

1 **Effect of a quality-improving codend on size selectivity and catch patterns of** 2 **cod in the Barents Sea bottom trawl fishery**

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8 **Abstract**

9 To address the issues related to catch quality of trawl-caught fish a new codend concept
10 developed and tested exhibited significantly improved quality of caught cod (*Gadus morhua*
11 L.) compared to that of the conventional codend used in the Barents Sea bottom trawl fishery.
12 However, the design of the new quality-improving codend raised concerns about its size
13 selectivity and the possibility that lower selectivity could negatively impact the catch pattern
14 by increasing the proportion of undersized cod. Therefore, the goal of this study was to quantify
15 and compare the size selectivity and catch pattern for cod of the conventional and new quality
16 improving codend in the Barents Sea bottom trawl fishery. The new quality-improving codend
17 had significantly lower relative size selectivity than the conventional codend, but no significant
18 difference in the catch patterns was detected. Further, estimation of the absolute size selectivity
19 revealed that the increased retention of small cod when using the quality-improving codend was
20 minor. Hence, despite the reduced selectivity, the quality-improving codend can be used with
21 low risk of retaining small cod.

22 *Keywords:* Codend, bottom trawl, cod, sequential codend, size selectivity

23 **Introduction**

24 The quality of trawl caught fish can vary greatly, and can be of deteriorated catch quality (Digre
25 et al., 2010; Rotabakk et al., 2011). In the Barents Sea bottom trawl fishery, about 70% of the
26 annual quota of Northeast Arctic cod (*Gadus morhua* L.) is caught with bottom trawls (ICES,
27 2015). The technical regulations are largely designed to minimize the amount of bycatch and
28 consist mainly of minimum codend mesh size regulations and the compulsory use of a size
29 selective sorting grid. An important factor that is believed to contribute to catch defects is the
30 large meshes that are regulated by law. Large meshes are required to ensure the possibility of
31 escapement of undersized fish that do not escape through the mandatory size selective sorting

32 grid (Sistiaga et al., 2016a; Brinkhof et al., 2018a). Moreover, codends often are made from
33 coarse materials with a large mesh size, causing high water flow, and thus they do not create a
34 lenient and benign environment for fish.

35 Brinkhof et al. (2018a) recently described a new codend concept, called a dual sequential
36 codend, that demonstrated improved quality of trawl-caught cod. They reported that the
37 probability of catching cod without any visual quality defect was five times higher when using
38 the sequential codend. The codend was designed so that it would maintain the size selective
39 properties required during towing at the seabed while also providing a more quality-preserving
40 environment for the catch during haul-back. In the dual sequential codend, the fish are retained
41 in the anterior codend segment during towing, and this segment has the size selective attributes
42 required by law (i.e., minimum mesh size of 130 mm). The entrance to the posterior codend
43 segment is kept closed with a hydrostatic codend releaser during fishing, and it is opened at a
44 pre-set depth during haul-back. This posterior quality-improving codend segment, which the
45 catch enters during haul-back, consists entirely of small meshes made of thick twine (Brinkhof
46 et al., 2018a). Hence, it is reasonable to assume that when the catch enters the posterior codend
47 segment, the escapement of undersized fish is no longer possible. This could potentially alter
48 the size selective properties of the codend compared to a conventional codend, from which fish
49 are able to escape during haul-back. If few or no fish escape during the haul-back phase
50 regardless of codend type, the overall selectivity of the fishing process would be unaffected by
51 the new codend. However, if fish generally escape from the conventional codend during the
52 haul-back phase, the new codend could potentially affect the overall size selectivity of the
53 fishing process. This would mean that the dual sequential codend would likely retain more
54 undersized fish compared to a conventional codend. Previous studies have documented an
55 ongoing selection process during haul-back (Madsen et al., 2008; Grimaldo et al., 2009;
56 Herrmann et al., 2013; Brinkhof et al., 2017), so this potential issue needed to be investigated.

57 Therefore, the aim of this study was to compare the size selectivity and catch pattern for cod
58 with the conventional and dual sequential codends in the Barents Sea bottom trawl fishery.
59 Specifically, the following research questions were addressed:

- 60 - Is there any difference in the size selectivity between the trawl equipped with the
61 conventional codend or that with equipped with the dual sequential codend?
- 62 - Is there any effect on the length-dependent catch patterns between the two codends?
- 63 - Will the retention risk for small cod be sufficiently low when using the sequential codend?

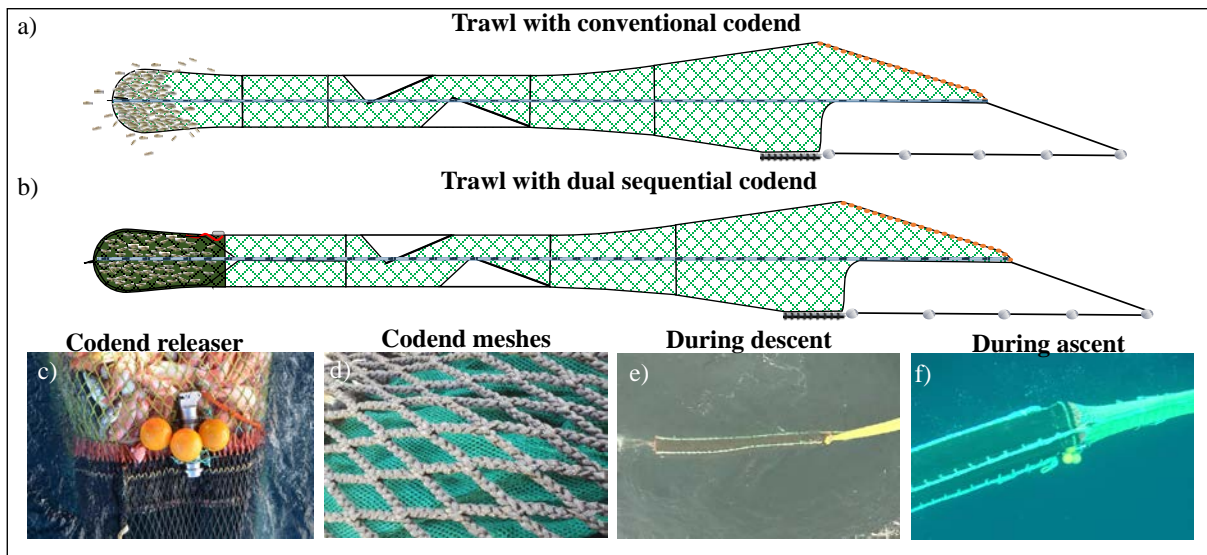
64 **Materials and methods**

65 *Study area, trawl rigging, and data collection*

66 Experimental fishing trials were conducted between 27 February and 5 March 2018 onboard
67 the R/V “Helmer Hanssen” (63.8 m, 4080 HP) along the coast of north Norway in the southern
68 Barents Sea (N 71°21' E 23°43' – N 71°21' E 24°24'). During the first part of the cruise, two
69 identical and commercially rigged trawls were used; one was equipped with a conventional
70 codend and the other was equipped with the sequential codend. The trawls were used
71 alternately, which enabled estimation of the relative size selectivity between the two trawls
72 equipped with the two different codends. The trawls were equipped with Injector Scorpion
73 (3100 kg, 8 m²) otter boards with 3 m long backstraps followed by a 7 m long chain, which was
74 linked to the 60 m long sweeps. To reduce abrasion, an Ø53 cm bobbin was inserted in the
75 center of the sweeps. The 46.9 m long ground gear consisted of a 14 m chain (Ø19 mm) with
76 three bobbins (Ø53 cm) on each side and an 18.9 m long rockhopper gear with Ø53 cm rubber
77 discs. The ground gear was attached to the 19.2 m long fishing line of the trawl. The two trawls,
78 Alfredo No. 3, were built entirely out of polyethylene with a 155 mm mesh size. The headline
79 of the trawls was 35.6 m long and equipped with 170 floats (Ø8”). Both trawls were equipped
80 with a flexigrid with 55 mm bar spacing, which is one of the compulsory sorting grids in this
81 fishery (Sistiaga et al., 2016a).

