

# Overexpression of the HIF Hydroxylases PHD1, PHD2, PHD3 and FIH Are Individually and Collectively Unfavorable Prognosticators for NSCLC Survival

Sigve Andersen<sup>1,2</sup>\*, Tom Donnem<sup>1,2</sup>, Helge Stenvold<sup>1,2</sup>, Samer Al-Saad<sup>3,4</sup>, Khalid Al-Shibli<sup>3,5</sup>, Lill-Tove Busund<sup>3,4</sup>, Roy M. Bremnes<sup>1,2</sup>

1 Institute of Clinical Medicine, University of Tromso, Tromso, Norway, 2 Department of Oncology, University Hospital of North Norway, Tromso, Norway, 3 Institute of Medical Biology, University of Tromso, Tromso, Norway, 4 Department of Pathology, University Hospital of North Norway, Tromso, Norway, 5 Department of Pathology, Nordland Central Hospital, Bodo, Norway

#### **Abstract**

*Introduction:* Hypoxia induced factors (HIFs) are at the heart of the adaptive mechanisms cancer cells must implement for survival. HIFs are regulated by four hydroxylases; Prolyl hydroxylase (PHD)-1,-2,-3 and factor inhibiting HIF (FIH). We aimed to investigate the prognostic impact of these oxygen sensors in NSCLC.

*Methods:* Tumor tissue samples from 335 resected stages I to IIIA NSCLC patients was obtained and tissue microarrays (TMAs) were constructed. Hydroxylase expression was evaluated by immunohistochemistry.

*Principal Findings:* There was scorable expression for all HIF hydroxylases in tumor cells, but not in stroma. In univariate analyses, high tumor cell expression of all the HIF hydroxylases were unfavorable prognosticators for disease-specific survival (DSS); PHD1 (P = 0.023), PHD2 (P = 0.013), PHD3 (P = 0.018) and FIH (P = 0.033). In the multivariate analyses we found high tumor cell expression of PHD2 (P = 0.018) and PHD1 (P = 0.018) and P

**Conclusions:** HIF hydroxylases are, in general, poor prognosticators for NSCLC survival. PHD1 and PHD2 are independent negative prognostic factors in NSCLC. Moreover, there is an additive poor prognostic impact by an increasing number of highly expressed HIF hydroxylases.

Citation: Andersen S, Donnem T, Stenvold H, Al-Saad S, Al-Shibli K, et al. (2011) Overexpression of the HIF Hydroxylases PHD1, PHD2, PHD3 and FIH Are Individually and Collectively Unfavorable Prognosticators for NSCLC Survival. PLoS ONE 6(8): e23847. doi:10.1371/journal.pone.0023847

Editor: Libing Song, Sun Yat-sen University Cancer Center, China

Received May 12, 2011; Accepted July 27, 2011; Published August 22, 2011

**Copyright:** © 2011 Andersen et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

**Funding:** The study was solely funded by the Northern Norway Regional Health Authority (Helse Nord RHF) which is responsible for the public hospitals in northern Norway. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

1

Competing Interests: The authors have declared that no competing interests exist.

\* E-mail: sigve.andersen@uit.no

# Introduction

Due to its high prevalence and poor survival, lung cancer is the leading cause of cancer-related deaths [1]. Eighty to 85% of lung cancers are of non-small cell type (NSCLC). At early stages NSCLC is potentially curable by surgery [2], but even among tumor-resected patients lung cancer mortality remains high. There is a need for better prognostic and predictive factors, incorporated with clinicopathological features, for treatment stratification, as well as new treatment options [2].

Hypoxia is a feature of many NSCLC tumors [3] and the ability of tumor cells to adapt to a reduced oxygen and nutrient supply is vital for their survival [4]. When oxygen tension is reduced, the HIF transcription factors, composed of the subunits HIF $\alpha$  (HIF1 $\alpha$ , HIF2 $\alpha$  or HIF3 $\alpha$ ) and HIF $\beta$ , are at the heart of these mechanisms. They control the cellular expression of hundreds of target genes, which makes the tumor cell capable of surviving in a hypoxic

microenvironment [5]. Regulation of the HIF activity is mainly controlled by the half-life of the HIF $\alpha$ -subunit, which is tightly controlled by the oxygen dependent hydroxylation by HIF hydroxylases. Under normoxia, HIF $\alpha$  is hydroxylated by prolyl hydroxylases (PHD1, PHD2 and PHD3) and factor inhibiting HIF (FIH). Hydroxylation through PHDs enables binding with von Hippel-Lindau (VHL) tumor suppression protein with subsequent targeting of HIF $\alpha$  for proteosomal degradation by ubiquitation [6,7]. All PHDs have the same function, but appears to have different specificities for various hydroxylation sites [8]. PHD2 is the most abundant form and the main regulator of HIF1 activity, whereas PHD3 more efficiently regulates HIF2 $\alpha$  [8,9]. Together with the transcriptional modifyer FIH, these are known as HIF hydroxylases. These serve the function as oxygen sensors in the vital cellular oxygen homeostasis [8,10].

