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Breathtaking brains

Intrinsic neural adaptations to hypoxia

Samuel J Geiseler

A dissertation for the degree of Philosophiae Doctor – January 2016

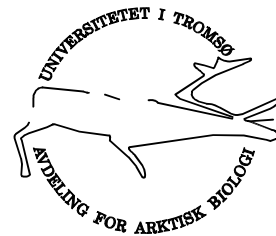


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Title picture

Recording from a hippocampal slice taken from a hooded seal (*Cystophora cystata*): Bottom-right double-wire is stimulating electrode (S1), placed in the *stratum radiatum* to stimulate Schaffer Collaterals; bottom-left glass-recording electrode (R1), placed in the *stratum radiatum* to record field excitatory post-synaptic potentials (fEPSP) evoked by S1. Top-left double-wire is stimulating electrode (S2), placed in the *alveus* layer to stimulate axons of pyramidal cells; top-right glass-recording electrode (R2), located in *stratum pyramidale* to record population spike evoked by S2.

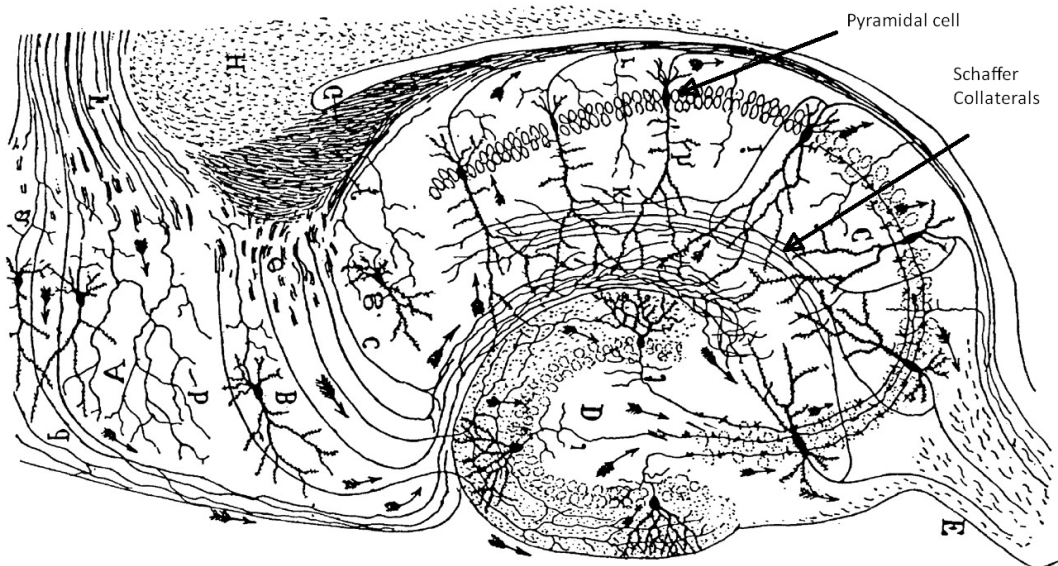


Figure 0.1: Neural circuitry of the rodent hippocampus. Modified after Cajal (1909-1911).

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List of papers

Paper I

Czech-Damal NU, Geiseler SJ, Hoff ML, Schliep R, Ramirez JM, Folkow LP, Burmester T (2014) **The role of glycogen, glucose and lactate in neuronal activity during hypoxia in the hooded seal (*Cystophora cristata*) brain.** Neuroscience 275:374-383.

Paper II

Geiseler SJ, Ludvigsen S, Folkow LP (2015) **KATP-channels play a minor role in the protective hypoxic shut-down of cerebellar activity in eider ducks (*Somateria mollissima*).** Neuroscience 284:751-758.

Paper III

Geiseler SJ, Larson J, Folkow LP (2016) **Synaptic transmission despite hypoxia in hippocampal slices of the deep-diving hooded seal.** Neuroscience, submitted.

Summary

To maintain and restore the membrane potential that is crucial for normal function of neurons, the brain requires a constant and high energy supply. This supply is mainly covered by oxidative metabolism. When oxygen supply is impaired on a cellular level (hypoxia), such as in the case of stroke, neural energy levels become inadequate to maintain ion balance, leading to excessive ion flux and ultimately to neural death.

Despite the brain's high vulnerability to hypoxia, a number of animals are exposed to and survive hypoxia on a regular basis. Consequently, these animals must possess intrinsic adaptations to hypoxia. An overview of some of these neural adaptations is presented here, with focus on two diving animal species, the hooded seal (*Cystophora cristata*) and the eider duck (*Somateria molissima*).

To cover their energy requirements, mammalian neurons generally seem to aerobically metabolize lactate provided by astrocytes. Energy metabolism in the seal brain, however, appears to be organized differently with regard to the roles of astrocytes and neurons, possibly reducing oxidative stress in neurons and enhancing neuronal anaerobic capacity during hypoxia. In paper I we investigated the role of glucose and lactate as fuel sources in hooded seal neurons. We confirmed that spontaneous neuronal activity is maintained in hypoxia, both in the presence of glucose, but also in lactate and aglycemia. While the first implies an increased anaerobic capacity, the latter is possibly due to the enhanced neural glycogen reserves that we found, which are also found in other hypoxia-tolerant species.

