropics. This indicates that
635 the differences between G2 and G1 are not fully explained by differences in AMOC development.

636 **5. Discussion and Conclusion**

637 Eiselt and Graversen (2022) distinguished two climate model groups based on the magnitude of
638 climate feedback change over time. Here we show that they differ significantly in terms of their
639 response of the Atlantic Meridional Overturning Circulation (AMOC) to the CO₂ quadrupling. The
640 influence of the AMOC on climate feedback is investigated employing a slab-ocean model (SOM)
641 where, in addition to the abrupt quadrupling of the CO₂ concentration, a change in the ocean heat
642 transport (Q-flux in the SOM) is prescribed to mimic the difference in the AMOC evolution between
643 G2 and G1. It is found that the differences between surface-albedo, lapse-rate, and water-vapour
644 feedback-induced TOA radiative fluxes in the Northern Hemisphere between SOM experiments
645 with and without prescribed Q-flux change are qualitatively similar to those between G2 and G1.
646 Furthermore, differences in Arctic sea-ice decline and in the development of the Hadley circulation
647 are qualitatively similar. However, unexplained differences remain, especially in the tropics and
648 in the Southern Hemisphere, indicating that the AMOC change alone is insufficient to explain the
649 change of climate feedback over time in response to the CO₂ forcing.

650 An important process that is not accounted for in the experiments conducted for this study is
651 Antarctic Bottom Water (AABW) formation which appears to affect the climate system in ways
652 similar to the AMOC but which has received much less attention (He et al. 2017). The lack of a
653 representation of a change in AABW formation in our SOM experiments may at least partly explain
654 the fact that the differences between G2 and G1 in the Southern Hemisphere are not qualitatively
655 reproduced by the SOM experiments. However, based on an AABW formation index similar to He
656 et al. (2017), no significant differences between G2 and G1 are found in both the piControl and the
657 abrupt4xCO₂ experiment (Fig. S22). Notably though, the differences in surface temperature and



663 FIG. 12. Pre-industrial control mean AMOC v. AMOC trend over years 1 to 15 (left) and
 664 change of AMOC averaged over years 28-32 (right). Members of CMIP5 are depicted in gray, members of CMIP6 in black.

658 radiative fluxes between G2 and G1 in the Southern Hemisphere are smaller and do not exhibit a
 659 similar distinct development as those in the Northern Hemisphere (i.e., fast-paced increase of the
 660 differences followed by a slower decrease; e.g., Fig. 4). Thus, it may be more difficult to robustly
 661 connect changes in the ocean heat transport in the Southern Hemisphere to changes in other climate
 662 variables in the group comparison study.

665 Another important feature in the G2–G1 comparison that is not taken into account in our slab-
 666 ocean model set-up is the difference between their pre-industrial states. As pointed out in section
 667 4a and shown in Fig. 1a, G2 exhibits a significantly stronger pre-industrial AMOC than G1.
 668 Furthermore, the Arctic sea-ice extent is larger and the Northern Hemispheric surface temperature
 669 lower in G2 than in G1 (not shown). However, these differences are not statistically significant ($p >$
 670 0.05). The fact that the model group with the larger pre-industrial AMOC exhibits the larger AMOC
 671 change may generally correspond with the notion of “capacity to change” introduced by Kajtar
 672 et al. (2021). That is, since the AMOC is expected to decline in response to global warming (IPCC
 673 2021), in models with a stronger base-state AMOC it has a larger capacity to decline, resulting in
 674 larger climate impacts. In support of this, we find significant correlations between pre-industrial
 675 AMOC strength and both the pace and strength of AMOC decline (Fig. 12; see also Gregory et al.
 676 2005; Bellomo et al. 2021; Lin et al. 2019; He et al. 2017). This indicates that if a strong base-state