82 The section with the flexigrid in the conventionally configured trawl was followed by an 9 m
83 long extension piece (150 mm mesh size), which was preceded by a 11 m long two-panel
84 codend consisting of single-braided Ø8 mm Euroline Premium (Polar Gold) netting in the under
85 panel and double-braided Ø4 mm polyethylene in the upper panel, with a mesh size of 133 ±
86 5.1 mm. The second trawl was equipped with a dual sequential codend mounted directly to the
87 flexigrid section (Brinkhof et al., 2018a) (Fig. 1). The first codend segment built in exactly the
88 same way as the conventional codend, and had a mesh size of 139 ± 2.5 mm. The second codend
89 segment, which was the quality-preserving section (Brinkhof et al., 2018a), was 10 m long and
90 consisted of four panels with a nominal mesh size of 6 mm (1440 meshes in circumference, 360
91 meshes in each panel) (Fig. 1). The codend segment was strengthened with an outer knotless
92 codend (Ultracross) with 112 mm nominal mesh size (90 meshes in circumference) and four
93 lastridge ropes, which were 5% shorter than the netting in the codend segment (Fig. 1). Because
94 this codend segment does not meet the size selective properties required due to its small mesh
95 size, the entrance of the codend was closed during fishing at the seabed. During haul-back, the

96 entrance of the codend segment was opened by detaching a choking rope using a hydrostatic
97 codend release mechanism (produced by www.fosstech.no) (Fig. 1). The catch releaser was
98 charged during descent by the ambient pressure. The accumulated pressure was used to open a
99 release hook during the ascent, which then detached the choking rope at a pre-set depth of 120
100 m, thereby enabling free passage of fish from the selective codend segment into the quality-
101 improving codend segment.



102
103 Fig. 1. Setup of the trawl with the (a) conventional codend and (b) dual sequential codend; (c)
104 Dual sequential codend releaser mounted on the codend segment transition with the rope
105 detached; (d) codend meshes; (e) and (f) show the dual sequential codend during descent and
106 ascent, respectively.

107 During the second part of the cruise, a group of hauls were conducted with the trawl with the
108 conventional codend, but all escape outlets were covered with covers to retain all escaping fish
109 that entered the trawl. These hauls were used to document the abundance of all length groups
110 of cod present in the experimental area that entered the trawl, and these data were enabled the
111 estimation of the absolute selectivity of the trawl with the conventional codend and the
112 sequential codend. The small meshed cover placed over the flexigrid was similar to that used
113 by Sistiaga et al. (2016a), whereas the cover placed over the codend was the same as that used
114 by Grimaldo et al. (2017). The total length of all cod retained in the trawls was measured to the
115 nearest lower centimeter; no subsampling was conducted.

116 *Experimental methods*

117 Because the conventional codend and quality-improving codend each were used every second
 118 haul in the same area during the first part of the cruise, the collected catch data were treated as
 119 paired catch comparison data (Krag et al., 2015) obtained by an alternate haul method.

120 *Model for quantifying missing size selectivity in the sequential codend*

121 Based on the approach described by Brinkhof et al., (2017b), the size selectivity process during
 122 trawling with both the conventional and sequential codends can be regarded as a temporal
 123 sequential process consisting of a towing phase (t) followed by a haul-back phase (h). The haul-
 124 back selectivity phase can be viewed as a spatial sequential process, first with selectivity in the
 125 gear before the catch build up zone in the codend (a) followed by a selectivity process in the
 126 codend catch build up zone (b). Based on these considerations, the total selectivity process with
 127 the conventional codend $r_c(l)$ can be modelled by:

$$128 \quad r_c(l) = rt_c(l) \times rha_c(l) \times rhb_c(l) \quad (1)$$

129 whereas the total size selectivity with the sequential codend $r_s(l)$ can be modelled by:

$$130 \quad r_s(l) = rt_s(l) \times rha_s(l) \times rhb_s(l) \quad (2)$$

131 where rt denotes size selectivity during towing; rha denotes size selection in the anterior and
 132 codend sections in front of the catch build up zone during haul-back, which includes the sorting
 133 grid and extension piece; and rhb denotes size selectivity in the catch build up zone of the
 134 codend during haul-back (Fig. 2). Let nc_{li} and ns_{li} be the numbers of fish in length class l caught
 135 in haul pair i in the conventional codend and the sequential codend, respectively. Based on the
 136 group of a paired hauls, we can quantify the experimental average catch comparison rate CC_l
 137 (Herrmann et al., 2017) as follows:

$$138 \quad CC_l = \frac{\sum_{i=1}^a \frac{nc_{li}}{qc_i}}{\sum_{i=1}^a \frac{nc_{li}}{qc_i} + \sum_{i=1}^a \frac{ns_{li}}{qs_i}} \quad (3)$$

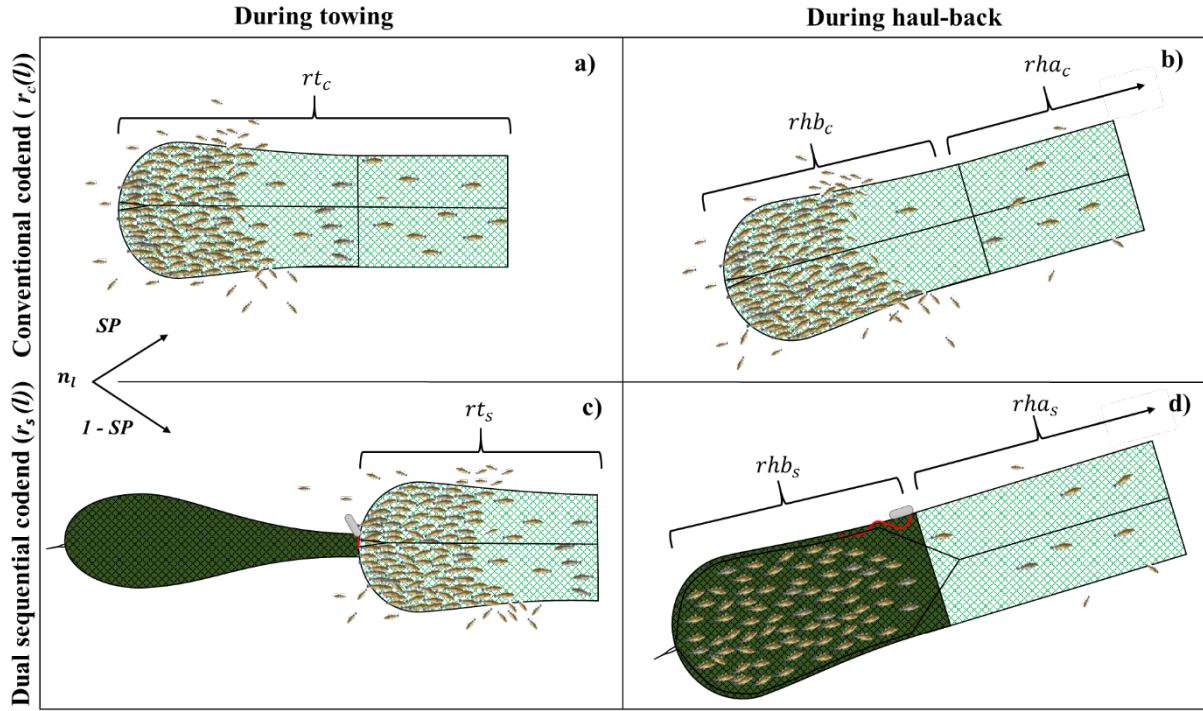
139 where qc_i and qs_i are sampling factors introduced to account for unequal towing time between
 140 the conventional (tc_i) and sequential (ts_i) codend within each pair i fished. Specifically, qc_i and
 141 qs_i were set at:

$$142 \quad qc_i = \frac{tc_i}{\max(tc_i, ts_i)} \quad (4)$$

$$qs_i = \frac{ts_i}{\max(tc_i, ts_i)}$$

143 The next step is to express the relationship between the catch comparison rate $CC(l)$ and the
 144 size selection process for the conventional codend $r_c(l)$ and the sequential codend $r_s(l)$. In this

145 process, assume that the total amount of fish n_l in length class l enters the trawl with the
 146 conventional or sequential codend (Fig. 2).