Although HIF hydroxylases recently were recognized as important players in cancer biology by interfering with angiogen-

esis and metastasis [11], the role of these oxygen sensors in tumorigenesis is poorly defined. They have been proposed as both tumor suppressors and drivers of tumorigenesis [12]. Antibodies for detection of these proteins in paraffin-embedded human tissues have recently been developed and validated [13]. Only one previous study has evaluated these HIF hydroxylases in NSCLC tumors, but without assessing their prognostic relevance [14].

We aimed to pioneer the first comprehensive prognostic impact evaluation of the HIF hydroxylases in a large unselected NSCLC cohort. Studies evaluating the clinical significance of these markers in malignancy are limited, and they have a potential role as therapeutic targets [12].

## Results

#### Patient characteristics

The patients' demographic, clinical and histopathological data are presented in Table 1. The median follow-up time of survivors was 86 months (range 48–216). The median patient age was 67 (range 28–85), 75% were male, 95% had performance status 0–1 and 95% were present or previous smokers. The NSCLC tumors comprised 191 squamous cell carcinomas (SCC), 113 adenocarcinomas (AC) including 18 bronchioalveolar carcinomas (BAC), and 31 large-cell carcinomas (LCC).

## Expression of HIF hydroxylases

All HIF hydroxylases were detectable for scoring in NSCLC tumor cells. In the surrounding tumor stroma some expression was seen, especially in endothelial cells. However, in our TMA-sections it was not scorable. The localization of staining in tumor cells was predominantly cytoplasmic although there was some nuclear staining for all antibodies. Nuclear staining, however, was almost always accompanied by a strong cytoplasmic staining and the scarce number of cores with exclusive nuclear staining was not statistically evaluable for prognosis. A dominant intensity (>50% of viable tumor cells) was evaluable for all tumor cores with only minor heterogeneity within cores. PHD3 expression in BACs was the only exception, where a more pronounced heterogeneity was observed with some tumor cells strongly expressing PHD3.

The expression in normal lung and surrounding stroma was fairly similar to the findings by Giatromanolaki et al [14] except for the stronger granulated positivity in peritumoral pneumocytes compared to pneumocytes in normal lung.

## Correlations

There were no correlations between HIF hydroxylases and the clinicopathological variables in Table 1, but weak correlations between various HIF hydroxylases were observed; PHD1 vs. PHD2 (r=0.107, P=0.057), vs. PHD3 (r=0.079, P=0.16) and vs. FIH (r=0.14, P=0.013); PHD2 vs. PHD3 (r=0.083, P=0.137) and PHD2 vs. FIH (r=0.183, P=0.001); PHD3 vs. FIH (r=0.051, P=0.363).

When the HIF hydroxylases were compared with tumor cell expression of molecular markers previously published by this group [15–19], the following correlations were observed; PHD1 vs. VEGF-A (r=0.27, P=<0.001) and PDGF-A (r=0.23, P<0.001); PHD2 vs. VEGFR3 (r=0.23, P<0.001); FIH vs. VEGFR3 (r=0.25, P<0.001), Notch4 (r=0.26, P<0.001), HIF2 $\alpha$  (r=0.22, P<0.001), LDH5 (r=0.22, P<0.001), Ang-1 (r=0.21, P<0.001), Ang-4 (r=0.24, P<0.001), and Tie-2 (r=0.22, P<0.001).

## Univariate analyses

Results regarding the clinicopathological variables are presented in Table 1. WHO performance status (P = 0.013), differentiation

(P<0.001), surgical procedure (P=0.004), pathological stage (P<0.001), T-status (P<0.001), N-status (P<0.001) and vascular infiltration (P<0.001) were significant prognostic factors (Table 1).

Data on the association between molecular markers and DSS are given in Table 2 and Figure 1. For all of the HIF hydroxylases, high tumor cell expression was significantly associated with poor survival; PHD1 (P = 0.023), PHD2 (P = 0.013), PHD3 (P = 0.018) and FIH (P = 0.033).

When assessing the co-expression variable of all the HIF hydroxylases, there was a significant additive pattern with a progressively worse survival with the increasing number (0–4) of highly expressed HIF hydroxylases (Table 2 and Figure 2).

## Multivariate analyses

Results of the multivariate analyses are presented in Table 3. In Model 1 we found high tumor cell expression of the PHD2 (HR = 2.03, CI 95% 1.20–3.42, P=0.008) and PHD1 (HR = 1.45, CI 95% 1.01–2.10, P=0.047) to be significant independent prognosticators for DSS in addition to several clinicopathological variables (tumor status, P<0.001; nodal status, P<0.001; performance status, P=0.001; vascular infiltration; P=0.002; differentiation, P=0.006). High tumor cell expression of PHD3 (P=0.058) and FIH (P=0.15) did not, however, reach statistical significance.

In model 2, we found a gradually increasing hazard ratio for lung cancer death in patients with an increasing number of highly expressed HIF hydroxylases in comparison to those with no high expression of hydroxylases (Table 3). Patients with high tumor cell expression of all four HIF hydroxylases had a HR of 6.48 (CI 2.23–18.8, P=0.001).