To cope with the reduced energy available during hypoxia, many hypoxia tolerant species reduce brain activity to decrease energy demand. In accordance with this strategy, some neurons in the hooded seal and eider duck brain display a

shutdown response to hypoxia. In paper II we showed that K_{ATP} -channels are present in the eider duck brain and may contribute to reduce neuronal activity during hypoxia.

In paper III we investigated the effect of hypoxia on neuronal communication in the hooded seal. We showed that hippocampal slices *in vitro* are able to maintain synaptic transmission for at least 3 h in severe hypoxia. We found attenuated paired pulse facilitation in the seal hippocampus and suggest that this may reflect an altered presynaptic calcium regulation in the seal neurons to mitigate the detrimental effects of excessive calcium influx.

The presented results contribute to our understanding of intrinsic neural adaptations in hypoxia tolerant animals. The underlying mechanisms seem to contribute to a reduction in neural energy demand by attenuating activity, maintain energy balance by adapting metabolism, and employ various molecular mechanisms to protect the brain from hypoxic damage.

Introduction

We take for granted many things in life, only missing them when they are gone. In some cases this is less dramatic, but sometimes the consequences can be quite severe, such as when the brain loses blood supply (ischemia) in the case of cardiovascular diseases. Stroke is still one of the major causes of human death in the western world, occurring on average every 40 seconds and leading to one death every 4 min in the USA while cardiovascular diseases kill over 2150 Americans every day (Mozaffarian et al., 2015).

The pathophysiology of stroke-induced cerebral ischemia has been extensively investigated (for review see e.g. Dirnagl et al., 1999 and Lipton, 1999). In short: ischemia restricts substrate supply to the brain, in particular glucose and oxygen. This impairs the brain's energy supply (adenosine triphosphate, ATP) necessary to maintain neural integrity, ultimately leading to cell death.

Most of the brain's ATP consumption is used for ion pumping to maintain neuronal membrane potential during synaptic transmission (Harris et al., 2012). When ATP levels decrease, the Na⁺/ K⁺- ATPase ion-pump will eventually not be able to maintain the ion-balance and neurons will depolarize. This will lead to the release of neurotransmitters, including the excitatory neurotransmitter glutamate, which activates N-methyl-D-aspartate (NMDA) and α-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors (Choi, 1988, Novelli et al., 1988). The continuous excitation will lead to an uncontrolled flood of Ca²⁺ from extra- and intracellular stores, which leads to calcium overload in the neurons (Lipton and Whittingham, 1979). The excessive intracellular messenger Ca²⁺ then over-activates several enzyme systems, leading to the generation of free radicals which damage cell membranes, DNA and mitochondria, subsequently triggering apoptosis (Dirnagl et al., 1999; fig 1).

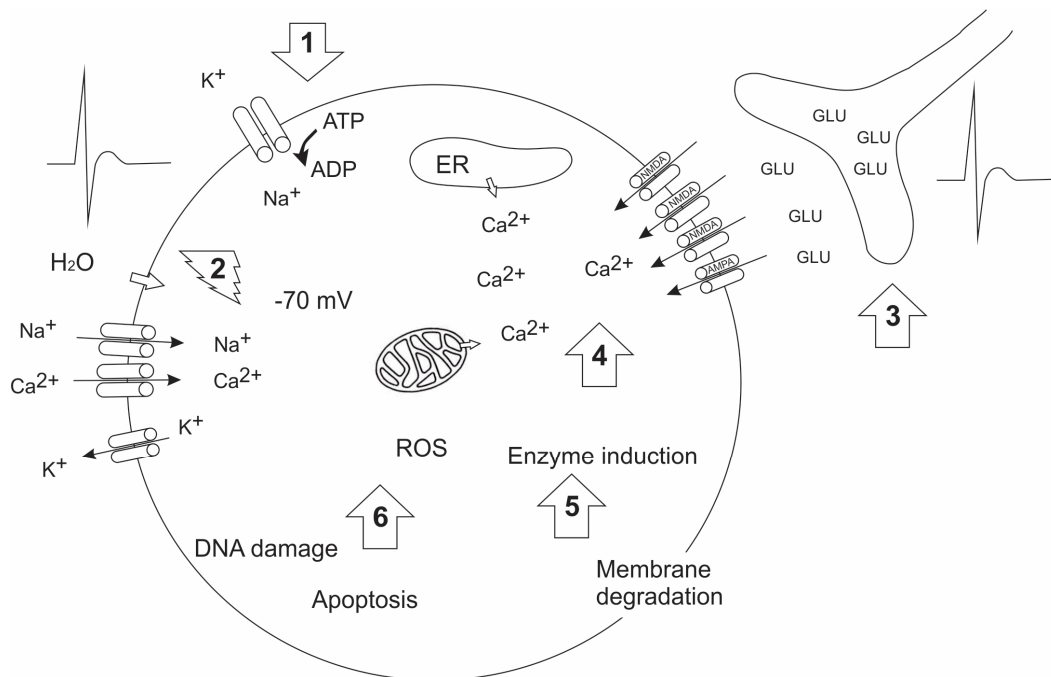


Figure 1: Detrimental steps resulting from hypoxia: **1** energy failure, **2** disruption of ion homeostasis/depolarization, **3** (uncontrolled) release of neurotransmitters (glutamate) and over activation of NMDA/AMPA receptors, **4** excessive influx of Ca^{2+} and release from intracellular stores leading to Ca^{2+} -overload, **5** over activation of enzyme systems leading to production of free radicals, **6** damage of cell components, DNA damage and apoptosis. Modified after Dirnagl et al. (1999) and Drew et al. (2004).