147

148 Fig. 2. Schematics showing the size selectivity that occurs with the conventional codend ($r_c(l)$)
 149 during (a) towing and (b) haul-back. (c) Size selection in the anterior codend segment of the
 150 dual sequential codend during towing, which, due to the codend design, (d) should cease during
 151 haul-back when the fish enter the posterior quality-improving codend segment.

152 SP is the proportion of fish entering the aft part of the trawl with the conventional codend
 153 compared to the sequential codend. SP is assumed to be length independent. Therefore, the
 154 expected values for $\sum_{i=1}^a \frac{nc_{li}}{qc_i}$ and $\sum_{i=1}^a \frac{ns_{li}}{qs_i}$, respectively, are:

$$\begin{aligned}
 \sum_{i=1}^a \frac{nc_{li}}{qc_i} &= n_l \times SP \times r_c(l) \\
 \sum_{i=1}^a \frac{ns_{li}}{qs_i} &= n_l \times (1 - SP) \times r_s(l)
 \end{aligned}
 \tag{5}$$

156 Based on models (1) to (5) and Fig. 2, the theoretical catch comparison rate $CC(l)$ becomes:

$$CC(l) = \frac{n_l \times SP \times r_c(l) \times rha_c(l) \times rhb_c(l)}{n_l \times SP \times r_c(l) \times rha_c(l) \times rhb_c(l) + n_l \times (1 - SP) \times r_s(l) \times rha_s(l) \times rhb_s(l)}
 \tag{6}$$

158 Next, the following assumptions are introduced:

$$\begin{aligned}
 r_c(l) &\approx r_s(l) \\
 rha_c(l) &\approx rha_s(l) \\
 rhb_s(l) &= 1.0
 \end{aligned}
 \tag{7}$$

160 The first condition assumes that the size selection between the two trawls is approximately
 161 equal during the towing phase because the grid systems are identical and the active codends
 162 during towing are designed to have equal size selectivity. The second condition assumes that
 163 the size selectivity in front of the codends during haul-back is approximately equal based on
 164 the use of the same grid systems and mesh size in the netting. The last condition assumes that
 165 the active codend in the quality-improving codend during haul-back will retain all sizes of cod
 166 due to the small mesh size.

167 Based on the three assumptions equation (6) can be simplified to:

$$168 \quad CC(l) = \frac{SP \times rhb_c(l)}{SP \times rhb_c(l) + 1 - SP} \quad (8)$$

169 With (8) we have obtained a direct relationship between the size selection process that will be
 170 missing with the sequential codend and the catch comparison rate. Therefore, this size
 171 selectivity then can be assessed based on estimating the catch comparison rate.

172 We estimated the average missing size selectivity with the sequential codend using maximum
 173 likelihood methods by minimizing the following equation with respect to the parameters
 174 describing $CC(l)$, which in addition to SP , include the parameters in the model that we apply
 175 for $rhb_c(l)$:

$$176 \quad - \sum_l \left\{ \sum_{i=1}^a \left\{ \frac{nc_{li}}{qc_i} \times \ln(CC(l)) \right\} + \sum_{i=1}^a \left\{ \frac{ns_{li}}{qs_i} \times \ln(1 - CC(l)) \right\} \right\} \quad (9)$$

177 Often, the size selection for diamond mesh codends is described using a Logit size selectivity
 178 model (Wileman et al., 1996):

$$179 \quad r_{logit}(l, l_{50}, SR) = \frac{\exp\left(\frac{\ln(9)}{SR} \times (l - l_{50})\right)}{1 + \exp\left(\frac{\ln(9)}{SR} \times (l - l_{50})\right)}, \quad (10)$$

180 where $L50$ is the length of fish with a 50% probability of being retained during the selection
 181 process and SR is $L75-L25$. Thus, we used model (10) as a starting point. However, we also
 182 must consider the potential situation where only a fraction of the fish in the codend is capable
 183 of attempting to escape, which is obtained by considering the assumed length-independent
 184 contact parameter C (Herrmann et al., 2013) as follows:

$$185 \quad r_{Clogit}(l, C, l_{50}, SR) = 1 - C + C \times r_{logit}(l, l_{50}, SR) = 1 - \frac{C}{1 + \exp\left(\frac{\ln(9)}{SR} \times (l_{50} - l)\right)} \quad (11)$$

186 However, without assuming any specific model for the missing size selectivity ($rhb_c(l)$), such
 187 as equations (10) or (11), we also could formally determine whether there is evidence of missing
 188 size selectivity with the sequential codend by analyzing the catch comparison data. The null
 189 hypothesis was that the size selectivity of the two codend types was equal, which implies that
 190 $rhb_c(l) = 1.0$ for all l . Thus, based on equation (8), $CC(l) = SP$. We first tested whether this
 191 hypothesis could be rejected based on the collected data by estimating the value of SP under
 192 this hypothesis based on equation (9) and then calculating the p -value to obtain at least as big
 193 discrepancy as observed between the experimental catch comparison data and the model by
 194 chance. If this p -value was below 0.05, we could reject the null hypothesis unless the data
 195 appeared to exhibit over-dispersion, which would be indicated by lack of any fish length-
 196 dependent pattern in the deviation between the modeled catch comparison rate and the
 197 experimental data points. In case the null hypothesis is rejected, thereby providing evidence for
 198 missing size selectivity, we then quantified this selectivity using models (10), (11), and (6).
 199 This process included testing whether using models (10) and (11) in (6) could describe the
 200 observed catch comparison data sufficiently well (p -value > 0.05), and we employed these
 201 models to estimate the parameters with equation (9). The parameters SP , $L50$, and SR were
 202 estimated with equation (10), while the estimation in equation (11) included the additional
 203 parameter C . If both equations (10) and (11) could describe the experimental data, then the one
 204 with the lowest Akaike's information criterion (AIC) value (Akaike, 1974) would be selected
 205 for modeling the missing size selectivity. We estimated 95% confidence intervals (CIs) for the
 206 catch comparison curve and the resulting sequential codend size selection curve using double
 207 bootstrapping for paired catch comparison data (Sistiaga et al., 2016a). We performed 1000
 208 bootstrap replicates.

209 In addition to modelling the experimental catch comparison rate in (9) based on (8) using (10)
 210 or (11), we also tested the empirical modelling approach that often is used in catch comparison
 211 studies (Krag et al. 2014, 2015; Herrmann et al. 2017, 2018):

$$212 \quad CC(l, \mathbf{v}) = \frac{\exp(f(l, \mathbf{v}))}{1.0 + \exp(f(l, \mathbf{v}))} \quad (12)$$

213 where f is a polynomial of order 4 with coefficients v_0, \dots, v_4 so $\mathbf{v} = (v_0, \dots, v_4)$. Leaving out one
 214 or more of parameters $v_0 \dots v_4$, we obtained 31 additional models that were considered as
 215 potential models to describe $CC(l, \mathbf{v})$. Based on these models, model averaging was applied to
 216 describe $CC(l, \mathbf{v})$ according to how likely the individual models were compared to each other
 217 (Burnham and Anderson, 2002). The models were ranked in order of AIC value following the

218 procedure described by Katsanevakis (2006) and Herrmann et al. (2017), and those within +10
 219 of the value of the model with the lowest AIC value were included in the combined model
 220 (Akaike, 1974; Burnham and Anderson, 2002).