677 AMOC exists, it will also generally decline significantly in response to a sufficiently large forcing
678 (see Mitevski et al. 2021), engendering the effects described in the present study. However, the
679 physical processes that cause the variation of the base-state AMOC and its importance for the
680 AMOC decline under forcing conditions are a topic of ongoing research. Some studies highlight
681 the impact of ocean-model resolution on AMOC strength, although these studies are often based
682 on few models implying that the confidence in their conclusions remains low (Winton et al. 2014;
683 Jackson et al. 2020; Roberts et al. 2020). Model resolution is out of the scope of our study, but
684 we report that G1 and G2 differ only slightly in terms of ocean-model resolution, and hence model
685 resolution is unimportant for our conclusions. Other studies find a connection between AMOC
686 decline and the base-state Arctic sea-ice extent (Levermann et al. 2007; Lin et al. 2023): A stronger
687 base-state AMOC is accompanied by less base-state sea-ice cover in the Labrador Sea. Under
688 warming in response to a CO₂ forcing, this causes a larger decline in turbulent surface heat fluxes
689 and thus leads to a stronger AMOC decline. We cannot corroborate this mechanism for our CMIP
690 model groups, as G2 with the significantly stronger base-state AMOC compared to G1 shows only
691 a slightly and statistically non-significantly smaller sea-ice cover in the Labrador Sea (Fig. S23).
692 Recently, Lin et al. (2023) found that the base-state stratification in the Labrador Sea influences the
693 AMOC decline. A stronger AMOC causes the CO₂-induced surface warming to efficiently sink
694 to deeper layers, thus leading to a positive buoyancy anomaly at depth that weakens the AMOC.
695 Jackson et al. (2020), on the other hand, elucidate the importance of the spatial structure of the
696 AMOC, again highlighting the role of the Labrador Sea. Under a warming due to CO₂, models
697 with more deep water formation (DWF) in the Labrador Sea experience a decline in DWF as the
698 atmosphere warms and the ocean cools (due to AMOC decline). In the Labrador Sea the region
699 of DWF does not move further north and thus DWF is continuously reduced, leading to a strong
700 decline in AMOC. Conversely, in models that have more DWF in the Greenland-Iceland-Norway
701 Sea, DWF can move further north, hereby maintaining a stronger AMOC. Jackson et al. (2020)
702 also find that the spatial structure of the AMOC may be connected to model resolution. However,
703 as indicated above, this result is based on relatively few ocean models.

704 As found by Lin et al. (2019) and confirmed by the results from the SOM experiments in the
705 present study, the *recovery* of AMOC may also have an important impact on climate feedback and
706 sensitivity. However, correlations across fully-coupled models are ambiguous. The total feedback

707 change is only weakly correlated with the late AMOC trend (years 51–150; $R=0.2$, $p=0.21$; Fig.
708 S24, left) but the lapse-rate feedback change exhibits considerable correlation with the late AMOC
709 trend ($R=0.5$, $p=0.001$; Fig. S24, right; this increases to $R=0.65$ if three outliers are excluded).
710 This suggests that on the one hand the AMOC is specifically important for the lapse-rate feedback
711 and on the other hand that other processes than the AMOC are important for the change of climate
712 feedback as well, e.g., Southern Ocean cloud feedback (Bjorndal et al. 2020; Zelinka et al. 2020)
713 and surface-temperature development in the Indo-Pacific Warm Pool (Dong et al. 2019, 2020). It
714 may be noted that while the G2–G1 comparison suggests that the models with a stronger base-state
715 AMOC and a larger AMOC decline also exhibit a larger AMOC recovery in later years (see also
716 Lin et al. 2019), the across model correlation of both early AMOC trend and pre-industrial AMOC
717 with late AMOC trend are weak ($R=-0.1$ and $R=0.08$, respectively; see Fig. S25).

718 In line with a number of recent studies, our findings point to the importance of the change of
719 the AMOC (He et al. 2017; Lin et al. 2019; Bellomo et al. 2021; Mitevski et al. 2021) and the
720 change of ocean heat transport in general (Rugenstein et al. 2016; Singh et al. 2022) for the change
721 of climate feedback and sensitivity, consistent with the notion of the pattern effect (Stevens et al.
722 2016). Furthermore, studies such as He et al. (2017), Jackson et al. (2020), and Lin et al. (2023)
723 in particular, and Kajtar et al. (2021) more generally, together with the findings presented here
724 indicate that to correctly model the AMOC response to a greenhouse-gas forcing, it is important
725 to correctly represent the base state of the climate system in general and the ocean circulation
726 and AMOC in particular. Thus, more research into the real-world base-state AMOC is needed
727 to more confidently gauge the influence of the AMOC on ongoing and future climate change.
728 Moreover, as indicated by the importance of a possible AMOC recovery, the drivers of AMOC
729 need to be better understood in order to confidently predict the longer-term response of the AMOC
730 to a greenhouse-gas forcing.

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742 *Data availability statement.* The original CMIP model output is available on the WCRP’s
743 CMIP5 (<https://esgf-node.llnl.gov/search/cmip5/>) and CMIP6 archives ([https://](https://esgf-node.llnl.gov/search/cmip6/)
744 esgf-node.llnl.gov/search/cmip6/). The procedure for generating the climate feedback
745 radiative fluxes using the radiative kernels is described in Eiselt and Graversen (2022). The pro-
746 prietary CESM numerical model simulations presented in this study are too large to archive or
747 to transfer. Instead, we provide all the information needed to replicate the simulations; we used
748 model version 2.1.3, freely available at <https://www.cesm.ucar.edu/models/cesm2>. Pre-
749 and postprocessing code for the CMIP and CESM2-SOM data is available at [https://doi.org/](https://doi.org/10.5281/zenodo.7950682)
750 [10.5281/zenodo.7950682](https://doi.org/10.5281/zenodo.7950682). The scripts for increasing the CO₂ concentration and for changing
751 the Q-flux in CESM2-SOM are available at <https://doi.org/10.5281/zenodo.7937804>.