Most of the brain's ATP supply is derived from aerobic respiration in mitochondria, which yields 19 times more ATP from each metabolized glucose molecule than the alternative anaerobic metabolism. Under normoxic conditions, more than 95% of brain glucose utilization is based on oxidative phosphorylation (Erecińska and Silver, 1994). Even though it represents only 2 % of the body mass (BM), the human brain consumes ~20 % of body oxygen use (Mink et al., 1981, Rolfe and Brown, 1997).

Despite extensive research on the pathophysiology of insufficient oxygen supply (hypoxia), related diseases such as stroke remain one of the leading causes of

human death in the western world (Mozaffarian et al., 2015); Novel clinical approaches might therefore be desirable.

Animal models and aim of thesis

The Nobel Prize winning Danish physiologist August Krogh (1874 –1949) once wrote “For a large number of problems there will be some animal of choice, or a few such animals, on which it can be most conveniently studied.” (Krogh, 1929).

There is a large number of animals which are exposed to hypoxia on a regular basis and survive it without obvious damage. These organisms must possess intrinsic defense mechanisms against the above-described detrimental effects of hypoxia and consequently these animals should be particularly well suited to study adaptations to hypoxia. A number of such adaptations have been discovered in these organisms and the understanding of their intrinsic hypoxia tolerance might be of high clinical relevance (Bickler, 2004, Ramirez et al., 2007, Larson et al., 2014).

This thesis aims at summarizing the research on this topic with focus on adaptations to acute neural hypoxia, as experienced by diving animals. In this context I will present my own contribution to this field, which focussed on the deep diving hooded seal (*Cystophora cristata*) and the common eider duck (*Somateria mollissima*). Neurons of the hooded seal are able to generate action potentials after up to 1 h in severe hypoxia (Folkow et al., 2008). Similarly, eider duck neurons survive 1 h episodes of hypoxia as well as chemical anoxia (Ludvigsen and Folkow, 2009). This display of exceptional neuronal hypoxia tolerance makes both species worthy study objects to shed light on intrinsic adaptations. In addition, the relatively large size and complexity of the seal brain (Graham and

Hickie, 1986, Eisert et al., 2014) makes the hooded seal a very relevant model in a clinical context.

Intrinsic adaptations to hypoxia

Two main strategies are involved in hypoxia tolerance of adapted animals: I) increased body oxygen reserves to prevent, or at least delay, hypoxia during times of low oxygen availability; II) reduced oxygen demand by decreased metabolic processes. Both strategies are supplemented by various defense and repair mechanisms to prevent and/or relieve hypoxia induced damage (for review see e.g. Ramirez et al. 2007 and Larson et al. 2014).

Oxygen stores

Increased body oxygen stores are the most expressed in diving animals. The deep diving hooded seal for example has oxygen reserves of almost 90 ml O₂/kg BM (Burns et al., 2007) compared to 20 ml O₂/kg BM in humans (Kooyman, 1989). Among birds the deep diving emperor penguin (*Aptenodytes forsteri*) possesses 53 ml O₂/kg BM (Kooyman and Ponganis, 1998). For the largest part, those stores are based on high levels of the oxygen-binding molecules hemoglobin in blood and myoglobin in muscles (Kooyman and Ponganis, 1998, Burns et al., 2007). More specific to the brain, Burmester et al. (2000) discovered a third protein which possibly increases brain-specific oxygen stores, namely neuroglobin (Ngb). Indeed, the brain of minke whales (*Balaenoptera acuto-rostrata*), harbor porpoises (*Phocoena phocoena*) and the subterranean mole-rat (*Spalax sp*) have high expression rates of Ngb (Avivi et al., 2010, Schnerer et al., 2012). Surprisingly, Ngb expression in seals is no higher than in terrestrial mammals (Schnerer et al., 2012). The distribution of Ngb, however, differs: Most mammals express Ngb in neurons, where most mitochondria are also found. In seals mitochondria seem to be

primarily located in astrocytes instead of neurons and the same was found for Ng2 expression (Mitz et al., 2009), suggesting a different hypoxia adaptation strategy in the seal brain.