## Discussion

We present the first large-scale study evaluating the prognostic impact of HIF hydroxylases in surgically resected NSCLC. Using validated antibodies, in this large unselected cohort, we found that high expression of all the HIF hydroxylases were prognosticators for poor survival, with PHD1 and especially PHD2 as independent negative prognostic factors. In addition, there was an additive poor prognostic impact by the increasing number (0–4) of highly expressed HIF hydroxylases.

A limited number studies have evaluated the expression of HIF hydroxylases in various cancers [13,20–27] including one in NSCLC [14]. Only three have assessed survival outcome in relation to expression of these HIF hydroxylases [21–23], none in NSCLC. In general, IHC-studies have found increased, but variable staining of PHDs and FIH in human cancers [12]. Corroborating previous studies [21,22,24,25,27], we did not find the expected simplistic association between high HIF hydroxylase expression and low HIF $\alpha$  expression, or vice versa, as expected from earlier functional studies [12]. Consistent with Giatromanolaki et al [14], the most significant, though weak, correlations were between PHD1-FIH and PHD2-FIH. Expression patterns were also similar, but in our TMA cores there were no clear examples of nuclear expression without an accompanying strong cytoplasmic expression.

In pancreatobiliary ampullary adenocarcinoma, Gossage et al. observed that high PHD3 expression was significantly associated to a worse overall survival [23]. There was also a similar trend for PHD2. In pancreatic endocrine tumors, Couvelard and colleagues found high nuclear staining of PHD1 and PHD3 and stromal staining of FIH to correlate with a worse survival [22]. In prostate cancer, Boddy et al. did not observe any associations between PHDs and survival or PSA recurrence [21]. To summarize, few

**Table 1.** Patient characteristics and clinicopathological variables and their prognostic value for disease-specific survival in 335 NSCLC patients (univariate analyses; log rank test).

Characteristic	Patients (n)	Patients (%)	Median survival (months)	5-Year survival (%)	P
Age					
≤65 years	156	47	83	55	0.34
>65 years	179	53	NR	60	
Sex					
Female	82	25	190	63	0.20
Male	253	75	83	56	
Smoking					
Never	15	5	19	43	0.23
Current	215	64	NR	60	
Former	105	31	71	54	
Performance status					
PS 0	197	59	NR	63	0.013
PS 1	120	36	64	52	
PS 2	18	5	25	33	
Weight loss		-	<del></del>		
<10%	303	90	127	58	0.71
>10%	32	10	98	57	• ·
Histology	32	10	,,	3,	
scc	191	57	NR	66	0.08
AC	113	34	54	45	0.00
LCC	31	9	98	56	
Differentiation	31		,,	30	
Poor	138	41	47	47	<0.001
Moderate	144	43	190	64	<b>\0.001</b>
Well	53	16	NR	68	
Surgical procedure	33	10	TVIT	00	
Lobectomy+Wedge*	243	73	190	61	0.004
Pneumonectomy	92	27	37	47	0.004
Pathological stage	92	21	37	٠,	
	157	47	190	71	<0.001
' 	136	40	61	51	<b>\0.001</b>
IIIa	42	13	17	23	
Tumor status	42	13	17	23	<0.001
1	85	25	190	74	<b>\0.001</b>
2	188	25 56	84	57	
3	62	19	25	36	
3 Nodal status	02	13 	23	JU	
0	232	69	190	66	<0.001
1	76	23	35	43	<b>\0.001</b>
2	27	8	18	18	
	21	O	10	10	
Surgical margins	307	02	190	F0	0.20
Free Not free		92		58 47	0.29
Not free  Vascular infiltration	28	8	47	4/	
	204	0.5	100	50	<b>~0.001</b>
No	284	85	190	58	<0.001

doi:10.1371/journal.pone.0023847.t001



Abbreviations: NR = not reached; PS = Performance status; SCC = Squamous cell carcinoma, LCC = Large-cell carcinoma; AC = Adenocarcinoma (including bronchioloalveolar carcinoma).

**Table 2.** Expression of oxygen sensors in tumors as prognostic factors for disease-specific survival in 335 NSCLC patients (univariate analyses; log-rank test).

Characteristics	Patients (n)	Patients (%)	Median survival (months)	5-year survival (%)	P
PHD1					0.023
High	150	45	71	53	
Low	171	51	190	65	
Missing	14	4			
PHD2					0.013
High	258	77	83	55	
Low	68	20	NR	71	
Missing	9	3			
PHD3					0.018
High	49	15	44	43	
Low	274	82	190	61	
Missing	12	3			
FIH					0.033
High	85	25	64	50	
Low	243	73	NR	60	
Missing	7	2			
Co-expression of oxygen sensors					< 0.00
0	36	11	NR	80	
1	106	32	NR	65	
2	112	33	190	57	
3	52	15	57	48	
4	10	3	24	23	
Missing	19	6			

doi:10.1371/journal.pone.0023847.t002

small studies have examined possible associations between HIF hydroxylase expression and clinical outcome, and the positive ones have revealed an association with reduced survival.