752 APPENDIX A

753 **Estimation of Q-flux change due to AMOC change**

754 Here we show a brief derivation of our order-of-magnitude estimation of the Q-flux change due
755 to the change of AMOC. Our method is similar to that presented in Buckley and Marshall (2016)
756 but simpler and more *ad hoc* since we employ surface temperature instead of ocean potential
757 temperature of upper and lower branch of the AMOC. However, since the values derived by our
758 simpler method (see below and Tables A1 and S3) are comparable to those given in Buckley and

759 Marshall (2016), we are confident that our method is suitable for order-of-magnitude estimates as
 760 applied here.

761 We begin by defining a meridional streamfunction Ψ for the zonally integrated volume transport
 762 in the Atlantic sector such that:

$$v = -\frac{\partial\Psi}{\partial z} \quad (\text{A1})$$

763 where v is zonally integrated meridional velocity in the Atlantic basin. Following Buckley and
 764 Marshall (2016), the heat transport, E , by the AMOC can be expressed as

$$E = -\rho_0 c_p \int_{-H}^0 \frac{\partial\Psi}{\partial z} \theta dz, \quad (\text{A2})$$

765 where ρ_0 is the reference level density, c_p is the specific heat capacity of water at constant pressure,
 766 H is the depth of the Atlantic basin, and θ is potential temperature (with the ocean surface as
 767 a reference level). Assuming that the stream function vanishes at the the top and bottom of the
 768 Atlantic basin, i.e., the vertically integrated mass flux is zero, and that the upper and lower branches
 769 have spatially uniform potential temperature, denoted as θ_s and θ_b respectively, eq. A2 yields:

$$E = \rho_0 c_p \Psi_m \Delta\theta, \quad (\text{A3})$$

770 where Ψ_m is the maximum of Ψ at the interface between the upper and lower branch of AMOC
 771 at about 1000 m depth, and $\Delta\theta = \theta_s - \theta_b$ is the difference between the potential temperature in
 772 the upper and lower branch of the AMOC. Assuming now that the poleward upper-branch water
 773 originates from surface water in the south, and the lower branch return-flow water from sinking
 774 surface water in the north, the heat transport of the AMOC becomes:

$$E = \rho_0 c_p \Psi_m \Delta T, \quad (\text{A4})$$

775 where ΔT can be roughly captured by the surface-temperature difference between the tropical and
 776 North Atlantic.

777 Both a change in the meridional surface-temperature difference ($\delta\Delta T$) and in the strength of the
 778 AMOC ($\delta\Psi_m$) can lead to an energy transport change (δE):

$$E + \delta E = \rho_0 c_p (\Psi_m + \delta\Psi_m) (\Delta T + \delta\Delta T). \quad (\text{A5})$$

779 It follows that the change in energy transport δE can be expressed as:

$$\delta E = \rho_0 c_p (\Psi_m \delta\Delta T + \delta\Psi_m \Delta T + \delta\Psi_m \delta\Delta T). \quad (\text{A6})$$

780 Substituting the values for G1 and G2 on the right-hand side we obtain that the difference between
 781 G2 and G1 in terms of change of northward energy transport is about -0.5 PW (averaged over years
 782 13-17 of abrupt4xCO2). See Table A1 for the values of the individual terms of eq. A6 and Table
 783 S3 for the values of the individual parameters.

784 In the dQ experiments this energy is added as Q-flux to an area over the North Atlantic as defined
 785 in section 2b and equates to about 50 W m^{-2} (for a sensitivity analysis of the choice of these settings
 786 see Fig. S1 in the online supplemental material). To balance this flux and keep the global mean
 787 Q-flux change at zero to not introduce a global net forcing, the Q-flux change implemented in the
 788 tropic region (see section section 2b) is about -25 W m^{-2} .

term	G1	G2
$\Psi_m \delta\Delta T$	-135.94	-76.5
$\delta\Psi_m \Delta T$	-361.23	-918.82
$\delta\Psi_m \delta\Delta T$	30.21	26.56

789 TABLE A1. Values for the terms in eq. A6 in 10^{12} W (terms multiplied by $\rho_0 c_p$). The values are derived from
 790 differences between G2 and G1 averaged over the years 13 to 17 in the abrupt4xCO2 experiment.

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