Adapted neural metabolism

The main fuel for the mammalian brain is glucose (Clarke and Sokoloff, 1999), which is metabolized anaerobically in astrocytes. There is growing evidence that astrocytes then provide lactate to neurons via an astrocyte-neuron lactate shuttle (ANLS) for aerobic metabolism (Pellerin and Magistretti, 1994, Schurr et al., 1997b, Kasischke et al., 2004, Bergersen, 2007, Pellerin and Magistretti, 2012) even though this hypothesis was challenged by Chih and colleagues (Chih et al., 2001, Chih and Roberts, 2003). Recent research suggests a beneficial role of lactate in the brain (Bergersen, 2015), there is evidence that neurons in mice and rats prefer lactate over glucose as a fuel source in normoxia, and that lactate even supports neuronal recovery after hypoxia (Schurr et al., 1988, Schurr et al., 1997a, Schurr, 2002). In seals, however, the ANLS mechanism might be reversed: due to the localization of mitochondria and Ng2 expression in astrocytes (as opposed to neurons) it seems that oxidative metabolism is located primarily in astrocytes (Mitz et al., 2009, Schneuer et al., 2012). This would have the advantage that seal neurons are less dependent on oxygen and avoid oxidative stress from mitochondrial activity (Turrens, 2003, Halliwell, 2006, Mitz et al., 2009), e.g. upon re-oxygenation. If such a reverse ANLS is present in hooded seals, we would expect seal neurons to be well adapted to anaerobic glycolysis and possibly display differences in lactate utilization compared to mice. In **paper I** we therefore compared spontaneous neuronal activity during hypoxia in cortical brain slices from hooded seals and mice *in vitro*, providing them with glucose (10 mM) or the equimolar amount of lactate (20 mM) as fuel. In this context, it is worth noting that post-dive plasma lactate levels of 14-25 mM have been recorded in live seals (Scholander, 1940, Kooyman et al., 1980, Kooyman et al., 1983). In addition, we investigated the role of stored glycogen in the hooded seal brain.

The results of this study confirm the hypoxia tolerance of hooded seal neurons. The observed survival of neurons in hypoxia in presence of glucose might be explained with increased anaerobic capacity in the seal neurons in accordance with a reversed ANLS (Mitz et al., 2009), but surprisingly lactate and aglycemia were equally well tolerated. Lactate levels above 4 mM are usually detrimental (Broder and Weil, 1964, Phypers and Pierce, 2006) which is likely the reason why mice neurons in 20 mM lactate did not survive even in normoxia. The maintenance of activity in the seal neurons in the presence of 20 mM lactate suggests an increased tolerance to lactic acidosis, even though recent research does not confirm increased buffering capacity in the hooded seal brain (Müller-Hoff et al., 2016). The same study, however, suggests an increased aerobic capacity of seal astrocytes, which is in accordance with a reverse ANLS, even though low general levels of LDH dismiss a generally increase anaerobic capacity (Müller-Hoff et al., 2016). The enduring activity of seal neurons in hypoxia might at least partly be explained with the ~4-fold higher glycogen levels that we found in the seal brain compared to in mice, a value which is similar to in other hypoxia-tolerant vertebrates (Kerem et al., 1973, Lutz et al., 2003). Glycogen is mainly found in astrocytes (Cataldo and Broadwell, 1986), where it is thought to support energy production in hypoglycemia (Choi et al., 2003). In accordance with a reversed ANLS hypothesis in seals, we would expect glycogen to be located mainly in neurons, and active neuronal glycogen metabolism has indeed recently been shown to increase hypoxia tolerance even in mice (Saez et al., 2014). The exact location of seal glycogen stores remains to be investigated.

My own preliminary results from *in vitro* studies on hooded seal hippocampal slices seem to contradict the results described above: slices provided with lactate as a fuel-source lost synaptic transmission around 20 min into hypoxic conditions, and did not recover when reoxygenated (n=4, Geiseler, unpublished observations). Hypoxia in a glucose substrate was tolerated for any lengths of time tested, i.e. at least 3 h (paper III) and in one experiment almost 5 h (Geiseler,

unpublished observation). While these results fit well with the suggested reversed ANLS, it is surprising that some seal neurons maintain activity during hypoxia in lactate and aglycemia (cortex) much longer than others do (hippocampus). A possible explanation might be a selective vulnerability of certain brain regions to hypoxia (Cervos-Navarro and Diemer, 1991) and indeed neurons of the CA1 region in the hippocampus seem to be especially vulnerable (Schmidt-Kastner and Freund, 1991, Bartsch et al., 2015).

Protective shutdown response

When oxygen becomes scarce and anaerobic energy production reaches its limits, neurons might survive by reducing activity to prevent energy depletion as suggested by Hochachka some 30 years ago (Hochachka and Dunn, 1983, Hochachka, 1986). A number of animals reduce systemic energy demand by reducing their body metabolism e.g. during hibernation (for review e.g. Heldmaier et al., 2004). Some vertebrates such as freshwater turtles (*Trachemis scripta* and *Chrysemis picta*), crucian carp (*Carassius carassius*) and frogs (*Rana spp*), survive anoxia for hours to months at a time by entering a state of deep hypometabolism (Lutz and Nilsson, 2004, Jackson and Ultsch, 2010). Diving animals usually experience much shorter episodes of hypoxia than hibernating animals. Furthermore, since diving activity is usually connected to foraging, a systemic shutdown as observed in hibernating animals (e.g. Drew et al. 2013), would not be possible. Some systemic reductions in energy demand, however, are also present in diving seals, albeit at a much more modest scale. Seals display bradycardia and vasoconstriction, during dives, thereby reducing perfusion and hence metabolism in body parts not essential for immediate survival (Scholander, 1940, Blix et al., 1983, Folkow and Blix, 2010). This reserves the available resources for critical organs such as the brain, which seems to receive increased perfusion during episodes of hypoxia (Blix and Folkow, 1983). A similar increase in cerebral blood flow was also observed in turtles and crucian carp during shorter episodes and the initial phase of anoxia (Hylland et al., 1994, Nilsson et al., 1994). Adjusted brain