221 *Estimation of difference in size-dependent catch pattern between the two codends*

222 The actual difference in catch pattern between the two codend types was assessed by calculating
 223 the difference in the population structure of the catch for the two codends. The length-dependent
 224 population frequencies retained in the codends were calculated as follows:

$$225 \begin{aligned} f_{c_l} &= \frac{\sum_{i=1}^a nc_{li}}{\sum_l \sum_{i=1}^a nc_{li}} \\ f_{s_l} &= \frac{\sum_{i=1}^a ns_{li}}{\sum_l \sum_{i=1}^a ns_{li}} \end{aligned} \quad (13)$$

226 where f_{c_l} and f_{s_l} are the frequencies of fish at length l (in length class with middle point l)
 227 retained in the conventional codend and the sequential codend, respectively. The 95%
 228 confidence interval (CI) was obtained using the double bootstrapping technique described
 229 above.

230 To infer the effect of changing from the conventional to the sequential codend on population
 231 size structures, the change in the length-dependent frequency Δf_l was estimated as:

$$232 \Delta f_l = f_{s_l} - f_{c_l} \quad (14)$$

233 Efron 95% percentile confidence limits (Efron, 1982) for Δf_l were obtained based on the two
 234 bootstrap populations of results (1000 bootstrap repetitions in each) for both f_{s_l} and f_{c_l} . As
 235 they are obtained independently, a new bootstrap population of results was created for Δf_l as
 236 follows:

$$237 \Delta f_{li} = f_{s_{li}} - f_{c_{li}} \quad i \in [1 \dots 1000] \quad (15)$$

238 where i denotes the bootstrap repetition index. Because the bootstrap resampling was random
 239 and independent for the two groups of results, it is valid to generate the bootstrap population of
 240 results for the difference based on (15) using the two independently generated bootstrap files
 241 (Larsen et al., 2018).

242 *Estimation of the absolute size selectivity in the two trawls*

243 The absolute size selectivity $r_c(l)$ for the trawl equipped with the traditional codend was
 244 estimated by combining the catch data nc_{li} for the a uncovered hauls conducted using the
 245 traditional codend with the catch data nf_{lj} for the b covered control hauls with full trawl

246 retention by minimizing (16) following the procedure described in Sistiaga et al. (2016b) for
 247 estimating the selectivity of unpaired trawl data:

$$248 \quad - \sum_l \left\{ \sum_{i=1}^a \left\{ n c_{li} \times \ln \left(\frac{SP \times r_c(l)}{SP \times r_c(l) + 1 - SP} \right) \right\} + \sum_{j=1}^b \left\{ n f_{lj} \times \ln \left(\frac{1 - SP}{SP \times r_c(l) + 1 - SP} \right) \right\} \right\} \quad (16)$$

249 Similarly, the absolute size selectivity $r_s(l)$ for the trawl equipped with the quality-improving
 250 codend was estimated by combining the catch data $n s_{li}$ for the a uncovered hauls conducted
 251 using the quality-improving codend with the catch data for the b covered control hauls by
 252 minimizing the following:

$$253 \quad - \sum_l \left\{ \sum_{i=1}^a \left\{ n s_{li} \times \ln \left(\frac{SP \times r_s(l)}{SP \times r_s(l) + 1 - SP} \right) \right\} + \sum_{j=1}^b \left\{ n f_{lj} \times \ln \left(\frac{1 - SP}{SP \times r_s(l) + 1 - SP} \right) \right\} \right\} \quad (17)$$

254 For both $r_c(l)$ and $r_s(l)$ we considered both the Logit (10) and Clogit (11) size selection models
 255 and used the one with the lowest AIC value. Only in case of poor fit statistics (p-value < 0.05)
 256 would we consider other size selection models.

257 All estimates were obtained using the software tool SELNET, which was developed for
 258 estimating size selectivity and catch comparisons for fishing gears (Herrmann et al., 2013). The
 259 estimates were then exported and graphically represented using R (R Core Team, 2013).

260 *Fall-through experiments*

261 Fall-through experiments were conducted to further assess the potential size selectivity in the
 262 codends. The lengths of sample fish within the size selective range were measured. To
 263 determine if the fish could pass through the meshes of the codend, each fish was tested under
 264 the influence of gravity in a vertical direction (see Herrmann et al., 2009). A factor influencing
 265 the size selective potential of codend is the openness of the meshes, which varies during fishing
 266 according to the state of the meshes (Herrmann et al., 2016). Hence, the fall-through
 267 experiments were conducted for two different mesh configurations (slack and stiff). Because
 268 the lower and upper panels of the codends consisted of two different materials, this experiment
 269 was conducted using both codend materials.

270 **Results**

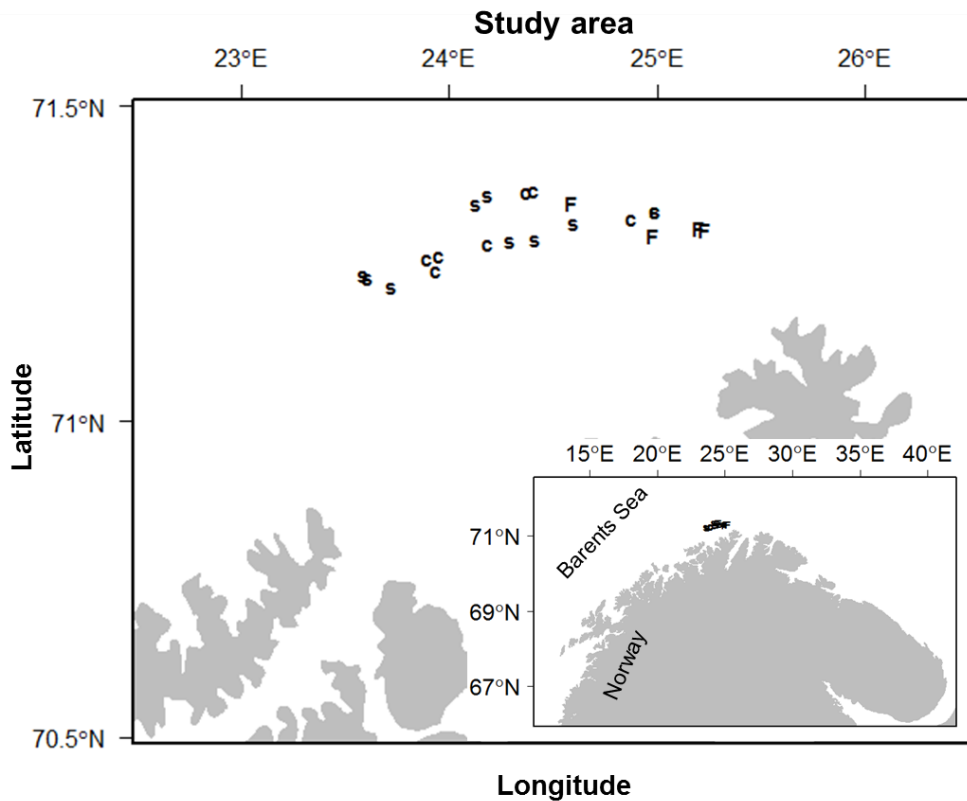
271 During the cruise a total of 20 valid trawls were conducted. Sixteen hauls were conducted
 272 alternately using the two different codends (8 haul pairs) in order to estimate the potential
 273 missing size selectivity of the sequential codend (Table 1). Four additional control hauls were
 274 conducted with covers over the flexigrid and codend to obtain a length-based abundance

275 measure of the fish entering the trawl during the experimental fishing. To ensure that the fish
 276 were caught from the same population and to minimize the between-haul variance, towing area
 277 and depth were kept as constant as possible, as was the number of days spent collecting the data
 278 (Table 1, Fig. 3). In total, 6889 cod were caught, 2439 of which were retained in the
 279 conventional codend and 3068 of which were retained in the dual sequential codend. The
 280 remaining 1382 cod were caught in the four control hauls.