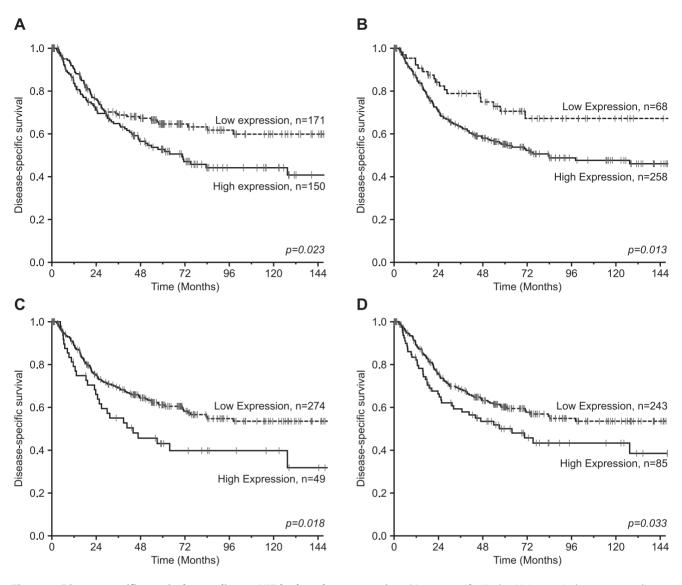
The earlier functional studies on HIF hydroxylases identified them as downregulators of HIF $\alpha$ . The fact that high expression of HIF hydroxylases serve as poor prognosticators for DSS seemingly contradicts the canonical function as inhibitors of HIF $\alpha$ , which has consistently been shown to be a tumor progression marker [5]. Several experimental studies have recently tried to elucidate essential roles of HIF hydroxylases in tumor progression. In line with our data, Henze et al. found that inhibition of PHDs significantly reduced glioblastoma cell survival and that PHD inhibition increased hypoxic cell death as well as death induced by chemotherapeutics [24]. Furthermore, the PHD/HIF regulatory axis was postulated as a novel therapeutic target to disable a tumor's ability to adjust to hypoxic conditions and maintain cell survival [24].

Mazzone et al did functional studies of the stromal role of PHD2 in tumorigenesis by implanting pancreatic tumors in immunocompromized PHD2<sup>+/-</sup> mice [28]. Surprisingly, the heterozygous deficiency of PHD2 led to improved endothelial lining, vessel maturation, tumor perfusion and oxygenation with a subsequent inhibition of tumor cell invasion, intravasation and metastasis. The experimentally reduced available level of PHD2 in the host, actually seemed to reduce the malignancy of implanted tumors. Besides, Ginouves et al. found that chronic hypoxia (24 h to 7 days) increased the pool of PHDs and overactivated all three

isoforms thereby "desensitizing" HIF $\alpha$  and protected cells from necrosis [29]. Desensitizing HIF $\alpha$  proved to be required since all experimental cells died if HIF1 $\alpha$  expression was not reduced during chronic hypoxia. Using implanted colon carcinoma tumors with decreased PHD2 expression in mice, Chan et al observed that tumors grew dramatically faster than control tumors and that PHD2 loss also induced angiogenesis and recruitment of bone marrow-derived cells. [30]. In pancreatic cancer, Su et al. recently reported that PHD3 overexpression mediated tumor cell growth and invasion [26]. Overexpression of PHD1 was shown by Erez et al. to inhibit tumor growth [31].

In light of these functional studies on HIF hydroxylases in cancer, it is difficult to decipher why elevated expression levels of HIF hydroxylases are associated with a poor survival. The studies so far do not give us a clear functional explanation of the function of HIF hydroxylases in cancer. To quote Jokilehto and Jaakkola in a recent review, "given the uncertainties in specific PHD function, their role in cancer is inconclusive at the best" [12].

HIF hydroxylases appear to be important players in tumor biology. As high cellular levels of HIF hydroxylases seem to be important in the malignant phenotype, they may qualify as potential therapeutic targets in NSCLC. Due to the basic understanding of HIF hydroxylase functions, Nagel et al. recently proposed inhibition of HIF $\alpha$  through activation of PHDs. However, if PHDs are vital in disease progression, in consistency with our findings, these oxygen sensors would rather be a target of inhibition. [32]. Although several inhibitors of HIF hydroxylases



**Figure 1. Disease-specific survival according to HIF hydroxylase expression.** Disease-specific Kaplan-Meier survival curves according to: A) PHD1, B) PHD2, C) PHD3 and D) FIH in resected NSCLC patients. The P-value is according to the log-rank test. doi:10.1371/journal.pone.0023847.g001

are known, there are presently no ongoing studies in cancers registered on www.clinicaltrials.com [32].

In conclusion, we found high expression of all four HIF hydroxylases to be indicators of poor prognosis in NSCLC with PHD2 as the most significant prognostic marker. In addition, patients could be stratified in highly diverging prognostic subgroups according to the additive number of highly expressed HIF hydroxylases. We suggest HIF hydroxylases as possible molecular markers for prognostic stratification in addition to already incorporated clinicopathological prognosticators. We also propose them as potential targets for cancer growth inhibition.