perfusion during hypoxia seems to be also responsible for brain cooling in seals and ducks, which is suggested to reduce cerebral oxygen demand by up to 25% in seals (Caputa et al., 1998, Odden et al., 1999, Blix et al., 2010). There is evidence for reduced neuronal activity in response to hypoxia in the turtle (Fernandes et al., 1997), eider duck (Ludvigsen and Folkow, 2009) and hooded seal (paper I). This suggests that a protective shutdown response is not only present on a systemic level but also specifically in some neurons in hypoxia-tolerant animals (Ramirez et al., 2007).

K_{ATP}-channels

In **paper II** we studied the mechanisms for a depression of activity in response to hypoxia in the eider duck. ATP sensitive potassium channels (K_{ATP}-channels) open when ATP levels are low. The resulting hyperpolarization attenuates neuronal excitability and thereby reduces activity (Hansen, 1985, Fujimura et al., 1997, Mironov and Richter, 2000, Ballanyi, 2004). In addition it was shown that K_{ATP}-channels attenuate excitatory neurotransmitter release (Tanaka et al., 1995) and reduce AMPA receptor currents in anoxic turtle neurons, contributing further to a reduction in neuronal excitability (Zivkovic and Buck, 2010). Looking into the effect of K_{ATP}-channels on spontaneous activity in the cerebellum *in vitro*, we found that K_{ATP}-channels are indeed present in the eider duck cerebellum, even though they do not seem to account alone for the observed shutdown response to hypoxia (paper II).

Adenosine

The neuromodulator adenosine could be an additional protective agent in the shutdown response. Besides activating K_{ATP}-channels, ATP depletion leads to a proportionally greater release of adenosine than other ATP breakdown products, indicating that energy depletion is both a potent and selective stimulus for adenosine formation and release (Nilsson and Peter, 1992, Lloyd et al., 1993). An increase in adenosine in response to hypoxia is well described (Van Wylen et al., 1986, Phillis et al., 1987) and also its presence in the anoxia-tolerant brain of the

freshwater turtle (Nilsson and Peter, 1992, Hylland et al., 1994). Adenosine is commonly associated with neuroprotective functions during hypoxia (Rudolphi et al., 1992, Wardas, 2002, Cunha, 2005). It increases cerebral blood flow (Coney and Marshall, 1998) and modulates neuronal activity by acting on various receptors, leading to a decrease in excitatory transmitter release and inhibition of neuronal activity (Prince and Stevens, 1992, Dunwiddie and Masino, 2001, Andoh et al., 2006). In the freshwater turtle, adenosine seems to promote neuronal ion channel arrest by decreasing NMDA receptor activity and ion permeability (Pek and Lutz, 1997, Buck and Bickler, 1998, Buck, 2004) which further contributes to reduce neuronal excitability. In the naked mole-rat (*Heterocephalus glaber*), however, Larson and Park (2009) found that synaptic transmission seems to be less affected by adenosine as in mouse and suggests this might be due to an altered presynaptic calcium regulation in the naked mole-rat (discussed below).

Inhibitory and excitatory amino acids/neurotransmitters

Another candidate mechanism to protect from excitotoxicity is the regulation of neurotransmitters. In the anoxia tolerant painted turtle, the inhibitory neurotransmitter GABA seems to reduce electrical activity in response to anoxia, both by inhibiting depolarizing ion current and suppressing excitatory glutamate release (Pamenter et al., 2011, Pamenter et al., 2012). In addition, both the freshwater turtle and crucian carp display an increase of GABA concentration in response to hypoxia, while glutamate is downregulated (Nilsson, 1990, Nilsson et al., 1990, Nilsson and Lutz, 1993).

In further experiments, we therefore looked into the effect of glutamate and GABA on spontaneous activity for the same *in vitro* model of spontaneous activity in the cerebellum of the eider duck, which we used in paper II. The observed responses were, however, varying to a large degree in the Purkinje cell layer we recorded from. This was possibly due to the complexity of functional circuits for this area,

which receives multiple inputs of both excitatory and inhibitory nature (Womack and Khodakhah, 2002).

In a further effort to investigate the possible role of neurotransmitters in hypoxia adaptation, we investigated the intrinsic changes of their concentrations in response to hypoxia. Kirschner et al. (2009) showed that hippocampus glutamate and the NMDAR coactivator D-serine are elevated in the rat *in vitro* in response to oxygen glucose deprivation, as expected due to the excitotoxic cascade in the non-hypoxia-tolerant brain. In contrast, the hypoxia-tolerant hibernating arctic ground squirrel (*Spermophilus parryii*), displayed a delayed and attenuated increase in hippocampal glutamate concentration (Drew et al., 2013). In a similar micro-perfusion setup, using cerebellar slices of eider duck and chicken (*Gallus gallus*) *in vitro* we compared the efflux of glutamate, serine and in addition the possibly neuroprotective amino acid glycine. The latter is upregulated in the freshwater turtle in response to anoxia and might be involved in attenuating neuronal activity (Nilsson, 1990, Nilsson et al., 1990). When exposed to hypoxia, the efflux of all compounds from both eider duck and chicken brain slices tended to increase slightly, but the variation in our results was too high to draw any conclusions (Ragazzi et al., 2014).