281 Table 1. Details for each haul and haul pair showing codend type, depth, date, towing start time,
 282 towing time, number of cod caught, and the sub-sampling factor that compensates for the
 283 difference in towing time

Haul No.	Codend type	Depth (m)	Date	Start time (UTC)	Towing time (min.)	Number of cod	Sub-sampling factor
1	Conventional	368	01.03.2018	08:44	62	104	1.00
2	Dual sequential	362	01.03.2018	10:47	62	282	1.00
3	Dual sequential	376	01.03.2018	12:35	60	443	0.83
4	Conventional	349	01.03.2018	15:46	75	172	1.00
5	Dual sequential	310	02.03.2018	14:59	45	213	0.75
6	Conventional	338	02.03.2018	16:30	60	116	1.00
7	Conventional	351	02.03.2018	18:13	90	166	1.00
8	Dual sequential	372	02.03.2018	20:40	90	196	1.00
9	Dual sequential	329	03.03.2018	00:59	90	998	1.00
10	Conventional	318	03.03.2018	09:12	75	137	0.83
11	Conventional	320	03.03.2018	11:24	75	154	0.83
12	Dual sequential	326	03.03.2018	13:25	90	336	1.00
13	Dual sequential	297	03.03.2018	18:58	72	452	1.00
14	Conventional	295	03.03.2018	22:39	36	337	0.50
15	Conventional	303	04.03.2018	02:55	25	525	0.83
16	Dual sequential	322	04.03.2018	18:45	30	95	1.00
17	Control	301	05.03.2018	10:06	61	151	1.00
18	Control	296	05.03.2018	12:49	30	740	1.00
19	Control	299	05.03.2018	18:14	20	180	1.00
20	Control	299	05.03.2018	20:15	20	311	1.00

284

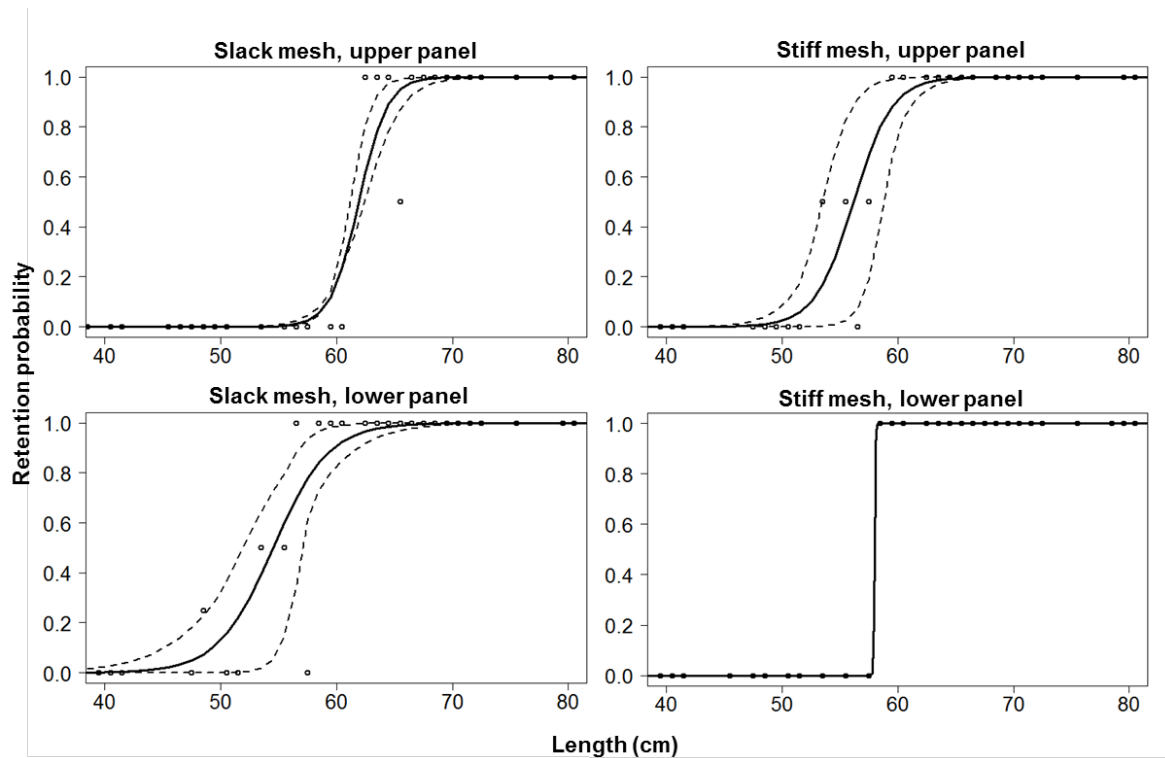


285

286 Fig. 3. Map of the area showing where the trawl hauls were conducted. 'c' and 's' denote the
 287 towing start position for the haul conducted with the conventional codend and with the
 288 sequential codend, respectively, and 'F' indicates the hauls with covers (i.e., with full retention
 289 of all fish).

290 *Fall-through experiments*

291 Fall-through experiments were conducted using 50 cod randomly sampled from the codend
 292 during the cruise. These cod ranged between 35 and 80 cm in length. The same codends used
 293 during the fishing trials were used for the fall-through experiments, and tests were conducted
 294 for two different mesh configurations (i.e., slack and stiff meshes). In addition, the experiments
 295 were conducted for the two mesh configurations on both the upper and lower panels. The size
 296 selectivity curves from the fall-through experiments provide an upper limit for possible
 297 selection in each codend (Fig. 4). Specifically, the results from the fall-through experiments
 298 demonstrated that the slack meshes in the lower panel provided the lowest retention probability,
 299 with a L_{05} of 47.5 cm (Table 2). The highest retention probability, with a L_{95} of 65.51 cm, was
 300 achieved with slack meshes in the upper panel (Table 2).



301
 302 Fig. 4. Fall through selectivity curves for slack and stiff meshes for both lower and upper panels
 303 Table 2. L_{05} and L_{95} with 95% CI in parenthesis for the fall-through experiments for both slack
 304 and stiff meshes from both codend panels

Mesh	L_{05} (95% CI)	L_{95} (95% CI)
Slack, upper panel	58.36 (57.71-61.37)	65.51 (61.49-69.52)
Stiff, upper panel	51.23 (48.94-58.38)	61.11 (57.77-64.44)
Slack, lower panel	47.50 (42.54-54.95)	61.56 (58.37-64.74)
Stiff, lower panel	57.87 (57.87-57.87)	58.13 (58.13-58.13)