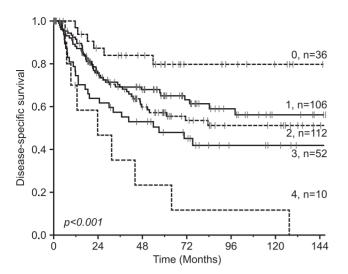
# **Materials and Methods**

## Patients and Tissues

Retrospectively, we identified 371 patients who were surgically tumor-resected with pathological stage I to IIIA NSCLC at the University Hospital of North Norway and Nordland Central Hospital between 1990 and 2004. Primary tumor tissue was collected from the archives of the two pathology departments. After the necessary exclusion of 36 patients due to inadequate paraffin-embedded fixed tissue blocks (n = 13), other malignancy within the 5 years prior to diagnosis (n = 13) or having received radiotherapy or chemotherapy prior to surgery (n = 10), we were left with 335 eligible patients with complete demographic and clinicopathological data. The pathological data were revised according to the 7th edition of UICC TNM classification of lung cancer [33]. Adjuvant chemotherapy was not introduced in Norway in this period (1990–2004). The last disease-specific survival (DSS) update was done in November 2008. The Norwegian Data Inspection Board and The Regional Committee for research ethics have approved the study.

#### Microarray construction

Duplicate 0.6 mm core biopsies from the most representative areas of tumor cells (neoplastic epithelial cells) and tumor stroma



**Figure 2. Disease-specific survival according to the co-expression of HIF hydroxylases.** Disease-specific Kaplan-Meier survival curves according to the sum of HIF hydroxylases with a high expression in resected NSCLC patients. The P-value is according to the log-rank test. doi:10.1371/journal.pone.0023847.g002

were collected from each surgical specimen using a tissue-arraying instrument (Beecher Instruments, Silver Springs, MD,USA). Normal lung tissue localized distant from the primary tumor as well as lung tissue samples from 20 patients without any history of malignancy were also sampled. Eight tissue microarray blocks (TMAs) were constructed to include all the cores. The detailed methodology has been reported previously [16,17].

## Immunohistochemistry

Antibodies developed and published by the Nuffield Department of Clinical Laboratory Sciences at the University of Oxford, UK were donated [13,14]. These were anti-PHD1 (PHD112, mouse monoclonal), anti-PHD2 (366G/76, mouse monoclonal, not diluted), anti-PHD3 (EG188e, mouse monoclonal, not diluted) and anti-FIH (162c/D6, mouse monoclonal, 1:5). Probably due to low antibody concentration against PHD1 when experiments were done, we did not succeed in attaining a strong enough staining with the donated PHD1 antibody. The PHD-1 antibody in this study was therefore acquired from Abcam (ab82884, PHD112/G7, mouse monoclonal, 1:50). The 4  $\mu m$  TMA sections containing tissue cores were deparaffinized with xylene and rehydrated with ethanol before being subjected to the antibodies.

Negative controls were simultaneously performed for all antibodies by omitting the primary antibody. For the commercially antibody for PHD1 we used normal testis as a positive control. For the donated antibodies, staining patterns in tumor and normal lung were compared to what has been published earlier regarding staining with these antibodies in NSCLC and lung tissues to ensure the proper staining with these antibodies [13,14]. Validation on transfected cell lines with positive and negative controls has previously been published [13,14].

Antigen retrieval was done manually for all antibodies except PHD1. For PHD2 and FIH, antigen retrieval was done by placing the specimens in 0.01 M citrate buffer at pH 6.0 and exposed to microwave heating of 20 minutes at 450 W. The antigen retrieval for PHD3 was the same except for the buffer which was a 10 mom Tris/1 mM EDTA buffer at pH 9.0. All donated antibodies were incubated at room temperature (≈20°C)

overnight except for FIH where the primary antibody was incubated for 30 min in room temperature. The methods were adapted from the donators. For PHD1 we used the Ventana Benchmark XT® (Ventana Medical Systems Inc.;Illkirch, France), procedure ultraview DAB v3 with automatic antigen retrieval with CC1 mild (30 min). Finally, all slides were counterstained with hematoxylin to visualize the nuclei.

# Scoring of immunohistochemistry

Viable parts of each anonymized core were scored independently and semiquantitatively by two pathologists (S.A.S and K.A.S) by light microscopy. When assessing a variable in a given core, the pathologists were blinded to the outcome and score of the other observer. Only the neoplastic cell compartment (tumor cells) was scored in this study as there was no scorable expression in the surrounding tumor stroma (stromal cells). The dominant staining intensity in tumor was scored as: 0 = negative, 1 = weak, 2 = intermediate or 3 = strong (Figure 3). Only cytoplasmic staining was scored. Interindividual variability with respect to IHC-scoring was evaluated on the current material in a previous paper (r = 0.95, range 0.93-0.98) [17].

A mean score was calculated for the two tumor cell cores in each individual. In tumor, high expression was defined as =3 for PHD1, PHD3 and FIH, and  $\geq 2.0$  for PHD2. Similar scoring methods have been used in our previous IHC-scoring studies [17,34,35] and by others [36]. Optimal statistical cut-off levels for high and low expression were used.

## Statistical methods

The statistical analyses were done using the SPSS 17.0.0 package (Chicago, IL). The  $\chi 2$  test and Fishers exact tests were used to examine the associations between molecular marker expressions and the clinicopathological markers. r-values are the Spearman's rank correlation coefficient. Plots of the DSS, according to marker expressions, were drawn using the Kaplan-Meier method, and the statistical significance between survival curves was assessed by the log rank test. The survival curves were terminated at 146 months, due to less than 10% of patients at risk after this point. The chosen endpoint, DSS, was calculated from the time of surgery to the time of lung cancer death.