Synaptic transmission

Synaptic transmission consumes most of the brain's ATP (Harris et al., 2012). To be able to investigate directly how synaptic transmission is affected by hypoxia, we adapted the widely used method of evoked field excitatory post synaptic potential (fEPSP) recordings in the CA1 region of the hippocampus *in vitro* (e.g. Lipton and Whittingham, 1979, Croning and Haddad, 1998, Larson and Park, 2009). This was applied to our hooded seal model as shown in **paper III**.

The hippocampus is a widely studied part of the mammalian brain consisting of several distinct layers and areas. Due to the laminar and highly organized structure, the hippocampus is a well suited organ to study synaptic activity, such

as the fEPSP at the synapses of the Schaffer collateral fibers and pyramidal cell neurons (Andersen, 1960). The selective vulnerability to brain ischemia of the hippocampus, and especially the CA1 region (Schmidt-Kastner and Freund, 1991, Ying et al., 1997), makes this organ a well-suited study object for intrinsic adaptations to hypoxia.

Besides adapting the method for the first time to large mammals, we were not only able to confirm that seal neurons tolerate severe hypoxia for an extended time, but we also showed that they are able to maintain synaptic transmission for at least 3 h in severe hypoxia (paper III). Notably, also for the synaptic transmission, we observed a depression in activity (fEPSP) in response to hypoxia. The signal, however, always remained at around 30 % of the initial strength. Possible mechanisms for the reduction in neuronal activity in response to hypoxia are discussed above but remain to be investigated in the hooded seal. As mentioned, adenosine has an inhibitory effect in non-hypoxia-tolerant animals and is also upregulated in the turtle in response to hypoxia. Since synaptic transmission in the naked mole-rat is much less inhibited by adenosine than in rats (Larson and Park, 2009), adenosine might not be directly involved in the immediate shutdown of activity. The underlying reason is possibly an altered presynaptic calcium regulation in hypoxia tolerant neurons in mammals, as discussed below.

Calcium regulation

Even though moderate increase of intracellular calcium seems to be neuroprotective (Bickler and Fahlman, 2004), the excessive glutamate-mediated influx of calcium is one of the principal causes of hypoxic damage (Lutz et al., 2003). Reduced Ca^{2+} influx was indeed observed in hypoxia-tolerant animals (Bickler and Gallego, 1993, Peterson et al., 2012a) but the exact mechanisms behind the protection from extensive Ca^{2+} -influx remain to be elucidated. Interestingly, the hypoxia-tolerant naked mole-rat lacks the otherwise ubiquitous

short-term neuroplastic phenomenon of paired pulse facilitation (PPF) (Zucker, 1989, Larson and Park, 2009) and also in the hooded seal we found significantly attenuated PPF compared to reindeer or mouse. According to the residual calcium theory (e.g. Katz and Miledi, 1968 and Zucker, 1989) the saturation of calcium binding proteins, such as calbindin d28k, might be responsible for a transient increase in Ca^{2+} in synaptic terminals immediately after excitation (Blatow et al., 2003). It was shown that an increase in calbindin d28k or the presence of parvalbumin suppresses PPF (Chard et al., 1995, Caillard et al., 2000). In this context, we investigated the hypothesis of increased Ca^{2+} buffering ability in hooded seals and compared calbindin d28k and parvalbumin gene-expression in response to normoxia and hypoxia in hippocampal slices from seals compared to mice. Even though we did not find a difference between normoxia and hypoxia in either species, we do not exclude the calcium buffering theory, since the calcium buffering capacity of the seal could be constitutively enhanced in the hypoxia-tolerant seal neurons, which would also explain why we see attenuated PPF *in normoxia*. To clarify this question, however, a detailed quantitative analysis of the actual protein levels would be needed to investigate the Ca^{2+} buffering capacity in synaptic terminals of hooded seals.

Additional intrinsic hypoxia adaptations

Besides the described mechanisms, a number of additional repair and protective mechanisms have been investigated in hypoxia-tolerant animals. Excitability seems to be generally reduced, indicated by beta-opioid mediated suppression of NMDA receptor currents in the turtle brain (Pamenter and Buck, 2008) and decreased NMDA receptor function in the arctic ground squirrel (Zhao et al., 2006). Heat shock proteins (HSP) are ubiquitous protectors against stress-induced denaturation (Feder and Hofmann, 1999) and high levels of HSP were found in the turtle in response to anoxia (Lutz and Milton, 2004). Further repair mechanisms are upregulated in the turtle (Milton et al., 2008, Nayak et al., 2011) and might be active in the arctic ground squirrel (Dave et al., 2009). Both Ng2 and adenosine

seem to protect the turtle brain from reactive oxygen species (Milton et al., 2007, Nayak et al., 2009).