305
 306 *Estimation of the missing size selectivity*

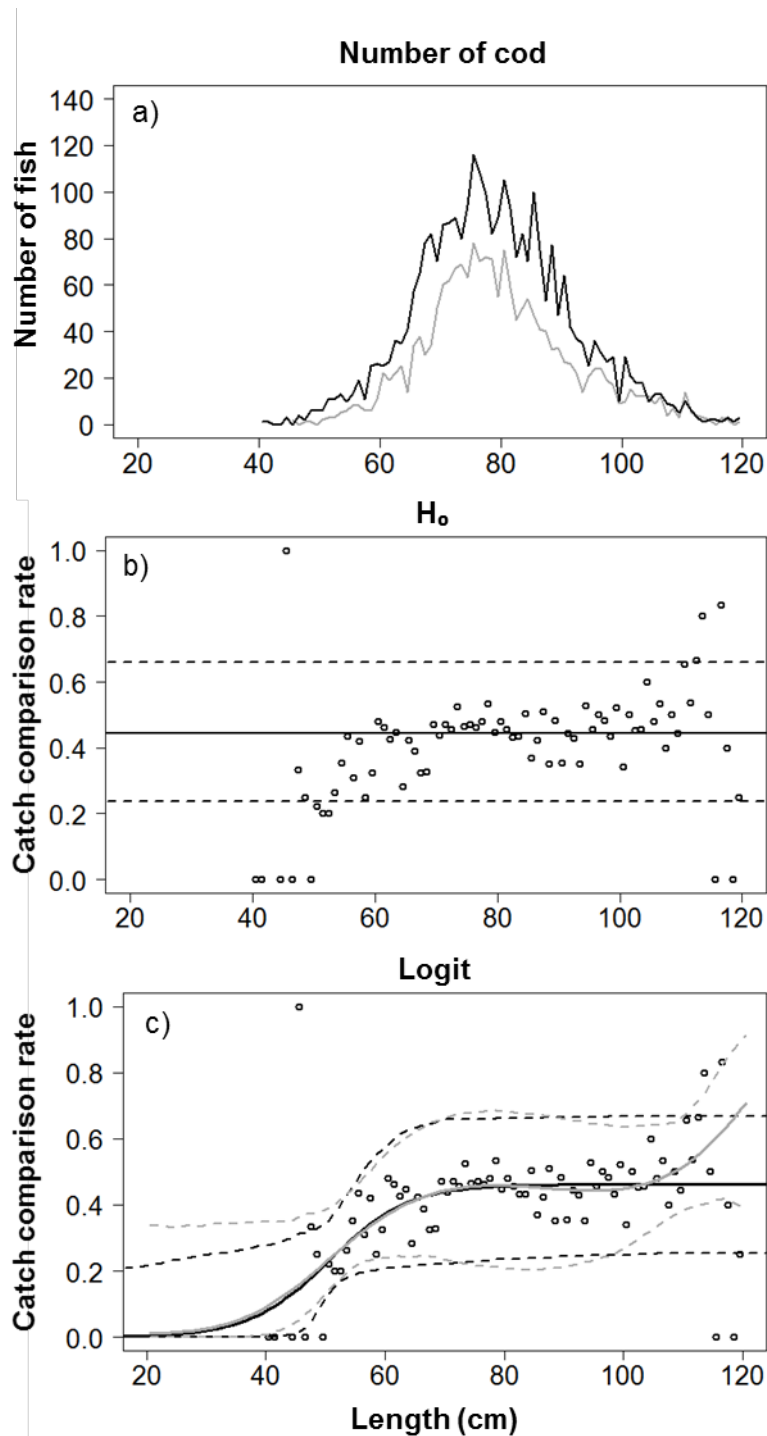
307 Figure 5a shows the length distribution of all cod caught in the conventional codend and the
 308 dual sequential codend. Cod in the size range between 40 and 119 cm were retained during the
 309 fishing trials. The p-value for the null hypothesis model (H_0) was 0.0033, which means we
 310 could reject this model (i.e., no difference in the size selection between the conventional and
 311 dual sequential codends) (Table 3). A difference in size selectivity between the two codends
 312 was supported by the discrepancy between catch comparison curves for the H_0 model and the
 313 length-dependent pattern in the experimental data (Fig. 5b). Being a length-independent catch
 314 comparison rate, the H_0 model curve is equal to that of the SP (i.e., 0.4625). The empirical
 315 model provided good fit statistics and fitted the experimental data points nicely (Fig. 5c, Table

316 3). However, empirical models cannot provide selection parameters. Therefore, two structural
 317 models were investigated. Although the Clogit model provided a significantly improved model
 318 fit compared to the H₀ model, the Logit model provided the best model fit (i.e., highest p-value
 319 and lowest AIC value) (Table 3). The catch comparison curve from the Logit model based on
 320 equations (8) and (10) also followed the experimental data points well (Fig. 5c). A comparison
 321 of the catch comparison curve from the Logit model with that from the empirical model showed
 322 nearly identical curves in the length-span were the experimental data have power (Fig. 5), which
 323 provides good support for the more informative structural Logit model. Applying equation (2)
 324 in Herrmann et al. (2106), the H₀ model and the Clogit model demonstrated a relative model
 325 likelihood of $6.57 \times 10^{-5}\%$ and 36.97%, respectively, compared to the Logit model (Table 3).
 326 Based on these results, the Logit model was chosen to describe the difference in size selectivity
 327 between the conventional and dual sequential codends.

328 Table 3. Fit statistics (p-value, deviance, degrees of freedom (DOF)), AIC values, and the
 329 relative model likelihood in percentage for the three models evaluated

Model	p-value	Deviance	DOF	AIC value	Relative likelihood (%)
H0	0.0033	115.02	77	7564.32	6.57×10^{-5}
Empirical	0.217	82.15	73	7532.99	417.87
Clogit	0.1646	85.79	74	7537.84	36.97
Logit	0.1852	85.79	75	7535.85	100

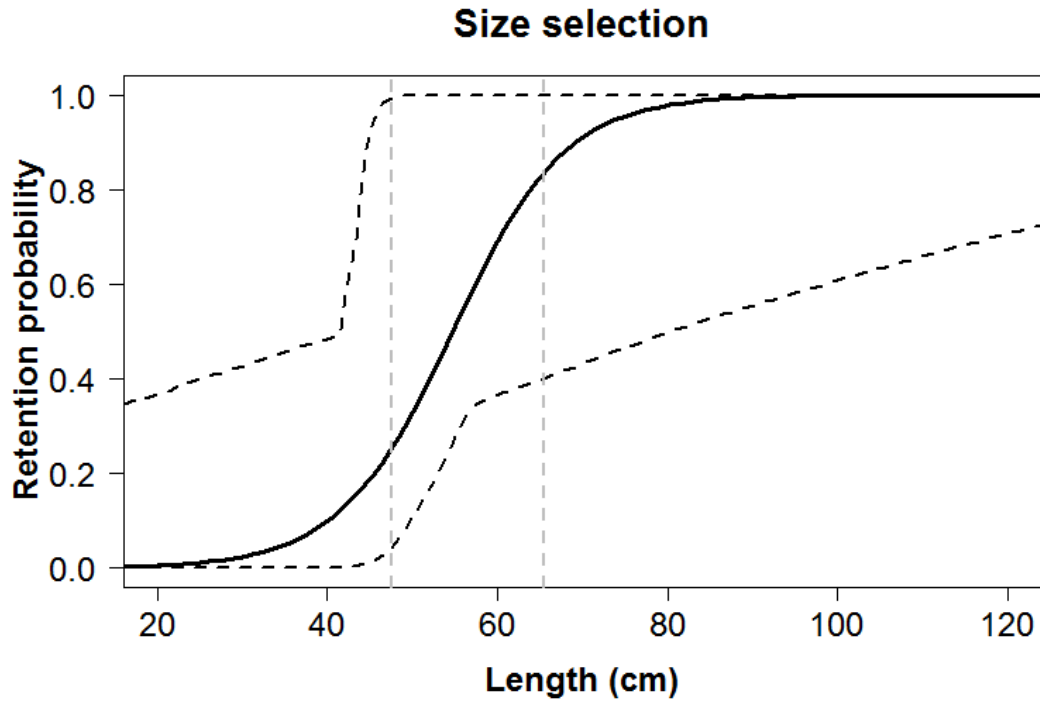
330



331

332 Fig. 5. (a) Size distribution of the cod retained in the conventional codend (grey) and the dual
 333 sequential codend (black). (b) Experimental catch comparison rates (dots) and the H₀ model
 334 (black solid line) with 95% CI (black stippled curves). (c) Modeled structural catch comparison
 335 rate (black solid curve) with 95% CI (stippled curves) and the experimental catch comparison
 336 rates (dots). The grey curve represents the catch comparison rate from the empirical model with
 337 95% CI (grey stippled curves).

338 The results from the fall-through experiments provide an upper and lower limit for any possible
339 missing size selection in the anterior codend segment compared to that of the conventional
340 codend. Specifically, the grey vertical line to the left in Figure 6 represents the upper limit (L_{95})
341 for possible size selection and thus the limit where 95% of the cod is retained. The highest upper
342 limit was achieved for slack meshes in the upper panel, which provided an L_{95} for cod of length
343 65.51 cm (Fig. 6, Table 2). The lowest limit (i.e., where there is only 5% probability of retention
344 (L_{05})) is represented by the vertical line on the left side in Figure 6. The lowest retention
345 probability was achieved for slack meshes in the lower panel, which provided an L_{05} for cod of
346 length 47.5 cm (Fig. 6, Table 2). The catch comparison curve demonstrates a difference in size
347 selectivity between the conventional and dual sequential codends (Fig. 5c). The size selectivity
348 curve in Figure 6 quantifies the missing size selectivity in the dual sequential codend after the
349 opening of the catch releaser during haul-back. The upper CI in the size selectivity curve
350 provides evidence for the reduced size selectivity in the sequential codend compared to the
351 conventional codend for cod up to 47 cm (Fig. 6). This is just 0.5 cm below the L_{05} limit
352 identified in the fall-through experiments. The size selection curve also indicates increased
353 probability of retaining fish above 47 cm in the dual sequential codend compared to the
354 conventional codend, although this is not provable because the upper CI is equal to 1 (Fig. 6).
355 Specifically, considering the most conservative estimates, cod measuring 20 cm had a 63%
356 higher escape probability when located in the conventional codend during haul-back compared
357 to the dual sequential codend, meaning that the latter codend had an increased probability of
358 retaining cod measuring 20 cm of 37% (Fig. 6, Table 4). Furthermore, for cod measuring 40
359 cm the reduced size selectivity in the sequential codend was estimated to be 51% (i.e., increased
360 retention probability of 49%) (Fig. 6, Table 4). For cod measuring 44 cm, which is the minimum
361 landing size, the escape probability during haul-back was 18% higher in the conventional
362 codend compared to the sequential codend (Fig. 6, Table 4).