In the first model of the multivariate analysis (Model 1), all significant variables from the univariate analyses (except surgical procedure and pathological stage) were entered in a backward stepwise Cox regression analysis with a probability for stepwise entry and removal at 0.05 and 0.10, respectively. A P<0.05 was considered statistically significant for all analyses. In the second model for multivariate analysis (Model 2), all the significant clinicopathological variables (except surgical procedure and pathological stage) were entered as well as the co-expression variable. The co-expression variable, including all of the four HIF hydroxylases, was stratified by the number of HIF hydroxylases demonstrating high expression.

#### Ethics statement

The Norwegian Data Inspection Board and The Regional Committee for research ethics have approved the study. Information and subsequent written consent from patients was considered, but as this was a retrospective study with more than half of patients deceased, the rest of the patients having to reminded about the death rate of the disease and the possible raising of unrealistic hope for the individual, they specifically waived the need for consent.

**Table 3.** Results of Cox regression analyses (Backward stepwise model).

	Model 1 (all significant va	Model 1 (all significant variables entered)			Model 2 (Clinicopathological and co-expression variable entered)		
Factor	Hazard Ratio	95% CI	Р	Hazard Ratio	95% CI	Р	
Tumor status			<0.001*			<0.001*	
1	1			1			
2	1.72	1.02-2.89	0.041	1.95	1.14-3.36	0.015	
3	3.27	1.84-5.81	<0.001	3.72	2.03-6.85	<0.001	
Nodal status			<0.001*			<0.001*	
0	1			1			
1	1.92	1.25-2.94	0.003	1.94	1.26-2.97	0.002	
2	3.30	1.95-5.59	<0.001	3.03	1.75–5.23	<0.001	
Performance status			0.001*			0.001*	
ECOG 0	1			1			
ECOG 1	2.02	1.37-2.98	<0.001	2.03	1.37-2.99	<0.001	
ECOG 2	2.16	0.95-4.91	0.065	2.10	0.92-4.77	0.077	
Vascular infiltration			0.002			0.002	
No	1			1			
Yes	2.08	1.29-3.34		2.13	1.31-3.49		
Differentiation			0.006*			0.006*	
Well	1			1			
Moderate	1.89	0.97-3.69	0.061	1.62	0.83-3.14	0.16	
Poor	1.02	0.51-2.02	0.096	0.85	0.42-1.72	0.65	
PHD1			0.047				
Low	1						
High	1.45	1.01-2.10					
PHD2			0.008				
Low	1						
High	2.03	1.20-3.42					
Co-expression†						<0.001*	
0				1			
1				1.95	0.81-4.66	0.135	
2				2.05	0.86-4.89	0.105	
3				4.13	1.69–10.1	0.002	
4				6.48	2.23-18.8	0.001	

<sup>\*</sup>Overall significance as a prognostic factor.

doi:10.1371/journal.pone.0023847.t003

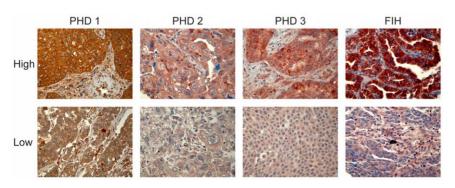


Figure 3. Immunohistochemical staining. Immunohistochemical analyses of NSCLC representing high and low intensities for tumor cell expression of PHD1, PHD2, PHD3 and FIH. doi:10.1371/journal.pone.0023847.g003



<sup>†</sup>The co-expression variable, included all of the four oxygen sensors and was stratified by the total number of oxygen sensors with a high expression. Grey boxes indicate variables not entered in the analysis.

## **Acknowledgments**

We would like to thank Drs. H.Turley, K.Gatter, F. Pezzella and A.Harris at Nuffield Department of Clinical Laboratory Sciences, University of Oxford, and Cancer Research UK Molecular Oncology Laboratory, Institute of Molecular Medicine, John Radcliffe Hospital, Oxford, UK for the generous gift of the PHD1,2,3 and FIH antibodies.