Neonates and preconditioning

Limited intrinsic hypoxia tolerance exists also in terrestrial mammals. Neonate terrestrial mammals experience intrauterine oxygen levels corresponding to 8000 m altitude and correspondingly express a similar neural tolerance to hypoxia as some of the above described animals (Singer, 1999, Bickler, 2004, Folkow et al., 2008). Neonatal rats exhibit decreased glutamate mediated calcium influx in response to hypoxia (Friedman and Haddad, 1993, Bickler and Hansen, 1998) similar to turtles or naked mole-rat (Bickler and Gallego, 1993, Peterson et al., 2012a). More parallels in the naked mole-rat to neonate terrestrial mammals are a retention of neonatal NMDA subunits (Peterson et al., 2012b) and the absence of PPF (Larson and Park, 2009). The maintenance of ion balance and delay in hypoxic depolarization is similar in turtles and neonates (Hansen, 1977, Sick et al., 1982, Trippenbach et al., 1990), possibly due to low membrane permeability and ion channel density (Edwards et al., 1989, Xia and Haddad, 1994).

Leading back to the clinical relevance of the research described in this thesis, I will briefly mention ischemic preconditioning (IPC). Exposing the brain to short durations of ischemia (i.e. IPC) seems to trigger intrinsic neural protective mechanisms (e.g. Gilchrist and Gidday, 2013). Those protective mechanisms are strikingly similar to what we observe in “true” hypoxia-tolerant animals: IPC suppresses for example excitotoxicity due to increased GABA and decreased glutamate release (Dave et al., 2005) and activates neuroprotective pathways (Raval et al., 2007, Dave et al., 2008). The detailed mechanisms of IPC are, however, beyond the scope of this thesis and are, together with the clinical relevance of IPC, extensively reviewed e.g. by Dirnagl et al. (2009) and Narayanan et al. (2013).

Conclusions and further research

Summarizing the research done on intrinsic hypoxia tolerance, it seems that adapted brains have several defense mechanisms against the detrimental steps described in figure 1. Hypoxia-tolerant neurons 1) maintain adequate energy levels by focusing energy supply or switching to alternative metabolism and by decreasing energy demand due to a reduction of neuronal metabolism and activity; 2) maintain ion balance and membrane potential by reducing ion flux; 3) attenuate release of neurotransmitters (glutamate) and activation of NMDA/AMPA receptors; 4) attenuate influx of Ca^{2+} and release from intracellular stores and thereby prevent Ca^{2+} -overload, and possibly buffer excessive intracellular Ca^{2+} concentrations; 5) inhibit detrimental enzyme systems and prevent production of free radicals and 6) employ various repair and maintenance systems to prevent neuronal degradation (fig 2).

This thesis sheds new light on intrinsic neural adaptations to hypoxia of diving animals. It was shown that K_{ATP} -channels are present in the eider duck brain and possibly involved to some extent in a protective shutdown response to hypoxia (paper III). Furthermore, it was shown that the hooded seal is able to maintain neuronal activity even in the absence of glucose, possibly due to increased neuronal glycogen reserves and that its neurons also tolerate exposure to high lactate levels (paper I). Not only was it possible to confirm the neuronal hypoxia tolerance in the seal brain, but also to show that the usually particularly hypoxia sensitive CA1 region of the hippocampus maintains synaptic transmission for at least 3 h in severe hypoxia (paper III). Both the results from paper I and III are in accordance with the reverse ANLS hypothesis. The attenuated paired pulse facilitation points into the direction of an altered calcium regulation in the hooded seal. By establishing hippocampal evoked fEPSP recording in large mammals (both seal and reindeer) for the first time, we enable further specific research using the

possibilities of a reliable electrophysiology method in a well-studied part of the mammalian brain. It would be for example possible to perform live calcium imaging (Lattarulo et al., 2011) while performing evoked fEPSP experiments in hypoxia, similar to what we did in paper III, simultaneously (Pozzo Miller et al., 1993). This model could then be used to further investigate the hypothesis of blunted calcium influx in hooded seal neurons and the underlying mechanisms.