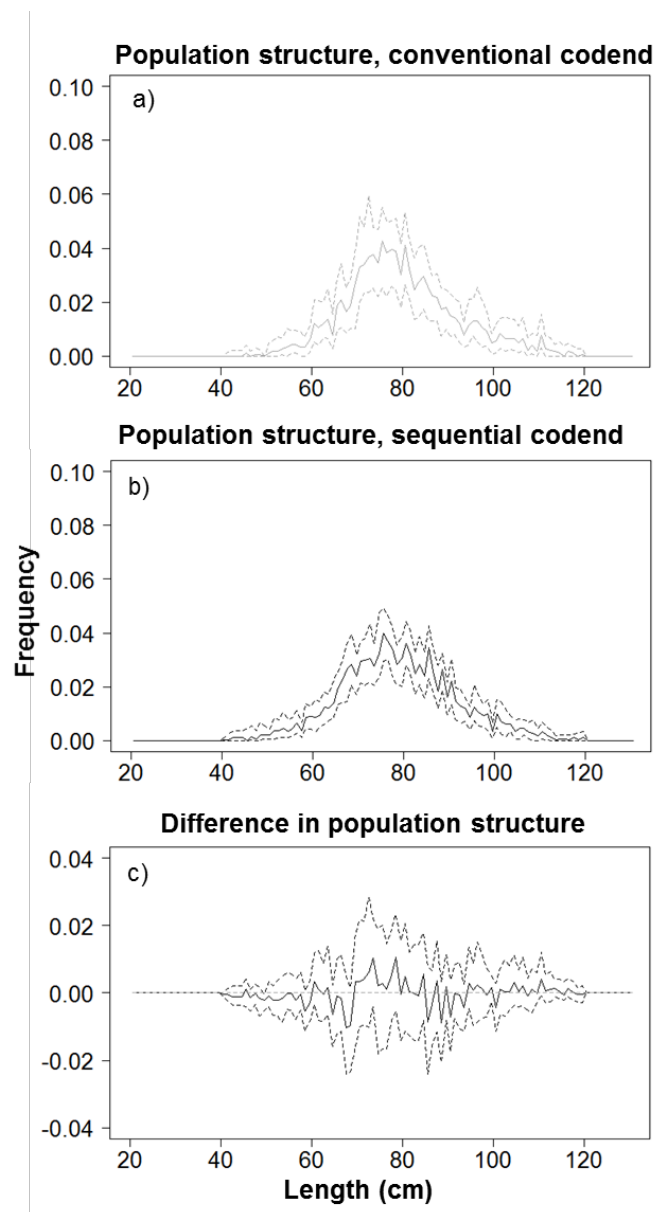
363

364 Fig. 6. Size selection curve (black solid curve) with 95% CI (stippled curves) showing the
 365 missing size selectivity when using the dual sequential codend. The grey stippled lines represent
 366 L_{05} (left line) for the slack meshes in the lower panel and L_{95} for the slack meshes in the upper
 367 panel.

368 Table 4. Reduced escape probability for cod with 5 cm length intervals with 95% CIs for the
 369 cod retained in the dual sequential codend compared to the conventional codend

Length (cm)	Escape probability	CI 95%, lower	CI 95%, upper
20	0.99	0.63	1.00
25	0.99	0.60	1.00
30	0.97	0.57	1.00
35	0.95	0.54	1.00
40	0.89	0.51	1.00
44	0.82	0.13	0.99
50	0.65	0.00	0.88
55	0.47	0.00	0.71
60	0.29	0.00	0.63
65	0.16	0.00	0.60
70	0.09	0.00	0.56
75	0.04	0.00	0.53
80	0.02	0.00	0.50
85	0.01	0.00	0.47

370 Although these results demonstrate reduced size selectivity in the sequential codend compared
371 to the conventional codend, this would be a problem only if undersized fish are present in the
372 fishing area, are caught, and fail to escape through the size selective grid or codend meshes
373 before haul-back. When we investigated the population structure retained in the two codends
374 (Fig. 7a, b), we found no significant difference (Fig. 7c). However, it is important to emphasize
375 that these results are case specific and could be due to the lack of undersized fish in the area
376 during the data collection period or to efficient release of undersized fish in the sections anterior
377 to the codend (i.e., size sorting grid and extension piece), as well as during towing.

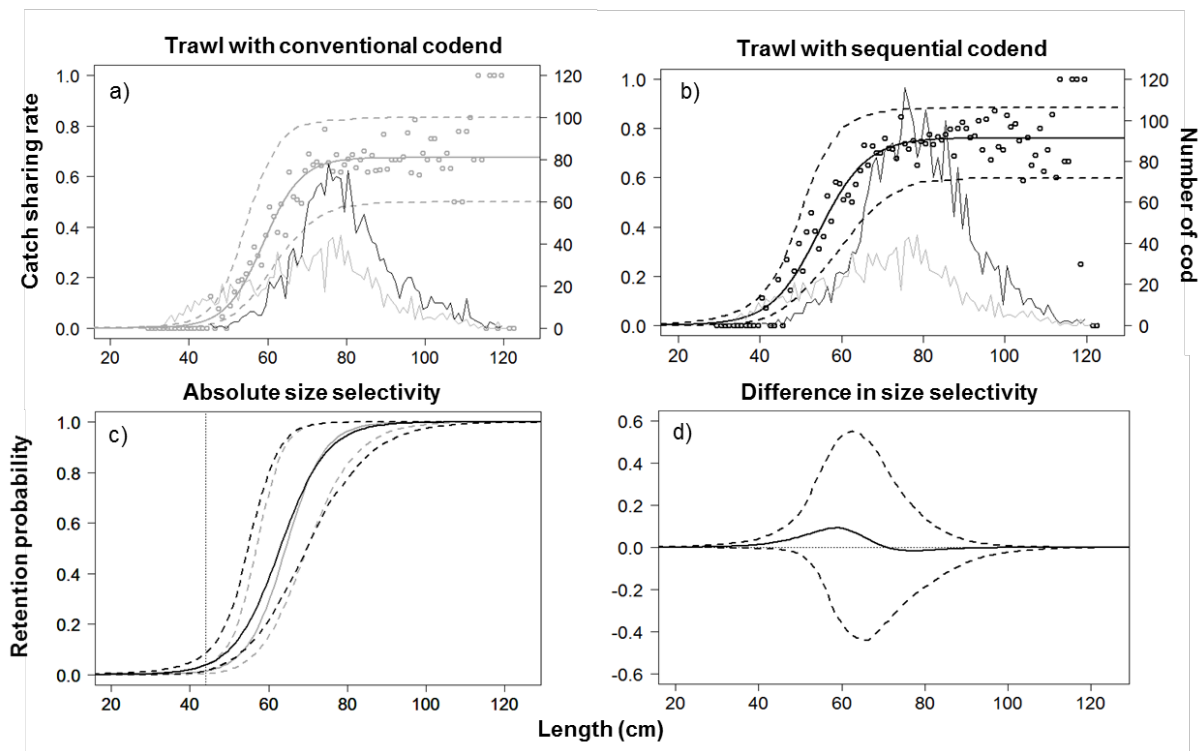


378

379 Fig. 7. Population structure in the (a) conventional codend and (b) sequential codend; (c) shows
380 the difference in population structure between the two codends. Stippled lines represent 95%
381 CIs.