#### References

- Jemal A, Siegel R, Ward E, Hao Y, Xu J, et al. (2009) Cancer statistics, 2009. CA Cancer J Clin 59: 225–249.
- Sangha R, Price J, Butts CA (2010) Adjuvant therapy in non-small cell lung cancer: current and future directions. Oncologist 15: 862–872. theoncologist.2009-0186 [pii];10.1634/theoncologist.2009-0186 [doi].
- Le QT, Chen E, Salim A, Cao H, Kong CS, et al. (2006) An Evaluation of Tumor Oxygenation and Gene Expression in Patients with Early Stage Non-Small Cell Lung Cancers. Clin Cancer Res 12: 1507–1514.
- Folkman J (1971) Tumor angiogenesis: therapeutic implications. N Engl J Med 285: 1182–1186. 10.1056/NEJM197111182852108 [doi].
- Semenza GL (2010) Defining the role of hypoxia-inducible factor 1 in cancer biology and therapeutics. Oncogene 29: 625–634. onc2009441 [pii];10.1038/ onc.2009.441 [doi].
- Epstein AC, Gleadle JM, McNeill LA, Hewitson KS, O'Rourke J, et al. (2001)
   C. elegans EGL-9 and mammalian homologs define a family of dioxygenases that regulate HIF by prolyl hydroxylation. Cell 107: 43–54. S0092-8674(01)00507-4 [pii].
- Jaakkola P, Mole DR, Tian YM, Wilson MI, Gielbert J, et al. (2001) Targeting
  of HIF-alpha to the von Hippel-Lindau ubiquitylation complex by O2-regulated
  prolyl hydroxylation. Science 292: 468–472. 10.1126/science.1059796
  [doi];1059796 [pii].
- 8. Appelhoff RJ, Tian YM, Raval RR, Turley H, Harris AL, et al. (2004) Differential function of the prolyl hydroxylases PHD1, PHD2, and PHD3 in the regulation of hypoxia-inducible factor. J Biol Chem 279: 38458–38465. doi:10.1074/jbc.M406026200 [doi];M406026200 [pii].
- Berra E, Benizri E, Ginouves A, Volmat V, Roux D, et al. (2003) HIF prolylhydroxylase 2 is the key oxygen sensor setting low steady-state levels of HIF-lalpha in normoxia. EMBO J 22: 4082–4090. 10.1093/emboj/cdg392 [doi].
- Stolze IP, Tian YM, Appelhoff RJ, Turley H, Wykoff CC, et al. (2004) Genetic analysis of the role of the asparaginyl hydroxylase factor inhibiting hypoxiainducible factor (FIH) in regulating hypoxia-inducible factor (HIF) transcriptional target genes [corrected]. J Biol Chem 279: 42719–42725. 10.1074/ jbc.M406713200 [doi];M406713200 [pii].
- Chan DA, Giaccia AJ (2010) PHD2 in tumour angiogenesis. Br J Cancer 103: 1–5. 6605682 [pii];10.1038/sj.bjc.6605682 [doi].
- Jokilehto T, Jaakkola PM (2010) The role of HIF prolyl hydroxylases in tumour growth. J Cell Mol Med 14: 758–770. JCMM1030 [pii];10.1111/j.1582-4934. 2010.01030.x [doi].
- Soilleux EJ, Turley H, Tian YM, Pugh CW, Gatter KC, et al. (2005) Use of novel monoclonal antibodies to determine the expression and distribution of the hypoxia regulatory factors PHD-1, PHD-2, PHD-3 and FIH in normal and neoplastic human tissues. Histopathology 47: 602–610. HIS2280 [pii];10.1111/ j.1365-2559.2005.02280.x [doi].
- Giatromanolaki A, Koukourakis MI, Pezzella F, Turley H, Sivridis E, et al. (2008) Expression of prolyl-hydroxylases PHD-1, 2 and 3 and of the asparagine hydroxylase FIH in non-small cell lung cancer relates to an activated HIF pathway. Cancer Lett;S0304-3835(07)00588-5 [pii];10.1016/j.canlet. 2007.11.041 [doi].
- Andersen S, Eilertsen M, Donnem T, Al-Shibli K, Al-Saad S, et al. (2010) Diverging prognostic impacts of hypoxic markers according to NSCLC histology. Lung Cancer;S0169-5002(10)00483-6 [pii];10.1016/j.lungcan. 2010.10.006 [doi].
- Bremnes RM, Veve R, Gabrielson E, Hirsch FR, Baron A, et al. (2002) Highthroughput tissue microarray analysis used to evaluate biology and prognostic significance of the E-cadherin pathway in non-small-cell lung cancer. J Clin Oncol 20: 2417–2428.
- Donnem T, Al-Saad S, Al-Shibli K, Delghandi MP, Persson M, et al. (2007) Inverse prognostic impact of angiogenic marker expression in tumor cells versus stromal cells in non small cell lung cancer. Clin Cancer Res 13: 6649–6657.
- Donnem T, Al-Saad S, Al-Shibli K, Andersen S, Busund LT, et al. (2008) Prognostic impact of platelet-derived growth factors in non-small cell lung cancer tumor and stromal cells. J Thorac Oncol 3: 963–970.
- Donnem T, Andersen S, Al Shibli K, Al Saad S, Busund LT, et al. (2010) Prognostic impact of Notch ligands and receptors in nonsmall cell lung cancer: coexpression of Notch-1 and vascular endothelial growth factor-A predicts poor survival. Cancer.

## **Author Contributions**

Conceived and designed the experiments: SA TD L-TB RMB. Performed the experiments: SA SA-S KA-S. Analyzed the data: SA TD HS SA-S KA-S L-TB RMB. Contributed reagents/materials/analysis tools: SA SA-S KA-S TD. Wrote the paper: SA TD HS L-TB RMB.