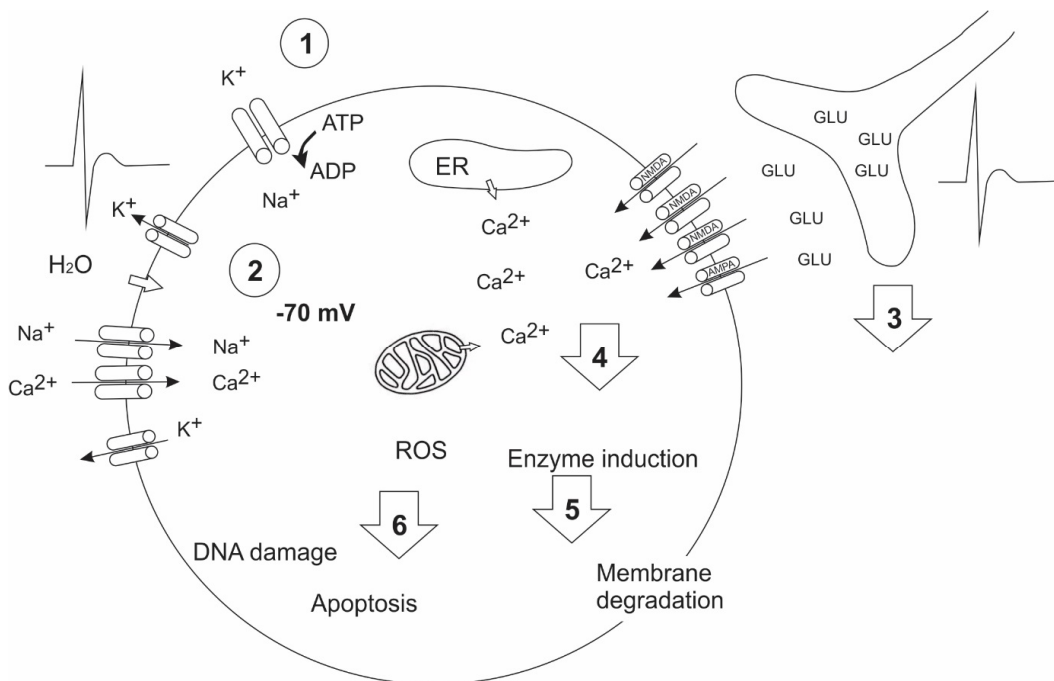


Figure 2: Hypoxia tolerant-neurons in hypoxia: **1** energy levels remain adequate, **2** ion balance and membrane potential is maintained, **3** attenuated release of neurotransmitters (glutamate) and activation of NMDA/AMPA receptors, **4** attenuated influx of Ca²⁺ and release from intracellular stores, preventing Ca²⁺-overload, **5** inhibition of detrimental enzyme systems and reduction of free radicals, **6** various repair and maintenance systems prevent neuronal degradation.

Methodological considerations

Methods

Studying spontaneous neuronal activity gives a good indication of general viability of neurons during experimental manipulation. This method has, however, its limitations concerning specificity of neuronal activity, which is why we adapted the method of hippocampal evoked fEPSP to the hooded seal. Despite this method being widely used, it was never previously applied to large mammals. Scaling the method up to a hippocampus roughly 100 times the size of the commonly used rodent hippocampus proved to be a significant challenge, not least due to limited availability of tissue (see below).

In paper I, the response of spontaneous activity to hypoxia in cortical seal slices was not consistent, some of the slices displayed sustained activity throughout, some showed a slight reduction in activity and some went completely silent in response to hypoxia (see e.g. fig 3 in paper I). Ramirez et al. (2011) hypothesized that intrinsically oscillating persistent activity was observed in seal cortical slices due to unusually thick slices (680 μm), allowing larger networks to remain intact. The use of much thinner slices (400 μm) in our experiments might have compromised the integrity of such networks to some extent, which might explain the differing results.

Animal model

The hooded seal displays impressive diving performance (Folkow and Blix, 1999) and shows a high intrinsic neural tolerance to hypoxia. Together with its relatively large and complex brain, this makes it a relevant model species to study adaptations to such tolerance. Those animals are, however, difficult to come by due to their distribution in the north Atlantic (Folkow and Blix, 1995) and access to them is very limited. We were fortunate to have access once a year during the

breeding season and were able to collect some animals to keep them at our animal facilities in Tromsø. To be able to study not only juvenile and captive animals, we also brought a mobile laboratory, which we put on the deck of a research vessel. This enabled us to perform some of the electrophysiology at the breeding site of hooded seals on location in the pack-ice offshore East Greenland (West-Ice), but represented an obvious large logistic challenge. To supplement the seal data we studied also the eider duck, which is more easily accessible than the hooded seal. Since there is very little knowledge/comparative research about mechanisms of avian neural hypoxia tolerance, studying the regular diving eider duck may provide valuable comparative data.

Ethics

All experiments were performed in accordance with the Norwegian Animal Welfare Act and current Norwegian Regulations on the Use of Animals in Experimentation. Animal suffering was consequently kept to an absolute minimum (catching and handling of the animals) and all electrophysiology experiments on living tissue were performed post-mortem. All relevant approvals were obtained as listed in the papers. The number of animals used were limited to a minimum.

Overview of abbreviations and terms

AMPA(R)	α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (receptor)
BM	Body mass
NMDA(R)	N-methyl-D-aspartate (receptor)
ANLS	Astrocyte neuron lactate shuttle
ATP	Adenosine triphosphate
fEPSP	Field excitatory post synaptic potential
GABA	γ -Aminobutyric acid
HSP	Heat shock protein
IPC	Ischemic preconditioning
K _{ATP} -channels	ATP dependent potassium channels
LDH	Lactate dehydrogenase
Ngb	Neuroglobin
OGD	Oxygen glucose deprived
PPF	Paired pulse facilitation
ROS	Reactive oxygen species
Anoxia	Absence of oxygen supply to an organ or a tissue
Hypoxia	Lower than normal / inadequate oxygen supply to an organ or a tissue
Ischemia	Insufficient supply of blood (perfusion) to an organ or a tissue

Source: medical dictionary (<http://medical-dictionary.thefreedictionary.com/>)

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