382 *Absolute size selectivity in the trawl with the conventional codend and the sequential codend*

383 The four control hauls that were equipped with covers to retain all escapees provided a length-
384 based abundance measure for the cod entering the trawl. The length distribution of the cod
385 retained in the four control hauls (grey line in Fig. 8a, b) differs from the black distribution
386 curves in the figures showing the length distribution of cod retained in the conventional and
387 sequential codend, respectively. This demonstrates that small cod were present in the area when
388 experimental fishing was conducted. Thus, the four control hauls enabled estimation of the
389 absolute size selectivity in the trawl with the conventional codend and sequential codend (Fig.
390 8c, d, Table 5). The fit statistic presented in Table 5 demonstrate a good fit of the model (i.e.,
391 the p-value is well above 0.05, making it highly likely that the observed discrepancy between
392 the experimental catch sharing rates $(\frac{\sum_{i=1}^a nc_{li}}{\sum_{j=1}^b n_{flj}}$ and $\frac{\sum_{i=1}^a ns_{li}}{\sum_{j=1}^b n_{flj}})$ and the fitted model is a
393 coincidence). For both codend types, the Logit model provided the lowest AIC value.
394 Comparing the size selection curves in Figure 8c indicates a minor increase in the retention of
395 fish below the minimum landing size in the trawl equipped with the sequential codend.
396 However, based on the absolute selectivity estimate using the unpaired method (Sistiaga et al.,
397 2016b), no significant difference was detected. Furthermore, the estimated L_{50} of 64.33 cm for
398 the trawl with the conventional codend and 62.90 cm for the trawl with the sequential codend
399 do not differ significantly (Table 5), and these values lie far above the minimum landing size,
400 which in the Barents Sea cod fishery is 44 cm.



401
 402 Fig. 8. Catch sharing rate for the trawl with the (a) conventional codend and (b) sequential
 403 codend. Dots represent the experimental data points, and dashed curves represent CIs. The
 404 distribution curve in black represents the number of cod retained in the codend, whereas the
 405 distribution curve in grey represents the cod caught in the four control hauls that retained all
 406 fish entering the trawl, including escapees. (c) Absolute size selectivity in the trawl with the
 407 conventional codend (grey) and sequential codend (black) (grey stippled line represents the
 408 minimum target size of 44 cm). (d) Difference in size selectivity between the two codends.

409 Table 5. Size selectivity parameters and fit statistics for the absolute size selectivity in the trawl
 410 with the conventional codend and the sequential codend

Parameter	Total selectivity	
	Trawl with conventional codend	Trawl with sequential codend
L₅₀	64.33 (56.87–69.81)	62.90 (57.69–69.68)
SR	10.54 (6.26–14.91)	12.89 (7.49–18.50)
SP	0.67 (0.48–0.84)	0.76 (0.61–0.89)
p-value	0.928	0.5693
Deviance	71.21	87.02
DOF	90	90

411
 412 **Discussion**

413 Brinkhof et al. (2018a) described a dual sequential codend concept that significantly improved
414 the quality of trawl-caught cod. The goal of this study was to address concerns about the
415 potential negative effect of this design on the size selectivity of the codend. Data were collected
416 using two identically rigged trawls that differed only in the codend used. These codends were
417 assumed to have equal size selective properties until the catch was released into the posterior
418 codend segment in the dual sequential codend during haul-back. Therefore, it was reasonable
419 to assume that any difference in the size selectivity can be attributed the dual sequential codend.

420 During haul-back, the dual sequential codend exhibited an increased probability of retaining
421 cod up to 47 cm long compared to the conventional codend. The upper limits from the fall-
422 through experiments and the size selectivity curve, which demonstrated missing selectivity in
423 the sequential codend compared to the conventional codend, also indicated that the sequential
424 codend had increased retention probability for cod above 47 cm long (Fig. 6). However, this
425 latter premise is not provable due to the wide CIs. Although this study demonstrates that the
426 sequential codend had significantly lower size selectivity during haul-back compared to the
427 conventional codend, no difference in the population structure retained in the two codends was
428 detected. This means that the catch pattern between the two codends was not significantly
429 different based on the present data. However, it is important to emphasize that this result is case
430 specific, and may have been caused by lack of undersized fish in the fishing area during data
431 collection or by efficient release through the grid.

432 Studies have demonstrated that the flexigrid, which is the most used sorting grid in the Barents
433 Sea, can be insufficient at releasing undersized fish (Sistiaga et al., 2016a). However, the four
434 control hauls conducted in this study, which retained all cod that entered the trawl, demonstrated
435 that although some undersized fish entered the trawl, most of them managed to escape, either
436 through the grid or through the codend meshes during towing. Estimation of the absolute size
437 selectivity indicated that there was only a minor increase in the retention rate for undersized
438 cod with the sequential codend compared to with the conventional codend. The high L_{50} values
439 obtained with both trawl codends in this study demonstrate low retention of fish below the
440 minimum target size. Even if the sequential codend had led to a significantly lower L_{50} than the
441 conventional codend, which was not the case, a lower L_{50} would still be in accordance with the
442 fishery management regulations. The increased catch quality provided by the sequential codend
443 can be considered to be of greater importance than the minor increase in the retention of small
444 cod. Low catch quality can increase the risk of illegal discarding and high-grading (Batsleer et
445 al., 2015). Furthermore, as argued in Madsen et al. (2008) and Brinkhof et al. (2017), fish

446 escaping during haul-back is likely to affect their survivability negatively due to stress-, catch-
447 , or barotrauma-related injuries.

448 Results of the structural Logit model applied in this study agreed extremely well with results of
449 the empirical model. The catch comparison curves from the structural and empirical model were
450 nearly identical in the length span in which the experimental data occurred. The discrepancy
451 between the two modeled curves, which occurred above L_{95} and thus outside the area where
452 any size selection can occur, was likely caused by the difference in the fish entry rates, and it
453 was not significant considering the wide CIs. Because structural models enable estimation of
454 selectivity parameters, the structural model with the best fit was chosen. Structural models are
455 also beneficial due to their robustness for extrapolations outside the range of available length
456 groups that were measured (Santos et al., 2016). Regarding the assumptions about equal
457 selectivity in the two trawls, as stated in equation (7), it might be possible that the water flow
458 inside the anterior part of the sequential codend changes when the catch releaser opens the
459 posterior quality-improving codend segment. This might have a minor influence on the size
460 selectivity for cod that have not yet have entered the codend.

461 Because the data were collected by alternating between the trawls with the two different
462 codends, a paired analysis was possible. However, the four control hauls, which were collected
463 to enable calculation of the absolute selectivity and to provide a measure of the abundance of
464 all length groups of cod available during the experimental fishing, were collected unpaired, thus
465 the absolute selectivity analysis was conducted unpaired. Unpaired data possess more
466 uncertainty, resulting in wider CIs. However, combining those 4 control hauls with the data
467 from the 16 alternating hauls with the two codends trawls allowed estimation of the absolute
468 selectivity of the codends.

469 It is important to distinguish between potential size selectivity, which in this case demonstrated
470 significant missing size selectivity in the sequential codend compared to the conventional
471 codend, and the actual size selectivity in the trawl (i.e., actual catch pattern), which in this case
472 did not exhibit any significant difference between codends. Furthermore, estimation of the
473 absolute size selectivity indicated that there was a minor increase in the retention of small fish
474 in the dual sequential codend, but it was negligible. Despite the missing selectivity, the absolute
475 selectivity obtained for the trawl equipped with the quality-improving codend revealed a low
476 retention risk for cod below the minimum target size. Hence, this study demonstrates that
477 compared to the conventional codend, the sequential codend has a minor effect on the overall
478 trawl size selectivity.

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