- Amatschek S, Koenig U, Auer H, Steinlein P, Pacher M, et al. (2004) Tissuewide expression profiling using cDNA subtraction and microarrays to identify tumor-specific genes. Cancer Res 64: 844–856.
- Boddy JL, Fox SB, Han C, Campo L, Turley H, et al. (2005) The androgen receptor is significantly associated with vascular endothelial growth factor and hypoxia sensing via hypoxia-inducible factors HIF-1a, HIF-2a, and the prolyl hydroxylases in human prostate cancer. Clin Cancer Res 11: 7658–7663. 11/ 21/7658 [piii]:10.1158/1078-0432.CCR-05-0460 [doi].
- Couvelard A, Deschamps L, Rebours V, Sauvanet A, Gatter K, et al. (2008) Overexpression of the oxygen sensors PHD-1, PHD-2, PHD-3, and FIH Is associated with tumor aggressiveness in pancreatic endocrine tumors. Clin Cancer Res 14: 6634–6639. 14/20/6634 [pii];10.1158/1078-0432.CCR-07-5258 [doi].
- Gossage L, Zaitoun A, Fareed KR, Turley H, Aloysius M, et al. (2010) Expression of key hypoxia sensing prolyl-hydroxylases PHD1, -2 and -3 in pancreaticobiliary cancer. Histopathology 56: 908–920. HIS3566 [pii];10.1111/ j.1365-2559.2010.03566.x [doi].
- Henze AT, Riedel J, Diem T, Wenner J, Flamme I, et al. (2010) Prolyl hydroxylases 2 and 3 act in gliomas as protective negative feedback regulators of hypoxia-inducible factors. Cancer Res 70: 357–366. 0008-5472.CAN-09-1876 [pii];10.1158/0008-5472.CAN-09-1876 [doi].
- Jokilehto T, Rantanen K, Luukkaa M, Heikkinen P, Grenman R, et al. (2006) Overexpression and nuclear translocation of hypoxia-inducible factor prolyl hydroxylase PHD2 in head and neck squamous cell carcinoma is associated with tumor aggressiveness. Clin Cancer Res 12: 1080–1087. 12/4/1080 [piii:10.1158/1078-0432.CCR-05-2022 [doi].
- Su Y, Loos M, Giese N, Hines OJ, Diebold I, et al. (2010) PHD3 regulates differentiation, tumour growth and angiogenesis in pancreatic cancer. Br J Cancer 103: 1571–1579. 6605936[pii];10.1038/sj.bjc.6605936 [doi].
- Tan EY, Yan M, Campo L, Han C, Takano E, et al. (2009) The key hypoxia regulated gene CAIX is upregulated in basal-like breast tumours and is associated with resistance to chemotherapy. Br J Cancer 100: 405–411. 6604844 [pii];10.1038/sj.bjc.6604844 [doi].
- Mazzone M, Dettori D, Leite de OR, Loges S, Schmidt T, et al. (2009) Heterozygous deficiency of PHD2 restores tumor oxygenation and inhibits metastasis via endothelial normalization. Cell 136: 839–851. S0092-8674(09)00068-3 [pii];10.1016/j.cell.2009.01.020 [doi].
- Ginouves A, Ilc K, Macias N, Pouyssegur J, Berra E (2008) PHDs overactivation during chronic hypoxia "desensitizes" HIFalpha and protects cells from necrosis. Proc Natl Acad Sci U S A 105: 4745–4750. 0705680105 [pii];10.1073/ pnas.0705680105 [doi].
- Chan DA, Kawahara TL, Sutphin PD, Chang HY, Chi JT, et al. (2009) Tumor vasculature is regulated by PHD2-mediated angiogenesis and bone marrow-derived cell recruitment. Cancer Cell 15: 527–538. S1535-6108(09)00144-5 [pii];10.1016/j.ccr.2009.04.010 [doi].
- Erez N, Milyavsky M, Eilam R, Shats I, Goldfinger N, et al. (2003) Expression of prolyl-hydroxylase-1 (PHD1/EGLN2) suppresses hypoxia inducible factorlalpha activation and inhibits tumor growth. Cancer Res 63: 8777–8783.
- Nagel S, Talbot NP, Mecinovic J, Smith TG, Buchan AM, et al. (2010) Therapeutic manipulation of the HIF hydroxylases. Antioxid Redox Signal 12: 481–501. 10.1089/ars.2009.2711 [doi].
- Rami-Porta R, Crowley JJ, Goldstraw P (2009) The revised TNM staging system for lung cancer. Ann Thorac Cardiovasc Surg 15: 4–9. atcs/2009\_15\_1/10
- Al-Saad S, Al-Shibli K, Donnem T, Persson M, Bremnes RM, et al. (2008) The prognostic impact of NF-kappaB p105, vimentin, E-cadherin and Par6 expression in epithelial and stromal compartment in non-small-cell lung cancer. Br J Cancer 99: 1476–1483.
- Al-Shibli KI, Donnem T, Al-Saad S, Persson M, Bremnes RM, et al. (2008) Prognostic effect of epithelial and stromal lymphocyte infiltration in non-small cell lung cancer. Clin Cancer Res 14: 5220–5227.
- Soltermann A, Tischler V, Arbogast S, Braun J, Probst-Hensch N, et al. (2008) Prognostic significance of epithelial-mesenchymal and mesenchymal-epithelial transition protein expression in non-small cell lung cancer. Clin Cancer Res 14: 7430–7437.

